- IOB SUMMAR	VCURET
ÍOD SOMMAR	
Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT: 03/07/07	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 09/27/0)7
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., D	oJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation	DATE 07-06-2010 BY 65179/DMH/PLJ/sdb
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of U Section 2.4 preamble: least intrusive collect Section 2.4(a) CIA electronic surveillance v Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.5 AG approval w/in US or against Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.12 indirect participation AG Approved EO 12333 Guidelines	ion v/in US w/in US w/in US abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, a Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAN Other (Presidential Directive citation:)	
DEPARTMENT/AGENCY ACTION: Return or destroy	
*DATE OF FINAL DISPOSITION:	

-72

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFHED EXCEPT WHERE SHOWN OTHERWISE SECRET//20120919 **b**2 b7E INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER (Y) FIELD OFFICE IOB MATTER 2007-1803 (U) ∞ The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation the Field Office issued NSLs in response to which the b1ix) b2 beyond the parameters of the request and the .b6 information was uploaded into a database. In this regard, on b7C or about [opened an investigation b7E 200 who is a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA). During the course of this investigation, two NSLs, dated 02/22/2005 and 10/21/2005, were b2 b7E issued pursuant to the for The provided responsive information but also provided information concerning the parameters of the request. The information was uploaded into b2 b7E Subsequently, another NSL was issued to authorize the FBI to retain and use the information. (S), The IOB has instructed OGC to report matters in b2 which information b7E is. uploaded into a database. Thus, this situation is being reported to the IOB. (U) Derived from: G-3 Declassify 01-09/19/2017 SECRET//20170919 Hofmann-IOB-3706

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· Name o	of Department or Agency	y: FBI OGC	CY Quarterly	v Report: 2007		- * t
	OF INCIDENT: 02/2 //30/07	2/05 and 10/21/05 (is	suance of NSLs)	DATE OF REPO	RT ŤO	•
DATE	OF REPORT TO DEPA	RTMENT/AGENCY:	Q3/26/07	• •	• .	; *
DATE	REPORT SUBMITTED	TO OTHER ENTITY	(i.e., DoJ, Congress,	Civil Liberties Office	·):	•
POSSI	BLE VIOLATION OF:	•	· ,			
	STATUTE (United Sta FISA (50 U.S _x Other (U.S.C.	.C. 1801)		, ,	· •	
•		· · · · · · · · · · · · · · · · · · ·	¥		•	b7E
	Section 2.4 pi	L 13333: ollection & disseminat reamble: least intrusive) CIA electronic surve	e collection		· .	
· .	Section 2.4(b Section 2.4(c) Section 2.4(d)) unconsented physica) physical surveillance) physical surveillance	l search w/in US of USP w/in US of USP abroad			· · ·
	Section 2.6 as Section 2.7 co	G approval w/in US o ssistance to law enforc ontracting ndisclosed participatio	ement	· · ·	•	
	Section 2.11	human experimentatio prohibition on assassir indirect participation d EO 12333 Guideline		d section:		•
•		nauthorized SAP (EO	12958, às amended)	•	• •	· · · ·
•		ration:).			•	
• • •			MORANDUM OF NC n:)	TIFICATION	•	· · ·
	RTMENT/AGENCY AC				d to advise that	b2 b7E
*DATI	E OF FINAL DISPOSIT	ION:				
				••••		
	· .	`	•	·		• •
			-			

DATE: 07-06-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb REASON: 1.4 (c) DECLASSIFY ON: 07-06-2035 SPERSET ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE ·~... INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER 20 b2 FIELD OFFICE b7E IOB MATTER 2007-1804 (U) X The Federal Bureau of Investigation ("FBI") has b1determined that in conducting an investigation on b2 the FBI Field Office (issued an NSL to a bб λX) which had b7C and thus resulted in the production of information that was not relevant to the b7E investigation. In this regard, an investigation of the target, a United States person, was initiated on or about During the course of the investigation, an NSL dated 011 2006 was issued pursuant the b2 b7E However, the NSL contained due to a typographical error. The mistake was discovered upon receipt of the information The material was destroyed and not uploaded in any database. Inasmuch as the information returned by the was necessarily not relevant to this investigation, there was a violation, albeit, unintentional, of the Therefore, this matter is being reported to the IOB. Derived from: G= Declassify on 09/17/2017 SPERTY/20170917 Hofmann-IOB-3708

IOB SUMMARY SHEET

Name of Department or Agency:	FBI OGC
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CY Quarterly Report: 2007

DATE OF INCIDENT: 11/14/06 (issuance of NSL)

DATE OF REPORT TO IOB:09/30/07

b2 b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/04/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

	FISA (50 U.S.C. 1801),	
<u>x</u>	Other (U.S.C. citation:	

EXECUTIVE ORDER 13333: -

- _____ Section 2.3 collection & dissemination of USP info
- _____ Section 2.4 preamble: least intrusive collection
- _____ Section 2.4(a) CIA electronic surveillance w/in US
- _____ Section 2.4(b) unconsented physical search w/in US
- _____ Section 2.4(c) physical surveillance of USP w/in US
- _____ Section 2.4(d) physical surveillance of USP abroad
- _____ Section 2.5 AG approval w/in US or against USP abroad
- _____ Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
- _____ Section 2.9 undisclosed participation
- _____ Section 2.10 human experimentation
- _____ Section 2.11 prohibition on assassination
- _____ Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and 'section:

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- _____ Other (EO citation: _____

PRESIDENTIAL DIRECTIVE (SPECIFY)

- _ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- ____ Other (Presidential Directive citation: _____

DEPARTMENT/AGENCY ACTION: Advised field of IOB; no need to give further advice, as information obtained b7 typographical error in nNSL had already been destroyed and had not been uploaded into any database.

*DATE OF FINAL DISPOSITION:

DATE: 07-06-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb REASON: 1.4 (c) DECLASSIFY ON: 07-06-2035 ALL INFORMATION CONTAINED. HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-900-NSL (U)

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The Federal Bureau of Investigation (")	FBI") has
determined that in conducting an investigation	· · · · · · · · · · · · · · · · · · ·
	ssued an NSL
	beyond the
scope of the request and the information was uplo	oaded into a
database. In this regard, on or about	
opened an investigation	a United
States person, as that term is used in	
	. During
the course of the investigation, an NSL dated 04,	11/2003 was
issued pursuant to the	
, for	The
returned information responsive to the re	equest but, in .
addition, provided for one of	day outside
the requested time period. This additional day of	of
was uploaded into a database. By	y EC dated
Q4/09/2007, reported this matter as a pot	
	• • •

The IOB has instructed OGC to report matters in which information ______ is uploaded into a database. Thus, this situation is being reported to the IOB.(U)

Derived from: G Declassify 00-09/ 19/2017

SEC. RET 20170919

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 07-06-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name o	f Department or Agency:	FBI OGC

CY Quarterly Report: 2007 .

DATE OF INCIDENT: 04/11/03 (issuance of NSL)

DATE OF REPORT TO IOB:09/30/07

b2 b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

	FISA (50 U.S.C. 1801)	<u> </u>		 	
<u>x</u>	Other (U.S.C. citation:				

EXECUTIVE ORDER 13333:

- _____ Section 2.3 collection & dissemination of USP info
- _____ Section 2.4 preamble: least intrusive collection
- _____ Section 2.4(a) CIA electronic surveillance w/in US
- _____ Section 2.4(b) unconsented physical search w/in US
- _____ Section 2.4(c) physical surveillance of USP w/in US
- _____ Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad -
- Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
- _____ Section 2.9 undisclosed participation
- _____ Section 2.10 human experimentation
- _____ Section 2.11 prohibition on assassination
- _____ Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:

OTHER EXECUTIVE ORDER (SPECIFY)

- ____ Creation of unauthorized SAP (EO 12958, as amended)
- ____ Other (EO citation: _____

PRESIDENTIAL DIRECTIVE (SPECIFY)

_ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:

DEPARTMENT/AGENCY ACTION: Advised field to purge database	to for a should be	b2
	s information should be	b"
destroyed or returned to provider		

*DATE OF FINAL DISPOSITION:

8° . Ritty _ ALL INFORMATION CONTAINED DATE: 07-06-2010 HEREIN IS UNCLASSIFIED EXCEPT CLASSIFIED BY 65179/DMH/PLJ/sdb WHERE SHOWN OTHERWISE REASON: 1.4 (c) SECT//20170919 DECLASSIFY ON: 07-06-2035 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 FIELD OFFICE b7E IOB MATTER 2007-1032-NSL(U). \bigotimes X The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation b1 the FBI Field Office [issued an b2 NSL to which had the and thus b6 resulted in the production of information that was not relevant b7C to the investigation. In this regard, an investigation of the b7E target, a United States person, was initiated on or about During the course of the investigation, an NSL dated 03/14/2005 was issued pursuant to the on b2 However, the NSL contained b7E apparently having been taken from another file. The mistake was discovered upon receipt of the information The material was destroyed. Inasmuch as the information returned was necessarily not relevant to this investigation, there was a violation, albeit, unintentional, of the Therefore, this matter is being reported to the IOB. (S) Derived from: G-3 Declassify on 09/19/2017 SECRET / /2017092

Hofmann-IOB-3712

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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-06-2010 BY 65179/DMH/PLJ/sdb IOB SUMMARY SHEET Name of Department or Agency: FBI OGC CY Quarterly Report: 2007 DATE OF INCIDENT: 03/14/05 (issuance of NSL) DATE OF REPORT TO 10B:09/30/07 DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/07 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): b2 POSSIBLE VIOLATION OF: b7E STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: _ EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US. Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad -Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) . Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: b2 b7E DEPARTMENT/AGENCY ACTION: Advised field of reporting matter to IOB information already destroyed and purged from database. ***DATE OF FINAL DISPOSITION:**

DATE: 07-06-2010 ALL INFORMATION CONTAINED CLASSIFIED BY 65179/DHH/PLJ/sdb REREIN IS UNCLASSIFIED EXCEPT-REASON: 1.4 (c) WHERE SHOWN OTHERWISE DECLASSIFY ON: 07-06-2035 **T**//20170919 b2 b7E FIELD OFFICE IOB MATTER 2007-1074-NSL(U) X The Federal Bureau of Investigation ("FBI") has Ъ1 b2 determined that, in conducting an investigation on b6 , the FBI issued six NSLs for but . b7C the ECs that accompanied the NSLs for purposes of providing b7E information for reporting to Congress were inaccurate in their recitation of the number and nature of the NSL informational X requests; thus, it is likely that the FBI inaccurately reported the contents of these NSLs to Congress. ∞ In t<u>his regard, the</u> Field Office opened an investigation b1 During the course of the b2 investigation, a series of NSLs were issued for b6 b7C with which had been in contact. The six NSLs were b7E dated either 04/21/2004 or 04/28/2004. Each was accompanied by an EC which, in one paragraph, described the NSL's request and at issue in a manner which closely mirrored the NSLs themselves, but in the particular paragraph which is designed to provide information for mandatory congressional reporting purposes, the six NSLs contained the same inaccurate paragraph as to the number and nature of the NSL request. The mistakes did not effect the validity of the NSLs but likely resulted in the under-reporting to Congress of the b2 for which information was sought and b7E over-inclusion . Thus, despite the inadvertent nature of the mistakes, the matter is being reported to the IOB. (S) Derived from: G-2 Declassify on 09/17/2019 T//2017091 Hofmann-IOB-3714

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

b2 b7E

DATE OF INCIDENT: 04/21/04 and 04/28/04 (issuance of NSLs) DATE OF REPORT TO IOB:09/30/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/29/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATU	EE (United States Code Citation)	
	FISA (50 U.S.C. 1801)	•
<u> </u>	Other (U.S.C. citation:	

EXECUTIVE ORDER 13333:

- _____ Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(a) CIA electronic surveinance with US
- Section 2.4(0) unconsented physical search with US
- _____ Section 2.4(c) physical surveillance of USP w/in US
- _____ Section 2.4(d) physical surveillance of USP abroad
- _____ Section 2.5 AG approval w/in US or against USP abroad
- ______ Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
- _____ Section 2.9 undisclosed participation
- _____ Section 2.10 human experimentation
- _____ Section 2.11 prohibition on assassination
- _____ Section 2.12 indirect participation
- _____ AG Approved EO 12333 Guidelines (Guidelines name and section:

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- _____ Other (EO citation: _____

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION.
- Other (Presidential Directive citation:

DEPARTMENT/AGENCY ACTION: Advised field of reporting matter to IOB.

*DATE OF FINAL DISPOSITION:

DATE: 07-07-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb REASON: 1.4 (c) DECLASSIFY ON: 07-07-2035 ALL INFORMATION CONTAINED HEREIM IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE SPERME /20170917 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER Ъ2 b7E FIELD OFFICE IOB MATTER 2007-1139-NSL (U) X The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation ίχ) b1the Field Office () issued an NSL to which a b2 information beyond the scope of the request and X) b6 the information was uploaded into a database. In this regard, on b7C or about opened an investigation b7E a United States person, as that term is used in Section 101(1) of the Foreign Intelligence Surveillance Act of 1978 (FISA), in order to investigate allegations During the course of the investigation. 12/03/2003 was issued pursuant to the tor returned b2 information responsive to the request but, in addition, provided ·b7E · for ' All of the information was entered in By EC dáted 04/04/2007, reported this matter as a potential IOB. **X** The IOB has instructed OGC to report matters in which information b2 error is uploaded into a b7E database. Thus, this situation is being reported to the IOB. (U) Derived from: G-3 Declassify on 09/17/2017 ECRET / /20170 Hofmann-IOB-3716

IOB SUMMARY SHEET

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Name of Department or Agency:	FBI OGC	CY Quarterly Repo	ort: 2007		
DATE OF INCIDENT:	issuance of NSL)	DATE OF REPO	RT TO IOB:09/30/07	_	
DATE OF REPORT TO DEPARTM	(ENT/AGENCY: 4/4/0)7		·	
DATE REPORT SUBMITTED TO	OTHER ENTITY (i.e.,	DoJ, Congress, Civil I	Liberties Office):	•	b2
POSSIBLE VIOLATION OF:				•	b7E
STATUTE (United States) FISA (50 U.S.C. 1 x. Other (U.S.C. cita EXECUTIVE ORDER 133 Section 2.3 collec	801)	f UŠP info	· · ·	•	•
Section 2.4 pream Section 2.4(a) CIA Section 2.4(b) und Section 2.4(c) phy Section 2.4(c) phy Section 2.4(d) phy Section 2.5 AG ap Section 2.6 assista Section 2.7 contra	ble: least intrusive collo delectronic surveillanc onsented physical sear sical surveillance of U sical surveillance of U proval w/in US or again nce to law enforcemen cting	ection e w/in US ch w/in US SP w/in US SP abroad nst USP abroad	•		
Section 2.12 indir	n experimentation bition on assassination		tion:		
OTHER EXECUTIVE OR Creation of unauti Other (EO citation	orized SAP (EO 1295)	3, as amended)			• •
PRESIDENTIAL DIRECT COVERT ACTIO Other (Presidentia DEPARTMENT/AGENCY ACTIO	N FINDING/MEMOR)	CATION	b2	
destroyed or returned t	n. Furbou holo to pu	igo outabase,		uld be b7E	۰.
*DATE OF FINAL DISPOSITION	· · ·			• •	
•	, ·	· · · · · · · · · · · · · · · · · · ·		• •	· ·

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE DATE: 07-07-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb b2 /20170913 REASON: 1.4 (c) b7E DECLASSIFY ON: 07-07-2035 · INTELLIGENCE OVERSIGHT BOARD (IOB) MATTERS FIELD OFFICE IOB MATTERS 2007-1257-NSL, 2007-1258-NSL(U) XXI ίX The Federal Bureau of Investigation ("FBI") has XX) determined that in conducting an investigation the FBI field office [) issued an EC that incorrectly stated, for purposes of congressional reporting, that b1 the NSL issued under b2 sought b6 information whereas it In this .b7C regard, an a United States person, was b7E On or about 02/15/2007, an NSL for initiated on was issued to further the investigation. The NSL was supported by an EC, but the EC incorrectly stated in its reporting paragraph that the NSL Thus, this mistake would have resulted in incorrect reporting to Congress as to the type of NSL issued. Because of the adverse impact of this mater, OGC is reporting this matter to the IOB. It is in the form of two IOB matters because the EC was uploaded into ACS twice, and unknowingly incorrectly reported as two IOB separate matters. 🕅 Derived from: 6-3 Declassify on: 09/19/2017 ET/720170919 Hofmann-IOB-3718

IOB SUMMARY SHEET

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	Name of Department or Agency: FBI OGC CY Quarterly Report: 2007	
	DATE OF INCIDENT: 02/15/07 (issuance of NSL) DATE OF REPORT TO IOB:09/30/07	•.
	DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07	
	DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	• •
	POSSIBLE VIOLATION OF:	
	STATUTE (United States Code Citation) FISA (50 U.S.C. 1801)	
	(U.S.C. citation:)'	Ъ2 Ъ7Е
	EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info	· .
	Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US	
	Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad	
	Section 2.5 AG approval w/in US or against USP abroad. Section 2.6 assistance to law enforcement	
	Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation	•
•	Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:)	
	OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:)	
	PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)	, ,
	DEPARTMENT/AGENCY ACTION: Advised field of reporting matter to IQB	
	*DATE OF FINAL DISPOSITION:	
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•		

DATE: 07-07-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb REASON: 1.4 (c) DECLASSIFY ON: 07-07-2035

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1260-NSL (U)

The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation the Field Office issued a NSL in response to which the beyond the parameters of the request and the information was uploaded into a database. In this regard, on or about opened an investigation who is a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA). During the course of this investigation, an NSL dated 04/01/2003 was issued pursuant to the for

uploaded into FBI databases. By EC dated 04/09/2007, this matter was reported as a potential IOB. (S)

The IOB has instructed OGC to report matters in which information error is b2 uploaded into a database. Thus, this situation is being b7E reported to the IOB. (U)

Derived from G Declassify on: 09/17

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HERE	IN	IS	UNCLA:	SSII	FIED
DATE	07	7-07	7-2010	BY	65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 04/01/03 (issuance of NSL)

DATE OF REPORT TO IOB:09/30/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

- STATUTE (United States Code Citation)
 - _____ FISA (50 U.S.C. 1801)
- X Other (U.S.C. citation: ____)

EXECUTIVE ORDER 13333:

- _____ Section 2.3 collection & dissemination of USP info
- _____ Section 2.4 preamble: least intrusive collection
- _____ Section 2.4(a) CIA electronic surveillance w/in US
- _____ Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- _____ Section 2.5 AG approval w/in US or against USP abroad -
- _____ Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
- _____ Section 2.9 undisclosed participation
- _____ Section 2.10 human experimentation
- _____ Section 2.11 prohibition on assassination
- _____ Section.2.12 indirect participation
- _____ AG Approved EO 12333 Guidelines (Guidelines name and section:

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:

PRESIDENTIAL DIRECTIVE (SPECIFY)

 COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 b2

 Other (Presidential Directive citation:
 b7E

DEPARTMENT/AGENCY ACTION: Advised field to purge database; destroy or return

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3721

material

DATE: 07-07-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb REASON: 1.4 (c) DECLASSIFY ON: 07-07-2035 - ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

SECRET //2017091

b2 b7E INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE 20 IOB MATTER 2007-1694-NSL (U) λX) The Federal Bureau of Investigation ("FBI") has $\langle X \rangle$ determined that in conducting an investigation the Field Óffice issued a b1 NSL in response to which b2 returned. (\mathbf{X}) bб records beyond the parameters of the request and the b7C information was uploaded into a database. In this regard, on b7E or about opened an investigation , who is a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA). During the course of this investigation, an b2 NSL dated 03/15/2004 was issued to _____ pursuant to the .b4 b7D b7E The provided for that [but also provided additional pieces of information, from which the b2 the b7E An EC describing the information was drafted and uploaded into ACS. By EC dated 05/07/2007, the potential IOB violation was reported. While the information in the case file was reducted Ъ2 b7E a full EC describing the information remained in ACS. The IOB has instructed OGC to report matters in b2 which b7E uploaded into a database. Thus, this situation is being reported to the IOB. (U) Derived from: G-3. Declassify on: 09/17/201 SECRET//2017091

IOB SUMMARY SHEET

Name of Department or Agency: FBLOGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 03/15/04 (issuance of NSL)

DATE OF REPORT TO IOE:09/30/07

b2

b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 05/07/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

____ FISA (50 U.S.C. 1801) x___ Other (U.S.C. citation:

EXECUTIVE ORDER 13333:

- _____ Section 2.3 collection & dissemination of USP info
- _____ Section 2.4 preamble: least intrusive collection
- _____ Section 2.4(a) CIA electronic surveillance w/in US
- _____ Section 2.4(b) unconsented physical search w/in US
- _____ Section 2.4(c) physical surveillance of USP w/in US
- _____ Section 2:4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad.
- _____ Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
- _____ Section 2.9 undisclosed participation
- _____ Section 2.10 human experimentation
- _____ Section 2.11 prohibition on assassination
- _____ Section 2.12 indirect participation
- AG Approved BO 12333 Guidelines (Guidelines name and section:

OTHER EXECUTIVE ORDER (SPECIFY)

- ____ Creation of unauthorized SAP (EO 12958, as amended)
- ____ Other (EQ citation: _____

PRESIDENTIAL DIRECTIVE (SPECIFY)

____. COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:

DEPARTMENT/AGENCY ACTION: Advises field to purge database

*DATE OF FINAL DISPOSITION:

DATE: 07-07-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb ALL INFORMATION CONTAINED REASON: 1.4 (c) ... HEREIN IS UNCLASSIFIED EXCEPT DECLASSIFY ON: 07-07-2035 WHERE SHOUN OTHERWISE CX SECRET//20170917 'h2 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E 200 FIELD OFFICE IOB MATTER 2007-1695-NSL (U) bl b2 The Federal Bureau of Investigation ("FBI") has b6 determined that in conducting an investigation b7C b7E Fi<u>eld O</u>ffice the) issued an NSL for \bigotimes to a in response to which the returned records beyond the parameters of the request and the \propto information was uploaded into a database. In this regard, on or 'n1 about [opened an investigation Ъ2 who is a "United States person" as that term is used in b6 b7C Section 101(i) of the Foreign Intelligence Surveillance Act of b7E 1978 (FISA). During the course of this investigation, an NSL dated_03/09/2004_was_issued_to nursuant to the Ъ2 for The provided b7E responsive to the request but also provided two documents that were outside the time frame requested. An EC containing information from the documents was drafted and uploaded into ACS. By EC dated 05/07/2007, the potential IOB violation was reported. The two documents were removed from the case file and sequestered with the Chief Division Counsel. The file copy of an EC which contained information from the documents was redacted but the EC in ACS remained in tact. (SY The IOB has instructed OGC to report matters in which information is uploaded into a b2 b7E database. Thus, this situation is being reported to the IOB. (U). Derived from: G=3 Declassify on: 09/17/201 NT//2017091 Hofmann-IOB-3724

¥ [°]	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-07-2010 BY 65179/DMH/PLJ/sdb	;
•	· IOB SUMMARY SHEET	
	Name of Department of Agency: FBI OGC CY Quarterly Report: 2007	۰.
-	DATE OF INCIDENT: 03/09/04 (issuance of NSL) DATE OF REPORT TO 10B:09/30/07	*• ,
	DATE OF REPORT TO DEPARTMENT/AGENCY: 05/07/07	
,	DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	
\$	POSSIBLE VIOLATION OF:	
	STATUTE (United States Code Citation) FISA (50 U.S.C. 1801)	b2
	x Other (U.S.C. citation:	∙b7E ∙
· · · · · · · · · · · · · · · · · · ·	EXECUTIVE ORDER 1333:	
	COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)	
	b2 DEPARTMENT/AGENCY ACTION: Advised field to purge database formation should be b7E destroyed or returned to provider	· •
• •	*DATE OF FINAL DISPOSITION:	·
. . .		

•	Ť Š	SEGRET	DATE: 07-07-2010 CLASSIFIED BY 65179 REASON: 1.4 (c) DECLASSIFY ON: 07-0		ALL INFORMATION HEREIN IS UNCLAS WHERE SHOWN OTHE	SIFIED EXCEPT RWISE	
		INT	ELLIGENCE OVERS	IGHT BOARD (IO DIVISION	B) MATTER	b2 b7E	
			IOB MATTER 2	2007-1893NSL (U)		
•	4			<u>reported</u> a pot		cror in	b2 •. b7E •.
	120		National Securit) dated June	<u>17, </u>	
•	XX		perly requested			a	bl '
•		U.S. Person who	investigati		ed ion to respon	nsive	b2 b6 b7C
		records,	received	excess			. b7E
		for the Certain records	from this		re uploaded t	to the	
• .		case file, but sequestered with	the original has	d copies were	ultimately	•	
•		(U) Pursuan the FBI is repo over-produced in	nt to the July 3 rting this matter	er because the	uploading of	E the	b2 b7E
	į I		institution's e	error.	ounding of th	ie chira.	
•		(U)	has been instru				b2
	· ·	should be return to the file.		a improperty a d, with approp <u>further instru</u>	riate documer	mation itation	b7E `
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IOB SUMMARY SHEET

Name	of De	partment	OF	Agency:	FBI
* ######		ματοτεί	. UL	Agonoy.	

CY Quarterly Report:

DATE OF INCIDENT:

2. 1

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 6/17/05

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

<u> </u>	FISA	(50	U.S.C.	1801)	ŀ	-
v	Other	· · ·				

EXECUTIVE ORDER 13333:

- _____ Section 2.3 collection & dissemination of USP info
- _____ Section 2.4 preamble: least intrusive collection
- _____ Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- _____ Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
- Section 2.9 undisclosed participation
- _____ Section 2.10 human experimentation
- _____ Section 2.11 prohibition on assassination
- _____ Section 2.12 indirect participation
- _____ AG Approved EO 12333 Guidelines

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- ____ Other (EO citation: _____

PRESIDENTIAL DIRECTIVE (SPECIFY)

- ____ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- _ Other (Presidential Directive citation: ____

DEPARTMENT/AGENCY ACTION: Return or destroy

*DATE OF FINAL DISPOSITION:

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-07-2010 . b2 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E DIVISION IOB MATTER 2007-950NSL (U) (U) By electronic communication ("EC") dated March 26,2007, reported two potential IOB errors in the Division(b2 b7E conjunction with its of a United States Person. One error was determined to not be a potential IOB, and (U) 🕾 thus not reportable. A discussions of the other error follows. On February 22, 2005 properly prepared and issued a National Security Letter (NSL) requesting from a b2 information for a U.S. Person who b7E was the target of an authorized investigation. In addition to responsive records, (U) to the date scope requested. (S) This was uploaded to the case file, but Ъ2 b7E subsequently removed and sequestered with the Chief Division Counsel (CDC) following the NSL audit. (U) Pursuant to the July 31, 2007 instructions from the IOB, the FBI is reporting this matter because the uploading of the over-produced information constitutes a compounding of the third party financial institution's error. has been instructed to (U) and ask b2 whether the unintentionally acquired information should be b7E returned or destroyed, with appropriate documentation to the . file. In the meantime, the records must remain sequestered with the CDC.

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· IOB SUMMARY SHEET

Name	of Der	partment	ÓF	Agency	<i>r</i> -	FBI
	~~~~	Seef FTUT OTTE	~-	1120110		

CY Quarterly Report:

DATE OF INCIDENT: 02/22/2005

# DATE OF REPORT TO IOB:

b2 b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/26/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

# POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation) FISA (50 U.S.C. 1801)

	Section 2.3 collection & dissemination of USP info
	Section 2.4 preamble: least intrusive collection
<u> </u>	Section 2.4(a) CIA electronic surveillance w/in US
<u> </u>	Section 2.4(b) unconsented physical search w/in US
<u></u>	Section 2.4(c) physical surveillance of USP w/in US
	Section 2.4(d) physical surveillance of USP abroad
	Section 2.5 AG approval w/in US or against USP abroad
	Section 2.6 assistance to law enforcement
<u>.                                    </u>	Section 2.7 contracting
	Section 2.9 undisclosed participation
<u>.</u>	Section 2.10 human experimentation Section 2.11 prohibition on assassination
	Section 2.12 indirect participation
	AG Approved EO 12333 Guidelines
OTHER	EXECUTIVE ORDER (SPECIFY)
<u>-</u>	Creation of unauthorized SAP (EO 12958, as amended)
	Other (EO citation:)
PRESIÉ	ENTIAL DIRECTIVE (SPECIFY)
* 7/00/11	COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
<u> </u>	Other (Presidential Directive citation:)
MENŢ	AGENCY ACTION: Return or destroy

DATE: 07-07-2010 4 CLASSIFIED BY 65179/DMH/PLJ/sdb ALL INFORMATION CONTAINED REASON: 1.4 (c) HEREIN IS UNCLASSIFIED EXCEPT DECLASSIFY ON: 07-07-2035 WHERE SHOWN, OTHERWISE - ` b2 b7E INTELLIGEN<u>CE OVERSIGHT</u> BOARD (IOB) MATTER **X**() DIVISION IOB MATTER 2007-1594NSL (U) b2 (U) By electronic communication ("EC") dated April 9,2007, b7E the <u>reported</u> a potential IOB error in conjunction with its of a United States Person. X In a National Security Letter (NSL) dated June 17. 2005. properly requested b1b2 b6 U.S. Person who is the target of an authorized  $\mathbf{X}$ b7C investigation. In addition to responsive b7E records eceived excess of as well as Certain records from this uploaded to the case file, but the original hard copies were {U} ultimately sequestered with the Chief Division Counsel (CDC). In a National Security Letter (NSL) dated December 27, 2005, requested pertaining to a **b**2 held by a U.S. Person who was the target of an b7E authorized investigation The NST. requesting was served by the División on the in The had no (U) responsive records in but apparently forwarded the NSL to a which did have responsive records. In addition to responsive records, the of b2 the provided records for b7E prior to the requested date scope. uploaded all the records to its substantive case file, including the (U) Pursuant to the July 31, 2007 instructions from the IOB, the FBI is reporting this matter because the uploading of the over-produced information constitutes a compounding of the third party financial institution's error. (U)has been instructed to contact the and ask whether the improperly acquired information b2 should be returned or destroyed, with appropriate documentation b7E to the file. has been further instructed to keep sequestered with the CDC the records, and immediately charge-out from ACS any records. Hofmann-IOB-3730

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# IOB SUMMARY SHEET

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Name of Depr	artment or Agency: FBI	CY Quarterly Report:		
DATE OF IN		DATE OF REPORT TO IOE	3:	•.
DATE OF RE	PORT TO DEPARTMENT/AGENCY	7: 04/09/07		•
DATE REPO	RT SUBMITTED TO OTHER ENTIT	Y (i.e., DoJ, Congress, Civil Liberties (	Office):	b2
POSSIBLE V	IOLATION OF:	, ,	<i>.</i>	b7E
X	TUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:			• •
	<ul> <li>Section 2.3 collection &amp; disseminal</li> <li>Section 2.4 preamble: least intrusi</li> <li>Section 2.4(a) CIA electronic surv</li> <li>Section 2.4(b) unconsented physic</li> <li>Section 2.4(c) physical surveillance</li> <li>Section 2.4(d) physical surveillance</li> <li>Section 2.4(d) physical surveillance</li> <li>Section 2.5 AG approval w/in US</li> <li>Section 2.6 assistance to law enfor</li> <li>Section 2.7 contracting</li> <li>Section 2.10 human experimentati</li> <li>Section 2.12 indirect participation</li> <li>AG Approved EO 12333 Guidelin</li> </ul>	ve collection eillance w/in US al search w/in US e of USP w/in US e of USP abroad or against USP abroad * reement ion ination		· · · · · · · · · · · · · · · · · · ·
	<ul> <li>AC Approved EO 12555 Guidenni</li> <li>ER EXECUTIVE ORDER (SPECIFY</li> <li>Creation of unauthorized SAP (EC</li> <li>Other (EO citation:)</li> <li>SIDENTIAL DIRECTIVE (SPECIFY)</li> </ul>	) 12958, as amended)		•
· · · · · · · · · · · · · · · · · · ·	COVERT ACTION FINDING/MI Other (Presidential Directive citati	EMORANDUM OF NOTIFICATION		· · ·
	NT/AGENCY ACTION: Return or de	stroy	••••••	•

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# FEDERAL BUREAU OF INVESTIGATION FOIA/PA DELETED PAGE INFORMATION SHEET

Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

Deletions were made pursuant to the exemptions indicated below with no segregable material available for release to you.

Section 55	2	Section 552a
□(b)(1) □(b)(2) □(b)(3)	□(b)(7)(A) □(b)(7)(B) □(b)(7)(C) □(b)(7)(D) □(b)(7)(E) □(b)(7)(F)	□(d)(5) □(j)(1) □(k)(1) □(k)(2) □(k)(3) □(k)(4)
□(b)(4) □(b)(5) □(b)(6)	□(b)(8) □(b)(9)	□(k)(5) □(k)(6) □(k)(7)

□ Information pertained only to a third party with no reference to the subject of your request or the subject of your request is listed in the title only.

Document(s) originated with another government agency (OGA). These documents were referred to that agency(ies) for review and you will be advised by the FBI as to the releasability of this information.

Page(s) contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

Page(s) withheld inasmuch as a final release determination has not been made. You will be advised as to the disposition at a later date.

2 Page(s) were not considered for release as they are duplicative of <u>Hofmann-IOB-3728</u> through Hofmann-IOB-3729

Page(s) withheld for the following reason(s)

☑ The following number(s) is (are) to be used for reference regarding these pages : <u>Hofmann-IOB-3732 through Hofmann-IOB-3733</u>

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E DIVISION IOB MATTER 2007-1601NSL (U)

(U) An electronic communication (EC) from the Federal Bureau b2 of Investigation (FBI), Division (, dated b7E 03/29/2007, reported a potential Intelligence Oversight Board (IOB) violation involving a National Security Letter (NSL).

S On 06/17/2004, properly prepared and issued a National Security Letter (NSL) to a b2 seeking information on a U.S. person who b7E was the target of an authorized investigation. Responsive records were provided to During the March 2007 NSL audit, it was discovered that the provided information beyond the scope of the NSL.

(U) Information contained in the _____ data was uploaded into the FBI computer system. Therefore, this matter is b2 being reported because Counsel to the IOB advised on 07/31/2007 b7E that it is a reportable violation if the FBI uploads ______ information rather than sequestering it.

(U) It should be noted that OGC does not believe that intentionally committed an IOB violation in uploading the information. Nevertheless, because this action violated the relevant authorities, in accordance with the reporting requirements of Section 2.4 of Executive Order 12863, this matter is reportable.

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#### ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED

DATE 07-07-2010 BY 65179/DMH/PLJ/sdb

## **IOB SUMMARY SHEET**

Name	of.	Departr	nent or	Agency	y: FE

CY Quarterly Report:

DATE OF INCIDENT: 06/17/2004

### DATE OF REPORT TO IOB:

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b2

b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801) X Other (U.S.C. citation

# EXECUTIVE ORDER 12333:

- _____ Section 2.3 collection & dissemination of USP info
- _____ Section 2.4 preamble: least intrusive collection
- _____ Section 2.4(a) CIA electronic surveillance w/in US
- _____ Section 2.4(b) unconsented physical search w/in US
- _____ Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- _____ Section 2.5 AG approval w/in US or against USP abroad
- _____ Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
- _____ Section 2.9 undisclosed participation
- _____ Section 2.10 human experimentation
- _____ Section 2.11 prohibition on assassination
- _____ Section 2.12 indirect participation
  - ____ AG Approved EO 12333 Guidelines (Guidelines name and section:

# OTHER EXECUTIVE ORDER (SPECIFY)

- ____ Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:

DEPARTMENT/AGENCY ACTION: Purge the ______ information from all FBI files.

Hofmann-IOB-3735

*DATE OF FINAL DISPOSITION:

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-07-2010

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-1203NSL (U) h2 (U) An electronic communication (EC) from the Federal Bureau  $b7_E$ of Investigation (FBI), Division ( (ሀነ dated 04/02/2007, reported a potential Intelligence Oversight Board (IOB) violation involving a National Security Letter (NSL). Ón 07/26/2004 properly prepared and issued a NSL b2 b7E associated with a U.S. Person who was the subject of an authorized investigation. The NSL requested such records for the time period "from to the date of the NSL. Responsive records were provided. (U) During the March 2007 NSL audit by the Inspection b2 Division, it was discovered that, the b7E seemingly beyond the scope of the NSL in that it pre-dated by approximately one week the time period specified in the NSL. This, however, was not an overproduction -- and therefore not even a potential IOB violation -because the FBI was entitled to have all records which the b2 b7E Nevertheless, upon learning of the inspector's finding, (U) the case agent issued a new NSL to the This new NSL stated that it was "being issued to provide legal b2 process for the time period from the start of the b7E to The new NSL further stated that "[n]o action is required' in response to the request. (U) When the case agent issued the new NSL, the FBI's investigation of the subject had closed approximately Thus, this new NSL was issued in violation of the and the Attorney General Guidelines for National Security Investigations and b7E Foreign Intelligence Collection (NSIG) because it was not relevant to an authorized investigation. A "curative" NSL such as this may not be issued for a closed case. Derived from: G-3 Declassify on: Hofmann-IOB-3736

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(U) It should be noted that, based upon discussions with the ______ Chief Division Counsel, OGC does not believe that the case agent intentionally committed an IOB violation in issuing the new NSL. To the contrary, OGC believes that the case agent was attempting in good faith ______

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, of which she had just become aware per the NSL audit. Nevertheless, because this new NSL was issued in violation of the _____and the <u>NSIG</u>, in accordance with the reporting requirements of Section 2.4 of Executive Order 12863, the error is a reportable matter under Section 2.4 of Executive Order 12863.

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page 2 SECRET//20179924 • •

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	- IOB SUMMAR	RY SHEET	
	Name of Department or Agency: FBI	CY Quarterly Report:	
	DATE OF INCIDENT: 07/26/2004	DATE OF REPORT TO IOB:	· ·
	DATE OF REPORT TO DEPARTMENT/AGENCY: 04/02	/2007	
	DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	DoJ, Congress, Civil Liberties Office):	
	POSSIBLE VIOLATION OF:	· · · ·	
	STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:		b2 · b7E
	EXECUTIVE ORDER 12333:	tion w/in US w/in US v w/in US abroad t USP abroad	
	OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, a Other (EO citation:)	as amended)	、 、
•	PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAM Other (Presidential Directive citation:	NDUM OF NOTIFICATION	b2
	DEPARTMENT/AGENCY ACTION: Purge the	information from all FBI files.	b7E
	*DATE OF FINAL DISPOSITION:		• .
	•	· · ·	

Je - mine ALL INFORMATION CONTAINED HEREIN'IS UNCLASSIFIED DATE 07-07-2010 BY 65179/DMH/PLJ/3db b2 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E FIELD OFFICE IOB MATTER 2007-2115 (U) (U) In in support of an authorized investigation of a U.S. person, the Division Ъ2 · sent à National Security Letter (NSL) to a b7E seeking [ response, (U) the field office that served the NSL on the by via an electronic communication to Thereafter b2 used the b7E CDC has redacted the information and has forwarded the corrected data to the case Federal Bureau of Investigation, Headquarters has agent. directed to remove any unauthorized information from all FBI databases, with appropriate documentation to the case file. (U) In this case, an error was committed by the case agent when he/she requested b2 pursuant to b7E for an authorized investigation that did not have an Hofmann-IOB-3739

INFORMATION CONTAINED ALL HEREIN IS UNCLASSIFIED DATE 07-07-2010 BY 65179/DMH/PLJ/sdb IOB SUMMARY SHEET Name of Department or Agency: Federal Bureau of Investigation CY Quarterly Report: DATE OF INCIDENT DATE OF REPORT TO LOB: DATE OF REPORT TO DEPARTMENT/AGENCY: July 10, 2007 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office); b2 b7E POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad . Section 2.6 assistance to law enforcement Section 2.7 contracting Section:2.9 undisclosed participation Section 2:10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect.participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: _ DEPARTMENT/AGENCY ACTION: Report as IOB. Redact unauthorized information and purge unauthorized information from all FBI databases. *DATE OF FINAL DISPOSITION:

Hofmann-IOB-3740

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INTELLIGENCE OVERSIGHT BOARD MATTER DIVISION IOB MATTER 2007-1242 (U)

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An electronic communication from the Federal Bureau of Investigation (FBI), Division _____, dated 08/08/2007, reported a potential Intelligence Oversight Board (IOB) violation involving the issuance of a national security letter (NSL) approximately two months after expiration of a

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an agent assisting the assigned case agent and mistakenly believing that an had been authorized, issued an NSI belonging to the subject of the Although information obtained through the NSL has been sequestered, the issuance of an NSL is not permissible unless an authorized investigation is open. Accordingly, the Office of the General Counsel has determined that a violation has

two months after expiration of a

occurred and must be reported to the IOB.

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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-07-2010 BY 65179/DMH/PLJ/sdb

#### IOB SUMMARY SHEET

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CY Quarterly Report: 2007

DATE OF INCIDENT:

#### DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY:

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):,

#### POSSIBLE VIOLATION OF: '

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

 Outer		citation:	1 1
	-		

EXECUTIVE ORDER 13333:

- _____ Section 2.3 collection & dissemination of USP info
- _____ Section 2.4 preamble: least intrusive collection
- _____ Section 2.4(a) CIA electronic surveillance w/in US
- _____ Section 2.4(b) unconsented physical search w/in US
- _____ Section 2.4(c) physical surveillance of USP w/in US
- _____ Section 2.4(d) physical surveillance of USP abroad
- _____ Section 2.5 AG approval w/in US or against USP abroad
- _____ Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- _____ Section 2.11 prohibition on assassination
- _____ Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Section:

#### OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- _____ Other (EO citation: _____

PRESIDENTIAL DIRECTIVE (SPECIFY)

#### COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 b7E IOB MATTER 2007-1546 (U)

(U) The Field Office of the Federal Bureau of Investigation has reported an error in conjunction with an investigation of that division: In this regard, an NSL sought intormation which is beyond the scope of an NSL. However, the

and for the for unauthorized data was produced).

(U) This matter has been reported to the FBI's Inspection Division for appropriate action.

Derived from : G-_ Declassify on: July 18, 2017

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		<del>~</del> .	IOB SUMMA	RY SHEET	·		· ·
	Name of Departr	neat or Agency: F.	BĨ	CY Quarterly	Report:	-	•
	DATE OF INCI	dent:	]	DA1	e of report	TO IOB:	-
	DATE OF REPO	ORT TO DEPARTM	ENT/AGENCY: 4/4/	2007			
	DATE REPORT	SUBMITTED TO C	)THER ENTITY (i.e.	, DoJ, Congress,	Civil Liberties (	Office):	b2
	POSSIBLE VIO	LATION OF:	-			·	b7E
	· ·	TE (United States C FISA (50 U.S.C. 18 Other (U.S.C. citati	01) . on:				•
		Section 2.4 preamb Section 2.4(a) CIA Section 2.4(b) unco Section 2.4(c) physi	on & dissemination of le: least intrusive colle électronic surveillanc nsented physical sear- ical surveillance of U	ection e w/in US ch w/in US SP w/in US	. •		
		Section 2.5 AG app Section 2.6 assistan Section 2.7 contract Section 2.9 undiscle Section 2.10 human	osed participation	nst USP abroad ` t		· · · · · · · · · · · · · · · · · · ·	
	OTHER	EXECUTIVE ORD	2333 Guidelines (Gu		l șection: <u>)</u>		,
	`````	Other (EO citation:		, <i></i>	· .		
;	PRESIC	ENTIAL DIRECTI COVERT ACTION Other (Presidential	FINDING/MEMOR	ANDUM OF NC	TIFICATION		、 ´
:	DEPARTMENT	AGENCY ACTION	:			` _	•
	*DATE OF FIN!	L DISPOSITION:	· ·	· ·	``````````````````````````````````````	:	•
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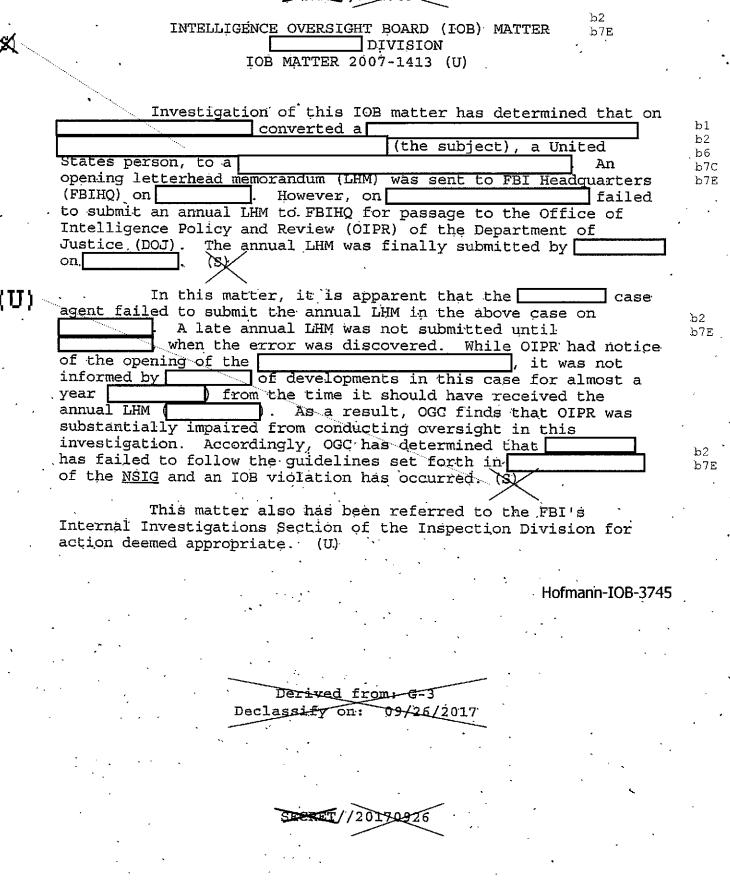
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ATE: 07-07-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb **REASON: 1.4** (c) DECLASSIFY ON: 07-07-2035

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ALL INFORMATION CONTAINED ALL INFORMATION CONTAINANT HEREIN IS UNCLASSIFIED DATE 07-07-2010 BY 65179/DMH/PLJ/sdb IOB SUMMARY SHEET

" Â

Name of Department or Agency: FBI	CY Quarterly	Report:		
DATE OF INCIDENT:	DATE OF REPORT TO	) IOB:		b2 b7E
DATE OF REPORT TO DEPARTMENT/A	GENCY: 04/02/2007	•	•	
DATE REPORT SUBMITTED TO OTHER	- ENTITY (i.e., DoJ, Congréss, C	Livil Liberties Office)	:	•
POSSIBLE VIOLATION OF:				
STATUTE (United States Code Citz FISA (50 U.S.C. 1801) Other (U.S.C. citation:	•	, 		
EXECUTIVE ORDER 12333:	· · · ·			• .
Section 2.6 assistance to lay	intrusive collection nic surveillance w/in US physical search w/in US /eillance of USP w/in US /eillance of USP abroad /in US or against USP abroad	· · · ·		
Section 2.7 contracting Section 2.9 undisclosed par Section 2.10 human experim Section 2.11 prohibition on Section 2.12 indirect partici X AG Approved EO 12333 G	nentation assassination ipation	)		b2 b7E
OTHER EXECUTIVE ORDER (SP Creation of unauthorized S. Other (EO citation:	ECIFY) AP (EO 12958, as amended) )	· · ·		· . . ·
PRESIDENTIAL DIRECTIVE (SPI COVERT ACTION FINDI	NG/MEMORANDUM OF NOT	TFICATION	· · ·	· · · · · · · · · · · · · · · · · · ·
DEPARTMENT/AGENCY ACTION:	 	•	•	
*DATE OF FINAL DISPOSITION:		· .	•	
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DÄTE: 07-07-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb REASON: 1.4 (c) DECLASSIFY ON: 07-07-2035

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#### ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

SECKET/20270926 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2b7E DIVISION IOB MATTER 2007-1457 (U) Investigation of this IOB matter has determined that on , b1 (the subject), a United States Ъ2 An opening letterhead memorandum (LHM) was sent to FBI person. b6 Headquarters (FBIHQ) on The annual LHM due on b7C b7E was not completed until Since then, the investigation has been reassigned from case agent to case agent and, until recently, no annual LHM had been submitted to FBI Headquarters (FBIHQ) for passage to the Office of Intelligence Policy and Review (OIPR) of the Department of Justice (DOJ). The annual LHM was finally submitted by b2 In this matter, an opening LHM was sent to FBIHQ, as b7E required, on , reporting on the opening of the investigation on The annual LHM due on . however, was not completed until more than The annual LHMs due on and were finally submitted by lon While OIPR had notice of the opening of the investigation in and was notified by of developments in this case in it did not hear again from until reports there were no contacts between that office and OIPR on this matter in the interim. As a result, OIPR was substantially impaired from conducting oversight in this investigation. Accordingly, OGC has determined that has b2 b7E failed to follow the guidelines set forth in of the The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003 and an IOB violation has occurred. ( This matter also has been referred to the FBI's Internal Investigations Section of the Inspection Division for action deemed appropriate. (U)

Derived from: G-3 Declassify on: 09/26/2017

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				· .		·
	•	- IOB SUMMA	RY SHEET			
	Name	of Department or Agency: FBI	CY Quarterly Report:	b2		
				b7E		•
	DAŢE	OF INCIDENT:	DATE OF REPORT TO IOB:		_	
					· ,	
	DATE	OF REPORT TO DEPARTMENT/AGENCY: 04/0	)4/2007			
	ዄ፞ዾሞዋ	REPORT SUBMITTED TO OTHER ENTITY (i.e.	Do'I Congress Civil Liberties Offi			
	DAIE	REPORT SUBMITTED TO UTHER ENTITY (I.e.	, DoJ, Congress, Civil Libernes Offi	cej:		,
	POSSI	BLE VIOLATION OF:			• .	
		STATUTE (United States Code Citation)	•			
	•	FISA (50 U.S.C. 1801)				
		Other (U.S.C. citation:)	· 、			
		EVECTIVITY OF DED 19992.				· -
•		EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of	TISD info			
		Section 2.5 contection & dissemination of Section 2.4 preamble: least intrusive colle				
		Section 2.4(a) CIÅ electronic surveillance				,
		Section 2.4(b) unconsented physical search				
	•	Section 2.4(c) physical surveillance of US				
	-	Section 2.4(d) physical surveillance of U				
		Section 2.5 AG approval w/in US or agai		· ·		
		Section 2.6 assistance to law enforcement	t ·			
		Section 2.7 contracting				•
		Section 2.9 undisclosed participation		•		
	`	Section 2.10 human experimentation		• •	۰.	
		Section 2.11 prohibition on assassination			b2	
		Section 2.12 indirect participation X AG Approved EO 12333 Guidelines (		*	b7E	
					· . ·	-
	,	OTHER EXECUTIVE ORDER (SPECIFY)			•	•
	•	Creation of unauthorized SAP (EO 1295	s, as amended).	. ` `		
	•	Other (EO citation:)	· · · · · ·	•		
	. *		· ,			
		PRESIDENTIAL DIRECTIVE (SPECIFY)	· · · · · · · · · · · · · · · · · · ·			
		COVERT ACTION FINDING/MEMOR	ANDUM OF NOTIFICATION	•		
		Other (Presidential Directive citation:				
	DEPA	RTMENT/AGENCY ACTION:			•	• •
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	*DAT	E OF FINAL DISPOSITION:	•	· ·		
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DATE: 07-07-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb REASON: 1.4 (c) DECLASSIFY 0N: 07-07-2035

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INTELLIGENCE (	OVERSIGH	- BOARD	(IOB)	MATTER	•	-	b2 b7E
		DIVISIO	)N (				
IOB M	ATTER 20	07-1496	(U)		·- ,		
•						•	

Investigation of this IOB matter has determined that on b1 <u>opened</u>a h2 b6 (the subject), a United States b7C ; the opening letterhead person). According to b7E memorandum (LHM) announcing the initiation of the investigation was not completed within ten days of the opening of the investigation. When a file review revealed the absence of the opening LHM, the case agent submitted the document to b2 FBI Headquarters (FBIHQ) on for passage to the Office b7E of Intelligence Policy and Review (OIPR) of the Department of Justice (DOJ). During the period when OIPR had no information about the case, the case agent conducted investigative activity.

In light of the fact that OIPR was not notified of this investigation for ______ after its initiation, OGC concludes that the delay in filing the notice substantially impaired OIPR's opportunity to provide oversight of the investigation. Accordingly, OGC has determined that ______ has failed to follow the guidelines set forth in _______ of the <u>The</u> <u>Attorney General's Guidelines for FBI National Security</u> <u>Investigations and Foreign Intelligence Collection (NSIG)</u> effective 10/31/2003, and an IOB violation has occurred.

This matter also has been referred to the FBI's Internal Investigations Section of the Inspection Division for action deemed appropriate. (U)

> Derived from: G-3 Declassify on: 20170924

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IOB SUMMARY SHEET
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b2 b7E

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## CY Quarterly Report:

DATE OF INCIDENT:

#### DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/04/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

### POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

_____ FISA (50 U.S.C. 1801)

____ Other (U.S.C. citation: ____)

#### EXECUTIVE ORDER 12333:

- _____ Section 2.3 collection & dissemination of USP info
- _____ Section 2.4 preamble: least intrusive collection
- _____ Section 2.4(a) CIA electronic surveillance w/in US
- _____ Section 2.4(b) unconsented physical search w/in US
- _____ Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- _____ Section 2.5 AG approval w/in US or against USP abroad `
- Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
- _____ Section 2.9 undisclosed participation
- _____ Section 2.10 human experimentation
- _____ Section 2.11 prohibition on assassination
- _____ Section 2.12 indirect participation
- X AG Approved EO 12333 Guidelines

#### OTHER EXECUTIVE ORDER (SPECIFY)

- ____ Creation of unauthorized SAP (EO 12958, as amended)
- ____ Other (EO citation: ______)

#### PRESIDENTIAL DIRECTIVE (SPECIFY)

- _ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
  - Other (Presidential Directive citation:

### DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

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b2 b7E \ DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-07-2010,

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INTELLIGENCE OVERSIGHT BOARD MATTER DIVISION IOB MATTER 2007-1809 (U) b2

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An electronic communication from the Federal Bureau of Investigation (FBI), Division _____, dated 02/05/2007, reported potential Intelligence Oversight Board (IOB) violations involving the issuance of two national security letters after expiration of a

(U)

. S On 05/02/2006 and 06/07/2006, national security letters were issued. The first of the referenced letters was issued to a requesting on an The second national security letter was issued to a

Conduct of the foregoing activities was not permitted unless a has been opened. Accordingly, the FBI's Office of the General Counsel has determined that has failed to follow the guidelines set forth in bf <u>The Attorney General's Guidelines for</u> <u>FBI National Security Investigations and Foreign Intelligence Collection (NSIG</u>), effective 10/31/2003, and an IOB violation has occurred. Accordingly, this matter is reported to the IOB.

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#### ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 07-07-2010 BY 65179/DMH/PLJ/sdb

#### · IOB SUMMARY SHEET

Name of Department or Agency:

CY Quarterly Report:

DATE OF INCIDENT(S): 05/02/2006 06/07/2006

DATE OF REPORT TO IOB:

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DATE OF REPORT TO DEPARTMENT/AGENCY:

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

#### POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

X Other (U.S.C. citation

#### EXECUTIVE ORDER 13333:

- _____ Section 2.3 collection & dissemination of USP info
- _____ Section 2.4 preamble: least intrusive collection
- _____ Section 2.4(a) CIA electronic surveillance w/in US
- .____ Section 2.4(b) unconsented physical search w/in US
- _____ Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- _____ Section 2.12 indirect participation
- ____ AG Approved EO 12333 Guidelines

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- ____ Other (EO citation: _____
- PRESIDENTIAL DIRECTIVE (SPECIFY)
  - ____ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
  - ____ Other (Presidential Directive citation: _____

DEPARTMENT/AGENCY ACTION;

*DATE OF FINAL DISPOSITION:

🖻 DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-07-2010 SECRET b2 (U) 🗤 INTELLIGENCE OVERSIGHT BOARD MATTER Ъ7Е FIELD OFFICE IOB MATTER 2007-1494 (U) was initiated on а a U.S. person Although the was scheduled for an initial period of only six months, b2 b7E the paperwork necessary to extend the was not filed until until The thus was in a lapsed status between (U) -Before the error was discovered and during the period of lapse, FBI <u>agents used</u> b2 b7E After discovering the error, the case agent referred the matter to NSLB as a potential IOB matter. (U) As the case involved a lapsed , NSLB's .b2 analvsi focused upon the investigative tools available under a b7E under The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003. After concluding that and do not allow for the use of in such cases, NSLB uecermined that the matter was reportable. This memorandum therefore constitutes notice by the FBI of the reportable violation. Hofmann-IOB-3753

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	• .						
	•		IOB SUMMA	RY SHEET	b2 b7E		
	Name of Dep	artment or Agency: FB	ſ	CY Quarteriy Re	port:	۰.	•
	DATE OF IN	CIDENT:		DATE OF REPO	ORT TO IOB: 04/11	7/07	
	DATE OF RI	EPORT TO DEPARTME	NT/AGENCY: N/A				
	DATE REPO	RT SUBMITTED TO OT	THER ENTITY (i.e.,	DoJ, Congress, Civ	il Liberties Office)	: N/A	- • 、
	POSSIBLE V	IOLATION OF:					
	ŞTA	TUTE (United States Cod FISA (50 U.S.C. 180 Other (U.S.C. citation	1)	· ·			
••	· · · · · · · · · · · · · · · · · · ·	CUTIVE ORDER 13333 Section 2.3 collection Section 2.4 preamble Section 2.4(a) CIA el Section 2.4(a) CIA el Section 2.4(b) uncons Section 2.4(c) physic Section 2.4(d) physic Section 2.5 AG appro Section 2.6 assistance Section 2.7 contractin Section 2.9 undisclos Section 2.10 human e Section 2.11 prohibiti Section 2.12 indirect AG Approved EO 12	.& dissemination of t least intrusive colle ectronic surveillance ented physical searc al surveillance of US al surveillance of US oval w/in US or again to law enforcement g ed participation experimentation ion on assassination participation	ction w/in US h w/in US P w/in US P abroad 1st USP abroad '		· · · · · · · · · · · · · · · · · · ·	b2 b7E
	·	UER EXECUTIVE ORDE Creation of unauthori Other (EO citation: SIDENTIAL DIRECTIVI COVERT ACTION I	zed SAP (EO 12958 ) E (SPECIFY) INDING/MEMORA		FICATION	- · ·	
	·	Other (Presidential D	irective citation:	) ·	•	•	,
		NT/AGENCY ACTION: INAL DISPOSITION:	•		·	• • •	<i>.</i>
					• •	``. `.	· ·
		· · · · ·	* .  	、	<i>"</i> 、	, ,	`.

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-07-2010 SECRET / / 20170924 h2 , b7E INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1551 (U) (U) By electronic communication (EC) dated April 6, 2007, b2 Field Office the reported this b7E potential IOB error in conjunction with an open of a United States Person. (U) -----On initiated a of a United States Person under b2 b7E the approval of a Supervisory Special Agent rather than the Special Agent in Charge (SAC) or Assistant SAC (ASAC). of The Attorney General's Guidelines for b2 (U)National Security Investigations and Foreign Intelligence FBI b7E <u>Collection</u> (NSIG), effective 10/31/2003, clearly provides: initiated by a field (U) b2 office must be approved by the Special Agent in Charge b7E if the investigation involves a sensitive national security matter. A of a foreign official or visitor from a threat country may be approved by the Special Agent in Charge or, as authorized by the Special Agent in Charge, by an Assistant Special Agent in Charge or squad supervisor b2 with responsibility for national security b7E investigations. All other may be approved by the Special Agent in Charge or, as authorized by the Special Agent in Charge, by an Assistant Special Agent in Charge with responsibility for national security investigations. (U) has been instructed to have the ASAC or SAC b7F review the opening EC to ensure that the predicate at that time was sufficient for opening Hofmann-IOB-3755 EGRET / / 20170924

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- IOB SU	IMMARY SHEET	b2 b7E	
Name of Department or Agency: FBI	CY Quarterly R	Report:	
DATE OF INCIDENT:	DATE OF REP	PORT TO IOB:	· · · ·
DATE OF REPORT TO DEPARTMENT/AGENC	Y; 04/06/07		· · · ·
DATE REPORT SUBMITTED TO OTHER ENTIT	TY (i.e., DoJ, Congress, Ci	vil Liberties Office):	
POSSIBLE VIOLATION OF:			
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)	·		· · · · · · · · · · · · · · · · · · · ·
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemin Section 2.4 preamble: least intrusi			
Section 2.4(a) CIA electronic surv Section 2.4(b) unconsented physic Section 2.4(c) physical surveilland Section 2.4(d) physical surveilland	veillance w/in US cal search w/in US ce of USP w/in US		· · · · · · · · · · · · · · · · · · ·
Section 2.5 AG approval w/in US Section 2.6 assistance to law enfo Section 2.7 contracting Section 2.9 undisclosed participat	or against USP abroad rcement ion	•••	· · ·
Section 2.10 human experimentat Section 2.11 prohibition on assass Section 2.12 indirect participation X AG Approved EO 12333	sination	b2 b7E	
OTHER EXECUTIVE ORDER (SPECIFY Creation of unauthorized SAP (E0 Other (EO citation:)			
PRESIDENTIAL DIRECTIVE (SPECIFY COVERT ACTION FINDING/M Other (Presidential Directive citat	EMORANDUM OF NOT	IFICATION	
DEPARTMENT/AGENCY ACTION: Return or de	estroy		
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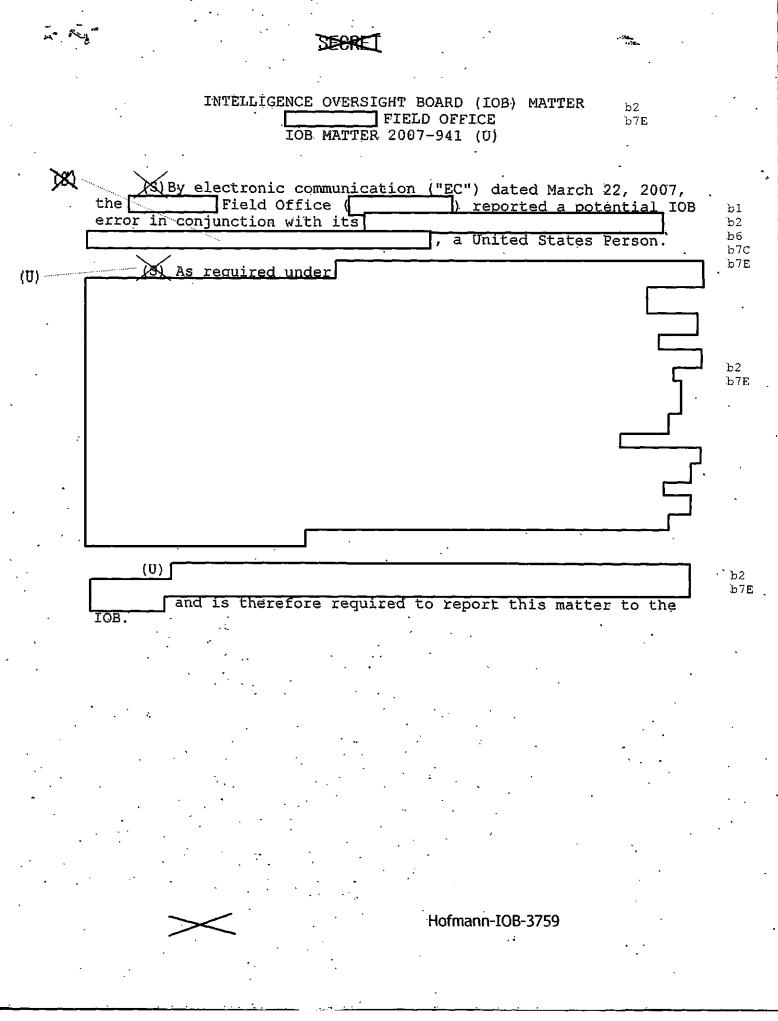
DECLASSIFIED BY 65179/DMH/PLJ/sdb ·0. ON 07-07-2010 ECRET / / 2017092 b2 b7E INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1551 (U) b7E (U) (U) By electronic communication (EC) dated April 5, 2007, the Field Office (Los Angeles) reported this pot<u>ential IOB.error in conjunction</u> with an open b2 of a United States Person. b7E X On of a United States Person under the approval of a Supervisory Special Agent rather than the U١ Special Agent in Charge (SAC) or Assistant SAC (ASAC). b2 b7E of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003, clearly provides: (U) initiated by a field office must be approved by the Special Agent in Charge if the investigation involves a sensitive national security matter. A of a foreign h7E official or visitor from a threat country may be approved by the Special Agent in Charge or, as authorized by the Special Agent in Charge, by an Assistant Special Agent in Charge or squad supervisor with responsibility for national security investigations. All other may be approved by the Special Agent in Charge or, as authorized by the Special Agent in Charge, by an Assistant Special Agent in Charge with responsibility b2 (U) for national security investigations. b7E has been instructed to have the ASAC or SAC review the opening EC to ensure that the predicate at that time was sufficient for opening a full investigation. Hofmann-IOB-3757 SECRET / /20170924

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	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED		_
	DATE 07-12-2010 BY 65179/DEH/PLJ/sdb		
	- IOB SUMMARY SHEET b2 b7E	•	·
	Name of Department or Agency: FBI CY Quarterly Report:		
	DATE OF INCIDENT: DATE OF REPORT TO IOB:		· •
	DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/07	•	•
	DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):		
	POSSIBLE VIOLATION OF:		
	STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)		
	EXECUTIVE ORDER 13333:		•
	Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection		• •
	Section 2.4(a) CIA electronic surveillance w/in US		•
	Section 2.4(b) unconsented physical search w/in US	-	
	Section 2.4(c) physical surveillance of USP w/in US		. <b>.</b>
	Section 2.4(d) physical surveillance of USP abroad		
	Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement		*
	Section 2.7 contracting		
	Section 2.9 undisclosed participation		•
	Section 2.10 human experimentation b2		
	Section 2.11 prohibition on assassination	3	
	Section 2.12 indirect participation		. `
	X AG Approved EO 12333		
			•
	OTHER EXECUTIVE ORDER (SPECIFY)		
•	Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:)		-
	PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION	•	•
	Other (Presidential Directive citation:)		
	DEPARTMENT/AGENCY ACTION: Return or destroy		· .
	*DATE OF FINAL DISPOSITION:		• •
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### IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT: 03/06/2007	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/22/2007	(IOB 2007-941)
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Con	gress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: <u>12 USC § 3393(b)</u> ) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info	
<ul> <li>Section 2.4 preamble: least intrusive collection</li> <li>Section 2.4(a) CIA electronic surveillance w/in US</li> <li>Section 2.4(b) unconsented physical search w/in US</li> <li>Section 2.4(c) physical surveillance of USP w/in US</li> <li>Section 2.4(d) physical surveillance of USP abroad</li> <li>Section 2.5 AG approval w/in US or against USP ab</li> <li>Section 2.6 assistance to law enforcement</li> </ul>	3
Section 2.5 AG approval w/in US or against USP ab Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination. Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amend Other (EO citation:)	ied)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM ( Other (Presidential Directive citation:))	OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Purge data obtained without p	roper certification.
*DATE OF FINAL DISPOSITION:	

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(U)	INTELLIGENCE UV	ERSIGHT BOARD (IOB)	MATTER b7E	
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	IOB MATT	ER 2007-1886NSL (U)		
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· · \	/ .		<i>,</i> _	•
19	) An electronic com	munication (EC) from	the Federal	b2
Buréau	of Investigation (FB	I), Division	dated	b7E
04/10/2	007, reported a pote	ntial Intelligence O	versight Board	
(IOB) v	iolation involving a	National Security L	etter (NSL).	
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i de la companya de l	On 12/09/2003,	issued an NSL	seeking	-
· [	For a U.S. Person W	ho was the target of	an authorized	b2
	linveri	gation. Prior to	forwarding	b7E ·
this NS		Division for service		
		as signed by the <u>act</u>		
Special	Agent in Charge (SA	no premed by the <u>act</u>	TTIA [	
, pheorar	rigene in charge (SA	- <i>j</i> , .		·· ·
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TO1-20)	, the Director deleg	ated authority to si	gn National	•
securit	y letters to the SAC	s of the various fie	Id offices on	י ר
11/09/2	JUL. At that time	OGC took the positio	n.that	<b>-</b>
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of Exec	] Therefore, this multive Order 12863.	atter is reportable	under Section 2.4	
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of Exec	Therefore, this multive Order 12863.	atter is reportable	under Section 2.4	
of Exec	utive Order 12863.		under Section 2,4	
of Exec	utive Order 12863.	ved from: 0-3	under Section 2,4	
of Èxec	utive Order 12863.	ved from: 0-3 ify on: 20170816		
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#### IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: 12/09/2003

DATE OF REPORT TO IOB

b2

b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

_____ FISA (50 U.S.C. 1801)___

X Other (U.S.C. citation

EXECUTIVE ORDER 13333;

- _____ Section 2.3 collection & dissemination of USP info
- _____ Section 2.4 preamble: least intrusive collection
- _____ Section 2.4(a) CIA electronic surveillance w/in US
- _____ Section 2.4(b) unconsented physical search w/m US
- Section 2.4(c) physical surveillance of USP w/in US
- _____ Section 2.4(d) physical surveillance of USP abroad
- _____ Section 2.5 AG approval w/in US or against USP abroad
- _____ Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
  - _____ Section 2.9 undisclosed participation
- _____ Section 2.10 human experimentation
- _____. Section 2.11 prohibition on assassination
- _____ Section 2.12 indirect participation
  - AG Approved EO 12333 Guidelines (Guidelines name and section:

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- _____ Other (Presidential Directive citation: ____

DEPARTMENT/AGENCY ACTION: Data obtained pursuant to NSL without proper authorization to be purged from all RBI records.

*DATE OF FINAL DISPOSITION:

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010 SECRET//20170817 b2 (U) b7E INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-904NSL (U) b2 (S) An electronic communication (EC) from the Federal Bureau b7E of Investigation (FBI), Division , dated 04/09/2007, reported a potential Intelligence Oversight Board (IOB) violation involving a National Security Letter (NSL). (U) On 11/05/2003 drafted a NSL seeking b2 for a U.S. Person who was the subject of . b4 an authorized investigation. Prior to forwarding this b7D NSL to the FBI's Division rvice upon the ·b7E it was signed by the acting Special Agent in Charge (SAC). (U) Pursuant to section 505 of the USA PATRIOT Act (P.L. 107-56), the Director delegated authority to sign National Security Letters to the SACs of the various field offices on 11/09/2001 At that time OCC took b5 Therefore, this matter is reportable under Section 2.4 of Executive Order 12863. Derived from: G=3 Declassify on: 2017081 Hofmann-IOB-3763 SECRET//20170817

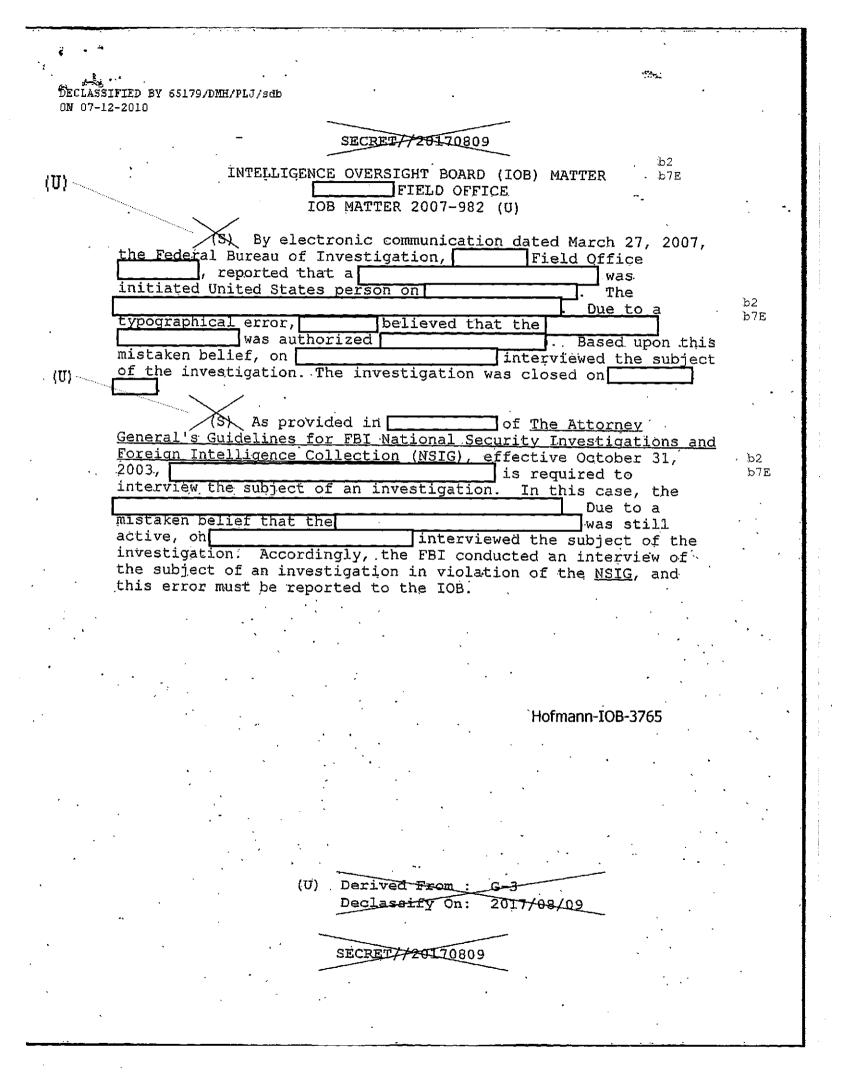
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DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHE	ET
Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT: 11/05/2003	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/2007	•
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Con	gress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	•
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: EXECUTIVE ORDER 13333:	b2 b7E
Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US	
Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP ab Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation	•
Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name	me and section:)
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amend Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM ( Other (Presidential Directive citation:)	
DEPARTMENT/AGENCY ACTION: Data obtained pursuant to NSL from all FBI records.	without proper authorization to be purged
*DATE OF FINAL DISPOSITION:	



# ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

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IOB SUMMARY SHEET	
Name of Department or Agency: Federal Bureau of Investigation CY Quarterly Report:	
DATE OF INCIDENT: b2 b7E DATE OF REPORT TO IOB:	
DATE OF REPORT TO DEPARTMENT/AGENCY: March 27, 2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DOJ, Congress, Civil Liberties Office):	
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)	•
EXECUTIVE ORDER 13333:	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)	•
DEPARTMENT/AGENCY ACTION: Reported the incident to the Intelligence Oversight Board.	-
*DATE OF FINAL DISPOSITION:	•,

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010 SECRET//20170806 b2 (U) INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E FIELD OFFICE IOB MATTER 2007-1079NSL (U) By electronic communication dated April 6, 2007, the Federal Bureau of Investigation (FBI), Field Office, reported that a was initiated on a b2 The investigation expired on b7E It appears that no investigative activity was conducted during the and The case was closed on (U) Following the expiration of the b2 · and before the investigation was closed, on b7E the FBI interviewed of the subject indicated that hig in position, As provided in section of The Attorney (ប) General's Guidelines for FBI National Security Investigations and b2 Foreign Intelligence Collection (NSIG), effective October 31, b7E 2003, a is required to interview the subject of an investigation. In this case, the FBI interviewed [ indicated that, in the United States b2 b7E Because the FBI was, in Accordingly, interviewing ]in[ must be reported to the Intelligence Oversight Board. (U) Derived From Declassify On: **2017/0**8/06 SECRET 720170806 Hofmann-IOB-3767

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	PISA (50 U.S.C. 1801)		• •
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• •	EXECUTIVE ORDER 12333: Section 2.3 collection & di	seemination of VSP info	
•	· — · · · ·	· · ·	
· · ·		: intrusive collection	•
• • • • • • • •		survaillance w/in US	
••	Section 2.4(b) unconsented phy	sical search w/in US	a r
· · ·	Section 2.4(c) physical survei	llance of USP w/in OS	· · · ·
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••		in US or against USP abroad	· · · 、 ·
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	Section.2.6 Assistance to 1	AN BUTDICBWODE	· · · · ·
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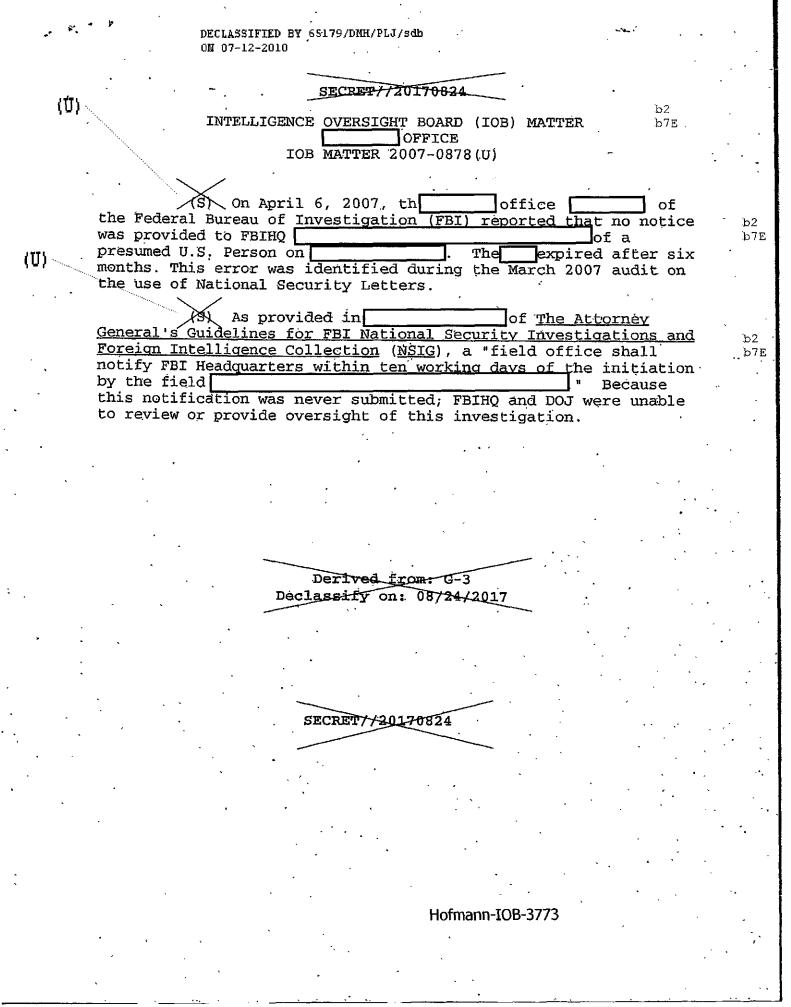
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	(S) On May 7, 200	)7, the	Office reported th	nata b2
			was <u>initia</u>	ed on b7E
	. The		on	
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	investigation had expin	red,		
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(U)				
	(S) The <u>The Attorn</u>	<u>nev General's Gu</u>	idelines for FBI N	ational
•	Security Investigations	s and Foreign Ir	telligence Collect	lon
	( <u>NSIG</u> ), effective Octol	per 31, 2003, at	specifically	
	identifies		investigative tech	
	which is only available	in the		nique p7E
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	Name of Department or Agency: FBI	- b2 b7E	CY Quarterly Report:	2007	•
-	DATE OF INCIDENT:	N 7	DATE OF R	EPORT TO IOB:	-
`	DATE OF REPORT TO DEPARTMENT/AC	ENCY: May 7, 2007			•
	DATE REPORT SUBMITTED TO OTHER	ENTITY (i.e., DoJ, Cor	ngress, Civil Liberties Of	ffice):	
	POSSIBLE VIOLATION OF:	•	,	· *.	·
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· · · · · · · · · · · · · · · · · · ·	OTHER EXECUTIVE ORDER (SPI Creation of unauthorized SA Other (EO citation: PRÉSIDENTIAL DIRECTIVE (SPE COVERT ACTION FINDIN Other (Presidential Directive	P(EO 12958, as amend ) CIFY) \G/MEMORANDUM (			
	DEPARTMENT/AGENCY ACTION:	•	• • •	· · · · · · · · · · · · · · · · · · ·	
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DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010 SECRET//20170808 Ъ2 (ሀ) ·b7E INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER OFFICE IOB MATTER 2007-2058 .On office reported that a b2 b7E had been initiated on a U.S. Person on and that on was . No opening notification was provided to FBI Headquarters to forward to the Department of Justice Office of Intelligence Policy Review (OIPR) until (U) (S) As provided in of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), a "field office shall notify FBI. h2 Headquarters [FBIHQ] within ten working days of the initiation by b7E the field After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney (ប) General and Deputy Attorney General. If OIPR's oversight ability has been "substantially impaired" by an error, the FBI reports the mistake. In this situation, the notice required within ten days of initiation of  $b^2$ an investigation was not provided to FBIHQ to notify OIPR until b7E the investigation had been ongoing for  $\square$ No other form of notice to the Department of Justice could be identified to mitigate this lack of notice. Because of this complete lack of notice for OIPR's oversight ability was substantially impaired. Consequently, we are reporting this matter for your review. łΠì (S) Derived from: G-3 Declassify on: 20170808 SECRET//20170808 Hofmann-IOB-3771

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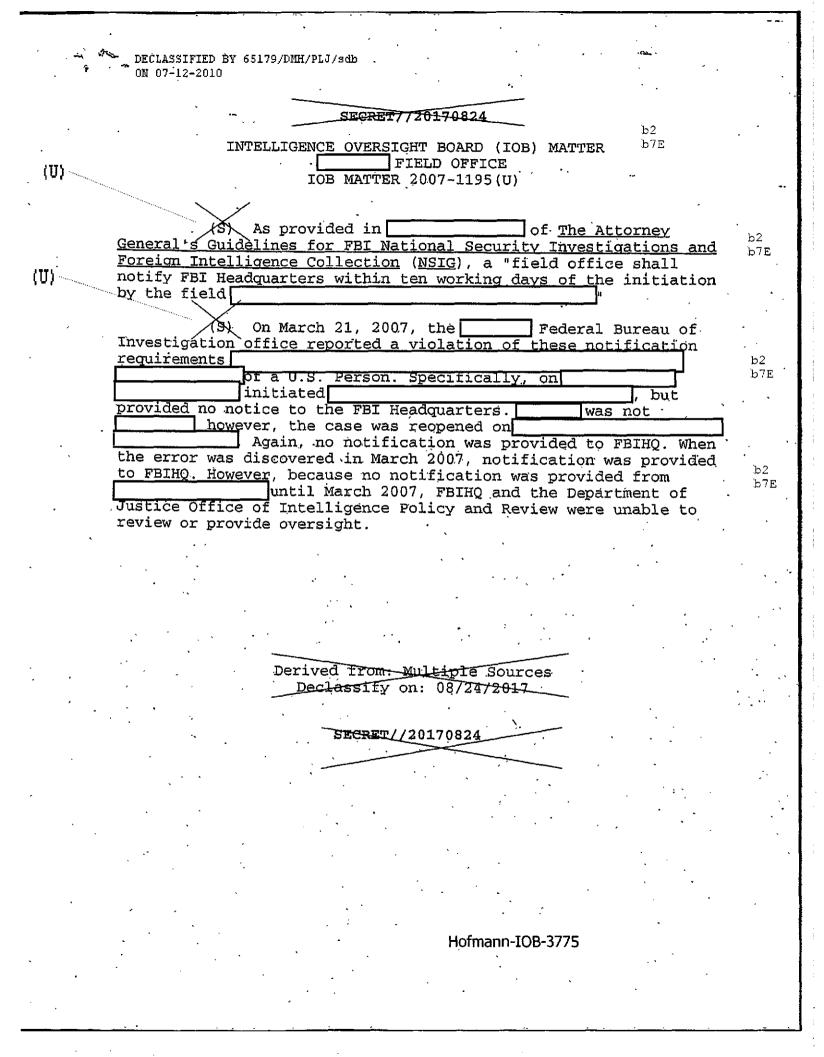


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DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

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	ĮŪ	B SUMMARY SHE		•	<i>·</i> 、
Name of Departme	ent or Agency: FBI	b7E	CY Quarterly Rep	ort: 2007	
DATE OF INCID	ÈNT:		DATE OF REPO	ŖT ŦO IOË:	
DATE OF REPOR	T TO DEPARTMENT/AC	GENCY: April 6, 2007	•	* ·	
DATE REPORT S	UBMITTED TO OTHER	ENTITY (i.e., DoJ. Con	gress. Civil Libertie	s Office):	•
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TOSSIBLE VIOL	ATION OF:	· · ·			•
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. <u> </u>	IVE ORDER 13333: Section 2.3 collection & dis Section 2.4 preamble: least		•		•
	Section 2.4(a) CIA electron Section 2.4(b) unconsented Section 2.4(c) physical surv Section 2.4(d) physical surv	physical search w/in US eillance of USP w/in US	S.	· · ·	· · ·
\$	Section 2.5 AG approval w/ Section 2.6 assistance to lay Section 2.7 contracting Section 2.9 undisclosed par	w enforcement	broad .		•
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	DATE 07-12-2010 BY 65179/DMH/PLJ/sdb
	- IOB SUMMARY SHEET
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	Name of Department or Agency: FBI b7E CY Quarterly Report: 2007
	DATE OF INCIDENT: DATE OF REPORT TO IOB:
	DATE OF REFORT TO TOE.
	DATE OF REPORT TO DEPARTMENT/AGENCY: March 21, 2007
	DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
	POSSIBLE VIOLATION OF:
	STATUTE (United States Code Citation)
	FISA (SO U.S.C. 1801)
•••	Other (U.S.C. citation:)
	EXECUTIVE ORDER 13333:
	Section 2.3 collection & dissemination of USP info
	Section 2.4 preamble: least intrusive collection
	Section 2.4(a) CIA electronic surveillance w/in US
	Section 2.4(b) unconsented physical search w/in US
	Section 2.4(c) physical surveillance of USP w/in US
	Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad
	Section 2.6 assistance to law enforcement
,	Section 2.7 contracting
	Section 2.9 undisclosed participation
	Section 2.10 human experimentation
	Section 2.11 prohibition on assassination
	Section 2.12 indirect participation
	AG Approved EO 12333 Guidelines
-	OTHER EXECUTIVE ORDER (SPECIFY)
· ·	Creation of unauthorized SAP (EO 12958, as amended)
۰.	Other (EO citation:)
•	PRESIDENTIAL DIRECTIVE (SPECIFY)
	COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
	Other (Presidential Directive citation:)
•	DEPARTMENT/AGENCY ACTION:
·	DEFAR TIMEN TAGENCI ACHON:
•	*DATE OF FINAL DISPOSITION:
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	65179/DMH/PLJ/sdb	
ON 07-12-2010		·
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INTELLIC	GENCE OVERSIGHT BOARD (IOB) MATTER b7E	
and the second sec	FIELD OFFICE	
and the second se	IOB MATTER 2007-1006 (U)	. <b>I</b>
and the second	TOP WHITER 2007-1000(0)	- 1
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$\sim$ X $_$		
∕(S)∖ The	Division of the Federal	
Bureau of Investigat	tion (FBI) reported a possible Intelligence	
Oversight Board (TOP	B) violation involving a late notification of	
the opening of a		b2
the opening of a	. On	b7E
initiated		
day notification to	FBIHQ was never completed. The case was	· ·
	No initiation LHM was submitted to FBIHQ.	1
	rouidod in	
	rovided in of <u>The Attorney</u>	
General's Guidelines	s for FBI National Security Investigations and	Į į
<u>Foreign Intelligence</u>	e Collection (NSIG), a "field office shall	b2
notify FBI Headquart	ters within ten working days of the initiation	b7E
by the field		· [
DOJ first learned of		
audic on the use of	NSLs in March of 2007. Because this	
notification was new	ver provided, FBIHQ and DOJ were unable to	· · ·
provide meaningful o	oversight and review of this investigation.	,
A	· · · · ·	
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	Derived from: G-3	
	Derived from: G-3 Declassify on: 08/17/2017	· ·
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	Declassify on: 08/17/2017 SECRET/720170817	

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

### IOB SUMMARY SHEET

Name of	f Department	t or Agency:	FB1
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CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

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b7E

### DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

### POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- _____ FISA (SO·U.S.C. 1801)
- Other (U.S.C. citation:

## EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of UBP info
- _____ Section 2.4 preamble: least intrusive collection
- _____ Section 2.4(a) CIÁ electronic surveillance w/in US
- _____ Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- _____ Section 2.4(d) physical surveillance of USP abroad
- _____ Section 2.5 AG approval w/in US or against USP abroad '
- Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
- Section 2.9 undisclosed participation
- _____ Section 2.10 human experimentation
- _____ Section 2.11 prohibition on assassination
- _____ Section 2.12 indirect participation
- X AC APPROVED EO 12333 GUIDELINES

### OTHER EXECUTIVE ORDER (SPECIFY).

- Creation of unauthorized SAP (EO 12958, aa amended)-
  - _ Other (EO citation: _____)

### PRESIDENTIAL DIRECTIVE (SPECIFY)

___ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Hofmann-IOB-3778

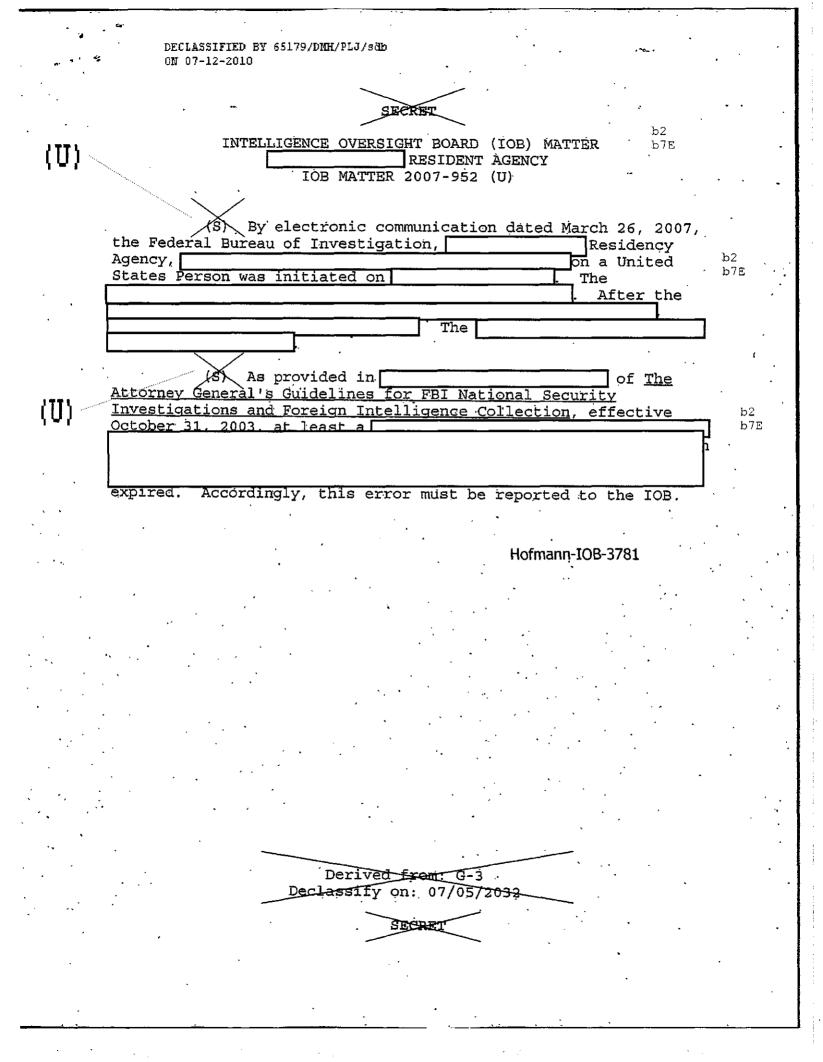
Other (Presidential Directive citation:

# DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

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	INTELLIGENCE OVERS	SIGHT BOARD (IOB) MATTER b2 b7E
		DIVISION
	LOB MATTER	2007-1511 NSL (U)
	By electronic commur	nication dated the
· •	field office	advised that a b2
		on a U.S. person had been b7F
•	initiated. As part of the inv NSL and the requisite EC	vestigation, drafted an
		. However, the hard
	copy of the NSL	
		related to that was
· , ·	not related to the target. The FBI databases.	e NSL results were uploaded into
1111	The databases.	
<u>, 191</u>	(8) Because this was an	error by the FBI, although
•	uninténtional, it is reportabl	le to the IOB must purge b7E
	all information that was impro	operly collected from all FBI
• • •	databases and all hard copies	must be sequestered and destroyed.
	As stated above, a	of the target was
	initiated on . Acco	ording to the annual.LHM
(U)	was not submitted until	With respect to a
	the Criminal Division with a s	uired to notify and provide OIPR and b2 summary of the investigation "at the b7F
•	end of each year a	b7E b7E
,. , , , , ,	The extensive delay in submitt	ing the annual LHM has precluded
	OIPR from exercising their over	ersight responsibility and must
· · ·	therefore be reported to the 1	IOB.
	· · · · · · · · · · · · · · · · · · ·	
		d from: C-3
	Declassify	on: 08/30/2017
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	DATE 07-12-2010	) BY 65179/DMH/PLJ/sdb	IOB SUMMARY	SHEET	•		•
	Name	· - a of Department or Agency: I	BI CI	Quarterly Repo	rt: 2007	•	
•		- 				•••	
	DATE	OF INCIDENT	. DA.	TE OF REPORT TO	10H: 8/2/07	· ·	•
	<b>53</b> 75	S.OF REPORT TO DEPARTMENT/AC	ENCY 1 DIG 107		•		•
	· .	2.01 Abtoki in Dilloimityin	ERCY 8/2/07	•• •	• • •	• •	
	האֹמ	R REPORT SUBNITTED TO OTHER	ENTITY (i.e., D	oJ. Congress. C	 ivil Liberties	Office):	<b>b</b> O
		· · · · · · · · · · · · · · · · · · ·	····-···		•		b2 b7E
•	. Poss	SIBLE VIOLATION OF:			•		
	• • • •	STATUTE (United States C		, 	•	· · ·	· .
		FISA (50 U.9.C. 18	<u>`</u>	<b>-1</b> · ['] .	•••••	•	•
		Other (U.S.C. cita	cion4		· · ·	*,	•
		EXECUTIVE ORDER 12333:	· · ·	· · ·		· · ·	<i>.</i> .
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			eamble: least in	•			· ·
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		AG APPROVED EO 123		•	and section.	÷ )	
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	• • • •	OTHER EXECUTIVE ORDER (S Creation of unauth		12958. as smend	4)		•
	•	Other (NO citation	• •			·	
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	· ',	. PRESIDENTIAL DIRECTIVE ( COVERT ACTION FIND	Specify) Ing/menorandum (	P NOTIFICATION	•	•••	•
•	•	Other (Presidentia	Í Directive cit;	tion:		·•	
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	DATE 07-12-2010 BY 65179/DMH/PLJ/sdb
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-	· · · · · · ·
	- IOB SUMMARY SHEET
	b2
-	Name of Department or Agency: FBI b7E CY Quarterly Report:
	DATE OF INCIDENT: DATE OF REPORT TO IOB:
	DATE OF REPORT TO DEPARTMENT/AGENCY: 03/26/2007
4	
	DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
•	
•	POSSIBLE VIOLATION OF:
•	STATUTE (United States Code Citation)
•	FISA (50 U.S.C. 1801)
	Other (U.Ş.C. citation:)
	EXECUTIVE ORDER 13333:
	Section 2.3 collection & dissemination of USP info
í •	Section 2.4 preamble: least intrusive collection
	Section 2.4(a) CIA electronic surveillance w/in US
	Section 2.4(b) unconsented physical search w/in US
•	Section 2.4(c) physical surveillance of USP w/in US
· . ·	Section 2.4(d) physical surveillance of USP abroad
	Section 2.5 AG approval w/in US or against USP abroad
	Section 2.6 assistance to law enforcement
	Section 2.7 contracting
	Section 2.9 undisclosed participation
	Section 2.10 human experimentation
	Section 2.11 prohibition on assassination
<u>،</u> ،	Section 2.12 indirect participation
	X AG Approved EO 12333 Guidelines
•	OTHER EXECUTIVE ORDER (SPECIFY)
	Creation of unauthorized SAP (EO 12958, as amended)
	Other (EO citation:)
•	PRESIDENTIAL DIRECTIVE (SPECIFY)
•	COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
	Other (Presidential Directive citation:)
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-	*DATE OF FINAL DISPOSITION:
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DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010

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INTELLIGENCE OVERSIGHT BOARD MATTER DIVISION IOB MATTER 2007-942NSL (U)

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(S) An electronic communication from the Federal Bureau of Investigation (FBI) Division dated 03/23/2007, reported a potential Intelligence Oversight Board (IOB) violation involving the conduct of an interview after expiration of a

On after expiration of a the assigned case agent interviewed the subject, a naturalized U.S. citizen. Although information obtained in the interview prompted the case agent to recommend that the investigation be closed and the investigation closed shortly thereafter, the

Accordingly, the FBI's Office of the General Counsel has determined that as failed to follow the guidelines set forth in bf The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003, and an IOB violation has occurred. Accordingly, this matter is reported to the IOB.

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# ALL INFORMÁTION CONTAINED HEREIN IS UNCLASSIFIED DÁTE 07-12-2010 BY 65179/DMH/PLJ/sdb

	· · · IOB SUM	IMARY SHE	ET	•	<i></i> .
Name	of Department or Agency: FBI	b2 b7Е	CY Quarterly Repor	t: 2007	
· DĂTE	E OF INCIDENT:	•	DATE OF REPORT	TO IOB:	* · · ·
DATE	E OF REPORT TO DEPARTMENT/AGENCY	:		• •	
DATE	REPORT SUBMITTED TO OTHER ENTITY	7 (i.e., DoJ, Çon	gress, Civil Liberties (	Office):	
POSS	IBLE VIOLATION OF:				• •
	STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:) EXECUTIVE ORDER 13333:	v .		· ·	
		e collection illance w/in US I search w/in US of USP w/in US of USP abroad r against USP al ement n	5		b2 b7E
```	Section 2.12 indirect participation          AG Approved EO 12333 Guideline         OTHER ÈXECUTIVE ORDER (SPECÌFY)          Creation of unauthorized SAP (EO         Other (EO citation:)		ded)		
· · ·	PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/ME Other (Presidential Directive citatio		OF NOTIFICATION	· · ·	
DEPA	RTMENT/AGENCY ACTION:		•	, ,	
*DÀT	E OF FINAL DISPOSITION:	· · · · · · · · · · · · · · · · · · ·		, ,	
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DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010 SECRET//20170711 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 FIELD OFFICE b7E IOB MATTER 2007-1318 (U) (U) The Field Office of the Federal Bureau of Investigation has reported an error in conjunction with an investigation of that division. In this regard, a ]in an NSL seeking [ b2 varied by · However, b7E only a which was the number for a -the Therefore, there was no violation of any civil rights stemming from this mistake. (U) This matter has been reported to the FBI's Inspection Division for appropriate action. Derived from : Declassify on: July 11 2017 SECRET//20170711 Hofmann-IOB-3785

	2010 BY 65179/DMH/ 			· ·			-	
	• "	IOB SOIVIN	MARY SHEE	1				
Name of Dej	partment or Agency: F	·BI ·	ĊY Quart	terly Report:		•	、 ,、	,
DATE OF I	ICIDENT:	]	I	DATE OF REP	ORT TO I	DB:	•	
DATE OF R	EPORT TO DEPARTM	ENT/AGENCY: 4	/5/2007	· .		• ,	、 <u>.</u>	•
DATE REP	ORT SUBMITTED TO (	OTHER ENTITY (	i.e., DoJ. Congre	ess. Civil Liber	ties Office)			
	VIOLATION OF:	•	, <i>2</i> , congr		u-ų 011100)	•		•
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STA	TUTE (United States C	ode Citation)		-	• •		· b7E	
	FISA (50 U.S.C. 18			-		• •		
	Other (U.S.C. citati		_		<i>,</i> .		•	۴.
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EX	ECUTIVE ORDER 133		•			• • •	•	
•		ion & dissemination		,		•	•	
		ole: least intrusive c		•		•		
		electronic surveilla		•	•	•		
		onsented physical se		• •	•			
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. ,		sical surveillance of			`	, •	• •	
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		nce to law enforcem	ient				•	-
	Section 2.7 contrac		•		•		•	
,	Section 2.9 undiscl		-		•	-		۰.
	Section 2.10 human				•	•	-	. •
·		bition on assassinati	ion		•			
·····	Section 2:12 indire		•				•	
	AG Approved EO	12333 Guidelines (	Guidelines name	and section:	ר ב	,	• • • •	
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ÓTI	HER EXECUTIVE ORL			٠	•		<i>,</i>	
	Creation of unauth	orized SAP (EO 12)	958, as amended	i)			•	
•	Other (EÓ citation:	:)		•				• •
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PRI	SIDENTIAL DIRECTI			•		-		
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SED BY 65179/DMH/PLJ/sdb DECLASSI ON 07-12-2010

> INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1864 (U)

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(U) The Field Office of the Federal Bureau of Investigation has reported an error in conjunction with b7E an investigation of that division. In this regard, ]in an NSL was inadvertently transposed; however no records were produced because there were none associated with the mistaken address.

(U) This matter has been reported to the FBI's Inspection Division for appropriate action.

> Derived from : G-Declassify on: July 11, 2017

> > Hofmann-IOB-3787

SECRET//20170711

# Abl INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/D

IOB SUM	MARY SHEET
Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT:	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY:	4/3/2007
DATE REPORT SUBMITTED TO OTHER ENTITY	(i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation	······································
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination Section 2.4 preamble: least intrusive Section 2.4(a) CIA electronic surveil Section 2.4(b) unconsented physical Section 2.4(c) physical surveillance Section 2.4(d) physical surveillance Section 2.5 AG approval w/in US or Section 2.6 assistance to law enforce	collection llance w/in US search w/in US of USP w/in US of USP abroad against USP abroad
Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassing Section 2.12 indirect participation AG Approved EO 12333 Guidelines	ation
OTHER EXECUTIVE ORDER (SPECIFY) , Creation of unauthorized SAP (EO I Other (EO citation:)	2958, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEM Other (Presidential Directive citation	MORANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	

Hofmann-IOB-3788

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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdk

# INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 DIVISION IOB MATTER 2007-1051 (U)

(U) By electronic communication dated 03/28/2007, the	
Divisionadvised that on 10/11/2005,	ь2
drafted a National Security Letter (NSL) requesting	b7E
and	
target, a U.S. person, of an investigation for	
the time period ranging from to the "date of this	
letter." The NSL was dated 10/11/2005. In addition to records	
from the time period listed in the NSL, the	
	b2
the date of the	b7E
NSL. were	
listed earlier in the	
uploaded into ACS and	•
•	•

(U) Pursuant to the 07/31/2007 instructions from the IOB, the FBI is reporting this matter because the uploading of the over-produced information arguably constitutes a compounding of the third party error

(U) _____ has been instructed to immediately sequester the over-produced information and any FBI records containing the over-produced information, including records on ACS and _____ If _____ considers the over-produced information relevant to its investigation, it will cure the over-production by issuing to ______ another NSL with a time period that encompasses the relevant information. If ______ deems the over-produced information not relevant to its investigation, it will contact ______ and ask whether the over-produced information should be returned or destroyed, with appropriate documentation to the file.

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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

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- IOB SUMMARY SHEET	· · · ·
Name of Department or Agency: FBI CY Quarterly Report:	
DATE OF INCIDENT: DATE OF REPORT TO IOB:	_
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/28/2007	· .
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	Ъ2
POSSIBLE VIOLATION OF:	b7E
STATUTE (United States Code Citation)         FISA (50 U.S.C. 1801)         X       Other (U.S.C. citation)         IX       Other (U.S.C. citation)         Section 2.3 collection & dissemination of USP info         Section 2.4 preamble: least intrusive collection         Section 2.4 preamble: least intrusive collection         Section 2.4 (a) CIA electronic surveillance w/in US         Section 2.4(a) CIA electronic surveillance w/in US         Section 2.4(b) unconsented physical search w/in US         Section 2.4(c) physical surveillance of USP w/in US         Section 2.4(d) physical surveillance of USP abroad         Section 2.5 AG approval w/in US or against USP abroad.         Section 2.6 assistance to law enforcement         Section 2.7 contracting         Section 2.10 human experimentation         Section 2.11 prohibition on assassination         Section 2.12 indirect participation         AG Approved EQ 12333 Guidelines (Guidelines name and section:	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)	
*DATE OF FINAL DISPOSITION:	
Hofmann-IOB-3790	· • •
•	Name of Department or Agency:       FBI       CY Quarterly Report:         DATE OF INCIDENT:       DATE OF REPORT TO DEPARTMENT/AGENCY: 03/28/2007         DATE OF REPORT TO DEPARTMENT/AGENCY: 03/28/2007         DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., Dol, Congress, Civil Libertics Office):         POSSIBLE VIOLATION OF:         STATUTE (United States Code Citation)

	DECLASSIFIED BY 65179/DMH/PLJ/sdb	- •
£ • •	ON 07-12-2010	•
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(U)	INTELLIGENCE OVERSIGHT BOARD (IOB) MÁTTER FIELD OFFICE IOB MATTER 2007-1297 (U)	b2 b7E
	During a     of a       rson,     issued two (2)     NSLs under     b2       b71     b71	
- been is:	respectively. Since discovering that these NSLs had	•
(U) when he		2 7E
	that did not have an	. * `
(U) the NSLs dócument	has been instructed to contact and ask whether the respective responses to should be returned or destroyed, with appropriate tation to the file.	b2 b7E
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	- IOB SUMMAJ	Y SHEET		٠		
Name of I	epartment or Agency: FBI	CY Quarterly Rep	port:		•	
	· · · · · · · · · · · · · · · · · · ·					
DATEOF	INCIDENT:	DATE OF REPO	RT TO IOB:	-		•
DATE OF	REPORT TO DEPARTMENT/AGENCY: 04/1	2/2007	•		•	
DATE RE	PORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civi	il Liberties Offi	ce):	*	b2
POSSIBL	EVIOLATION OF:					b7E
1.0001010)	2 VIOLATION OF.					•
S	TATUTE (United States Code Citation)         FISA (50 U.S.C. 1801)					•
	X Other (U.S.C. citation:			_)	•	
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E	XECUTIVE ORDER 12333: Section 2.3 collection & dissemination of	USP info			· ·	
-	Section 2.4 preamble: least intrusive colle	otion		•	· ·	
	Section 2.4(a) CIA electronic surveillance					
_	Section 2.4(b) unconsented physical searc Section 2.4(c) physical surveillance of US					· ·
	Section 2.4(d) physical surveillance of US	P abroad				
• _	Section 2.5 AG approval w/in US or again	st USP abroad				<i>.</i> •
-	Section 2.6 assistance to law enforcement Section 2.7 contracting		· ·		•	
-	Section 2.9 undisclosed participation	``				•
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	Section 2.11 prohibition on assassination Section 2.12 indirect participation				•	•
<del>.</del>	AG Approved EO 12333 Guidelines (Gui	lelines name and se	ction:	· · · · · · · · · · · · · · · · · · ·	)	×.
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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 b7E IOB MATTER 2007-1335 (U) (S) During a of a U.S. person, issued NSLs under	b2
been issued, has sequestered the responses from the	b7E
(U) In this case, errors were committed by the case agent when he/she requested for an authorized that did not have an	b2 b7E
(U) has been instructed to immediately redact all information obtained pursuant to the NSLs from all hard and uploaded records, including documents on ACS. has also been instructed to contact the has also been instructed to respective responses to	b2 b7E
the NSLs should be returned or destroyed, with appropriate documentation to the file.	
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r	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED	`
	DATE 07-12-2010 BY 65179/DMH/PLJ/sdb	
	- IOB SUMMARY SHEET	•
	Name of Department or Agency: FBI CY Quarterly Report:	
	DATE OF INCIDENT: (three incidents DATE OF REPORT TO IOB: -	•
	DATE OF REPORT TO DEPARTMENT/AGENCY: 04/12/2007	
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	POSSIBLE VIOLATION OF:	b7E
	STATUTE (United States Code Citation) FISA (50 U.S.C. 1801)	
	Other (U.S.C. citation:)	
	EXECUTIVE ORDER 12333:	
	Section 2.3 collection & dissemination of USP info	
	Section 2.4 preamble: least intrusive collection	
	Section 2.4(a) CIA electronic surveillance w/in US	•.
	Section 2.4(b) unconsented physical search w/in US	
	Section 2.4(c) physical surveillance of USP w/in US	
	Section 2.4(d) physical surveillance of USP abroad	
	Section 2.5 AG approval w/in US or against USP abroad	· . ·
	Section 2.6 assistance to law enforcement	•
	Section 2.7 contracting	
	Section 2.9 undisclosed participation	
	Section 2.10 human experimentation	
	Section 2.11 prohibition on assassination	
	Section 2.12 indirect participation	
	AG Approved EO 12333 Guidelines (Guidelines name and section:)	
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	OTHER EXECUTIVE ORDER (SPECIFY)	
	Creation of unauthorized SAP (EO 12958, as amended)	
	Other (EO citation:)	
	PRESIDENTIAL DIRECTIVE (SPECIFY)	
	COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION	
	Other (Presidential Directive citation:)	
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	and ask whether the respective response		b7E
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		DATE OF REPORT TO DEPARTMENT/AGENCY: 04/12/2007
		DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
		POSSIBLE VIOLATION OF:
		STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:)
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<b>(U)</b>	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-2123 (U)	•
	(S) On 02/10/2007 drafted a National Security Letter (NSL) requesting and and records for a reportedly used by the target of the investigation for the time period ranging from	b2 b7E
、	forwarded the NSL to the Division for service on the (provider). served the NSL and obtained response on	• •
(Ŭ) –	In its response, the included records indicating that the target was the	
	also produced for the	b2 b7E
	pre-dated the time period articulated in the NSL.	b2
	to     In the EC accompanying the       stated the       specifics, for the   The EC was uploaded	b7E
	onto ACS. (U) Upon receiving the and discovering	· · · · · · · · · · · · · · · · · · ·
	the over-production, gave the Case Agent a hard copy of the subject. The CD	b2
· · · · · · · · · · · · · · · · · · ·	containing the remaining information that relates to the has been sequestered with Chief Division Counsel (CDC), pending direction from OGC. has also sequestered the hard copy of EC.	b7E
· · ·	(U) Pursuant to the 07/31/2007 instructions from the IOB, the FBI is reporting this matter because the uploading of the over-produced information arguably constitutes a compounding of the third party error by the provider.	
	(U) has been instructed to contact and ask whether the over-produced information should be returned or destroyed, with appropriate documentation to the file. has been instructed to remove the over-produced information from ACS by either permanently charging-out the EC or redacting the appropriate portions from the uploaded version.	b2 b7E
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# ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

# DATE 07-12-2010 BY 65179/DMH/PLJ/sdb IOB SUMMARY SHEET Name of Department or Agency: FBI CY Quarterly Report: DATE OF INCIDENT: DATE OF REPORT TO IOE: DATE OF REPORT TO DEPARTMENT/AGENCY: 07/11/2007 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): b2 b7E POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation **EXECUTIVE ORDER 13333:** Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad' Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: *DATE OF FINAL DISPOSITION:

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AND. 2007-1343(U)	
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of a U.S. person, issued three (3) NSLs under	
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	b
respectively. Two of the	
produced in response. did not	
receive a response from the third agency. The two	· ·
are currently in the investigative file.	
(U) In this case, errors were committed by the case agent	
when he/she requested pursuant to	
when hershe requested purchased purc	b
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that did not have an	
(U) has been instructed to immediately	
sequester all copies of the as well as any	,
hard and/or uploaded documents containing information from the	
with the Chief Division Counsel	jc ,
(CDC). has also been instructed to contact the	, b
and ask whether the respective	,
responses to the NSLs should be returned or destroyed, with	
appropriate documentation to the file. Finally, has	
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been instructed to redact all information obtained pursuant to	
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- IOB SUMMARY SHEET	
Name of Department or Agency: FBI CY Quart	erly Report:
DATE OF INCIDENT: (three incidents) DATE OF	REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congre	ss, Civil Liberties Office): b2 b7E
POSSIBLE VIOLATION OF:	571
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333:	
Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection	
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Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name	and section:)
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 $h^2$ INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7F FIELD OFFICE IOB MATTERS 2007-1236 AND 1237 (U) By two Electronic Communications (EC) dated 04/10/2007, Field Office reported two potential IOB the violations. On 08/15/2005 properly drafted several National Security Letters (NSLs) to requesting b2 pertaining to a U.S. person who was the target b7E of an authorized investigation. The NSLs requested for the period beginning through the "present." One of the NSLs was sent to the Division [ for service on a forwarded documents that it obtained b2 pursuant to the NSL. In addition to documents within the dates b7E listed in the NSL, the also produced records that pre-dated the time period. On the Case Agent drafted an EC summarizing the records and included information that pre-dated the NSL's time period. The EC was subsequently uploaded onto ACS. On the  $h^2$ b7E . Oń [ , the Case Agent and another agent attempted to interview the target of the b2 b7E On the target telephoned the Case Agent and b2 requested to be interviewed. The investigation was b7E administratively closed on the Case Agent interviewed the target. (U) Pursuant to the 07/31/2007 instructions from the IOB, the FBI is reporting the over-production because the uploading of the over-produced information arguably constitutes a compounding of the third party error by the financial institution. b2b7E Furthermore, as provided in of The Attorney Hofmann-IOB-3801

General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31, 2003, ________ is required tointerview the subject of an investigation. In this case, the Case Agent interviewed the target, albeit with his consent, after _______ Accordingly, the FBI is reporting this matter.

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(U) has sequestered the over-production, will purge the over-production from all FBI systems, and will contact the carrier and arrange for either the return or the destruction of the over-production with the appropriate documentation to the file.

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	Section 2.4(d) physical surveillance of USP abro			
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· ·	<b>COVERT ACTION FINDING/MEMORANDU</b>	M OF NOTIFICATION	1.	· ·
·	_ Other (Presidential Directive citation:		· · ·	<u>``</u>
DEPARTMEN	NT/AGENCY ACTION: Report to IOB. Redact una	uthorized information		
	A second control and the control of the second control of the seco	· ·	• •	•
	INAL DISPOSITION.	• •	•. •	
*DATE OF F				

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•	ALL INFORMATION CONTAINED	
	HEREIN IS UNCLASSIFIED	
	DATE 07-12-2010 BY 65179/DMH/PLJ/sdb b2	•
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E	
-	FIELD OFFICE	
	IOB MATTER 2007-1850 (U)	
	(U) By electronic communication ("EC") dated March 12,2007,	
	the Field Office reported a potential IOB	b2
	error in conjunction with its current	, b7
	of a United States Person.	
	(U) On 11/16/05 Division drafted	
	an NSL to a , seeking,	
	on a U.S. person who was the target of an	]
	authorized investigation. Specifically,	}
	cited and requested the	
	forwarded the NSL to for service on the agency.	
	served the NSL on and received responsive records on	
	the same date. On forwarded the responsive	-
	records to	
•••••		
	AS The records produced by the agency were not limited to	
ſ	the information requested, i.e., the	b
ļ	Rather, the agency provided Rather, the agency provided onto	t. b
	any FBI system, did not sequester them.	
	instead analyzed the and used the information to support	•
	its request for an	
	In its request, indicated that	
		, b
	granted	b
	request, instructing to "pursue	- 、
	(U) The acquisition of the by the FBI	b2
	occurred through no fault of the FBI. The produced the	, d
	reports without a request to do so. ¹ , however,	
	failed to sequester the and used them to support a	
	request to extend the investigation. In light of IOB's letter	
	dated 07/31/2007, indicating that third party errors are not	•
•	reportable "provided the FBI has done nothing to compound the	
	error, such as uploading, disseminating, or using the overly-	
	produced information for lead purposes," the FBI has elected to	
	report this matter.	
		•
	i (U) It should be noted that the FBI could have requested.	b2
F	a because this was a Hofmann-IOB-3806	b71
l	investigation.	
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* <b>F</b>					· · ·		. «tīsim		• :
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re de	emove all estrov or r	a <u>sequester a</u> eturn to th any hard c	e agency,	ppies of from from from from from from from from	om all : propria	FBI dat	tabases,	and n	b2 b7E
	<u>e one stre</u> ,	respective	ly.				· · · · · · · · · · · · · · · · · · ·	`	
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Hofmann-IOB-3807 .

- IOB SUMMARY SH	HEET
Name of Department or Agency: FBI	CY Quarterly Report:
DATE OF INCIDENT	DATE OF REPORT TO JOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 3/17/0	27
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, C	congress, Civil Liberties Office): b2
POSSIBLE VIOLATION OF:	b7E
STATUTE (United States Code Citation)	
FISA (50 U.S.C. 1801)     Other (U.S.C. citation	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
EXECUTIVE ORDER 13333:	DATE 07-12-2010 BY 65179/DMH/PLJ/sdb
Section 2.3 collection & dissemination of USP in Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in U Section 2.4(b) unconsented physical search w/in I Section 2.4(c) physical surveillance of USP w/in	IS US
Section 2.4(d) physical surveillance of USP abroa Section 2.5 AG approval w/in US or against USP Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	ad , · · · · · · · · · · · · · · · · · ·
AG Approved EO 12333 Guidelines (Guidelines OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as ame Other (EO citation:)	
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM Other (Presidential Directive citation:	M OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	

Hofmann-IOB-3808

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#### DECLASSIFIED BY 65179/DMH/PLJ/sdb . ON 07-12-2010

# INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-1377 (U)

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(U) By electronic communication ("EC") dated April 25,2007, the _____ Division(_____) reported a potential IOB error in conjunction with its current _____ of a United States Person.

On 06/05/2006 properly issued a National Security Letter (NSL) to a requesting pertaining to a U.S. person who is the target of an authorized investigation. The NSL requested for the period beginning through the "present." The NSL was served on the by the Division ( ; which also received the s response. s response to forwarded the on In the EC accompanying the s response, advised that the s database did not include any checking, for the subject. The did. for the target and produced however, locate a documents pertaining to the Notably, the target signed the

(U) On 08/03/2006 prepared and uploaded an EC summarizing the bank's response to the NSL. listed information, including the

(U) Pursuant to the 07/31/2007 instructions from the IOB, the FBI is reporting this matter because the uploading of the over-produced information arguably constitutes a compounding of the third party error by the bank.

(U) _____ has been instructed to immediately sequester the over-produced information and charge-out from ACS the EC containing the over-produced information. If _____ considers the over-produced information relevant to its investigation, it will cure the over-production by issuing to the _____ another NSL with a time period that encompasses the relevant information. would then un-sequester the information and charge-in

(U) If _____ deems the over-produced information not relevant to its investigation, it will contact the _____ and ask whether the over-produced information should be returned or destroyed, with appropriate documentation to the file. _____ would then permanently charge-out the EC with the over-produced information.

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the EC.

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IOB SUMMAR	YSHEET
Name of Department or Agency: FBT	CY Quarterly Report:
DATE OF INCIDENT:	DATE OF REPORT TO IOB:
	· · · ·
DATE OF REPORT TO DEPARTMENT/AGENCY: $ \mathcal{Y} $	25/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
	· · · ·
STATUTE (United States Code Citation)	· · ·
FISA (50 U.S.C. 1801) Other (U.S.C. citation:	
·	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of U	ISP info
Šection 2.4 preamble: least intrusive collec	
Section 2.4(a) CIA electronic surveillance	
Section 2.4(b) unconsented physical search	
Section 2.4(c) physical surveillance of USF	
Section 2.4(d) physical surveillance of USI	abroad
Section 2.5 AG approval w/in US or agains	
Section 2.6 assistance to law enforcement	
Section 2.7 contracting	•
Section 2.9 undisclosed participation	
Section 2.10 human experimentation	
Section 2.11 prohibition on assassination	
Section 2.12 indirect participation	
AG Approved EO 12333 Guidelines (Guid	elines name and section:
OTHER EXECUTIVE ORDER (SPECIFY)	
Creation of unauthorized SAP (EO 12958,	as amended)
Other (EO citation:)	
· · · · · · · · · · · · · · · · · · ·	
PRESIDENTIAL DIRECTIVE (SPECIFY)	
COVERT ACTION FINDING/MEMORA	NDUM OF NOTIFICATION
Other (Presidential Directive citation:	) , · · ·
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	
• • • • • • • • • • • • • • • • • • • •	· · · · · · · · · · · · · · · · · · ·

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# INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-1428 (U)

(U) By electronic communication ("EC") dated April 17,2007, b2 the Division ) reported a potential IOB error in b7E conjunction with its current of a United States Person. On 01/24/2003 drafted a National Security b2 (U) b7E Letter (NSL) to a seeking on a U.S. person who was the target of an authorized investigation. Citing directed the agency to provide b2b7E The NSL was served on the agency on by the Division Responsive records were ٦. provided to which forwarded the records to Despite the fact that the NSL requested only information, the agency produced a O'n b2 b7E On 05/29/2003 drafted another NSL to the same b2 b7E The NSL was served on the agency Division on by the Responsive · / ,. records, including another , were provided which again forwarded the records to to Because these documents were nearly identical to the previous production, they were not sent to FBIHO for analysis. (U) Upon closing its substantive file, sent Ъ2 its documents to FBIHO, where investigation is ongoing b7E Case Agent. In this situation, an error on the part of the (ប) resulted in the unintentional acquisition of information. During an authorized investigation, issued proper NSLs b2 pursuant to In addition to providing responsive b7E information to which was entitled, however, the also provided information beyond the scope of the NSL request, specifically, a Hofmann-IOB-3811

Ъ2 Ъ7Е (U)⁻ The s mistake alone is not reportable to the IOB because the FBI did not act unlawfully or contrary to Executive Order or Presidential Directive with regards to the third party over-production. In this instance, however, the FBI has compounded the error by forwarding the target's rather than immediately sequestering the information. Consequently, this matter must be referred to the IOB.

b2 b7E

b2 b7E

•	·
(U) have b	een instructed
to remove all copies of the	from all FBI
databases and search their respective files for phy	sical copies.
Furthermore, they have been told that any physical	copies that
are located should be returned to	will then 🗠 👘
either destroy or	return them to
the	

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# IOB SUMMARY SHEET

Name of Department or Agency: FBI b7E	CY Quarterly Report:
DATE OF INCIDENT.	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 4/18/202	) <del>],</del>
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Con	
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801)	
Other (U.S.C. citation;	b7E
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP at Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines na	5 S broad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as ameno Other (EO citation:)	ded)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM Other (Presidential Directive citation:)	OF NOTEFICATION
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010

(U)	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 FIELD OFFICE b7E 10B MATTERS 2007-1916- 2007-1920- AND 2007-1921- (U)	•
	(S) During an authorized investigation of a U.S. person, Field Office sent three (3) National Security Letters (NSL) to and and	ь2 b7E
•	In addition to the information requested in the NSL, each produced information beyond the scope of the respective NSL. sent each respective including the over-produced information, to the at FBIHQ for analysis. Upon discovering the over-production, charged-out the serials containing the over-produced information also sequestered the original productions with an Associate Division Counsel . (ADC).	b2 · b7E
	(U) In this case, an error was committed by when it disseminated the over-produced information by sending it to for analysis.	. b2 b7E
	(U) has been instructed to retrieve the over-produced information from and to redact all over-produced information from all hard and uploaded records, including documents on ACS. has also been instructed to contact and ask whether the original over-produced information should be returned or destroyed, with appropriate documentation to the file.	b2 b7E
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Name of Dep DATE OF IN	artment or Agency: FBI CY Quarterly Report:	
DATÉ OF IN		
	CIDENT: DATE OF REPORT TO IOB: -	
DATË OF RE	PORT TO DEPARTMENT/AGENCY: 03/21/2007 and 04/03/2007	
DATE REPO	RT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	ď
POSSIBLE V	IOLATION OF:	b
STA	TUTE (United States Code Citation)	
	FISA (50 U.S.C. 1801)	
. <u> </u>	Other (U.S.C. citation:)	
EVE		
· EAE	CUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info	
	Section 2.4 preamble: least intrusive collection	
	Section 2.4(a) CIA electronic surveillance w/in US	,
•	Section 2.4(b) unconsented physical search w/in US	
	_ Section 2.4(c) physical surveillance of USP w/in US	
,	Section 2.4(d) physical surveillance of USP abroad	
	Section 2.5 AG approval w/in US or against USP abroad	•
`	Section 2.6 assistance to law enforcement	
	Section 2.7 contracting	
	Section 2.9 undisclosed participation	`
	Section 2.10 human experimentation	
· .	Section 2.11 prohibition on assassination	
	_ Section 2.12 indirect participation	
	_ AG Approved EO 12333 Guidelines (Guidelines name and section:)	
Отч	ER EXECUTIVE ORDER (SPECIFY)	
-011	Creation of unauthorized SAP (EO 12958, as amended)	
	_ Other (EO citation:)	
,		
PRE	SIDENTIAL DIRECTIVE (SPECIFY)	•
	_ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION	•
	Other (Presidential Directive citation:)	,

DATE: 07-12-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb REASON: 1.4 (c) DECLASSIFY ON: 07-12-2035 ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

b2 b7E INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1346-NSL (U) The Federal Bureau of Investigation ("FBI") has 🗱 determined that in conducting an investigation the FBI Field Office ( issued an NSL that had h1 and thus Ъ2 the investigation. requested information that was not relevant to b6 In this regard, on or about opened an b7C investigation who is a "United States b7E person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA), to investigate information or allegations of involvement in activities. During the course of this investigation, NSL an 2.1 dated 11/06/2003 was issued pursuant to the b2 b7E However, the for which the NSL was intended to obtain information were transposed such that the NSL sought information on a that was not relevant to the investigation. This was an inadvertent violation of ECPA, which requires that the NSL be relevant to an authorized investigation. This error was discovered during the course of the March 2007 NSL inspection. b7E This matter was reported by by EC dated 04/10/2007; · and is being reported to the IOB. has been advised to remove any material received in response to the NSL from its files. Derived from: G-3

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Declassify on: 09/19/2017

20170919

* HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb	-12300		
		•	
- IOB SUMMARY SHEET			
Name of Department or Agency: FBI OGC CY Quarterly Report: 2007			•
DATE OF INCIDENT: 11/06/03 (issuance of NSL) DATE OF REPORT TO IOB:09/30/07	••		•
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/07			
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):			
POSSIBLE VIOLATION OF:			
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) x Other (U.S.C. citation:		_	Ъ2 Ъ7Е
EXECUTIVE ORDER 13333: 	·. ·		•
Section 2.6 assistance to law enforcement         Section 2.7 contracting         Section 2.9 undisclosed participation         Section 2.10 human experimentation         Section 2.11 prohibition on assassination         Section 2.12 indirect participation         AG Approved EO 12333 Guidelines (Guidelines name and section:	· · · · · · · · · · · · · · · · · · ·	•	• •
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:)			
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)			b2
DEPARTMENT/AGENCY ACTION: Advised field to purge database and destroy	nformation		b7E
*DATE OF FINAL DISPOSITION:			
		• •	
		•	•
			-
			•
Hofmann-IOB-3817			
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DATE: 07-12-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb REASON: 1.4 (c) ALL INFORMATION CONTAINED DECLASSIFY ON: 07-12-2035 HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE SECRET / /20170920 b2 b7E INTELLIGENCE OVERSIGHT BOARD (IOB) MATTERS FIELD OFFICE IOB MATTERS 2007-1067-NSL, 2007-1069-NSL, (U)  $\mathbb{X}$ X The Federal Bureau of Investigation ("FBI") has  $\otimes$ determined that in conducting an investigation who is a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of <u>1978</u> (FISA), the FBI Field Office issued two b1 b2 NSLs that improperly were signed by an acting In this SAC: b5 regard, an investigation was initiated on b6 On or about 11/05/2002, two NSLs were issued under b7C seeking At the b7E time, advice had been issued by OGC suggesting legal Therefore, this matter is being reported to the IOB. (S)Derived from: G-3 Declassify on: 09/20/201 SECRET//20170920 Hofmann-IOB-3818

• •	100 000000	ARY SHEET		. • •	
Name of Department or Agency:	FBI OGC	CY Quarterly Report: 2	007	L	•
DATE OF INCIDENT: 11/05/0	2 (issuance of NSLs)	DATE OF REPORT 1		r	•
DATE OF REPORT TO DEPARTN	MENT/AGENCY: 04/09	9/07			
DATE REPORT SUBMITTED TO	OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberti	es Òffice):		•
POSSIBLE VIOLATION OF:					
. •			• •		•
STATUTE (United States FISA (50 U.S.C.					
<u>x</u> Other (U.Ş.C. cit					1 }
EXECUTIVE ORDER 13	332.	,			1
	ction & dissemination o	of USP info			
Section 2.4 pream	nble: least intrusive coll	lection			• .
	A electronic surveilland				
	consented physical sea surveillance of U				
	iysical surveillance of U				•
Section 2.5 AG a	pproval w/in US or aga	inst USP abroad			
Section 2.6 assist	tance to law enforcement	nt			
	acting sclosed.participation	••			•
Section 2.10 hum	nan experimentation				•
Section 2.11 prol	hibition on assassination	ı	• •	`	
	rect participation			· ·	
AG Approved Et	الك) undermes فرودها م	idelines name and section: _	· · · · ·	ر	
OTHER EXECUTIVE OF	RDER (SPECIFY)	• •	· · ·		
Creation of unau	thorized SAP (EO 1295	8, as amended)	. · ·	•••	
• Other (EO citatio	on:) .	•	• `	`:	
PRESIDENTIAL DIREC	TIVE (SPECTEY)	۰ ۲	,		• • •
		ANDUM OF NOTIFICATIO	)N		•`.
	ial Directive citation:			,	

*DATE OF FINAL DISPOSITION:

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-13-2010 SECRET//20170918 (U) h2INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E FIELD OFFICE IOB MATTER 2007-934NSL (U) (S) By electronic communication dated March 27, 2007, the Federal Bureau of Investigation, Field Office reported that a was initiated on <u>Únited States</u> person on was properly extended by the Special Agent in b2 Charge (SAC) of the Division for the first year. After a b7E year, under [ , effective October 31, 2003, was required to seek authority to extend the investigation from FBIHQ. Due to a mistaken belief that the SAC had the . authority to beyond the initial one-year period, did not seek FBIHQ authorization tol beyond the one-year b2 period. Rather, on requested SAC (rather .b7C than FBIHQ) authority to for an additional six months. Likewise, on again requested SAC authority to an additional six months to (U) Following the improper extensions, the following investigative activity occurred: b2 On b7E • b2 b7E b2 b7E b2 (U) b7E (U) Derived From : G-3 Declassify On: <del>2017/</del>09/18 SECRET//20170918 Hofmann-IOB-3820

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SECRET//20170918

Hofmann-IOB-3821

As stated above, although mistaken, it was	•
understanding that the SAC had the authority to extend a	•
beyond the initial one-year period.	b2
Due to this mistaken belief. improperly attempted to extend	b7E
peyond a one-year period.	
Following the improper extension, engaged in investigative	۰.
activity in which a was	
required,	
. Accordingly,	
	,
. Accordingly,	. b2 b7E

- (U) -

# ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

Name	e of Department or Agency: FBI	•	CY Quarter	iy Report:	•
. DATI	E OF INCIDENT:		DATE OF REPORT	TO IOB:	
			•		
DATI	E OF REPORT TO DEPARTMENT/AGENCY	: March 27, 2007	· · ·		
DAT	E REPORT SUBMITTED TO OTHER ENTIT	Y (i.e., DoJ, Cong	ress, Civil Liberties O	ffice):	
POSS	SIBLE VIOLATION OF:		*	-1	•
	•			•	· .
	STATUTE (United States Code Citation) FISA (50 U.S.C. 1801)				*
	Other (U.S.C. citation:)			, _	
	EXECUTIVE ORDER 13333:				
	Section 2.3 collection & dissemination		· ·	· .	
	Section 2.4 preamble: least intrusi				
	Section 2.4(a) CIA electronic surv Section 2.4(b) unconsented physic				
	Section 2.4(c) physical surveillance				
	Section 2.4(d) physical surveillance		·	•	
	Section 2.5 AG approval w/in US		road ·		
	Section 2.6 assistance to law enfor	cement	• *	b2	
	Section 2.7 contracting	ion .	•	b7E	· ·
	Section 2.9 undisclosed participati	ion	•		<i>,</i>
	Section 2.11 prohibition on assass	ination	`• <i>*</i> ``		
	Section 2.12 indirect participation		,		·
	AG Approved EO 12333 Guidelin	ies			• *
	OTHER EXECUTIVE ORDER (SPECIFY	<b>`</b>	,	• •	2
•	Creation of unauthorized SAP (EC		ed)		
	Other (EO citation:)	· 12750, us unonu		•	
	· · · · · · · · · · · · · · · · · · ·	•		,	
	PRESIDENTIAL DIRECTIVE (SPECIFY)			•	
	COVERT ACTION FINDING/M	•	FNOTIFICATION		•
	Other (Presidential Directive citati	ion:)			•
DEP	ARTMENT/AGENCY ACTION: The Office	of the General Cou	msel has advised the F	BI Division of th	e b2
	rements of the <u>NSIG</u> pertaining the proper exte				b7E
. ,				•	ą
*DA1	FE OF FINAL DISPOSITION: September 18,	2007	`		
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•	· · · · · · · · · · · · · · · · · · ·	•	, ,		```
	· · · · · · · · · · · · · · · · · · ·		· · · · ·	· · · · · · · · · · · · · · · · · · ·	
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DECLASSIFIED BY 65179/DMH/PLJ/sdb. ON 07-12-2010

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(U)

#### SECRET//20170910

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-2051 (U)

(S) By electronic communication dated 06/15/2007, the field office (______ advised that as a third party had mis-typed information requested in

the FBI collected information beyond the scope of the warrants. Once the FBI realized the mistake, the materials were immediately segregated and sequestered; this occurred before the material was placed into any FBI databases. The _________information will be delivered to the Department of Justice, Office of Intelligence Policy and Review (OIPR) for appropriate disposition by the Foreign Intelligence Surveillance Court (FISC).

(S) As both of these errors on the part of a third party resulted in the FBI receiving material beyond the scope of a court authorized warrant, the matter is being reported to the IOB.

> Derived from: G-3 Declassify On: 09/10/2017

## Hofmann-IOB-3823

SECRET //20170910

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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

IOB	SUM	<b>ARY</b>	SHEET

Name of Department or Agency: FBI CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 06/15/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

b2 b7E

STATUTE (United States Code Citation)

_____ Other (U.S.C. citation: _____

EXECUTIVE ORDER 13333:

- _____ Section 2.3 collection & dissemination of USP info
- _____ Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- _____ Section 2.4(d) physical surveillance of USP abroad
- _____ Section 2.5 AG approval w/in US or against USP abroad
- _____ Section 2.6 assistance to law enforcement
- _____ Section 2:7 contracting
- _____ Section 2.9 undisclosed participation
- _____ Section 2.10 human experimentation
- _____ Section 2.11 prohibition on assassination
- _____ Section 2.12 indirect participation
- _____ AG Approved EO 12333 Guidelines (Guidelines name and section: ______

#### OTHER EXECUTIVE ORDER (SPECIFY).

- _____ Creation of unauthorized SAP (EO 12958, as amended)
  - ____ Other (EO citation; _____

PRESIDENTIAL DIRECTIVE (SPECIFY).

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- ____ Other (Presidential Directive citation:

DEPARTMENT/AGENCY ACTION: PIÓB was filed

*DATE OF FINAL DISPOSITION: 09/10/2007

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010 SECRET//20170928 (U) INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 DIVISION b7E IOB MATTER 2007-894NSL (U) S During an authorized investigation of a U.S. person. sent an NSL seeking Though this would have been an appropriate request in b2 this case, _____used the wrong NSL form and cited to the b7E wrong statute. The NSL served on the did not cite did it include the certification language required by nor (U) was advised to contact to b2 determine whether the records should be returned or destroyed, b4 with appropriate documentation to the file. b7D was also told to remove all records from any FBI database(s). b7E Derived From : Declassify On: 09/28/2017 Hofmann-IOB-3825 SECRET 20170928

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb IOB SUMMARY SHEET Name of Department or Agency: CY Quarterly Report: FBI DATE OF INCIDENT: DATE OF REPORT TO IOB: DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): b2 b7E POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: *DATE OF FINAL DISPOSITION:

DEGLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010

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## SECRET//20170917

## INTELLIGENCE OVERSIGHT BOARD MATTER DIVISION IOB MATTER 2007-1047NSL (U)

An electronic communication from the Federal Bureau of Investigation (FBI), Division _____, dated 03/26/2007, reported a potential Intelligence Oversight Board (IOB) violation involving the failure to submit a notice of the to FBI Headquarters.

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## SECRET//20170917

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	- IOB SUM	MARY SHI	<u>ŠET</u>		
Name o	f Department or Agency: FBI	b2 b7E	CY Quarterly R	leport:	
	OF INCIDENT:	· ጉልሞፍ	OF REPORT TO		
				100.	
DATE	OF REPORT TO DEPARTMENT/AGENCY:	03/26/07		•	• .
DATEI	REPORT SUBMITTED TO OTHER ENTITY	(i.e., DoJ, Co	agress, Civil Liber	ties Office):	•
POSSIE	BLE VIOLATION OF:				
	STATUTE (United States Code Citation)			. <i>.</i>	
	FISA (50 U.S.C. 1801)				
•	Other (U.S.C. citation:				
	EXECUTIVE ORDER 13333:				
	Section 2.3 collection & dissemination		3		۰.
	Section 2.4 preamble: least intrusive				•
	Section 2.4(a) CIA electronic survei Section 2.4(b) unconsented physical				
`	Section 2.4(0) inconsented physical Section 2.4(c) physical surveillance				
,	Section 2.4(d) physical surveillance			· ·	
	Section 2.5 AG approval w/in US or				
	Section 2.6 assistance to law enforce			• • •	
•	Section 2.7 contracting				,
	Section 2.9 undisclosed participation	n		· · ·	
	Section 2.10 human experimentation		•		
	Section 2.11 prohibition on assassin	lation		,	
	Section 2.12 indirect participation				
	AG Approved EO 12333 Guideline	s (Guidelines n	ame and section:	<u>NSIG II.B.2</u> )	
	OTHER EXECUTIVE ORDER (SPECIFY)		`	• .	```
	Creation of unauthorized SAP (EO	12958, as amen	ided)		• •
	Other (EO citation:)	•			、
	PRESIDENTIAL DIRECTIVE (SPECIFY)		· . ·		<b>`</b>
•	COVERT ACTION FINDING/ME	MORANDUM	OF NOTIFICATI	ON	•
, ·	Other (Presidential Directive citatio		)		
`.	· · · · · · · · · · · · · · · · · · ·				
DEPAR	TMENT/AGENCY ACTION: The FBI's Off	fice of the Gene	ral Counsel (OGĆ	) advised	b2
					b5 b7
*D 4 77		007		<b>I</b>	, c.
~DATE	OF FINAL DISPOSITION: September 17, 2	2007		·	•••
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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 07-13-2010 BY 65179/DMH/PLJ/sdb

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-1426 (U)

(U) By electronic communication ("EC") dated April 17,2007, the Division( reported a potential IOB error in b2 conjunction with its current b7E of a United States Person. (U) On or about opened an investigation of a United States person in order to investigate information or allegations of ties to During the ·b2 course of the investigation, issued a National Security b7E Letter (NSL) dated November 18, 2002, pursuant to the for believed to be associated with the target of the investigation. The NSL was signed by an acting SAC rather than a permanent SAC. received (บ) [ ____ to be gathered pursuant to a national and security letter. The statute requires that the NSLs be approved by the FBI Director or a designee no lower than the position of an SAC in a field office. Pursuant to section 505 of the USA PATRIOT Act (P.L. 107-56), the Director delegated authority to sign National Security Letters to the SACs of the various field offices on 11/09/2001. At that time, OGC took the position that b2 b7E b5 and with an abundance of caution, this matter is being reported to the Intelligence Oversight Board as a violation of statute. has been advised that it may issue an NSL (U) executed by the permanent SAC to authorize the retention and use of the information if the information provided by b2 lis b4 relevant to the pending investigation. Otherwise, has b7D been instructed to contact and ask whether the b7E information should be returned or destroyed with appropriate documentation to the file. Hofmann-IOB-3829

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ALL INFORMATION CONTAINE HEREIN IS UNCLASSIFIED	D		· · · ·	-11	<u> </u>	
DATE 07-12-2010 BY 65179	)/DMH/PLJ/sdb	· IOB SUM	MARY SHEET	•	•	. ,
Name of Depa	tment or Agency:	FBI	CY Quarterly Repo	י לידנ	• •	
DATE OF INCI	DENT: 11/18/200	2	DATE OF REPORT TO	IOB:	•	••
			••••••••	· : .,	•	. •
DATE OF REPOR	RT TO DEPARTHENT/	AGENCY: 04	17/2007	* •. • • •		-
	SUTO OT COUNTRACTO	י. איז איז אינעי פי פי	.a., DoJ, Congress, C	Mivil Libert:	es Office);	
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· · ·	FISA (50 U.S.C. 1	1	•			.b2
<u>_/</u>	Other (U.S.C. cl)		······································	ľ		b7E .
EXECUT	TVE ORDER 12333:			• •	, · .	• • •
	Section 2.3	collection a	dissemination of US	P info	•	••
······································	Section 2.4	preamble: le	ast intrusive collec	tion		• •
· . . <u> </u>	Section 7.4(a) (	CIA electron	ic surveillance w/in	บร	· .	
·····	Section 2:4(b) 1	unconsented	physical search w/in	<b>US</b>	· · ·	•
`	Section 2.4(c)	physical sur	veillance of USP w/i	n US		
· · · ·	Section 2.4(d)	physical sur	veillance of USP abr	oad	-	
· · ·	Section 2.5	AG approval	w/in US or against U	ISP abroad		<i>,</i>
	Section 2.6	assistance t	o law enforcement	• •	•	•
· · · ·	Section 2.7	contracting		•	· · ·	
	Section 2.9	undisclosed	participation			
	-	human experi		•	•	•.
•	•	•	on assassination		•	
· _ · _ ·		indirect par		· . ·		• .
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	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	
	DIVISION	
J.F L	IOB MATTER 2007-1272NSL(U)	•
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	(S) The Division, Resident Agency	
	() of the Federal Bureau of Investigation (FBI) has	.b2
•	reported a potential IOB involving a National Security Letter	b7E
	(NSL) requested by which did not have the appropriate	· · · ·
1991	approvals or signature by the Special Agent in Charge (SAC).	
(U)~	""""""""""""""""""""""""""""""""""""""	
1 = E		
	On or about 05/27/2005, RA drafted an NSL	
-	and the requisite EC used	
	by a non-U.S. person who was the subject of an authorized	b2
	investigation. RA sent the documents to the Field	b7E
	Office for approval, but they were misrouted and the NSL was	~~~
	served on the without the appropriate approvals or SAC	
	signature. The responded that it did not monitor	
	and therefore, no records were provided.	
	· · · · · · · · · · · · · · · · · · ·	
	(U) In this case, requested an NSL for	,
•	information relevant to an authorized investigation, but it did	
		b2
	NOT get the proper approvals or SAC signature, as required by	h75
	not get the proper approvals or SAC signature, as required by law. As the	b7E ·
	law. As the provided no records in response to this NSL,	b7E ·
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,	law. As the provided no records in response to this NSL,	b7E ·
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	law. As the provided no records in response to this NSL, there is no need for to return or destroy any information. Derived From : G-3 Declassify On: 06/13/2017	b7E
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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

#### IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 1stQ FY08

DATE OF INCIDENT: 05/27/2005

DATE OF REPORT TO IOB: 10/31/2007

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#### DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

- STATUTE (United States Code Citation)
- FISA (50 U.S.C. 1801) X Other (U.S.C. citation:

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- _____ Section 2.4 preamble: least intrusive collection
- _____ Section 2.4(a) CIA electronic surveillance w/in US
- _____ Section 2.4(b) unconsented physical search w/in US
- _____ Section 2.4(c) physical surveillance of USP w/in US
- _____ Section 2.4(d) physical surveillance of USP abroad
- _____ Section 2.5 AG approval w/in US or against USP abroad •
- _____ Section 2.6 assistance to law enforcement
- _____ Section 2.7 contracting
- _____ Section 2.9 undisclosed participation
- _____ Section 2.10 human experimentation
- _____ Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- _____ AG Approved EO 12333 Guidelines (Guidelines name and section:

#### OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____

PRESIDENTIAL DIRECTIVE.(SPÉCIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

_____ Other (Presidential Directive citation: _____

DEPARTMENT/AGENCY ACTION: As provided no records in response to an NSL without the proper b7E approvals, there is no need to sequester/destroy any records.

*DATE OF FINAL DISPOSITION: 06/13/2007

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#### FEDERAL BUREAU OF INVESTIGATION FOIA/PA DELETED PAGE INFORMATION SHEET

____2__

Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

Deletions were made pursuant to the exemptions indicated below with no segregable material available for release to you.

Section 5	52	Section 552a
□(b)(1)	□(b)(7)(A)	□(d)(5)
□(b)(2)	□(b)(7)(B)	⊡(j)(1)
□(b)(3)	□(b)(7)(C)	⊡(k)(1)
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	□(b)(7)(E)	⊡(k)(3)
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□(b)(4)	□(b)(8)	□(k)(5)
□(b)(5)	□(b)(9)	⊡(k)(6)
¤(b)(6)		□(k)(7)

□ Information pertained only to a third party with no reference to the subject of your request or the subject of your request is listed in the title only.

Document(s) originated with another government agency (OGA). These documents were referred to that agency(ies) for review and you will be advised by the FBI as to the releasability of this information.

Page(s) contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

- Page(s) withheld inasmuch as a final release determination has not been made. You will be advised as to the disposition at a later date.
- _____ Page(s) were not considered for release as they are duplicative of <u>Hofmann-IOB-3831</u> through Hofmann-IOB-3832
- Page(s) withheld for the following reason(s)

☐ The following number(s) is (are) to be used for reference regarding these pages : <u>Hofmann-IOB-3833 through Hofmann-IOB-3834</u>

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	(S) On :	March 21, 2007	the D	ivision		
of the	Federal Bur	eau of Investig	ation (FBI) r	eported a poss	ible	
Intelli	gence Övers	ight Board (IOE	) violation i	nvolving a lat	e	ኩኃ
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investi	gation.	· · ·	,	-	•	
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╡ <del>┫</del> ┦		provided in		f <u>The Attorney</u>		
<u>General</u>	<u>'é Guidelin</u>	es for FBI Nati	<u>onal Security</u>	<u>Investigation</u>	<u>is and</u>	
Foreign	<u>ı Intelligen</u>	ce Collection (	( <u>NSIG</u> ), a "fie	ld office shal	.1'``	b2
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HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

ALL INFORMATION CONTAINED.

Name of Department or Agency: FBI b2 CY Quarterly b7E	Report: 2007
	PORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: March 21, 2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Libe	erties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation)	
FISA (SO U.S.C. 1801)	
Other (U.S.C. citation:)	,
	·
EXECUTIVE ORDER 13333:	•
Section 2.3 collection & dissemination of USP info	
Section 2.4 preamble: least intrusive collection	
Section 2.4(a) CIA electronic surveillance w/in US	
Section 2.4(b) unconsented physical search w/in US	۰ .
Section 2.4(c) physical surveillance of USP w/in US	
Section 2.4(d) physical surveillance of USP abroad	· · ·
Section 2.5 AG approval w/in US or against USP abroad	· .
Section 2.6 assistance to law enforcement	
Section 2.7 contracting	b2
Section 2.9 undisclosed participation	b7E
Section 2.10 human experimentation	
Section 2.11 prohibition on assassination Section 2.12 indirect participation	
AG Approved EO 12333 Guidelines	· · · · · · · · · · · · · · · · · · ·
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OTHER EXECUTIVE ORDER (SPECIFY)	
Creation of unauthorized SAP (EO 12958, as amended)	
Other (EO citation:)	·
PRESIDENTIAL DIRECTIVE (SPECIFY)	· · · ·
COVERT ACTION FINDING/MEMORANDUM OF NOTIFICAT	LION
Other (Presidential Directive citation:)	

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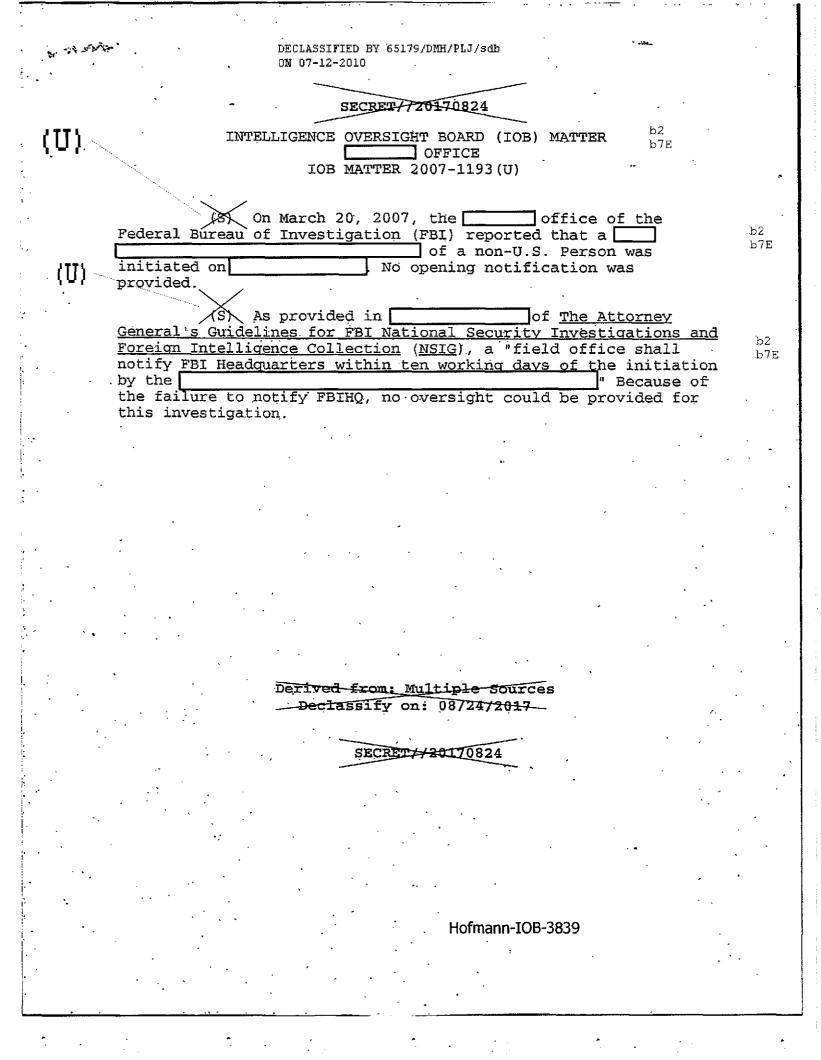
DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-13-2010 (U) SECRET/720170802 b2 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E FIELD OFFICE ·IOB MATTER 2007-1187NSL (Ú) (S) By electronic communication dated March 20, 2007, the Federal Bureau of Investigation (FBI), h2 Field Office, b7E reported that a was initiated on a non-United The investigation expired on States person on The subject of the investigation was interviewed o'n and the (U)b2 b7E dated March 8, 1999 (March 8 1999 <u>AG Guidelines)¹ states in pertinent part</u> is required to interview the subject of the (U) investigation. (S) In this case, initiated a on a non-United States person on The The subject of the b2 b7E investigation was interviewed on ] and the investigation was'closed on Accordingly, the FBI conducted an interview of the subject of an investigation after and in violation of the March 8, the Π١ 1999 AG Guidelines. Based upon these facts, in accordance with the terms implementing the reporting requirements of Section 2.4 of h2 EO 12863, interviewing the subject of an investigation in the b7E must be reported to the IOB. absence of a Derived From ; (U) Declassify On: 2017/08/02 (ሀ) -The March 8, 1999 AG Guidelines were superseded by the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG) on October 31, 2003. However, because the incident described in this EC occurred on July 8, 2003, whether an IOB violation occurred must by analyzed under the March 8, 1999 AG Guidelines. SECRET/720170802 Hofmann-IOB-3837

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• •	. E	DECUTIVE ORDER 1233		•		· · · · ·		-
	<b>.</b> .	Section 2.3	<u>collecti</u>	on & dissemin	ation of USP	INIO	**	• • • •
•	• •	Section 2.4	preamble	: least intru	sive collect	ion		
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		Section 2.4(b)	unconsen	ted physical	search w/in	US		•
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Hofmann-IOB-3838

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IOB SI	UMMARY SHEET
Name of Department or Agency: FBI	b2 b7E CY Quarterly Report: 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENO	CY: March 20, 2007
DATE REPORT SUBMITTED TO OTHER ENT	ITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
,	
STATUTE (United States Code Citation) FISA (SO U.S.C. 1801)	
Other (U.S.C. citation:	)
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemine Section 2.4 preamble: least intrus Section 2.4(a) CIA electronic sur	sive collection
Section 2.4(b) unconsented phys Section 2.4(c) physical surveillar Section 2.4(d) physical surveillar Section 2.5 AG approval w/in US	ical search w/in US ice of USP w/in US ice of USP abroad
Section 2.6 assistance to law enf Section 2.7 contracting Section 2.9 undisclosed participa Section 2.10 human experimenta Section 2.11 prohibition on assas Section 2.12 indirect participatio AG Approved EO 12333 Guidel	b2 b7E tion ssination n
OTHER EXECUTIVE ORDER (SPECIF Creation of unauthorized SAP (E Other (EO citation:	
PRESIDENTIAL DIRECTIVE (SPECIF COVERT ACTION FINDING/A Other (Presidential Directive cita	MEMORANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	

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DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010

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SECRE17/20170831

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FBI OFFICE IOB MATTER 2007-1781 (U) b2 b7E

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(S) As provided in _______of the <u>Attorney</u> <u>General's Guidelines for FBI National Security Investigations and</u> <u>Foreign Intelligence Collection (NSIG</u>), effective 10/31/2003, a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the ______" After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence

Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General.

(U) On May 30, 2007,	the FBI field office	b2 b7E
reported that no notice had been	en submitted to FBI Headquarters	015
when a	of a non-U.S. Person was	
initiated on	No notice was submitted until	
a day after the	•	
	to provide notice to the Office of	
Intelligence Policy and Review	(OIPR). Consquently, OIPR's	•

oversight ability was substantially impaired.

Derived From G-3 Declassify On: 08/31/2017 Hofmann-IOB-3841

SECRET#20170831

ې مور	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED	
	DATE 07-12-2010 BY 65179/DMH/PLJ/sdb	
	TIOB SUMMARY SHEET	
	Name of Department or Agency: FBI b7E CY Quarterly Report: 2007	
	DATE OF INCIDENT: DATE OF REPORT TO IOB:	•
	DATE OF REPORT TO DEPARTMENT/AGENCY: May 30, 2007	
	DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	•
	POSSIBLE VIOLATION OF:	
	STATUTE (United States Code Citation) FISA (SO U.S.C. 1801)	
	Other (U.S.C. citation:)	
	EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection	
,	Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US	ł
	Section 2.4(d) physical surveillance of USP abroad         Section 2.5 AG approval w/in US or against USP abroad         Section 2.6 assistance to law enforcement	
	Section 2.7 contracting         Section 2.9 undisclosed participation         Section 2.10 human experimentation         Section 2.11 prohibition on assassination	
	Section 2.12 indirect participation AG Approved EO 12333 Guidelines	
	OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:)	,
•	PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)	
	DEPARTMENT/AGENCY ACTION:	•
	*DATE OF FINAL DISPOSITION:	
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	Hofmann-IOB-3842	

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SECRET / /20170917 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTERS b2 b7E FIELD OFFICE IOB MATTER 2007-1133(U) ÌX ا The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation and suspect both b1 non-USPs, the land b2 obtained for a b4b6 b7C b7D issued on or about advised that b7E an NSL would follow. The next day, after having received the information, an NSL was issued and delivered to the Tto account for the information that had been provided. This. potential IOB was reported by _____ by EC dated 03/23/2007. This matter is being reported to the IOB because it appears that an NSL should have been issued in this situation in and that advance of this information was not of a sufficiently urgent nature so as to b2 b7E permit obtaining the information prior to, and followed immediately thereafter by, issuance of an NSL under nor to fit within the parameters of the emergency disclosure provision of Derived from: G-3 Declassify on: 09/17/2017 SECRET / /20170917 Hofmann-IOB-3843

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		IOB SU	MMARY S	HEET	-			•
Name of Departmen	nt or Agency:	FBIOGC	CY	Quarterly Repo	ort: 2007	`		•
DATE OF INCIDE	NT: 03/10/0	5 (issuance of N	ISL) D	ATE OF REPO	RT TO IOB:09	/30/07 ` ·		•
DATE OF REPOR	I TO DEPART	MENT/AGENC	Y: 05/07/07					
DATE REPORT SU	JBMITTED TO	OTHER ENTI	ΓΥ (i.e., DoJ, (	Congress, Civil I	Liberties Office	e):		
POSSIBLE VIOLA	TION OF:	•					·	
F.	E (United States ISA (50 U.S.C. other (U.S.C. cit			•	•	•		b2 b7E
S S S S	ection 2.4 pream ection 2.4(a) C ection 2.4(b) un ection 2.4(c) pl ection 2.4(d) pl	ction & dissemir nble: least intrus IA electronic sur aconsented physi aysical surveillan aysical surveillan	ive collection veillance w/in ical search w/in ice of USP w/in ice of USP abr	US US 1 US Dad	• •		• •	•
S S S S S	ection 2.6 assis ection 2.7 contr ection 2.9 undi ection 2.10 hur ection 2.11 pro ection 2.12 ind	approval w/in US tance to law enfor racting sclosed participar nan experimentar hibition on assas irect participation O 12333 Guideli	brooment tion tion sination n		tion:	· ``.		
c		RDER (SPECIF) thorized SAP (E on:)		nended)	•••		•	
´ C	OVERT ACTI	TIVE (SPECIFY ON FINDING/M ial Directive cita	EMORANDU	M OF NOTIFIC )	CATION		•	
DEPARTMENT/A		ON: Advised tha		ing reported to	IOB; that infor	mation should be	. •	•
*DATE OF FINAL		N:	b2 b7E		•		·	
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DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010

#### SECRET//20170621

## INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 DIVISION IOB MATTER 2007-1366(U)

The Federal Bureau of Investigation ("FBI") has determined that in conducting a ______ of a certain non-United States person, the FBI failed to submit notification of the opening of the investigation required by the Attorney General's Guidelines to the Office of Intelligence Policy and Review (OIPR). ______ opened this case on ______ then requested and was granted an extension on _______ The ______ lapsed and on _______

b2 b7E

star."

In light of the fact that OIPR was not notified of this investigation at all during the time it was open, OGC concludes that OIPR was deprived of the opportunity to provide oversight of the investigation, requiring this report to the IOB. (S)

> Derived from: G-3 Declassify on: 06/21/2017

> > SECRET/20170621

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

## IOB SUMMARY SHEET

	TOD DOTATIATED				
Name of Department or Agency: FBI		CY Quarterly H	Report: 2007	<b>x</b>	
DATE OF INCIDENT: Discovered 03	/2007	DATE OF REP	PORŤ TO IOB: 09	/10/2007	
DATE OF REPORT TO DEPARTMEN	T/AGENCY: 04/1(	)/2007			
DATE REPORT SUBMITTED TO OTH	IFR ENTITY (i.e.	Do.J. Congress, C	ivil Liberties Offic	e):	
		2 01, 000g2000, 0			•
POSSIBLE VIOLATION OF:				•	
STATUTE (United States Code FISA (50 U.S.C. 1801)			•		
Other (U.S.C. citation:				,	
EXECUTIVE ORDER 13333:			•		·
Section 2.3 collection a Section 2.4 preamble: 1			· •		
Section 2.4(a) CIA elec	ctronic surveillance	w/in US			
Section 2.4(b) unconse				1	
Section 2.4(c) physical Section 2.4(d) physical	surveillance of US	P w/m US			
Section 2.5 AG approv	al w/in US or agair	st USP abroad`		• •	·, .
Section 2.6 assistance t	to law enforcement			<b>b</b> 0	4
Section 2.7 contracting	•			b2 b7E	, <b>•</b>
Section 2.9 undisclosed					
Section 2.10 human ex Section 2.11 prohibitio					
Section 2.12 indirect pa			•		
X AG Approved EO 123			· ·		
	•	A	•	·	
OTHER EXECUTIVE ORDER			`		
Creation of unauthorize		, as amended)		•	
Other (EO citation:	)				. ·
PRESIDENTIAL DIRECTIVE	(SPECIFY)				
COVERT ACTION FI		NDUM OF NOT	TFICATION		
Other (Presidential Dir	ective citation:	)	•		· · · ·
DEPARTMENT/AGENCY ACTION:	•		`	`	
DEFACIMENTAGENCI ACTION:	,		· ; ,	•	
*DATE OF FINAL DISPOSITION:				• •	
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DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER ^{b2} b7E IOB MATTER 2007-1870 (U)

(U) The ______ Field Office of the Federal Bureau of Investigation has reported an error in conjunction with an investigation of that division. In this regard, ______ in an NSL ______ was inadvertently transposed and records were collected _______ not associated with the subject of the investigation. This erroneously collected information has been removed from all paper file copies and FBI databases.

(U) This matter has been reported to the FBI's Inspection Division for appropriate action.

Derived from ·G--3 ٠ July 11, 2017 Declassify on: SECRE

Hofmann-IOB-3847

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# ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

## · IOB SUMMARY SHEET

	Name of Department or Agency: FBI CY Quarterly Report:
	DATE OF INCIDENT: DATE OF REPORT TO IOB:
	DATE OF REPORT TO DEPARTMENT/AGENCY: 4/3/2007
•	DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): b2 b7E
•	POSSIBLE VIOLATION OF:
•	STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation EXECUTIVE ORDER 13333:
	Section 2.3 collection & dissemination of USP info         Section 2.4 preamble: least intrusive collection         Section 2.4(a) CIA electronic surveillance w/in US         Section 2.4(b) unconsented physical search w/in US         Section 2.4(c) physical surveillance of USP w/in US         Section 2.4(d) physical surveillance of USP abroad         Section 2.5 AG approval w/in US or against USP abroad         Section 2.6 assistance to law enforcement         Section 2.7 contracting         Section 2.9 undisclosed participation         Section 2.10 human experimentation         Section 2.11 prohibition on assassination         Section 2.12 indirect participation
•	AG Approved EO 12333 Guidelines (Guidelines name and section: _) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:)
	PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)
	DEPARTMENT/AGENCY ACTION:
	*DATE OF FINAL DISPOSITION:
•	
	Hofmann-IOB-3848

(U)	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1333 (U)	b2 b7E
	(S) During a of a of a non-U.S. person, issued three (3) NSLs under seeking "	b2 b7E
	, respectively. Since discovering that these NSLs had been issued, has sequestered the responses	
	(U) In this case, errors were committed by the case agent when he/she requested	b2 b7E
• • •	(U) has been instructed to immediately redact all information obtained pursuant to the NSLs from all hard and uploaded records, including documents on ACS. has also been instructed to contact	b2 b7E
	and ask whether the respective responses to the NSLs should be returned or destroyed, with appropriate documentation to the file.	· ·

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YALb-FNFORMATION CONTAINED • HEREIN IS UNCLASSIFIED		
DATE 07-12-2010 BY 65179/DMH/PLJ/sdb	•	
- IOB SUMMARY SHEET	•	
		•
Name of Department or Agency: FBI CY Quarterly Report:		
· · ·		
DATE OF INCIDENT: (three incidents DATE OF REPORT TO IOB:	**	
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/12/2007		,
DAIL OF NEX OKT TO DEFAKIMENTAGENOT. 047122007		•
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Of	ffice <u>)</u> :	b2
		b7E
POSSIBLE VIOLATION OF:		
STATUTE (United States Code Citation)		
FISA (50 U.S.C. 1801)		
X Other (U.S.C. citation:	<u>`</u> )	
		•
EXECUTIVE ORDER 12333:		
Section 2.3 collection & dissemination of USP info		
Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US		
Section 2.4(b) unconsented physical search w/in US		
Section 2.4(c) physical surveillance of USP w/in US		
Section 2.4(d) physical surveillance of USP abroad	•	
Section 2.5 AG approval w/in US or against USP abroad	•	,
Section 2.6 assistance to law enforcement		
Section 2.7 contracting Section 2.9 undisclosed participation		
Section 2.10 human experimentation		
Section 2:11 prohibition on assassination		
Section 2.12 indirect participation		
AG Approved EO 12333 Guidelines (Guidelines name and section:	)	· .
OTHER EXECUTIVE ORDER (SPECIFY)		
Creation of unauthorized SAP (EO 12958, as amended)		
Other (EO citation:)		
		. *
PRESIDENTIAL DIRECTIVE (SPECIFY)		
COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)		• •
DEPARTMENT/AGENCY ACTION:		
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*DATE OF FINAL DISPOSITION:		
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,	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E IOB MATTER 2007-1334- (U)
(U)	(S) During a of a of a non-U.S. person, issued three (3) NSLs under seeking "
	been issued, bas sequestered the responses been issued. bas sequestered the responses
. {	(U) In this case, errors were committed by the case agent when he/she requested b2 for an authorized b7E that did not have an
· ,	(U) has been instructed to immediately redact all information obtained pursuant to the NSLs from all hard and uploaded records, including documents on ACS. b7E has also been instructed to contact b7E and ask whether the respective responses to the NSLs should be returned or destroyed, with appropriate documentation to the file.
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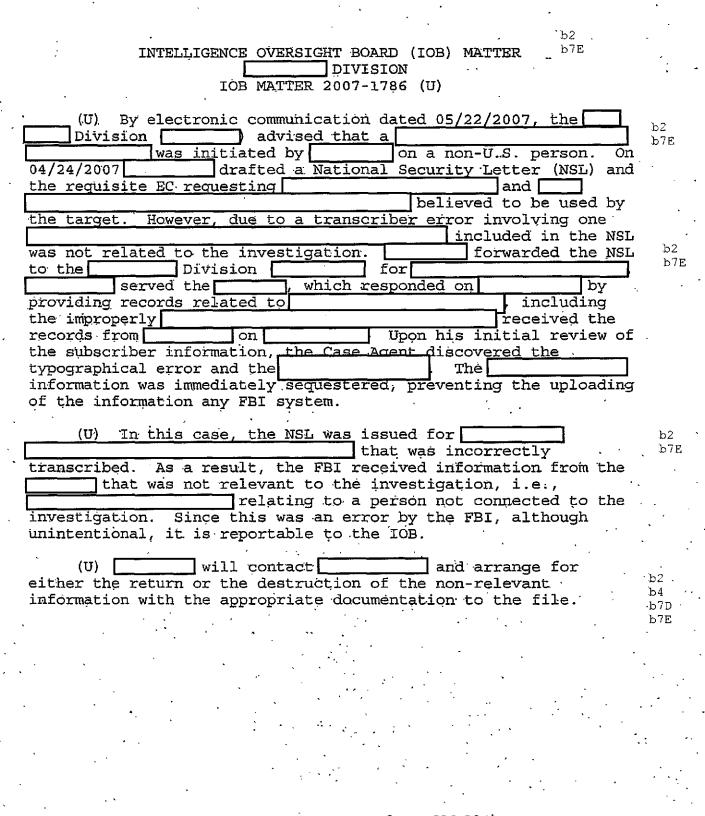
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a di	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb	
	- IOB SUMMARY SHEET	
•	Name of Department or Agency: FBI CY Quarterly Report:	
	DATE OF INCIDENT: (three incidents) DATE OF REPORT TO IOB:	•
	DATE OF REPORT TO DEPARTMENT/AGENCY: 04/12/2007	
	DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	
	POSSIBLE VIOLATION OF:	Ľ
	STATUTE (United States Code Citation) FISA (50 U.S.C. 1801)	
•	X Other (U.S.C. citation:)	
	EXECUTIVE ORDER 12333:	
	<ul> <li>Section 2.4(d) physical surveillance of USP abroad</li> <li>Section 2.5 AG approval w/in US or against USP abroad</li> <li>Section 2.6 assistance to law enforcement</li> <li>Section 2.7 contracting</li> <li>Section 2.9 undisclosed participation</li> <li>Section 2.10 human experimentation</li> </ul>	
<b>-</b> ·	Section 2.11 prohibition on assassination          Section 2.12 indirect participation          AG Approved EO 12333 Guidelines (Guidelines name and section:)	•
	OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:).	
· .	PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)	
•	DEPARTMENT/AGENCY ACTION:	
	*DATE OF FINAL DISPOSITION:	•

#### ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb



'Name	٥f	Department	or	AGENCY:	FBI	CY Quarterly Report:	
	•	: · <u>· ·</u>		• ,		IOB SUMMARY SHEET	1
D	ÂTE	07-12-2010	BY	65179/DM	H/PL	J/sdb	
		IN IS UNCLA				• • • • • • • • • • • • • • • • • • • •	
A	LL	INFORMATION	СП	机丁氧丁和亚丁		•••	

DATE OF REPORT TO DEPARTMENT/AGENCY:

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

DATE OF REPORT TO IOB:

b2 b7E

POSSIBLE VIOLATION OF: -

DATE OF INCIDENT:

STATUTE (United States Code Citation) _____FISA (50 U.S.C. 1801)

___Other (U.S.C. citations ____

EXECUTION ORDER 12333:

Section 2.3	collection 4 dissemination of USP info
Section 2.4	preamble: least intrusive collection
Section 2.4 (a)	CIA electronic surveillance w/in US
Section 2:4(b)	unconsented physical search w/in US
Section 2.4(c)	physical surveillance of USP w/in US
Section 2.4(d)	physical surveillance of USP abroad
Section 2.5	AG approval w/in US or against USP abroad
Section 2.6	assistance to law enforcement .
Section 2.7	contracting
Section 2.9	undisclosed participation
Section 2.10	human experimentation
Section 2.11	prohibition on assassination
Section 2.12	indirect participation .
AG APPROVED EO	12333. GUIDELINES (Guideline name and section:

OTHER RECUTIVE ORDER (SPECIFY)

. ___Creation of unauthorized SAF (EO 12958, as amended)

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Other (SO citation:

PRESIDENTIAL DIRECTIVE (SPECIFY) ____COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

___Other (Presidential Directive citation:_____)

DEPARTMENT/AGENCY ACTION:

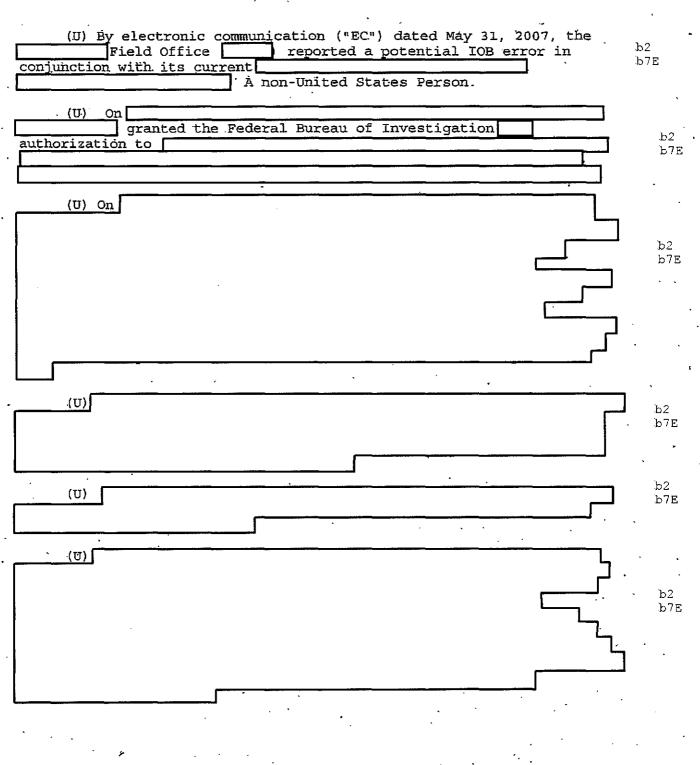
*DATE OF FINAL DISPOSITION:

LLAUFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

> -INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1760 (U)

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- IOB SUMMARY SH	EET	b2
Name of Department or Agency: Federal Bureau of Investigation	CY Quarterly Report:	b7E
DATE OF INCIDENT	DATE OF REPORT TO I	ÓB: 09/21/2001
DATE OF REPORT TO DEPARTMENT/AGENCY: May 31, 2007		
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Coi	ngress, Civil Liberties Office	): N/A
POSSIBLE VIOLATION OF:		
STATUTE (United States Code Citation)	b2 b7E	
<u> </u>	· · ·	
Other (U.S.C. citation:)	•	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info	o	•
Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US	• · · ·	
Section 2.4(b) unconsented physical search w/in U	S ·	
Section 2.4(c) physical surveillance of USP w/in U Section 2.4(d) physical surveillance of USP abroad	Ĩ	ŕ .
Section 2.5 AG approval w/in US or against USP a Section 2.6 assistance to law enforcement	abroad	· .
Section 2.7 contracting Section 2.9 undisclosed participation	• ,	,
Section 2.10 human experimentation Section 2.11 prohibition on assassination	•	
Section 2.12 indirect participation		_
AG Approved EO 12333 Guidelines (Guidelines n	ame and section:	`) `)
OTHER EXECUTIVE ORDER. (SPECIFY) ————————————————————————————————————	nded)	
Other (EO citation:)		.`
PRESIDENTIAL DIRECTIVE (SPECIFY)		•
COVERT ACTION FINDING/MEMORANDUM Other (Presidential Directive citation:	OF NOTIFICATION	• `

*DATE OF FINAL DISPOSITION:

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b2 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E FIELD OFFICE IOB MATTER 2007-1547 (U) (U) By electronic communication (EC) dated April 5, b2 2007, the Field Office reported a b7E potential IOB error related to the opening of a of a non-United States Person company. -b2 , (S) S) On b7E of a non-United States Person company under the approval of a Supervisory Special Agent (SSA) rather than the Special Agent in Charge (SAC) or Assistant SAC (ASAC). (S) b2 of The Attorney General's Guidelines b7E for FBI National Security Investigations and Föreign Intelligence Collection (NSIG), effective 10/31/2003, provides: initiated by a field (S) A office must be approved by the Special Agent in Charge (U) if the investigation involves a sensitive national security matter. ] of a foreign b2 official or visitor from a threat country may be b7E approved by the Special Agent in Charge or, as authorized by the Special Agent in Charge, by an Assistant Special Agent in Charge or squad supervisor with responsibility for national security investigations. All other may be approved by the Special Agent in Charge or, as authorized by the Special Agent in Charge, by an Assistant Special Agent in Charge with responsibility ۲U for national security investigations. (S) Although the approval level of the opening communication was at the level of SSRA, and did not meet the b2 requirement of the NSIG, b7E SECRET//20170917 Hofmann-IOB-3857

1	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb	
	- IOB SUMMARY SHEET	
	Name of Department or Agency: FBI OGC CY Quarterly Report: 2007	
•	DATE OF INCIDENT: DATE OF REPORT TO IOB:09/30/07	b2 b7E
	DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/07	
	DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	
	POSSIBLE VIOLATION OF:	
	STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)	•
	EXECUTIVE ORDER 13333:	
	AG Approved EO 12333 Guidelines OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY)	
	COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)	
	DEPARTMENT/AGENCY ACTION: Report to IOB; case in which IOB violation occurred was closed 9/13/	06
	*DATE OF FINAL DISPOSITION:	
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#### ON 07-12-2010 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 FIELD OFFICE b7E IOB MATTER 2007-1058 (U) (Ŝ) During a 🗌 of a non-U.S. person, NSL under issued a to a seeking a b2 and all other information contained in the agency's files. uently, issued a NSL under b7E Subsequently, lissued a 📃 to the same seeking only The responded but only produced the limited information requested by the second NSL. (U) In this case, an error was committed by the case agent b2 b7E when he/she requested a for an authorized investigation that did not have an (U) Because _____did not receive a`____ b2 b7E report, there is no need for sequestration or destruction of the response from

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	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED		. • •
	DATE 07-12-2010 BY 65179/DMH/PLJ/sdb IOB SUMMARY SHEET		
	Name of Department or Agency: FBI CY Quarterly Report:		· ·
	DATE OF INCIDENT: DATE OF REPORT TO IOB:	~	•
	DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007		:
	DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):		. · b2
	POSSIBLE VIOLATION OF:		b7E
	STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:)		· , •
	EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US		
	Section 2.4(c) physical surveillance of USP w/in US         Section 2.4(d) physical surveillance of USP abroad         Section 2.5 AG approval w/in US or against USP abroad         Section 2.6 assistance to law enforcement         Section 2.7 contracting         Section 2.9 undisclosed participation         Section 2.10 human experimentation         Section 2.11 prohibition on assassination         Section 2.12 indirect participation	•	
	AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION		· · · · ·
	DEPARTMENT/AGENCY ACTION:	•	•
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### INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1615 (U)

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As provided in ______bf the <u>Attorney General's</u> <u>Guidelines for FBI National Security Investigations and Foreign</u> <u>Intelligence Collection (NSIG</u>), effective October 31, 2003, a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field of a ______ ______ " After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. In addition, the FBI shall notify and provide OIPR and the Criminal Division with a summary of the investigation "at the end of each year a full investigation continues. ______

non-U.S. Person. Under the March 8,

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which were in effect at that time, the FBI was not required to notify the Department of Justice of the _______ of a non-U.S. Person. However, when the October 31, 2003 Attorney General Guidelines were replaced the earlier guidelines, the FBI issued guidance on December 23, 2003 to implement an Interim Policy for the new Notice Requirements of the Attorney General Guidelines. This interim policy required ______ to submit a Letterhead Memorandum (LHM) no later than _______ for this investigation. No LHM was submitted. Furthermore, no LHMs were submitted for the periods ending _______ However, once the error was

discovered, an updated LHM was submitted _____ when this matter was reported to FBIHQ.

If OIPR's oversight ability has been "substantially impaired" by an error, the FBI reports the error to the IOB. In this situation, no notice was provided to FBI HQ in order to notify OIPR of ______ No other form of notice to the Department of Justice was identified to mitigate this lack

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Field Office

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of notice. In addition, no LHMs were submitted for the next three years. Because of this complete lack of notice, OIPR's oversight ability was substantially impaired.

Derived from: G-3 Declassify On: 20170824

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page 2

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# IOB SUMMARY SHEET Name of Department or Agency: FBI Ъ2 CY Quarterly Report: b7E DATE OF INCIDENT: DATE OF REPORT TO IOB: DATE OF REPORT TO DEPARTMENT/AGENCY: April 3, 2007 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (SO U.S.C. 1801) Other (U.S.C. citation: . . ) EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US . Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad ' Section 2.6 assistance to law enforcement b2 b7E Section 2.7 contracting. Section 2.9 undisclosed participation Section 2.10 human experimentation . Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: -) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: *DATE OF FINAL DISPOSITION:

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## INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 - FIELD OFFICE b7E IOB MATTER 2007-1656

As provided in _______of the <u>Attorney General's</u> <u>Guidelines for FBI National Security Investigations and Foreign</u> <u>Intelligence Collection (NSIG</u>), effective October 31, 2003, a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field of a _______" After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. In addition, the FBI shall notify and provide OIPR and the Criminal Division with a summary of the investigation "at the end of each year a full investigation continues."

(U) On April 3, 2007 Field Office reported that a of a non-U.S. Person was initiated on Under the March 8, 1999 which were in effect at that time, the FBI was not required to notify

S If OIPR's oversight ability has been "substantially impaired" by an error, the FBI reports the error to the IOB. In this situation, no notice was provided to FBI HQ in order to notify OIPR of investigation was active. No other form of notice to the Department of Justice was identified to mitigate this lack of notice. Because of this complete lack of notice, OIPR's oversight ability was substantially impaired.

Derived from: G-3 Declassify on: 20170906

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	- IOB SU	IMMARY SHE	ET .	
Mome	of Department or Agency: FBI	, b2 b7E	CY Quarterly Report:	· ·
INALLIE (	Department of Agency: FBI		CI Quarterry Report	
DATE	OF INCIDENT:		DATE OF REPO	DRT TO IOB:
DATE	OF REPORT TO DEPARTMENT/AGENC	Y: April 3, 2007	•	
DATE	REPORT SUBMITTED TO OTHER ENTI	FY (i.e., DoJ, Con	oress. Civil Liberties Office	a):
	• .	(, ,	iiii	·/-
POSSI	BLE VIOLATION OF:			•
•	STATUTE (United States Code Citation)			
	FISA (SO U.S.C. 1801)			-
	Other (U.S.C. citation:)		•	•
	· · · · · · · · · · · · · · · · ·			
	EXECUTIVE ORDER 13333:		•	· · ·
	Section 2.3 collection & dissemin	ation of USP info		
	Section 2.4 preamble: least intrust		•	•
	Section 2.4(a) CIA electronic surv		•	
	Section 2.4(b) unconsented physic		3	
	Section 2.4(c) physical surveilland			
	Section 2.4(d) physical surveillan			
· ·	Section 2.5 AG approval w/in US			
	Section 2.6 assistance to law enfo	rcement		
	Section 2.7 contracting	•		b2 b7E
	Section 2.9 undisclosed participat	ion		DIE
	Section 2.10 human experimentat		•	
1	Section 2.11 prohibition on assass			•
	Section 2.12 indirect participation	i -		
~	AG Approved EO 12333 Guidelin			
	OTHER EXECUTIVE ORDER (SPECIFY	л. [,] ,		
•	Creation of unauthorized SAP (B		deđ)	· .
	Other (EO citation:)	0 12750, as amen	ucuj	
			•	`, `

PRESIDENTIAL DIRECTIVE (SPECIFY)
COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

DECLASSIFIED. BY 65179/DMH/PLJ/sdb ON 07-12-2010 SECRET//20170824 b2 (U) INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E FIELD OFFICE IOB MATTER 2007-1625 of the <u>Attorney General's</u> As provided in Guidelines for FBI National Security Investigations and Foreign b2 Intelligence Collection (NSIG), effective October 31, 2003, a b7E "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field of a . After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. In addition, the FBI shall notify and provide OIPR and h2 the Criminal Division with a summary of the investigation "at the b7E end of each year a full investigation continues." (U) initiated a of a non-U.S. Person on closed it on reopened it on. and closed it again on Under the b2 b7E which were in effect when the case was opened and reopened, the FBI was not required to notify the Department of Justice of the initiation of a of a non-U.S. Person. However, when the October 31, 2003 Attorney General Guidelines replaced the earlier guidelines, the FBI issued a 12/23/2003 EC implementing an Interim Policy for the new Notice Requirements of the Attorney General Guidelines. This interim policy required to submit a Letterhead Memorandum (LHM) no later than for this investigation b2 because it was opened prior to and it remained opened b7E No LHM was submitted. as of If OIPR's oversight ability has been "substantially impaired by an error, the FBI must report the mistake to the In this situation, no notice was provided to FBI HQ in IOB. b2 order to notify OIPR of No other form of h7F notice to the Department of Justice was identified to substitute for this lack of notice. Because of this complete lack of notice, OIPR's oversight ability was substantially impaired. Derived from:_ <del>G-3</del> Declassify on: 20170824 SECRET/20170824 Hofmann-IOB-3866

ALL INFORMATION CONTAINED .HEREIN IS UNCLASSIFIED .DATE 07-12-2010 BY 65179/DMH/PLJ/sdb IOB SUMMARY SHEET b2 Name of Department or Agency: FBI CY Quarterly Report: b7E DATE OF REPORT TO IOB: DATE OF INCIDENT: DATE OF REPORT TO DEPARTMENT/AGENCY: April 3, 2007 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (SO U.S.C. 1801) Other (U.S.C. citation: ____ EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad b2 Section 2.5 AG approval w/in US or against USP abroad · b7E Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation. Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958; as amended) Other (EO citation: ____ - I PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: *DATE OF FINAL DISPOSITION:

• &DECLASSIFIED BY 65179/DMH/PLJ/sdb _ ON 07-12-2010

> INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E FIELD OFFICE IOB MATTER 2007-1621

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(S) As provided in ________ of the <u>Attornev General's</u> <u>Guidelines for FBI National Security Investigations and Foreign</u> <u>Intelligence Collection (NSIG</u>), effective October 31, 2003, a "field office shall notify FBI Headquarters [FBIHO] within ten. working days of the initiation by the field of a ______" After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. In addition, the FBI shall notify and provide OIPR and the Criminal Division with a summary of the investigation "at the end of each year a full investigation continues."

(U) On April 2, 2007, Field Office reported that a of a non-U.S. Person was initiated on Under the March b2 b7E 8, 1999 which were in effect at that time, the FBI was not required to notify the Department of Justice of the initiation of a of a non-U.S. Person. However, when the October-31, 2003 replaced the earlier guidelines, on December 23, 2003, the FBI implemented an Interim Policy for the notice requirements. This interim policy required Ito submit a Letterhead Memorandum (LHM) no later than for b2 this investigation. No LHM was submitted. No annual LHM beyond b7E

(U)

If OIPR's oversight ability has been "substantially impaired" by an error, the FBI reports the error to the IOB. In this situation, no notice was provided to FBI HQ in order to notify OIPR of _______ No other form of notice to the Department of Justice was identified to mitigate this lack of notice. Because of this complete lack of notice, OIPR's oversight ability was substantially impaired.

this initial notice was required because the investigation

Derived from: G-3 Declassify on: 20170824

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INFORMATION CONTAINED	
	LARY SHEET
Name of Department or Agency: FBI	b7E CY Quarterly Report: 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB.
DATE OF REPORT TO DEPARTMENT/AGENCY: A	pril 2, 2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.	.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (SO U.S.C. 1801) Other (U.S.C. citation:)	· · · · ·
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination Section 2.4 preamble: least intrusive co Section 2.4(a) CIA electronic surveillar Section 2.4(b) unconsented physical set Section 2.4(c) physical surveillance of	offection nce w/in US arch w/in US USP w/in US
Section 2.4(d) physical surveillance of Section 2.5 AG approval w/in US or ag Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation	ainst USP abroad b2 ent b7E
Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 129 Other (EO citation:))	958, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMO Other (Presidential Directive citation:)	
DEPARTMENT/AGENCY ACTION:	•
*DATE OF FINAL DISPOSITION:	
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	Hofmann-IOB-3869

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010 SECRET/720170824 h2 b7E ίU INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1620 of the <u>Attorney General's</u> As provided in Guidelines for FBI National Security Investigations and Foreign  $L_2$ b7E Intelligence Collection (NSIG), effective October 31, 2003, a "field office shall notify FBT Headquarters [FBIHO] within ten working days of After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney b2 b7E General. In addition, the FBI shall notify and provide OIPR and the Criminal Division with a summary of the investigation "at the end of each year a full investigation continues." ٢US On Field Office of a b2 non-U.S. Person was initiated on Under the b7E March 8, which were in effect at that time, the FBI was not required to notify the Department of Justice of the initiation of a full investigation of a non-U.S. Person. However, when the October 31, 2003 NSIG replaced the earlier guidelines, on December 23, 2003, the FBI implemented an Interim Policy for the notice requirements. This interim policy required submit a Letterhead Memorandum (LHM) no later that for this investigation. No LHM was submitted. No annual LHM Ъ2 b7E beyond this initial notice was required because the investigation closed If QIPR's oversight ability has been "substantially ( TT \ impaired by an error, the FBI reports the error to the IOB. In this situation, no notice was provided to FBI HQ in order to b2 b7Ē notify OIPR of No other form of notice to the Department of Justice was identified to mitigate this lack of notice. Because of this complete lack of notice, OIPR's oversight ability was substantially impaired. Derived from: G-3 Declassify on: 20170824 SECRET//20170824 Hofmann-IOB-3870

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-12-2010 BY 65179/DMH/PLJ/sdb IOB SUMMARY SHEET b2 Name of Department or Agency: CY Quarterly Report: FBI b7E DATE OF INCIDENT: DATE OF REPORT TO IOB: DATE OF REPORT TO DEPARTMENT/AGENCY: April 3, 2007 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): POSSIBLE VIOLATION OF: • STATUTE (United States Code Citation) FISA (SO U.S.C. 1801) Other (U.S.C. citation: ____ EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad b2Section 2.5 AG approval w/in US or against USP abroad b7E Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: - ) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: *DATE OF FINAL DISPOSITION:

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DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-12-2010

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1443 (U)

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(S) The Division of the Federal Bureau of Investigation (FBI) reported a possible Intelligence Oversight Board (IOB) violation involving failure to provide an opening notice (EC) or an annual letterhead memorandum (LHM) for a of a non-U.S. person. On

subject who is a non-United States person. _____ did not submit an EC or LHM notifying FBIHQ of the initiation of this investigation.

> Derived from: Multiple Sources Declassify on: 09/06/2017

> > SECRET//2017.0906