

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: 03/07/07

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 09/27/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

___ FISA (50 U.S.C. 1801)

X Other (U.S.C. citation:)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-06-2010 BY 65179/DMH/PLJ/sdb

EXECUTIVE ORDER 13333:

- ___ Section 2.3 collection & dissemination of USP info
- ___ Section 2.4 preamble: least intrusive collection
- ___ Section 2.4(a) CIA electronic surveillance w/in US
- ___ Section 2.4(b) unconsented physical search w/in US
- ___ Section 2.4(c) physical surveillance of USP w/in US
- ___ Section 2.4(d) physical surveillance of USP abroad
- ___ Section 2.5 AG approval w/in US or against USP abroad
- ___ Section 2.6 assistance to law enforcement
- ___ Section 2.7 contracting
- ___ Section 2.9 undisclosed participation
- ___ Section 2.10 human experimentation
- ___ Section 2.11 prohibition on assassination
- ___ Section 2.12 indirect participation
- ___ AG Approved EO 12333 Guidelines

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OTHER EXECUTIVE ORDER (SPECIFY)

___ Creation of unauthorized SAP (EO 12958, as amended)

___ Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

___ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

___ Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Return or destroy

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3705

~~SECRET//20170919~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-1803 (U)

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The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation [redacted] the [redacted] Field Office [redacted] issued [redacted] NSLs in response to which the [redacted] beyond the parameters of the request and the information was uploaded into a database. In this regard, on or about [redacted] opened an investigation [redacted] who is a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA). During the course of this investigation, two NSLs, dated 02/22/2005 and 10/21/2005, were issued pursuant to the [redacted] for [redacted]. The [redacted] provided responsive information but also provided information concerning [redacted] the parameters of the request. The information was uploaded into [redacted]. Subsequently, another NSL was issued to authorize the FBI to retain and use the information. (S)

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The IOB has instructed OGC to report matters in which information [redacted] is uploaded into a database. Thus, this situation is being reported to the IOB. (U)

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~~Derived from: G-3
Declassify on: 09/19/2017~~

~~SECRET//20170919~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 02/22/05 and 10/21/05 (issuance of NSLs)
IOB:09/30/07

DATE OF REPORT TO

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/26/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

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EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Advised field that matter is being reported to IOB; no need to advise that database be purged because NSLs have been issued to authorize the retention of the information.

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*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3707

DATE: 07-06-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-06-2035

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~⊗~~ INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1804 (U)

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~~⊗~~
The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation on [REDACTED] the FBI [REDACTED] Field Office ([REDACTED]) issued an NSL to a [REDACTED] which had [REDACTED] and thus resulted in the production of information that was not relevant to the investigation. In this regard, an investigation of the target, [REDACTED] a United States person, was initiated on or about [REDACTED]. During the course of the investigation, an NSL dated 01/14/2006 was issued pursuant to the [REDACTED]
[REDACTED]

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However, the NSL contained [REDACTED] due to a typographical error. The mistake was discovered upon receipt of the information [REDACTED]. The [REDACTED] material was destroyed and not uploaded in any database. Inasmuch as the information returned by the [REDACTED] was necessarily not relevant to this investigation, there was a violation, albeit, unintentional, of the [REDACTED]. Therefore, this matter is being reported to the IOB. (S)
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~~Derived from: G-3
Declassify on: 09/17/2017~~

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Hofmann-IOB-3708

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 11/14/06 (issuance of NSL)

DATE OF REPORT TO IOB: 09/30/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/04/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

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EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised field of IOB; no need to give further advice, as information obtained by typographical error in in NSL had already been destroyed and had not been uploaded into any database.

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*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3709

DATE: 07-06-2010
CLASSIFIED BY 65179/DMH/PLJ/sdh
REASON: 1.4 (c)
DECLASSIFY ON: 07-06-2035

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET//20170919~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-900-NSL (U)

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~~(S)~~ The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation [REDACTED] the [REDACTED] Field Office [REDACTED] issued an NSL to which a [REDACTED] one day beyond the scope of the request and the information was uploaded into a database. In this regard, on or about [REDACTED] opened an investigation [REDACTED] a United States person, as that term is used in [REDACTED]. During the course of the investigation, an NSL dated 04/11/2003 was issued pursuant to the [REDACTED] for [REDACTED]. The [REDACTED] returned information responsive to the request but, in addition, provided [REDACTED] for one day outside the requested time period. This additional day of [REDACTED] was uploaded into a database. By EC dated 04/09/2007, [REDACTED] reported this matter as a potential IOB.

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~~(S)~~ The IOB has instructed OGC to report matters in which information [REDACTED] is uploaded into a database. Thus, this situation is being reported to the IOB. (U)

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~~Derived from: G-3
Declassify on: 09/19/2017~~

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Hofmann-IOB-3710

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC CY Quarterly Report: 2007
DATE OF INCIDENT: 04/11/03 (issuance of NSL) DATE OF REPORT TO IOB: 09/30/07
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)
 Other (U.S.C. citation:)

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EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised field to purge database information should be destroyed or returned to provider

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*DATE OF FINAL DISPOSITION:

DATE: 07-06-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-06-2035

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-1032-NSL(U).

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The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation [redacted] the FBI [redacted] Field Office [redacted] issued an NSL to [redacted] which had the [redacted] and thus resulted in the production of information that was not relevant to the investigation. In this regard, an investigation of the target, a United States person, was initiated on or about [redacted]. During the course of the investigation, an NSL dated 03/14/2005 was issued pursuant to the [redacted] on [redacted]. However, the NSL contained [redacted] apparently having been taken from another [redacted] file. The mistake was discovered upon receipt of the information [redacted]. The [redacted] material was destroyed. Inasmuch as the information returned [redacted] was necessarily not relevant to this investigation, there was a violation, albeit, unintentional, of the [redacted]. Therefore, this matter is being reported to the IOB. (S)

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~~Derived from: G-3
Declassify on: 09/19/2017~~

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(S)

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC CY Quarterly Report: 2007
DATE OF INCIDENT: 03/14/05 (issuance of NSL) DATE OF REPORT TO IOB:09/30/07
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/07
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

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STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation:)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised field of reporting matter to IOB information already destroyed and purged from database.

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*DATE OF FINAL DISPOSITION:

~~SECRET//20170919~~

~~INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER~~
~~FIELD OFFICE~~
~~IOB MATTER 2007-1074-NSL(U)~~

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~~The Federal Bureau of Investigation ("FBI") has determined that, in conducting an investigation on [redacted], the FBI issued six NSLs for [redacted] but the ECs that accompanied the NSLs for purposes of providing information for reporting to Congress were inaccurate in their recitation of the number and nature of the NSL informational requests; thus, it is likely that the FBI inaccurately reported the contents of these NSLs to Congress. (S)~~

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~~In this regard, the [redacted] Field Office opened an investigation [redacted]. During the course of the investigation, a series of NSLs were issued for [redacted] with which [redacted] had been in contact. The six NSLs were dated either 04/21/2004 or 04/28/2004. Each was accompanied by an EC which, in one paragraph, described the NSL's request and [redacted] at issue in a manner which closely mirrored the NSLs themselves, but in the particular paragraph which is designed to provide information for mandatory congressional reporting purposes, the six NSLs contained the same inaccurate paragraph as to the number and nature of the NSL request. The mistakes did not effect the validity of the NSLs but likely resulted in the under-reporting to Congress of the [redacted] for which information was sought and over-inclusion [redacted]. Thus, despite the inadvertent nature of the mistakes, the matter is being reported to the IOB. (S)~~

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~~Derived From: G-3
Declassify on: 09/17/2019~~

~~SECRET//20170919~~

Hofmann-IOB-3714

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-06-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 04/21/04 and 04/28/04 (issuance of NSLs) DATE OF REPORT TO IOB:09/30/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/29/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

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EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised field of reporting matter to IOB.

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3715

DATE: 07-07-2010
CLASSIFIED BY 65179/DNH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-07-2035

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET//20170917~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1139-NSL (U)

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~~(S)~~ The Federal Bureau of Investigation ("FBI") has
~~(S)~~ determined that in conducting an investigation [REDACTED]
the [REDACTED] Field Office [REDACTED] issued an NSL to which a
[REDACTED] information beyond the scope of the request and
the information was uploaded into a database. In this regard, on
or about [REDACTED] opened an investigation [REDACTED]
[REDACTED] a United States person, as that term is used in Section
101(i) of the Foreign Intelligence Surveillance Act of 1978
(FISA), in order to investigate allegations [REDACTED]
[REDACTED] During the course of the investigation, an NSL dated
12/03/2003 was issued pursuant to the [REDACTED]

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[REDACTED] for [REDACTED] returned
information responsive to the request but, in addition, provided
[REDACTED] for [REDACTED] All
of the information was entered in [REDACTED]. By EC
dated 04/04/2007, [REDACTED] reported this matter as a potential
IOB. ~~(S)~~

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The IOB has instructed OGC to report matters in which
information [REDACTED] error is uploaded into a
database. Thus, this situation is being reported to the IOB. (U)

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~~Derived from: G-3
Declassify on: 09/17/2017~~

~~SECRET//20170917~~

Hofmann-IOB-3716

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: [redacted] issuance of NSL)

DATE OF REPORT TO IOB: 09/30/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 4/4/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

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POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [redacted])

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Advised field to purge database; [redacted] information should be destroyed or returned to [redacted]

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*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3717

DATE: 07-07-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-07-2035

~~SECRET//20170919~~

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTERS

[redacted] FIELD OFFICE

IOB MATTERS 2007-1257-NSL, 2007-1258-NSL(U)

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The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation [redacted] the FBI [redacted] field office [redacted] issued an EC that incorrectly stated, for purposes of congressional reporting, that the NSL issued under [redacted] sought [redacted] information whereas it [redacted]. In this regard, an [redacted] a United States person, was initiated on [redacted]. On or about 02/15/2007, an NSL for [redacted] [redacted] was issued to further the investigation. The NSL was supported by an EC, but the EC incorrectly stated in its reporting paragraph that the NSL [redacted]. Thus, this mistake would have resulted in incorrect reporting to Congress as to the type of NSL issued. Because of the adverse impact of this mater, OGC is reporting this matter to the IOB. It is in the form of two IOB matters because the EC was uploaded into ACS twice, and unknowingly incorrectly reported as two IOB separate matters. (S)

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~~Derived from: G-3
Declassify on: 09/19/2017~~

~~SECRET//20170919~~

Hofmann-IOB-3718

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 02/15/07 (issuance of NSL) DATE OF REPORT TO IOB:09/30/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

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EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised field of reporting matter to IOB

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3719

DATE: 07-07-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-07-2035

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET//20170917~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1260-NSL (U)

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The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation [REDACTED] the [REDACTED] Field Office [REDACTED] issued a [REDACTED] NSL in response to which the [REDACTED] beyond the parameters of the request and the information was uploaded into a database. In this regard, on or about [REDACTED], [REDACTED] opened an investigation [REDACTED] who is a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA). During the course of this investigation, an NSL dated 04/01/2003 was issued pursuant to the [REDACTED] for [REDACTED]. The [REDACTED] returned the requested information, but, in addition, [REDACTED] related to [REDACTED] in the request. [REDACTED] of the [REDACTED] were uploaded into FBI databases. By EC dated 04/09/2007, this matter was reported as a potential IOB. (S)

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The IOB has instructed OGC to report matters in which information [REDACTED] error is uploaded into a database. Thus, this situation is being reported to the IOB. (U)

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~~Derived from: G-3
Declassify on: 09/17/2017~~

~~SECRET//20170917~~

Hofmann-IOB-3720

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-07-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 04/01/03 (issuance of NSL)

DATE OF REPORT TO IOB: 09/30/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)
 Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation: _____)

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DEPARTMENT/AGENCY ACTION: Advised field to purge database; destroy or return material

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3721

DATE: 07-07-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-07-2035 -

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET//20170917~~

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b7E

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1694-NSL (U)

~~(S)~~ The Federal Bureau of Investigation ("FBI") has
~~(S)~~ determined that in conducting an investigation [REDACTED]
~~(S)~~ [REDACTED] the [REDACTED] Field Office [REDACTED] issued a
[REDACTED] NSL in response to which [REDACTED] returned
records beyond the parameters of the request and the
information was uploaded into a database. In this regard, on
or about [REDACTED] opened an investigation [REDACTED]
[REDACTED] who is a "United States person" as that term is used
in Section 101(i) of the Foreign Intelligence Surveillance Act
of 1978 (FISA). During the course of this investigation, an
NSL dated 03/15/2004 was issued to [REDACTED] pursuant to the

b1
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b7C
b7E

[REDACTED]

b2
b4
b7D
b7E

[REDACTED] The [REDACTED] provided
[REDACTED] for that [REDACTED] but also
provided additional pieces of information, [REDACTED]
[REDACTED] from which the [REDACTED] the

b2
b7E

[REDACTED] An EC
describing the information was drafted and uploaded into ACS.
By EC dated 05/07/2007, the potential IOB violation was
reported. While the information in the case file was redacted

b2
b7E

[REDACTED] a full EC describing the information
remained in ACS. ~~(S)~~

The IOB has instructed OGC to report matters in
which [REDACTED] is
uploaded into a database. Thus, this situation is being
reported to the IOB. (U)

b2
b7E

~~Derived from: G-3.~~
~~Declassify on: 09/17/2017~~

~~SECRET//20170917~~

Hofmann-IOB-3722

IOB SUMMARY SHEET

Name of Department or Agency: FBI/OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 03/15/04 (issuance of NSL)

DATE OF REPORT TO IOB: 09/30/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 05/07/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advises field to purge database

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3723

DATE: 07-07-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-07-2035

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET//20170917~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER [redacted] b2 b7E
[redacted] FIELD OFFICE
IOB MATTER 2007-1695-NSL (U)

The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation [redacted] the [redacted] Field Office [redacted] issued an NSL for [redacted] to a [redacted] in response to which the [redacted] returned records beyond the parameters of the request and the information was uploaded into a database. In this regard, on or about [redacted] [redacted] opened an investigation [redacted] [redacted] who is a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA). During the course of this investigation, an NSL dated 03/09/2004 was issued to [redacted] pursuant to the [redacted]

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b7C
b7E

b1
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b6
b7C
b7E

for [redacted] The [redacted] provided [redacted] responsive to the request but also provided two documents that were outside the time frame requested. An EC containing information from the documents was drafted and uploaded into ACS. By EC dated 05/07/2007, the potential IOB violation was reported. The two documents were removed from the case file and sequestered with the Chief Division Counsel. The file copy of an EC which contained information from the documents was redacted but the EC in ACS remained in tact. (S)

b2
b7E

The IOB has instructed OGC to report matters in which information [redacted] is uploaded into a database. Thus, this situation is being reported to the IOB. (U)

b2
b7E

~~Derived from: G-3
Declassify on: 09/17/2017~~

~~SECRET//20170917~~

Hofmann-IOB-3724

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 03/09/04 (issuance of NSL)

DATE OF REPORT TO IOB: 09/30/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 05/07/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

b2
b7E

DEPARTMENT/AGENCY ACTION: Advised field to purge database information should be destroyed or returned to provider

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3725

~~SECRET~~

DATE: 07-07-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-07-2035

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1893NSL (U)

b2
b7E

(U) By electronic communication ("EC") dated April 10, 2007, the [REDACTED] Division ([REDACTED]) reported a potential IOB error in conjunction with its [REDACTED] of a United States Person.

b2
b7E

~~(S)~~
~~(S)~~

(S) In a National Security Letter (NSL) dated June 17, 2005 [REDACTED] properly requested [REDACTED]

[REDACTED] a U.S. Person who is the target of an authorized [REDACTED] investigation. In addition to responsive records, [REDACTED] received [REDACTED] excess of [REDACTED], as well as [REDACTED] for the [REDACTED].

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b7E

Certain records from this [REDACTED] were uploaded to the case file, but the original hard copies were ultimately sequestered with the Chief Division Counsel (CDC).

(U) Pursuant to the July 31, 2007 instructions from the IOB, the FBI is reporting this matter because the uploading of the over-produced information constitutes a compounding of the third [REDACTED] institution's error.

b2
b7E

(U) [REDACTED] has been instructed to contact the [REDACTED] and ask whether the improperly acquired information should be returned or destroyed, with appropriate documentation to the file. [REDACTED] has been further instructed to keep

b2
b7E

[REDACTED]

b2
b5
b7E

~~SECRET~~

Hofmann-IOB-3726

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 6/17/05

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Return or destroy

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3727

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-950NSL (U)

b2
b7E

(U) By electronic communication ("EC") dated March 26, 2007, the [REDACTED] Division ([REDACTED]) reported two potential IOB errors in conjunction with its [REDACTED] of a United States Person. One error was determined to not be a potential IOB, and thus not reportable. A discussions of the other error follows.

b2
b7E

(U) ~~(S)~~ On February 22, 2005 [REDACTED] properly prepared and issued a National Security Letter (NSL) requesting from a [REDACTED] information for a U.S. Person who was the target of an authorized [REDACTED] investigation. In addition to responsive records, [REDACTED] to the date scope requested.

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b7E

(U) ~~(S)~~ This [REDACTED] was uploaded to the case file, but subsequently removed and sequestered with the Chief Division Counsel (CDC) following the NSL audit.

b2
b7E

(U) Pursuant to the July 31, 2007 instructions from the IOB, the FBI is reporting this matter because the uploading of the over-produced information constitutes a compounding of the third party financial institution's error.

(U) [REDACTED] has been instructed to [REDACTED] and ask whether the unintentionally acquired information should be returned or destroyed, with appropriate documentation to the file. In the meantime, the [REDACTED] records must remain sequestered with the CDC.

b2
b7E

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: 02/22/2005

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/26/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Return or destroy

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3729

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1594NSL (U)

(U) By electronic communication ("EC") dated April 9, 2007, the [REDACTED] reported a potential IOB error in conjunction with its [REDACTED] of a United States Person.

b2
b7E

(S) In a National Security Letter (NSL) dated June 17, 2005, [REDACTED] properly requested [REDACTED], a U.S. Person who is the target of an authorized [REDACTED] investigation. In addition to responsive records, [REDACTED] received [REDACTED] excess of [REDACTED], as well as [REDACTED]. Certain records from this [REDACTED] were uploaded to the case file, but the original hard copies were ultimately sequestered with the Chief Division Counsel (CDC).

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b7C
b7E

(U) (S) In a National Security Letter (NSL) dated December 27, 2005, [REDACTED] requested [REDACTED] pertaining to a [REDACTED] held by a U.S. Person who was the target of an authorized [REDACTED] investigation. The NSL requesting [REDACTED] was served by the [REDACTED] Division [REDACTED] on the [REDACTED] in [REDACTED]. The [REDACTED] had no responsive records in [REDACTED] but apparently forwarded the NSL to a [REDACTED] which did have responsive records.

b2
b7E

(U) (S) In addition to responsive records, the [REDACTED] of the [REDACTED] provided records for [REDACTED] prior to the requested date scope. [REDACTED] uploaded all the records to its substantive case file, including the [REDACTED].

b2
b7E

(U) Pursuant to the July 31, 2007 instructions from the IOB, the FBI is reporting this matter because the uploading of the over-produced information constitutes a compounding of the third party financial institution's error.

(U) [REDACTED] has been instructed to contact the [REDACTED] and ask whether the improperly acquired information should be returned or destroyed, with appropriate documentation to the file. [REDACTED] has been further instructed to keep sequestered with the CDC the [REDACTED] records, and immediately charge-out from ACS any [REDACTED] records.

b2
b7E

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines

OTHER EXECUTIVE ORDER (SPECIFY):

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY):

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Return or destroy

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3731

XXXXXX
XXXXXX
XXXXXX

FEDERAL BUREAU OF INVESTIGATION
FOIA/PA DELETED PAGE INFORMATION SHEET

2

Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

Deletions were made pursuant to the exemptions indicated below with no segregable material available for release to you.

Section 552

Section 552a

- (b)(1)
- (b)(2)
- (b)(3) _____
- _____
- _____
- (b)(4)
- (b)(5)
- (b)(6)

- (b)(7)(A)
- (b)(7)(B)
- (b)(7)(C)
- (b)(7)(D)
- (b)(7)(E)
- (b)(7)(F)
- (b)(8)
- (b)(9)

- (d)(5)
- (j)(1)
- (k)(1)
- (k)(2)
- (k)(3)
- (k)(4)
- (k)(5)
- (k)(6)
- (k)(7)

Information pertained only to a third party with no reference to the subject of your request or the subject of your request is listed in the title only.

Document(s) originated with another government agency (OGA). These documents were referred to that agency(ies) for review and you will be advised by the FBI as to the releasability of this information.

_____ Page(s) contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

_____ Page(s) withheld inasmuch as a final release determination has not been made. You will be advised as to the disposition at a later date.

2 Page(s) were not considered for release as they are duplicative of **Hofmann-IOB-3728 through Hofmann-IOB-3729**

_____ Page(s) withheld for the following reason(s)

The following number(s) is (are) to be used for reference regarding these pages :
Hofmann-IOB-3732 through Hofmann-IOB-3733

XXXXXX
XXXXXX

XXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X for this page X
XXXXXXXXXXXXXXXXXXXXX

~~SECRET//20170924~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1601NSL (U)

b2
b7E

(U) An electronic communication (EC) from the Federal Bureau of Investigation (FBI), [REDACTED] Division, [REDACTED], dated 03/29/2007, reported a potential Intelligence Oversight Board (IOB) violation involving a National Security Letter (NSL).

b2
b7E

~~(S)~~ On 06/17/2004, [REDACTED] properly prepared and issued a National Security Letter (NSL) to a [REDACTED] seeking [REDACTED] information on a U.S. person who was the target of an authorized investigation. Responsive records were provided to [REDACTED]. During the March 2007 NSL audit, it was discovered that the [REDACTED] provided information beyond the scope of the NSL.

b2
b7E

(U) Information contained in the [REDACTED] data was uploaded into the FBI computer system. Therefore, this matter is being reported because Counsel to the IOB advised on 07/31/2007 that it is a reportable violation if the FBI uploads [REDACTED] information rather than sequestering it.

b2
b7E

(U) It should be noted that OGC does not believe that [REDACTED] intentionally committed an IOB violation in uploading the [REDACTED] information. Nevertheless, because this action violated the relevant authorities, in accordance with the reporting requirements of Section 2.4 of Executive Order 12863, this matter is reportable.

b2
b7E

~~Derived from: G-3
Declassify on: 20170924~~

Hofmann-IOB-3734

~~SECRET//20170924~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: 06/17/2004

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Purge the information from all FBI files.

b2
b7E

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3735

~~SECRET//20170924~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1203NSL (U)

b2
b7E

(U)

(U) An electronic communication (EC) from the Federal Bureau of Investigation (FBI), [REDACTED] Division [REDACTED], dated 04/02/2007, reported a potential Intelligence Oversight Board (IOB) violation involving a National Security Letter (NSL).

b2
b7E

(S) On 07/26/2004 [REDACTED] properly prepared and issued a NSL [REDACTED] [REDACTED] associated with a U.S. Person who was the subject of an authorized investigation. The NSL requested such records for the time period "from [REDACTED] to the date of the NSL. Responsive records were provided.

b2
b7E

(U) During the March 2007 NSL audit by the Inspection Division, it was discovered that, [REDACTED] the [REDACTED] seemingly beyond the scope of the NSL in that it pre-dated by approximately one week the time period specified in the NSL. This, however, was not an over-production -- and therefore not even a potential IOB violation -- because the FBI was entitled to have all records which the [REDACTED]

b2
b7E

(U) Nevertheless, upon learning of the inspector's finding, the case agent issued a new NSL to the [REDACTED]. This new NSL stated that it was "being issued to provide legal process for the time period from the start of the [REDACTED] to [REDACTED]" The new NSL further stated that "[n]o action is required" [REDACTED] in response to the request.

b2
b7E

(U) When the case agent issued the new NSL, the FBI's investigation of the subject had closed approximately [REDACTED]. Thus, this new NSL was issued in violation of the [REDACTED] and the Attorney General Guidelines for National Security Investigations and Foreign Intelligence Collection (NSIG) because it was not relevant to an authorized investigation. [REDACTED]

b2
b7E

[REDACTED] A "curative" NSL such as this may not be issued for a closed case.

~~Derived from: G-3
Declassify on: 20170924~~

~~SECRET//20170924~~

~~SECRET//20170924~~

(U) It should be noted that, based upon discussions with the [redacted] Chief Division Counsel, OGC does not believe that the case agent intentionally committed an IOB violation in issuing the new NSL. To the contrary, OGC believes that the case agent was attempting in good faith [redacted]

b2
b7E

[redacted], of which she had just become aware per the NSL audit. Nevertheless, because this new NSL was issued in violation of the [redacted] and the NSIG, in accordance with the reporting requirements of Section 2.4 of Executive Order 12863, the error is a reportable matter under Section 2.4 of Executive Order 12863.

Hofmann-IOB-3737

page
2

~~SECRET//20170924~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: 07/26/2004

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/02/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble; least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Purge the information from all FBI files.

b2
b7E

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3738

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-2115 [REDACTED] (U)

b2
b7E

(U) In [REDACTED] in support of an authorized
[REDACTED] investigation of a U.S. person, the [REDACTED]
Division ([REDACTED]) sent a National Security Letter (NSL) to a
[REDACTED] seeking [REDACTED]
[REDACTED] In
response, [REDACTED]
[REDACTED].

b2
b7E

(U) [REDACTED], the field office that served the NSL on the
[REDACTED] by
via an electronic communication to [REDACTED]. Thereafter, [REDACTED]
used the [REDACTED]
[REDACTED] CDC has redacted the [REDACTED]
information and has forwarded the corrected data to the case
agent. Federal Bureau of Investigation, Headquarters has
directed [REDACTED] to remove any unauthorized information from all
FBI databases, with appropriate documentation to the case file.

b2
b7E

(U) In this case, an error was committed by the case agent
when he/she requested [REDACTED] pursuant to [REDACTED]
[REDACTED] for an authorized [REDACTED]
investigation that did not have an [REDACTED]

b2
b7E

IOB SUMMARY SHEET

Name of Department or Agency: Federal Bureau of Investigation CY Quarterly Report:

DATE OF INCIDENT: [REDACTED]

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: July 10, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [REDACTED])

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Report as IOB. Redact unauthorized information and purge unauthorized information from all FBI databases.

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3740

~~SECRET//20170921~~

INTELLIGENCE OVERSIGHT BOARD MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1242 (U)

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b7E

(U)

~~(S)~~ An electronic communication from the Federal Bureau of Investigation (FBI), [REDACTED] Division [REDACTED], dated 08/08/2007, reported a potential Intelligence Oversight Board (IOB) violation involving the issuance of a national security letter (NSL) approximately two months after expiration of a [REDACTED]

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b7E

(U)

~~(S)~~ On [REDACTED] two months after expiration of a [REDACTED] an agent assisting the assigned case agent and mistakenly believing that an [REDACTED] had been authorized, issued an NSL [REDACTED] belonging to the subject of the [REDACTED]. Although information obtained through the NSL has been sequestered, the issuance of an NSL is not permissible unless an authorized investigation is open. Accordingly, the Office of the General Counsel has determined that a violation has occurred and must be reported to the IOB.

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~~SECRET//20170921~~

Hofmann-IOB-3741

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY:

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)
 Other (U.S.C. citation:)

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG Approved EO 12333 Guidelines (Section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3742

~~SECRET//20170718~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1546 (U)

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b7E

(U) The [REDACTED] Field Office of the Federal Bureau of Investigation has reported an error in conjunction with an investigation of that division. In this regard, an NSL sought [REDACTED] information which is beyond the scope of an NSL. However, the [REDACTED] and [REDACTED] for the [REDACTED] (no unauthorized data was produced).

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b7E

(U) This matter has been reported to the FBI's Inspection Division for appropriate action.

~~Derived from : G-3
Declassify on: July 18, 2017~~

~~SECRET//20170718~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 4/4/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

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b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation:)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 13333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3744

DATE: 07-07-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-07-2035

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET//20170926~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1413 (U)

b2
b7E

Investigation of this IOB matter has determined that on [REDACTED] converted a [REDACTED] (the subject), a United States person, to a [REDACTED] An opening letterhead memorandum (LHM) was sent to FBI Headquarters (FBIHQ) on [REDACTED]. However, on [REDACTED] failed to submit an annual LHM to FBIHQ for passage to the Office of Intelligence Policy and Review (OIPR) of the Department of Justice (DOJ). The annual LHM was finally submitted by [REDACTED] on [REDACTED]. (S)

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(U)

In this matter, it is apparent that the [REDACTED] case agent failed to submit the annual LHM in the above case on [REDACTED]. A late annual LHM was not submitted until [REDACTED] when the error was discovered. While OIPR had notice of the opening of the [REDACTED], it was not informed by [REDACTED] of developments in this case for almost a year [REDACTED] from the time it should have received the annual LHM [REDACTED]. As a result, OGC finds that OIPR was substantially impaired from conducting oversight in this investigation. Accordingly, OGC has determined that [REDACTED] has failed to follow the guidelines set forth in [REDACTED] of the NSIG and an IOB violation has occurred. (S)

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b7E

b2
b7E

This matter also has been referred to the FBI's Internal Investigations Section of the Inspection Division for action deemed appropriate. (U)

Hofmann-IOB-3745

~~Derived from: G-3
Declassify on: 09/26/2017~~

~~SECRET//20170926~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-07-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/02/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
 Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG Approved EO 12333 Guidelines

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3746

DATE: 07-07-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-07-2035

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET//20170926~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1457 (U)

b2
b7E

~~(S)~~

Investigation of this IOB matter has determined that on

[REDACTED]
[REDACTED] (the subject), a United States person. An opening letterhead memorandum (LHM) was sent to FBI Headquarters (FBIHQ) on [REDACTED]. The annual LHM due on [REDACTED] was not completed until [REDACTED]. Since then, the investigation has been reassigned from case agent to case agent and, until recently, no annual LHM had been submitted to FBI Headquarters (FBIHQ) for passage to the Office of Intelligence Policy and Review (OIPR) of the Department of Justice (DOJ). The annual LHM was finally submitted by [REDACTED].

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(U) In this matter, an opening LHM was sent to FBIHQ, as required, on [REDACTED], reporting on the opening of the investigation on [REDACTED]. The annual LHM due on [REDACTED] however, was not completed until [REDACTED] more than [REDACTED] [REDACTED]. The annual LHMs due on [REDACTED] and [REDACTED] were finally submitted by [REDACTED] on [REDACTED]. While OIPR had notice of the opening of the investigation in [REDACTED] and was notified by [REDACTED] of developments in this case in [REDACTED] of [REDACTED] it did not hear again from [REDACTED] until [REDACTED]. [REDACTED] reports there were no contacts between that office and OIPR on this matter in the interim. As a result, OIPR was substantially impaired from conducting oversight in this investigation. Accordingly, OGC has determined that [REDACTED] has failed to follow the guidelines set forth in [REDACTED] of the The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003 and an IOB violation has occurred. ~~(S)~~

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This matter also has been referred to the FBI's Internal Investigations Section of the Inspection Division for action deemed appropriate. (U)

~~Derived from: G-3
Declassify on: 09/26/2017~~

~~SECRET//20170926~~

Hofmann-IOB-3747

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

b2
b7E

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/04/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended).

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3748

DATE: 07-07-2010
CLASSIFIED BY 65179/DNH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-07-2035

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET//20170924~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1496 (U)

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b7E

~~(S)~~
Investigation of this IOB matter has determined that on [REDACTED] opened a [REDACTED] (the subject), a United States person). According to [REDACTED], the opening letterhead memorandum (LHM) announcing the initiation of the investigation was not completed within ten days of the opening of the investigation. When a file review revealed the absence of the opening LHM, the [REDACTED] case agent submitted the document to FBI Headquarters (FBIHQ) on [REDACTED] for passage to the Office of Intelligence Policy and Review (OIPR) of the Department of Justice (DOJ). During the period when OIPR had no information about the case, the case agent conducted investigative activity.

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(U) In light of the fact that OIPR was not notified of this investigation for [REDACTED] after its initiation, OGC concludes that the delay in filing the notice substantially impaired OIPR's opportunity to provide oversight of the investigation. Accordingly, OGC has determined that [REDACTED] has failed to follow the guidelines set forth in [REDACTED] of the The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG) effective 10/31/2003, and an IOB violation has occurred. ~~(S)~~

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b7E

This matter also has been referred to the FBI's Internal Investigations Section of the Inspection Division for action deemed appropriate. (U)

~~Derived from: G-3
Declassify on: 20170924~~

Hofmann-IOB-3749

~~SECRET//20170924~~

IOB SUMMARY SHEET

b2
b7E

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/04/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
 Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG Approved EO 12333 Guidelines

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET//20320924~~

INTELLIGENCE OVERSIGHT BOARD MATTER

b2
b7E

[REDACTED] DIVISION
IOB MATTER 2007-1809 (U)

(U)

~~(S)~~ An electronic communication from the Federal Bureau of Investigation (FBI), [REDACTED] Division [REDACTED], dated 02/05/2007, reported potential Intelligence Oversight Board (IOB) violations involving the issuance of two national security letters after expiration of a [REDACTED]

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(U)

~~(S)~~ On 05/02/2006 and 06/07/2006, national security letters were issued. The first of the referenced letters was issued to a [REDACTED] requesting [REDACTED] on an [REDACTED]. The second national security letter was issued to a [REDACTED]

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[REDACTED] Conduct of the foregoing activities was not permitted unless a [REDACTED] has been opened. Accordingly, the FBI's Office of the General Counsel has determined that [REDACTED] has failed to follow the guidelines set forth in [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003, and an IOB violation has occurred. Accordingly, this matter is reported to the IOB.

~~SECRET//20320924~~

Hofmann-IOB-3751

IOB SUMMARY SHEET

Name of Department or Agency:

CY Quarterly Report:

DATE OF INCIDENT(S): 05/02/2006
06/07/2006

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY:

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation)

b2
b7E

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least-intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines ()

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3752

~~SECRET~~

(U)

INTELLIGENCE OVERSIGHT BOARD MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1494 (U)

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(S) a [REDACTED] was initiated on a U.S. person [REDACTED]. Although the [REDACTED] was scheduled for an initial period of only six months, the paperwork necessary to extend the [REDACTED] was not filed until [REDACTED]. The [REDACTED] thus was in a lapsed status between [REDACTED].

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(U)

(S) Before the error was discovered and during the period of lapse, FBI agents used [REDACTED]

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[REDACTED] After discovering the error, the case agent referred the matter to NSLB as a potential IOB matter.

(U)

(S) As the case involved a lapsed [REDACTED], NSLB's analysis focused upon the investigative tools available under a [REDACTED] under The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003. After concluding that [REDACTED] and [REDACTED] do not allow for the use of [REDACTED] in such cases, NSLB determined that the matter was reportable. This memorandum therefore constitutes notice by the FBI of the reportable violation.

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IOB SUMMARY SHEET

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Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: [REDACTED]

DATE OF REPORT TO IOB: 04/17/07

DATE OF REPORT TO DEPARTMENT/AGENCY: N/A

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
 Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG Approved EO 12333 Guidelines [REDACTED]

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b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3754

~~SECRET//20170924~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1551 (U)

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b7E

(U) By electronic communication (EC) dated April 6, 2007, the [REDACTED] Field Office [REDACTED] reported this potential IOB error in conjunction with an open [REDACTED] [REDACTED] of a United States Person.

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b7E

(U) ~~(S)~~ On [REDACTED] initiated a [REDACTED] [REDACTED] of a United States Person under the approval of a Supervisory Special Agent rather than the Special Agent in Charge (SAC) or Assistant SAC (ASAC).

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b7E

(U) ~~(S)~~ [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003, clearly provides:

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(U) ~~(S)~~ A [REDACTED] initiated by a field office must be approved by the Special Agent in Charge if the investigation involves a sensitive national security matter. A [REDACTED] of a foreign official or visitor from a threat country may be approved by the Special Agent in Charge or, as authorized by the Special Agent in Charge, by an Assistant Special Agent in Charge or squad supervisor with responsibility for national security investigations. All other [REDACTED] may be approved by the Special Agent in Charge or, as authorized by the Special Agent in Charge, by an Assistant Special Agent in Charge with responsibility for national security investigations.

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b2
b7E

(U) ~~(S)~~ [REDACTED] has been instructed to have the ASAC or SAC review the opening EC to ensure that the predicate at that time was sufficient for opening [REDACTED]

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b7E

Hofmann-IOB-3755

~~SECRET//20170924~~

IOB SUMMARY SHEET

b2
b7E

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/06/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG-Approved EO 12333

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b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Return or destroy

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3756

~~SECRET//20170924~~

b2
b7E

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1551 (U)

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b7E

(U) (U) By electronic communication (EC) dated April 5, 2007, the [REDACTED] Field Office (Los Angeles) reported this potential IOB error in conjunction with an open [REDACTED] [REDACTED] of a United States Person.

b2
b7E

(U) (S) On [REDACTED] [REDACTED] of a United States Person under the approval of a Supervisory Special Agent rather than the Special Agent in Charge (SAC) or Assistant SAC (ASAC).

b2
b7E

(U) (S) [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG); effective 10/31/2003, clearly provides:

(U) (S) A [REDACTED] initiated by a field office must be approved by the Special Agent in Charge if the investigation involves a sensitive national security matter. A [REDACTED] of a foreign official or visitor from a threat country may be approved by the Special Agent in Charge or, as authorized by the Special Agent in Charge, by an Assistant Special Agent in Charge or squad supervisor with responsibility for national security investigations. All other [REDACTED] may be approved by the Special Agent in Charge or, as authorized by the Special Agent in Charge, by an Assistant Special Agent in Charge with responsibility for national security investigations.

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b7E

(U) (S) [REDACTED] has been instructed to have the ASAC or SAC review the opening EC to ensure that the predicate at that time was sufficient for opening a full investigation.

b2
b7E

Hofmann-IOB-3757

~~SECRET//20170924~~

IOB SUMMARY SHEET

b2
b7E

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Return or destroy

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3758

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-941 (U)

b2
b7E

~~(S)~~ By electronic communication ("EC") dated March 22, 2007, the [REDACTED] Field Office ([REDACTED]) reported a potential IOB error in conjunction with its [REDACTED], a United States Person.

b1
b2
b6
b7C
b7E

(U)

~~(S)~~ As required under [REDACTED]

[REDACTED]

b2
b7E

(U)

[REDACTED] and is therefore required to report this matter to the IOB.

b2
b7E

~~X~~

Hofmann-IOB-3759

IOB SUMMARY SHEET

Name of Department or Agency: FBI
CY Quarterly Report:
DATE OF INCIDENT: 03/06/2007
DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/22/2007 (IOB 2007-941)
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)
 Other (U.S.C. citation: 12 USC § 3393(b))

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG-Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Purge data obtained without proper certification.

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3760

~~SECRET//20170816~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1886NSL (U)

b2
b7E

(S) An electronic communication (EC) from the Federal Bureau of Investigation (FBI), [REDACTED] Division [REDACTED] dated 04/10/2007, reported a potential Intelligence Oversight Board (IOB) violation involving a National Security Letter (NSL).

b2
b7E

(U)

(S) On 12/09/2003, [REDACTED] issued an NSL seeking [REDACTED] [REDACTED] for a U.S. Person who was the target of an authorized [REDACTED] investigation. Prior to [REDACTED] forwarding this NSL to the [REDACTED] Division for service upon the [REDACTED] it was signed by the acting [REDACTED] Special Agent in Charge (SAC).

b2
b7E

(U) Pursuant to section 505 of the USA PATRIOT Act (P.L. 107-56), the Director delegated authority to sign National Security Letters to the SACs of the various field offices on 11/09/2001. At that time, OGC took the position that [REDACTED]

b5

[REDACTED] Therefore, this matter is reportable under Section 2.4 of Executive Order 12863.

~~Derived from: G-3
Declassify on: 20170816~~

Hofmann-IOB-3761

~~SECRET//20170816~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: 12/09/2003

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Data obtained pursuant to NSL without proper authorization to be purged from all FBI records.

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3762

~~SECRET//20170817~~

b2
b7E

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-904NSL (U)

(S) An electronic communication (EC) from the Federal Bureau of Investigation (FBI), [REDACTED] Division [REDACTED], dated 04/09/2007, reported a potential Intelligence Oversight Board (IOB) violation involving a National Security Letter (NSL).

b2
b7E

(U)

(S) On 11/05/2003 [REDACTED] drafted a NSL seeking [REDACTED] [REDACTED] for a U.S. Person who was the subject of an authorized investigation. Prior to [REDACTED] forwarding this NSL to the FBI's [REDACTED] Division for service upon the [REDACTED] [REDACTED] it was signed by the acting [REDACTED] Special Agent in Charge (SAC).

b2
b4
b7D
b7E

(U) Pursuant to section 505 of the USA PATRIOT Act (P.L. 107-56), the Director delegated authority to sign National Security Letters to the SACs of the various field offices on 11/09/2001. At that time, OCC took the position that [REDACTED]

b5

[REDACTED] Therefore, this matter is reportable under Section 2.4 of Executive Order 12863.

~~Derived from: G-3
Declassify on: 20170817~~

Hofmann-IOB-3763

~~SECRET//20170817~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: 11/05/2003

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Data obtained pursuant to NSL without proper authorization to be purged from all FBI records.

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3764

~~SECRET//20170809~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-982 (U)

b2
b7E

(U)

(S) By electronic communication dated March 27, 2007, the Federal Bureau of Investigation, [REDACTED] Field Office [REDACTED], reported that a [REDACTED] was initiated United States person on [REDACTED]. The [REDACTED]. Due to a typographical error, [REDACTED] believed that the [REDACTED] [REDACTED] was authorized [REDACTED]. Based upon this mistaken belief, on [REDACTED] interviewed the subject of the investigation. The investigation was closed on [REDACTED].

b2
b7E

(U)

(S) As provided in [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31, 2003, [REDACTED] is required to interview the subject of an investigation. In this case, the [REDACTED]. Due to a mistaken belief that the [REDACTED] was still active, on [REDACTED] interviewed the subject of the investigation. Accordingly, the FBI conducted an interview of the subject of an investigation in violation of the NSIG, and this error must be reported to the IOB.

b2
b7E

Hofmann-IOB-3765

(U) ~~Derived From : G-3
Declassify On: 2017/08/09~~

~~SECRET//20170809~~

IOB SUMMARY SHEET

Name of Department or Agency: Federal Bureau of Investigation CY Quarterly Report:

DATE OF INCIDENT: b2
b7E DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: March 27, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DOJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: The Attorney General's
Guidelines for FBI National Security Investigations and Foreign Intelligence Collection

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Reported the incident to the Intelligence Oversight Board.

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3766

~~SECRET//20170806~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1079NSL (U)

b2
b7E

(S) By electronic communication dated April 6, 2007, the Federal Bureau of Investigation (FBI), [REDACTED] Field Office, reported that a [REDACTED] was initiated on a [REDACTED]. The investigation expired on [REDACTED]. It appears that no investigative activity was conducted during the [REDACTED] and [REDACTED]. The case was closed on [REDACTED].

b2
b7E

(U)

(S) Following the expiration of the [REDACTED] and before the investigation was closed, on [REDACTED] the FBI interviewed [REDACTED] of the subject [REDACTED] indicated that, in his position, [REDACTED].

b2
b7E

(U) (S) As provided in section [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31, 2003, a [REDACTED] is required to interview the subject of an investigation. In this case, the FBI interviewed [REDACTED] indicated that, [REDACTED] in the United States. Because [REDACTED] the FBI was, in [REDACTED]. Accordingly, interviewing [REDACTED] in [REDACTED] must be reported to the Intelligence Oversight Board.

b2
b7E

b2
b7E

(U) ~~Derived From : G-3
Declassify On: 2017/08/06~~

~~SECRET//20170806~~

IOB SUMMARY SHEET

b2
b7E

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/06/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation

b2
b7E

AG APPROVED EO 12333 GUIDELINES

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

Reported incident to the FBI's Office of the General Counsel

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3768

~~SECRET//20170807~~

b2
b7E

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] OFFICE
IOB MATTER 2007-1714

(U)

~~(S)~~ On May 7, 2007, the [REDACTED] Office reported that a [REDACTED] was initiated on [REDACTED]. The [REDACTED] on [REDACTED] after the investigation had expired, [REDACTED]

b2
b7E

(U)

~~(S)~~ The The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31, 2003, at [REDACTED] specifically identifies [REDACTED] as an investigative technique which is only available in the [REDACTED] Office was not authorized to conduct [REDACTED]

b2
b7E

~~Derived From : G-3
Declassify On: 08/07/2017~~

~~SECRET//20170807~~

* ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI

b2
b7E

CY Quarterly Report: 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: May 7, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

___ FISA (SO U.S.C. 1801)
___ Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

___ Section 2.3 collection & dissemination of USP info
___ Section 2.4 preamble: least intrusive collection
___ Section 2.4(a) CIA electronic surveillance w/in US
___ Section 2.4(b) unconsented physical search w/in US
___ Section 2.4(c) physical surveillance of USP w/in US
___ Section 2.4(d) physical surveillance of USP abroad
___ Section 2.5 AG approval w/in US or against USP abroad
___ Section 2.6 assistance to law enforcement
___ Section 2.7 contracting
___ Section 2.9 undisclosed participation
___ Section 2.10 human experimentation
___ Section 2.11 prohibition on assassination
___ Section 2.12 indirect participation
___ AG Approved EO 12333 Guidelines

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

___ Creation of unauthorized SAP (EO 12958, as amended)
___ Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

___ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
___ Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3770

~~SECRET//20170808~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] OFFICE
IOB MATTER 2007-2058

b2
b7E

(S) On [REDACTED] office reported that a [REDACTED] had been initiated on a U.S. Person on [REDACTED] and that on [REDACTED] was [REDACTED]. No opening notification was provided to FBI Headquarters to forward to the Department of Justice Office of Intelligence Policy Review (OIPR) until [REDACTED]

b2
b7E

(U)

(S) As provided in [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field [REDACTED]" After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General.

b2
b7E

(U)

(S) If OIPR's oversight ability has been "substantially impaired" by an error, the FBI reports the mistake. In this situation, the notice required within ten days of initiation of an investigation was not provided to FBIHQ to notify OIPR until the investigation had been ongoing for [REDACTED]. No other form of notice to the Department of Justice could be identified to mitigate this lack of notice. Because of this complete lack of notice for [REDACTED] OIPR's oversight ability was substantially impaired. Consequently, we are reporting this matter for your review.

b2
b7E

(U)

~~(S) Derived from: G-3
Declassify on: 20170808~~

~~SECRET//20170808~~

Hofmann-IOB-3771

IOB SUMMARY SHEET

Name of Department or Agency: FBI

b2
b7E

CY Quarterly Report: 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 07/06/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (SO U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3772

~~SECRET//20170824~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] OFFICE
IOB MATTER 2007-0878 (U)

b2
b7E

(U) ~~(S)~~ On April 6, 2007, the [REDACTED] office [REDACTED] of the Federal Bureau of Investigation (FBI) reported that no notice was provided to FBIHQ [REDACTED] of a presumed U.S. Person on [REDACTED]. The [REDACTED] expired after six months. This error was identified during the March 2007 audit on the use of National Security Letters.

b2
b7E

(U) ~~(S)~~ As provided in [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), a "field office shall notify FBI Headquarters within ten working days of the initiation by the field [REDACTED]" Because this notification was never submitted; FBIHQ and DOJ were unable to review or provide oversight of this investigation.

b2
b7E

~~Derived from: G-3
Declassify on: 08/24/2017~~

~~SECRET//20170824~~

Hofmann-IOB-3773

IOB SUMMARY SHEET

Name of Department or Agency: FBI

b2
b7E

CY Quarterly Report: 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: April 6, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3774

~~SECRET//20170824~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1195 (U)

b2
b7E

(U)

(S) As provided in [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), a "field office shall notify FBI Headquarters within ten working days of the initiation by the field [REDACTED]"

b2
b7E

(U)

(S) On March 21, 2007, the [REDACTED] Federal Bureau of Investigation office reported a violation of these notification requirements [REDACTED]

b2
b7E

[REDACTED] of a U.S. Person. Specifically, on [REDACTED] [REDACTED] initiated [REDACTED], but provided no notice to the FBI Headquarters. [REDACTED] was not

[REDACTED] however, the case was reopened on [REDACTED] [REDACTED] Again, no notification was provided to FBIHQ. When the error was discovered in March 2007, notification was provided to FBIHQ. However, because no notification was provided from [REDACTED] until March 2007, FBIHQ and the Department of Justice Office of Intelligence Policy and Review were unable to review or provide oversight.

b2
b7E

~~Derived from: Multiple Sources
Declassify on: 08/24/2017~~

~~SECRET//20170824~~

Hofmann-IOB-3775

IOB SUMMARY SHEET

Name of Department or Agency: FBI

b2
b7E CY Quarterly Report: 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: March 21, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)
 Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG Approved EO 12333 Guidelines

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3776

~~SECRET//20170817~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1006 (U)

b2
b7E

(S) The [REDACTED] Division [REDACTED] of the Federal Bureau of Investigation (FBI) reported a possible Intelligence Oversight Board (IOB) violation involving a late notification of the opening of a [REDACTED]. On [REDACTED] [REDACTED] initiated [REDACTED]. The initial 10-day notification to FBIHQ was never completed. The case was [REDACTED]. No initiation LHM was submitted to FBIHQ.

b2
b7E

(U)

(S) As provided in [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), a "field office shall notify FBI Headquarters within ten working days of the initiation by the field [REDACTED]" FBIHQ and DOJ first learned of the [REDACTED] during an audit on the use of NSLs in March of 2007. Because this notification was never provided, FBIHQ and DOJ were unable to provide meaningful oversight and review of this investigation.

b2
b7E

~~Derived from: G-3
Declassify on: 08/17/2017~~

~~SECRET//20170817~~

Hofmann-IOB-3777

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of UBP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AC APPROVED EO 12333 GUIDELINES

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, aa amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3778

(U)

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1511 NSL (U)

b2
b7E

(S) By electronic communication dated [REDACTED] the [REDACTED] field office [REDACTED] advised that a [REDACTED] on a U.S. person had been initiated. As part of the investigation, [REDACTED] drafted an NSL and the requisite EC [REDACTED]. However, the hard copy of the NSL [REDACTED] related to [REDACTED] that was not related to the target. The NSL results were uploaded into FBI databases.

b2
b7E

(U)

(S) Because this was an error by the FBI, although unintentional, it is reportable to the IOB. [REDACTED] must purge all information that was improperly collected from all FBI databases and all hard copies must be sequestered and destroyed.

b2
b7E

(U)

(S) As stated above, a [REDACTED] of the target was initiated on [REDACTED]. According to [REDACTED] the annual LHM was not submitted until [REDACTED]. With respect to a [REDACTED] the FBI is required to notify and provide OIPR and the Criminal Division with a summary of the investigation "at the end of each year a [REDACTED]. The extensive delay in submitting the annual LHM has precluded OIPR from exercising their oversight responsibility and must therefore be reported to the IOB.

b2
b7E

~~Derived from: G-3
Declassify on: 08/30/2017~~

~~SECRET~~

Hofmann-IOB-3779

JOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 2007

DATE OF INCIDENT: []

DATE OF REPORT TO IOB: 8/2/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 8/2/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

___ FISA (50 U.S.C. 1801)

___ Other (U.S.C. citation: [])

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- ___ Section 2.4 preamble: least intrusive collection
- ___ Section 2.4(a) CIA electronic surveillance w/in US
- ___ Section 2.4(b) unconsented physical search w/in US
- ___ Section 2.4(c) physical surveillance of USP w/in US
- ___ Section 2.4(d) physical surveillance of USP abroad
- ___ Section 2.5 AG approval w/in US or against USP abroad
- ___ Section 2.6 assistance to law enforcement
- ___ Section 2.7 contracting
- ___ Section 2.9 undisclosed participation
- ___ Section 2.10 human experimentation
- ___ Section 2.11 prohibition on assassination
- ___ Section 2.12 indirect participation

___ AG APPROVED EO 12333 GUIDELINES (Guideline name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

___ Creation of unauthorized SAP (EO 12958, as amended)

___ Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

___ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

___ Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] RESIDENT AGENCY
IOB MATTER 2007-952 (U)

b2
b7E

(U)

~~(S)~~ By electronic communication dated March 26, 2007,
the Federal Bureau of Investigation, [REDACTED] Residency
Agency, [REDACTED] on a United
States Person was initiated on [REDACTED]. The
[REDACTED]. After the

b2
b7E

[REDACTED]
[REDACTED] The [REDACTED]
[REDACTED]

~~(S)~~ As provided in [REDACTED] of The
Attorney General's Guidelines for FBI National Security
Investigations and Foreign Intelligence Collection, effective
October 31, 2003, at least a [REDACTED]

b2
b7E

(U)

[REDACTED]

expired. Accordingly, this error must be reported to the IOB.

Hofmann-IOB-3781

~~Derived from: G-3
Declassify on: 07/05/2032~~

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

b2
b7E

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/26/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
 Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG Approved EO 12333 Guidelines

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3782

~~SECRET//20170921~~

INTELLIGENCE OVERSIGHT BOARD MATTER
[REDACTED] DIVISION
IOB MATTER 2007-942NSL (U)

b2
b7E

(U)

(S) An electronic communication from the Federal Bureau of Investigation (FBI) [REDACTED] [REDACTED] Division [REDACTED], dated 03/23/2007, reported a potential Intelligence Oversight Board (IOB) violation involving the conduct of an interview [REDACTED] after expiration of a [REDACTED]

b2
b7E

(U)

(S) On [REDACTED] after expiration of a [REDACTED] the assigned case agent interviewed the subject, a naturalized U.S. citizen. Although information obtained in the interview prompted the case agent to recommend that the investigation be closed and the investigation closed shortly thereafter, the [REDACTED]

b2
b7E

[REDACTED] Accordingly, the FBI's Office of the General Counsel has determined that [REDACTED] has failed to follow the guidelines set forth in [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003, and an IOB violation has occurred. Accordingly, this matter is reported to the IOB.

~~SECRET//20170709~~

Hofmann-IOB-3783

IOB SUMMARY SHEET

Name of Department or Agency: FBI b2
b7E CY Quarterly Report: 2007

DATE OF INCIDENT: DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY:

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)
 FISA (50 U.S.C. 1801)
 Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET//20170711~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1318 (U)

b2
b7E

(U)

~~(S)~~ The [REDACTED] Field Office of the Federal Bureau of Investigation has reported an error in conjunction with an investigation of that division. In this regard, a [REDACTED] [REDACTED] in an NSL seeking [REDACTED] varied by [REDACTED]. However, only a [REDACTED] [REDACTED] which was the number for a [REDACTED] -the [REDACTED]. Therefore, there was no violation of any civil rights stemming from this mistake.

b2
b7E

(U) This matter has been reported to the FBI's Inspection Division for appropriate action.

~~Derived from : G-3
Declassify on: July 11, 2017~~

~~SECRET//20170711~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 4/5/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3786

~~SECRET//20170711~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1864 (U)

b2
b7E

(U) The [REDACTED] Field Office of the Federal Bureau of Investigation has reported an error in conjunction with an investigation of that division. In this regard, [REDACTED] [REDACTED] in an NSL [REDACTED] was inadvertently transposed; however no records were produced because there were none associated with the mistaken address.

b2
b7E

(U) This matter has been reported to the FBI's Inspection Division for appropriate action.

~~Derived from : G-3
Declassify on: July 11, 2017~~

~~SECRET//20170711~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 4/3/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation)

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3788

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1051 (U)

b2
b7E

(U) By electronic communication dated 03/28/2007, the [REDACTED] Division [REDACTED] advised that on 10/11/2005, [REDACTED] drafted a National Security Letter (NSL) requesting [REDACTED] and [REDACTED] [REDACTED] target, a U.S. person, of an investigation for the time period ranging from [REDACTED] to the "date of this letter." The NSL was dated 10/11/2005. In addition to records from the time period listed in the NSL, the [REDACTED] [REDACTED] [REDACTED] the date of the NSL. [REDACTED] were listed earlier in the [REDACTED] [REDACTED] uploaded into ACS and [REDACTED].

b2
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b2
b7E

(U) Pursuant to the 07/31/2007 instructions from the IOB, the FBI is reporting this matter because the uploading of the over-produced information arguably constitutes a compounding of the third party error [REDACTED].

b2
b7E

(U) [REDACTED] has been instructed to immediately sequester the over-produced information and any FBI records containing the over-produced information, including records on ACS and [REDACTED]. If [REDACTED] considers the over-produced information relevant to its investigation, it will cure the over-production by issuing to [REDACTED] another NSL with a time period that encompasses the relevant information. If [REDACTED] deems the over-produced information not relevant to its investigation, it will contact [REDACTED] and ask whether the over-produced information should be returned or destroyed, with appropriate documentation to the file.

b2
b7E

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/28/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation):

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation:)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1297-[REDACTED] (U)

(U)

b2
b7E

(S) During a [REDACTED] of a
U.S. person, [REDACTED] issued two (2) [REDACTED] NSLs under [REDACTED]
[REDACTED]
[REDACTED] respectively. Since discovering that these NSLs had
been issued, [REDACTED] has sequestered the responses from the
[REDACTED]

b2
b7E

(U) In this case, an error was committed by the case agent
when he/she requested a [REDACTED] pursuant to [REDACTED]
[REDACTED]
[REDACTED] that did not have an [REDACTED]

b2
b7E

(U) [REDACTED] has been instructed to contact [REDACTED]
[REDACTED] and ask whether the respective responses to
the NSLs should be returned or destroyed, with appropriate
documentation to the file.

b2
b7E

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/12/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3792

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER [REDACTED] b2
[REDACTED] FIELD OFFICE b7E
IOB MATTER 2007-1335-[REDACTED] (U)

~~(S)~~ During a [REDACTED] of a
U.S. person, [REDACTED] issued [REDACTED] NSLs under [REDACTED]

b2
b7E

[REDACTED]
[REDACTED], respectively. Since discovering that these NSLs had
been issued, [REDACTED] has sequestered the responses from the
[REDACTED]

(U) In this case, errors were committed by the case agent
when he/she requested [REDACTED]
[REDACTED] for an authorized [REDACTED]
[REDACTED] that did not have an [REDACTED]

b2
b7E

(U) [REDACTED] has been instructed to immediately redact
all information obtained pursuant to the [REDACTED] NSLs
from all hard and uploaded records, including documents on ACS.
[REDACTED] has also been instructed to contact the [REDACTED]
[REDACTED] and ask whether the respective responses to
the NSLs should be returned or destroyed, with appropriate
documentation to the file.

b2
b7E

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: (three incidents [redacted])

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/12/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [redacted])

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1336-[REDACTED] (U)

b2
b7E

(U)

(S) During a [REDACTED] of a
U.S. person, [REDACTED] issued [REDACTED] NSLs under [REDACTED]

b2
b7E

[REDACTED]
[REDACTED] respectively. Since discovering that these NSLs had
been issued, [REDACTED] has sequestered the responses from the
[REDACTED]

(U) In this case, errors were committed by the case agent
when he/she requested [REDACTED] pursuant to [REDACTED]
[REDACTED] for an [REDACTED]
[REDACTED] that did not have an [REDACTED]

b2
b7E

(U) Philadelphia has been instructed to immediately redact
all information obtained pursuant to the [REDACTED] NSLs
from all hard and uploaded records, including documents on ACS.
[REDACTED] has also been instructed to contact [REDACTED]
[REDACTED] and ask whether the respective responses to
the NSLs should be returned or destroyed, with appropriate
documentation to the file.

b2
b7E

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: (three incidents)

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/12/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3796

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED] DIVISION
IOB MATTER 2007-2123 (U)

(S) On 02/10/2007 [REDACTED] drafted a National Security Letter (NSL) requesting [REDACTED] and [REDACTED] records for a [REDACTED] reportedly used by the target of the investigation for the time period ranging from [REDACTED]. [REDACTED] forwarded the NSL to the [REDACTED] Division [REDACTED] for service on the [REDACTED] (provider). [REDACTED] served the NSL and obtained [REDACTED] response on [REDACTED].

b2
b7E

(U)

(S) In its response, the [REDACTED] included records indicating that the target was the [REDACTED]. [REDACTED] also produced [REDACTED] for the [REDACTED], a presumed U.S. person, who preceded the target. The information pertaining to the [REDACTED] ranged from [REDACTED] much of which pre-dated the time period articulated in the NSL.

b2
b7E

(U) On [REDACTED] forwarded the [REDACTED] to [REDACTED]. In the EC accompanying the [REDACTED] [REDACTED] stated the [REDACTED] specifics, for the [REDACTED]. The EC was uploaded onto ACS.

b2
b7E

(U) Upon receiving the [REDACTED] and discovering the over-production, [REDACTED] gave the Case Agent a hard copy of the [REDACTED] solely pertaining to the subject. The CD containing the remaining information that relates to the [REDACTED] has been sequestered with [REDACTED] Chief Division Counsel (CDC), pending direction from OGC. [REDACTED] has also sequestered the hard copy of [REDACTED] EC.

b2
b7E

(U) Pursuant to the 07/31/2007 instructions from the IOB, the FBI is reporting this matter because the uploading of the over-produced information arguably constitutes a compounding of the third party error by the provider.

(U) [REDACTED] has been instructed to contact [REDACTED] and ask whether the over-produced information should be returned or destroyed, with appropriate documentation to the file. [REDACTED] has been instructed to remove the over-produced information from ACS by either permanently charging-out the EC or redacting the appropriate portions from the uploaded version.

b2
b7E

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 07/11/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTERS 2007-1341-[REDACTED] 2007-1342-[REDACTED]
AND 2007-1343-[REDACTED] (U)

b2
b7E

(U)

~~(S)~~ During a [REDACTED]
of a U.S. person, [REDACTED] issued three (3) [REDACTED] NSLs under
[REDACTED]
[REDACTED], respectively. Two of the [REDACTED]
produced [REDACTED] in response. [REDACTED] did not
receive a response from the third agency. The two [REDACTED]
[REDACTED] are currently in the investigative file.

b2
b7E

(U) In this case, errors were committed by the case agent
when he/she requested [REDACTED] pursuant to [REDACTED]
[REDACTED]
[REDACTED] that did not have an [REDACTED]

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(U) [REDACTED] has been instructed to immediately
sequester all copies of the [REDACTED], as well as any
hard and/or uploaded documents containing information from the
[REDACTED] with the [REDACTED] Chief Division Counsel
(CDC). [REDACTED] has also been instructed to contact the
[REDACTED] and ask whether the respective
responses to the NSLs should be returned or destroyed, with
appropriate documentation to the file. Finally, [REDACTED] has
been instructed to redact all information obtained pursuant to
the [REDACTED] NSLs from all of the hard and/or uploaded
documents.

b2
b7E

IOB SUMMARY SHEET

Name of Department or Agency: FBI CY Quarterly Report:
DATE OF INCIDENT: (three incidents) [] DATE OF REPORT TO IOB:
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)
 Other (U.S.C. citation: [])

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: [])

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation: [])

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation: [])

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTERS 2007-1236 AND 1237 (U)

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b7E

~~(S)~~ By two Electronic Communications (EC) dated 04/10/2007, the [REDACTED] Field Office [REDACTED] reported two potential IOB violations. On 08/15/2005 [REDACTED] properly drafted several National Security Letters (NSLs) to [REDACTED] requesting [REDACTED] pertaining to a U.S. person who was the target of an authorized [REDACTED] investigation. The NSLs requested [REDACTED] for the period beginning [REDACTED] through the "present." One of the NSLs was sent to the [REDACTED] Division [REDACTED] for service on a [REDACTED]

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b7E

[REDACTED] forwarded documents that it obtained pursuant to the NSL. In addition to documents within the dates listed in the NSL, the [REDACTED] also produced records that pre-dated the time period. On [REDACTED] the Case Agent drafted an EC summarizing the [REDACTED] records and included information that pre-dated the NSL's time period. The EC was subsequently uploaded onto ACS..

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On [REDACTED] the [REDACTED]

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b7E

On [REDACTED] the Case Agent and another agent attempted to interview the target of the [REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

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b7E

On [REDACTED] the target telephoned the Case Agent and requested to be interviewed. The investigation was administratively closed on [REDACTED] the Case Agent interviewed the target.

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b7E

(U)

(U) Pursuant to the 07/31/2007 instructions from the IOB, the FBI is reporting the over-production because the uploading of the over-produced information arguably constitutes a compounding of the third party error by the financial institution.

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~~(S)~~ Furthermore, as provided in [REDACTED] of The Attorney

General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31, 2003, [redacted] is required to interview the subject of an investigation. In this case, the Case Agent interviewed the target, albeit with his consent, after [redacted]. Accordingly, the FBI is reporting this matter.

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(U) [redacted] has sequestered the over-production, will purge the over-production from all FBI systems, and will contact the carrier and arrange for either the return or the destruction of the over-production with the appropriate documentation to the file.

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b2
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IOB SUMMARY SHEET

Name of Department or Agency:

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 4/10/07 and 4/10/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines _____

b2
b7E

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-2405 [REDACTED] (U)

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b7E

(U) In September 2005, in support of an authorized [REDACTED] investigation of a U.S. person, the [REDACTED] Division ([REDACTED]) sent a National Security Letter (NSL) to a [REDACTED] seeking a [REDACTED] pursuant to the [REDACTED]. In response, the [REDACTED] [REDACTED].

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(U) Upon receipt of the [REDACTED] case agent placed it in a 1A investigation file envelope and never uploaded any of the [REDACTED] information in any FBI database. Upon learning that the [REDACTED] was improperly obtained, the case agent sealed the [REDACTED] and sequestered it in the [REDACTED] Chief Division Counsel's (CDC) safe. Federal Bureau of Investigation, Headquarters has directed [REDACTED] CDC to redact all information from the full credit report that is not authorized by [REDACTED] [REDACTED] prior to returning the report to the case agent and to appropriately document the case file.

b2
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(U) In this case, an error was committed by the case agent when s/he requested a [REDACTED] pursuant to [REDACTED] [REDACTED] for an authorized [REDACTED] investigation that did not have an [REDACTED].

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IOB SUMMARY SHEET

Name of Department or Agency: Federal Bureau of Investigation CY Quarterly Report:

DATE OF INCIDENT: On or about

DATE OF REPORT TO JOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: March 9, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

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b7E

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Report to IOB. Redact unauthorized information.

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1850 (U)

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b7E

(U) By electronic communication ("EC") dated March 12, 2007, the [REDACTED] Field Office [REDACTED] reported a potential IOB error in conjunction with its current [REDACTED] of a United States Person.

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b7E

(U) On 11/16/05 [REDACTED] Division [REDACTED] drafted an NSL to a [REDACTED], seeking [REDACTED] on a U.S. person who was the target of an authorized [REDACTED] investigation. Specifically, [REDACTED] cited [REDACTED] and requested the [REDACTED]

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b7E

forwarded the NSL to [REDACTED] for service on the agency. [REDACTED] served the NSL on [REDACTED] and received responsive records on the same date. On [REDACTED] [REDACTED] forwarded the responsive records to [REDACTED].

(U)

(S) The records produced by the agency were not limited to the information requested, i.e., the [REDACTED]. Rather, the agency provided [REDACTED]. Although the [REDACTED] were not uploaded onto any FBI system, [REDACTED] did not sequester them. [REDACTED] instead analyzed the [REDACTED] and used the information to support its request for an [REDACTED]. In its request, [REDACTED] indicated that [REDACTED]

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b7E

[REDACTED] granted [REDACTED] request, instructing [REDACTED] to "pursue [REDACTED]"

b2
b7E

(U) The acquisition of the [REDACTED] by the FBI occurred through no fault of the FBI. The [REDACTED] produced the reports without a request to do so.¹ [REDACTED], however, failed to sequester the [REDACTED] and used them to support a request to extend the investigation. In light of IOB's letter dated 07/31/2007, indicating that third party errors are not reportable "provided the FBI has done nothing to compound the error, such as uploading, disseminating, or using the overly-produced information for lead purposes," the FBI has elected to report this matter.

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¹ (U) It should be noted that the FBI could have requested a [REDACTED] because this was a [REDACTED] investigation. Hormann-IOB-3806

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b7E

(U) [redacted] and [redacted] have been instructed to immediately sequester all hard copies of the [redacted] remove all [redacted] from all FBI databases, and destroy or return to the agency, with appropriate documentation to the file, any hard copies in the possession of [redacted] and [redacted] respectively.

b2
b7E

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 3/17/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

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POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation:)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1377 (U)

b2
b7E

(U) By electronic communication ("EC") dated April 25, 2007, the [REDACTED] Division ([REDACTED]) reported a potential IOB error in conjunction with its current [REDACTED] of a United States Person.

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b7E

(U) ~~(S)~~ On 06/05/2006 [REDACTED] properly issued a National Security Letter (NSL) to a [REDACTED] requesting [REDACTED] pertaining to a U.S. person who is the target of an authorized [REDACTED] investigation. The NSL requested [REDACTED] for the period beginning [REDACTED] through the "present." The NSL was served on the [REDACTED] by the [REDACTED] Division [REDACTED]; which also received the [REDACTED] s response. [REDACTED] forwarded the [REDACTED] s response to [REDACTED] on [REDACTED]. In the EC accompanying the [REDACTED] s response, [REDACTED] advised [REDACTED] that the [REDACTED] s database did not include any checking, [REDACTED] for the subject. The [REDACTED] did, however, locate a [REDACTED] for the target and produced documents pertaining to the [REDACTED]. Notably, the target signed the [REDACTED]

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b2
b7E

(U) On 08/03/2006 [REDACTED] prepared and uploaded an EC summarizing the bank's response to the NSL. [REDACTED] listed information, including the [REDACTED]

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b7E

(U) Pursuant to the 07/31/2007 instructions from the IOB, the FBI is reporting this matter because the uploading of the over-produced information arguably constitutes a compounding of the third party error by the bank.

(U) [REDACTED] has been instructed to immediately sequester the over-produced information and charge-out from ACS the EC containing the over-produced information. If [REDACTED] considers the over-produced information relevant to its investigation, it will cure the over-production by issuing to the [REDACTED] another NSL with a time period that encompasses the relevant information. [REDACTED] would then un-sequester the information and charge-in the EC.

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(U) If [REDACTED] deems the over-produced information not relevant to its investigation, it will contact the [REDACTED] and ask whether the over-produced information should be returned or destroyed, with appropriate documentation to the file. [REDACTED] would then permanently charge-out the EC with the over-produced information.

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Hofmann-IOB-3809

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 4/25/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

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b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)
 Other (U.S.C. citation:)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1428 (U)

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b7E

(U) By electronic communication ("EC") dated April 17, 2007, the [REDACTED] Division [REDACTED] reported a potential IOB error in conjunction with its current [REDACTED] of a United States Person.

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b7E

(U) On 01/24/2003 [REDACTED] drafted a National Security Letter (NSL) to a [REDACTED] seeking [REDACTED] on a U.S. person who was the target of an authorized [REDACTED] investigation. Citing [REDACTED] directed the agency to provide [REDACTED]

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[REDACTED] " The NSL was served on the agency on [REDACTED] by the [REDACTED] Division [REDACTED]. Responsive records were provided to [REDACTED] which forwarded the records to [REDACTED]. Despite the fact that the NSL requested only [REDACTED] information, the agency produced a [REDACTED]

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(U)

~~(S)~~ On [REDACTED]

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(U)

~~(S)~~ On 05/29/2003 [REDACTED] drafted another NSL to the same [REDACTED]

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b7E

[REDACTED] The NSL was served on the agency on [REDACTED] by the [REDACTED] Division [REDACTED]. Responsive records, including another [REDACTED], were provided to [REDACTED], which again forwarded the records to [REDACTED]. Because these documents were nearly identical to the previous production, they were not sent to FBIHQ for analysis.

(U) Upon closing its substantive file, [REDACTED] sent its documents to FBIHQ, where investigation is ongoing. The [REDACTED]

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[REDACTED] Case Agent.

(U) In this situation, an error on the part of the [REDACTED] resulted in the unintentional acquisition of information. During an authorized investigation, [REDACTED] issued proper NSLs pursuant to [REDACTED]. In addition to providing responsive information to which [REDACTED] was entitled, however, the [REDACTED] also provided information beyond the scope of the NSL request, specifically, a [REDACTED]

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(U) The [redacted] s mistake alone is not reportable to the IOB because the FBI did not act unlawfully or contrary to Executive Order or Presidential Directive with regards to the third party over-production. In this instance, however, the FBI has compounded the error by forwarding the target's [redacted] [redacted] rather than immediately sequestering the information. Consequently, this matter must be referred to the IOB.

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(U) [redacted] have been instructed to remove all copies of the [redacted] from all FBI databases and search their respective files for physical copies. Furthermore, they have been told that any physical copies that are located should be returned to [redacted] will then either destroy [redacted] or return them to the [redacted].

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IOB SUMMARY SHEET

Name of Department or Agency: FBI b2
b7E CY Quarterly Report:

DATE OF INCIDENT: [redacted] DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 4/18/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801) [redacted]
 Other (U.S.C. citation: [redacted])

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b7E

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTERS 2007-1916-[REDACTED]
2007-1920-[REDACTED] AND 2007-1921-[REDACTED] (U)

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(U)

(S) During an authorized [REDACTED] investigation of a U.S. person, [REDACTED] Field Office [REDACTED] sent three (3) National Security Letters (NSL) to [REDACTED] [REDACTED] seeking [REDACTED] and [REDACTED]

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[REDACTED]. In addition to the information requested in the NSL, each [REDACTED] produced information beyond the scope of the respective NSL. [REDACTED] sent each respective [REDACTED] including the over-produced information, to the [REDACTED] at FBIHQ for analysis. Upon discovering the over-production, [REDACTED] charged-out the serials containing the over-produced information. [REDACTED] also sequestered the original productions with an Associate Division Counsel (ADC).

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(U) In this case, an error was committed by [REDACTED] when it disseminated the over-produced information by sending it to [REDACTED] for analysis.

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(U) [REDACTED] has been instructed to retrieve the over-produced information from [REDACTED] and to redact all over-produced information from all hard and uploaded records, including documents on ACS. [REDACTED] has also been instructed to contact [REDACTED] [REDACTED] and ask whether the original over-produced information should be returned or destroyed, with appropriate documentation to the file.

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IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/21/2007 and 04/03/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

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STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO-12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3815

DATE: 07-12-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-12-2035

~~SECRET//20170919~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1346-NSL (U)

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~~(S)~~ The Federal Bureau of Investigation ("FBI") has
~~(S)~~ determined that in conducting an investigation [REDACTED]
[REDACTED] the FBI [REDACTED] Field Office [REDACTED]
issued an NSL that had [REDACTED] and thus
requested information that was not relevant to the investigation.
In this regard, on or about [REDACTED] opened an
investigation [REDACTED] who is a "United States
person" as that term is used in Section 101(i) of the Foreign
Intelligence Surveillance Act of 1978 (FISA), to investigate
information or allegations of involvement in [REDACTED]
activities. During the course of this investigation, an NSL
dated 11/06/2003 was issued pursuant to the [REDACTED]

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[REDACTED]
[REDACTED] However, the [REDACTED]
[REDACTED] for which the NSL was intended to obtain information were
transposed such that the NSL sought information on a [REDACTED]
[REDACTED] that was not relevant to the investigation. This was an
inadvertent violation of ECPA, which requires that the NSL be
relevant to an authorized investigation. This error was
discovered during the course of the March 2007 NSL inspection.
This matter was reported by [REDACTED] by EC dated 04/10/2007,
and is being reported to the IOB. [REDACTED] has been advised
to remove any material received in response to the NSL from its
files. (S)

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b7E

~~Derived from: G-3
Declassify on: 09/19/2017~~

~~SECRET//20170919~~

Hofmann-IOB-3816

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 11/06/03 (issuance of NSL) DATE OF REPORT TO IOB: 09/30/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)
 Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised field to purge database and destroy information

b2
b7E

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3817

~~SECRET//20170920~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTERS
[REDACTED] FIELD OFFICE
IOB MATTERS 2007-1067-NSL, 2007-1069-NSL, (U)

b2
b7E

~~(S)~~ The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation [REDACTED] [REDACTED] who is a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA), the FBI [REDACTED] Field Office [REDACTED] issued two NSLs that improperly were signed by an acting SAC. In this regard, an investigation [REDACTED] was initiated on [REDACTED]. On or about 11/05/2002, two NSLs were issued under [REDACTED] seeking [REDACTED]. At the time, legal advice had been issued by OGC suggesting [REDACTED]

b1
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b5
b6
b7C
b7E

[REDACTED]
[REDACTED] Therefore, this matter is being reported to the IOB. ~~(S)~~

~~Derived from: G-3
Declassify on: 09/20/2017~~

~~SECRET//20170920~~

Hofmann-IOB-3818

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 11/05/02 (issuance of NSLs)

DATE OF REPORT TO IOB: 09/30/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Advised field that matter is being reported to IOB; that information obtained by

b5

*DATE OF FINAL DISPOSITION:

~~SECRET//20170918~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-934NSL (U)

b2
b7E

~~(S)~~ By electronic communication dated March 27, 2007, the Federal Bureau of Investigation, [REDACTED] Field Office [REDACTED], reported that a [REDACTED] was initiated on a United States person on [REDACTED]. [REDACTED] was properly extended by the Special Agent in Charge (SAC) of the [REDACTED] Division for the first year. After a year, under [REDACTED]

b2
b7E

[REDACTED], effective October 31, 2003, [REDACTED] was required to seek authority to extend the investigation from FBIHQ.

(U)

~~(S)~~ Due to a mistaken belief that the SAC had the authority to [REDACTED] beyond the initial one-year period, [REDACTED] did not seek FBIHQ authorization to [REDACTED] beyond the one-year period. Rather, on [REDACTED] requested SAC (rather than FBIHQ) authority to [REDACTED] for an additional six months. Likewise, on [REDACTED] again requested SAC authority to [REDACTED] an additional six months to [REDACTED].

b2
b7C

(U)

~~(S)~~ Following the improper extensions, the following investigative activity occurred:

• On [REDACTED]
[REDACTED]

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b7E

• [REDACTED]
[REDACTED]

b2
b7E

• [REDACTED]
[REDACTED]

b2
b7E

(U) [REDACTED]
[REDACTED]

b2
b7E

(U) ~~Derived From : G-3
Declassify On: 2017/09/18~~

~~SECRET//20170918~~

(U)

~~SECRET//20170918~~

~~(S)~~ As stated above, although mistaken, it was [redacted] understanding that the SAC had the authority to extend a [redacted] beyond the initial one-year period. Due to this mistaken belief, [redacted] improperly attempted to extend [redacted] beyond a one-year period. Following the improper extension, [redacted] engaged in investigative activity in which a [redacted] was required, [redacted]. [redacted] Accordingly, this error must be reported to the IOB. The Office of the General Counsel has advised [redacted] of the requirements of the NSIG pertaining the proper extensions of [redacted].

b2
b7E

b2
b7E

- 2 -

~~SECRET//20170918~~

Hofmann-IOB-3821

IOB SUMMARY SHEET

b2
b7E

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: [REDACTED]

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: March 27, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)
 Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US.
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines [REDACTED]

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended).
 Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: The Office of the General Counsel has advised the FBI Division of the requirements of the NSIG pertaining the proper extensions of [REDACTED]

b2
b7E

*DATE OF FINAL DISPOSITION: September 18, 2007

Hofmann-IOB-3822

(U)

~~SECRET//20170910~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-2051 (U)

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b7E

(S) By electronic communication dated 06/15/2007, the [REDACTED] field office ([REDACTED]) advised that as a third party had mis-typed information requested in [REDACTED]

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b7E

[REDACTED] the FBI collected information beyond the scope of the warrants. Once the FBI realized the mistake, the [REDACTED] materials were immediately segregated and sequestered; this occurred before the material was placed into any FBI databases. The [REDACTED] information will be delivered to the Department of Justice, Office of Intelligence Policy and Review (OIPR) for appropriate disposition by the Foreign Intelligence Surveillance Court (FISC).

(U)

(S) As both of these errors on the part of a third party resulted in the FBI receiving material beyond the scope of a court authorized warrant, the matter is being reported to the IOB.

~~Derived from: G-3
Declassify on: 09/10/2017~~

Hofmann-IOB-3823

~~SECRET//20170910~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 06/15/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: IOB was filed

*DATE OF FINAL DISPOSITION: 09/10/2007

Hofmann-IOB-3824

~~SECRET//20170928~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-894NSL (U)

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b7E

~~(S)~~ During an authorized [REDACTED] investigation of a U.S. person, [REDACTED] sent an NSL seeking [REDACTED]

[REDACTED] Though this would have been an appropriate request in this case, [REDACTED] used the wrong NSL form and cited to the wrong statute. The NSL served on the [REDACTED] did not cite [REDACTED] nor did it include the certification language required by [REDACTED]

b2
b7E

(U) [REDACTED] was advised to contact [REDACTED] to determine whether the records should be returned or destroyed, with appropriate documentation to the file. [REDACTED] was also told to remove all records from any FBI database(s).

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~~Derived From : G-3
Declassify On: 09/28/2017~~

Hofmann-IOB-3825

~~SECRET//20170928~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3826

~~SECRET//20170917~~

INTELLIGENCE OVERSIGHT BOARD MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1047NSL (U)

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b7E

(U)

~~(S)~~ An electronic communication from the Federal Bureau of Investigation (FBI), [REDACTED] Division [REDACTED], dated 03/26/2007, reported a potential Intelligence Oversight Board (IOB) violation involving the failure to submit a notice of the [REDACTED] [REDACTED] to FBI Headquarters.

b2
b7E

(U)

~~(S)~~ On [REDACTED] opened a [REDACTED] on a U.S. person subject [REDACTED] In violation of the [REDACTED] [REDACTED] did not submit notice of this [REDACTED] to FBI Headquarters until it was closed on [REDACTED] Consequently, the Office of Intelligence Policy and Review had no ability to conduct oversight or [REDACTED] Accordingly, this matter is reported to the IOB.

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b7E

~~SECRET//20170917~~

Hofmann-IOB-3827

IOB SUMMARY SHEET

Name of Department or Agency: FBI

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b7E

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/26/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: NSIG II.B.2)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: The FBI's Office of the General Counsel (OGC) advised

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*DATE OF FINAL DISPOSITION: September 17, 2007

Hofmann-IOB-3828

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1426 (U)

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(U) By electronic communication ("EC") dated April 17, 2007, the [REDACTED] Division ([REDACTED]) reported a potential IOB error in conjunction with its current [REDACTED] of a United States Person.

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(U) On or about [REDACTED] opened an investigation of a United States person in order to investigate information or allegations of ties to [REDACTED]. During the course of the investigation, [REDACTED] issued a National Security Letter (NSL) dated November 18, 2002, pursuant to the [REDACTED] [REDACTED] for [REDACTED] [REDACTED] believed to be associated with the target of the investigation. The NSL was signed by an acting SAC rather than a permanent SAC. [REDACTED] received [REDACTED]

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(U) [REDACTED] and [REDACTED] to be gathered pursuant to a national security letter. The statute requires that the NSLs be approved by the FBI Director or a designee no lower than the position of an SAC in a field office. Pursuant to section 505 of the USA PATRIOT Act (P.L. 107-56), the Director delegated authority to sign National Security Letters to the SACs of the various field offices on 11/09/2001. At that time, OGC took the position that

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b5

[REDACTED]

and with an abundance of caution, this matter is being reported to the Intelligence Oversight Board as a violation of statute.

(U) [REDACTED] has been advised that it may issue an NSL executed by the permanent SAC to authorize the retention and use of the information if the information provided by [REDACTED] is relevant to the pending investigation. Otherwise, [REDACTED] has been instructed to contact [REDACTED] and ask whether the information should be returned or destroyed with appropriate documentation to the file.

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Hofmann-IOB-3829

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: 11/18/2002

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/17/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation

AG APPROVED EO 12333 GUIDELINES (Guideline name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized, SAF (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET//20170613~~

(U) INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1272NSL(U)

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b7E

(U) (S) The [REDACTED] Division, [REDACTED] Resident Agency ([REDACTED]) of the Federal Bureau of Investigation (FBI) has reported a potential IOB involving a National Security Letter (NSL) requested by [REDACTED] which did not have the appropriate approvals or signature by the Special Agent in Charge (SAC).

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b7E

(U) (S) On or about 05/27/2005, [REDACTED] RA drafted an NSL and the requisite EC [REDACTED] used by a non-U.S. person who was the subject of an authorized investigation. [REDACTED] RA sent the documents to the [REDACTED] Field Office for approval, but they were misrouted and the NSL was served on the [REDACTED] without the appropriate approvals or SAC signature. The [REDACTED] responded that it did not monitor [REDACTED] and therefore, no records were provided.

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(U) In this case, [REDACTED] requested an NSL for information relevant to an authorized investigation, but it did not get the proper approvals or SAC signature, as required by law. As the [REDACTED] provided no records in response to this NSL, there is no need for [REDACTED] to return or destroy any information.

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b7E

~~Derived From : G-3
Declassify On: 06/13/2017~~

~~SECRET//20170613~~

Hofmann-IOB-3831

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 1stQ FY08

DATE OF INCIDENT: 05/27/2005

DATE OF REPORT TO IOB: 10/31/2007

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)
 Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: As provided no records in response to an NSL without the proper approvals, there is no need to sequester/destroy any records.

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b7E

*DATE OF FINAL DISPOSITION: 06/13/2007

Hofmann-IOB-3832

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FEDERAL BUREAU OF INVESTIGATION
FOIA/PA DELETED PAGE INFORMATION SHEET

2

Page(s) withheld entirely at this location in the file. One or more of the following statements, where indicated, explain this deletion.

Deletions were made pursuant to the exemptions indicated below with no segregable material available for release to you.

Section 552

Section 552a

- (b)(1)
- (b)(2)
- (b)(3) _____
- _____
- _____
- (b)(4)
- (b)(5)
- (b)(6)

- (b)(7)(A)
- (b)(7)(B)
- (b)(7)(C)
- (b)(7)(D)
- (b)(7)(E)
- (b)(7)(F)
- (b)(8)
- (b)(9)

- (d)(5)
- (j)(1)
- (k)(1)
- (k)(2)
- (k)(3)
- (k)(4)
- (k)(5)
- (k)(6)
- (k)(7)

Information pertained only to a third party with no reference to the subject of your request or the subject of your request is listed in the title only.

Document(s) originated with another government agency (OGA). These documents were referred to that agency(ies) for review and you will be advised by the FBI as to the releasability of this information.

_____ Page(s) contain information furnished by another Government agency(ies). You will be advised by the FBI as to the releasability of this information following our consultation with the other agency(ies).

_____ Page(s) withheld inasmuch as a final release determination has not been made. You will be advised as to the disposition at a later date.

2 Page(s) were not considered for release as they are duplicative of Hofmann-IOB-3831 through Hofmann-IOB-3832

_____ Page(s) withheld for the following reason(s)

The following number(s) is (are) to be used for reference regarding these pages :

Hofmann-IOB-3833 through Hofmann-IOB-3834

XXXXXX
XXXXXX

XXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X for this page X
XXXXXXXXXXXXXXXXXXXXX

~~SECRET//20170817~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1442 (U)

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(U)

~~(S)~~ On March 21, 2007 the [REDACTED] Division [REDACTED] of the Federal Bureau of Investigation (FBI) reported a possible Intelligence Oversight Board (IOB) violation involving a late notification of the opening of a [REDACTED]. On [REDACTED] [REDACTED] initiated [REDACTED] of a non-United States person. Prior to March 21, 2007, [REDACTED] did not notify FBIHQ of the initiation of this investigation.

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(U)

~~(S)~~ As provided in [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), a "field office shall notify FBI Headquarters within ten working days of the initiation by the field of a [REDACTED]" FBIHQ first learned of the [REDACTED] during an audit on the use of NSLs in March of 2007. Because no notification submitted, FBIHQ and DOJ were unable to review or provide oversight for this investigation.

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b7E

~~Derived from: Multiple Sources
Declassify on: 08/17/2017~~

~~SECRET//20170817~~

Hofmann-IOB-3835

IOB SUMMARY SHEET

Name of Department or Agency: FBI

b2 CY Quarterly Report: 2007
b7E

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: March 21, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (SO U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3836

~~SECRET//20170802~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1187NSL (U)

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b7E

(U)

(S) By electronic communication dated March 20, 2007, the Federal Bureau of Investigation (FBI), [REDACTED] Field Office, reported that a [REDACTED] was initiated on a non-United States person on [REDACTED]. The investigation expired on [REDACTED]. The subject of the investigation was interviewed on [REDACTED] and the [REDACTED].

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(U)

(S) [REDACTED] [REDACTED] dated March 8, 1999 (March 8, 1999 AG Guidelines)¹ states in pertinent part [REDACTED] is required to interview the subject of the investigation.

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(U)

(S) In this case, [REDACTED] initiated a [REDACTED] on a non-United States person on [REDACTED]. The investigation was interviewed on [REDACTED], and the investigation was closed on [REDACTED]. Accordingly, the FBI conducted an interview of the subject of an investigation after the [REDACTED] and in violation of the March 8, 1999 AG Guidelines.

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(U)

(S) Based upon these facts, in accordance with the terms implementing the reporting requirements of Section 2.4 of EO 12863, interviewing the subject of an investigation in the absence of a [REDACTED] must be reported to the IOB.

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(U) ~~Derived From : G-3
Declassify On: 2017/08/02~~

(U)

¹ (S) The March 8, 1999 AG Guidelines were superseded by the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG) on October 31, 2003. However, because the incident described in this EC occurred on July 8, 2003, whether an IOB violation occurred must be analyzed under the March 8, 1999 AG Guidelines.

~~SECRET//20170802~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

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b7E

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/20/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation

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AG APPROVED EO 12333 GUIDELINES

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

Reported the violation to the IOB

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3838

~~SECRET//20170824~~

(U) INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] OFFICE
IOB MATTER 2007-1193 (U)

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(U) ~~(S)~~ On March 20, 2007, the [REDACTED] office of the Federal Bureau of Investigation (FBI) reported that a [REDACTED] [REDACTED] of a non-U.S. Person was initiated on [REDACTED]. No opening notification was provided.

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(U) ~~(S)~~ As provided in [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), a "field office shall notify FBI Headquarters within ten working days of the initiation by the [REDACTED]" Because of the failure to notify FBIHQ, no oversight could be provided for this investigation.

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~~Derived from: Multiple Sources~~
~~Declassify on: 08/24/2017~~

~~SECRET//20170824~~

Hofmann-IOB-3839

IOB SUMMARY SHEET

Name of Department or Agency: FBI

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b7E

CY Quarterly Report: 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: March 20, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

___ FISA (50 U.S.C. 1801)

___ Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

___ Section 2.3 collection & dissemination of USP info

___ Section 2.4 preamble: least intrusive collection

___ Section 2.4(a) CIA electronic surveillance w/in US

___ Section 2.4(b) unconsented physical search w/in US

___ Section 2.4(c) physical surveillance of USP w/in US

___ Section 2.4(d) physical surveillance of USP abroad

___ Section 2.5 AG approval w/in US or against USP abroad

___ Section 2.6 assistance to law enforcement

___ Section 2.7 contracting

___ Section 2.9 undisclosed participation

___ Section 2.10 human experimentation

___ Section 2.11 prohibition on assassination

___ Section 2.12 indirect participation

___ AG Approved EO 12333 Guidelines

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b7E

OTHER EXECUTIVE ORDER (SPECIFY)

___ Creation of unauthorized SAP (EO 12958, as amended)

___ Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

___ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

___ Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3840

~~SECRET//20170831~~

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FBI OFFICE
IOB MATTER 2007-1781 (U)

(U)

~~(S)~~ As provided in [REDACTED] of the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003, a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the [REDACTED]

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[REDACTED] After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General.

(U) On May 30, 2007, the [REDACTED] FBI field office reported that no notice had been submitted to FBI Headquarters when a [REDACTED] of a non-U.S. Person was initiated on [REDACTED]. No notice was submitted until [REDACTED] a day after the [REDACTED].

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Consequently, FBIHQ was unable to provide notice to the Office of Intelligence Policy and Review (OIPR). Consequently, OIPR's oversight ability was substantially impaired.

~~Derived From : G-3
Declassify On: 08/31/2017 Hofmann-IOB-3841~~

~~SECRET//20170831~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI b2
b7E CY Quarterly Report: 2007

DATE OF INCIDENT: DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: May 30, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)
 Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG Approved EO 12333 Guidelines

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OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTERS
[redacted] FIELD OFFICE
IOB MATTER 2007-1133(U)

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~~(S)~~

The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation [redacted] and suspected [redacted] both non-USPs, the [redacted] and obtained [redacted] for a [redacted] [redacted] issued on or about [redacted] advised that an NSL would follow. The next day, after having received the information, an NSL was issued and delivered to the [redacted] to account for the information that had been provided. This potential IOB was reported by [redacted] by EC dated 03/23/2007.

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~~(S)~~

This matter is being reported to the IOB because it appears that an NSL should have been issued in this situation in advance of [redacted] and that this information was not of a sufficiently urgent nature so as to permit obtaining the information prior to, and followed immediately thereafter by, issuance of an NSL under [redacted] [redacted] nor to fit within the parameters of the emergency disclosure provision of [redacted]

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~~Derived from: G-3
Declassify on: 09/17/2017~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 03/10/05 (issuance of NSL)

DATE OF REPORT TO IOB: 09/30/07

DATE OF REPORT TO DEPARTMENT/AGENCY: 05/07/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

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b7E

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Advised that matter was being reported to IOB; that information should be destroyed or returned

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*DATE OF FINAL DISPOSITION:

~~SECRET//20170621~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1366(U)

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The Federal Bureau of Investigation ("FBI") has determined that in conducting a [REDACTED] of a certain non-United States person, the FBI failed to submit notification of the opening of the investigation required by the Attorney General's Guidelines to the Office of Intelligence Policy and Review (OIPR). [REDACTED] opened this case on [REDACTED] then requested and was granted an extension on [REDACTED]. The [REDACTED] lapsed and on [REDACTED]. In light of the fact that OIPR was not notified of this investigation at all during the time it was open, OGC concludes that OIPR was deprived of the opportunity to provide oversight of the investigation, requiring this report to the IOB. (S)

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~~Derived from: G-3
Declassify on: 06/21/2017~~

Hofmann-IOB-3845

~~SECRET//20170621~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 2007

DATE OF INCIDENT: Discovered 03/2007

DATE OF REPORT TO IOB: 09/10/2007

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines

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OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER.
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1870 (U)

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(U) The [REDACTED] Field Office of the Federal Bureau of Investigation has reported an error in conjunction with an investigation of that division. In this regard, [REDACTED] [REDACTED] in an NSL [REDACTED] was inadvertently transposed and records were collected [REDACTED] not associated with the subject of the investigation. This erroneously collected information has been removed from all paper file copies and FBI databases.

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(U) This matter has been reported to the FBI's Inspection Division for appropriate action.

~~Derived from : G-3
Declassify on: July 11, 2017~~

~~SECRET~~

Hofmann-IOB-3847

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 4/3/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

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POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1333-[REDACTED] (U)

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(U)

~~(S)~~ During a [REDACTED] of a non-U.S. person, [REDACTED] issued three (3) [REDACTED] NSLs under [REDACTED] seeking [REDACTED]

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[REDACTED], respectively. Since discovering that these NSLs had been issued, [REDACTED] has sequestered the responses [REDACTED]

(U) In this case, errors were committed by the case agent when he/she requested [REDACTED] for an authorized [REDACTED] investigation that did not have an [REDACTED]

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(U) [REDACTED] has been instructed to immediately redact all information obtained pursuant to the [REDACTED] NSLs from all hard and uploaded records, including documents on ACS. [REDACTED] has also been instructed to contact [REDACTED] and ask whether the respective responses to the NSLs should be returned or destroyed, with appropriate documentation to the file.

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DECLASSIFIED BY 65179/DMH/PLJ/sdb
ON 07-12-2010

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: (three incidents) [redacted]

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/12/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

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POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [redacted])

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1334-[REDACTED] (U)

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(U)

~~(S)~~ During a [REDACTED] of a non-U.S. person, [REDACTED] issued three (3) [REDACTED] NSLs under [REDACTED] seeking [REDACTED] [REDACTED] respectively. Since discovering that these NSLs had been issued, [REDACTED] has sequestered the responses [REDACTED] [REDACTED]

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(U) In this case, errors were committed by the case agent when he/she requested [REDACTED] [REDACTED] for an authorized [REDACTED] [REDACTED] that did not have an [REDACTED]

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(U) [REDACTED] has been instructed to immediately redact all information obtained pursuant to the [REDACTED] NSLs from all hard and uploaded records, including documents on ACS. [REDACTED] has also been instructed to contact [REDACTED] [REDACTED] and ask whether the respective responses to the NSLs should be returned or destroyed, with appropriate documentation to the file.

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IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT: (three incidents) [redacted]

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/12/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

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POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [redacted])

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1786 (U)

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(U) By electronic communication dated 05/22/2007, the [REDACTED] Division [REDACTED] advised that a [REDACTED] was initiated by [REDACTED] on a non-U.S. person. On 04/24/2007 [REDACTED] drafted a National Security Letter (NSL) and the requisite EC requesting [REDACTED] and [REDACTED] [REDACTED] believed to be used by the target. However, due to a transcriber error involving one [REDACTED] included in the NSL was not related to the investigation. [REDACTED] forwarded the NSL to the [REDACTED] Division [REDACTED] for [REDACTED] [REDACTED] served the [REDACTED], which responded on [REDACTED] by providing records related to [REDACTED], including the improperly [REDACTED] [REDACTED] received the records from [REDACTED] on [REDACTED]. Upon his initial review of the subscriber information, the Case Agent discovered the typographical error and the [REDACTED]. The [REDACTED] information was immediately sequestered, preventing the uploading of the information any FBI system.

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(U) In this case, the NSL was issued for [REDACTED] [REDACTED] that was incorrectly transcribed. As a result, the FBI received information from the [REDACTED] that was not relevant to the investigation, i.e., [REDACTED] relating to a person not connected to the investigation. Since this was an error by the FBI, although unintentional, it is reportable to the IOB.

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(U) [REDACTED] will contact [REDACTED] and arrange for either the return or the destruction of the non-relevant information with the appropriate documentation to the file.

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IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY:

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1861)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG APPROVED EO 12333 GUIDELINES (Guideline name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAF (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3854

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IOB SUMMARY SHEET

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Name of Department or Agency: Federal Bureau of Investigation

CY Quarterly Report:

DATE OF INCIDENT

DATE OF REPORT TO IOB: 09/21/2007

DATE OF REPORT TO DEPARTMENT/AGENCY: May 31, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

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STATUTE (United States Code Citation)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Report to IOB

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3856

~~SECRET//20170917~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1547 (U)

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(U) By electronic communication (EC) dated April 5, 2007, the [REDACTED] Field Office [REDACTED] reported a potential IOB error related to the opening of a [REDACTED] of a non-United States Person company.

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(S) ~~(S)~~ On [REDACTED] of a non-United States Person company under the approval of a Supervisory Special Agent (SSA) rather than the Special Agent in Charge (SAC) or Assistant SAC (ASAC).

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(S) ~~(S)~~ [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003, provides:

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(U) ~~(S)~~ A [REDACTED] initiated by a field office must be approved by the Special Agent in Charge if the investigation involves a sensitive national security matter. [REDACTED] of a foreign official or visitor from a threat country may be approved by the Special Agent in Charge or, as authorized by the Special Agent in Charge, by an Assistant Special Agent in Charge or squad supervisor with responsibility for national security investigations. All other [REDACTED] may be approved by the Special Agent in Charge or, as authorized by the Special Agent in Charge, by an Assistant Special Agent in Charge with responsibility for national security investigations.

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(U) ~~(S)~~ Although the approval level of the opening communication was at the level of SSRA, and did not meet the requirement of the NSIG, [REDACTED]

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~~SECRET//20170917~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 09/30/07

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DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines

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OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Report to IOB; case in which IOB violation occurred was closed 9/13/06

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3858

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1058-[REDACTED] (U)

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(S) During a [REDACTED] of a non-U.S. person, [REDACTED] issued a [REDACTED] NSL under [REDACTED] to a [REDACTED] seeking a [REDACTED] and all other information contained in the agency's files. Subsequently, [REDACTED] issued a [REDACTED] NSL under [REDACTED] to the same [REDACTED] seeking only [REDACTED]

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[REDACTED] The [REDACTED] responded but only produced the limited information requested by the second NSL.

(U) In this case, an error was committed by the case agent when he/she requested a [REDACTED] [REDACTED] for an authorized [REDACTED] investigation that did not have an [REDACTED]

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(U) Because [REDACTED] did not receive a [REDACTED] report, there is no need for sequestration or destruction of the response from [REDACTED]

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IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY-Quarterly Report:

DATE OF INCIDENT:

[Redacted]

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

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POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [Redacted])

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET//20170824~~

(U) INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1615 (U)

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(U) (S) As provided in [REDACTED] of the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31, 2003, a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field of a [REDACTED]" After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. In addition, the FBI shall notify and provide OIPR and the Criminal Division with a summary of the investigation "at the end of each year a full investigation continues." [REDACTED]

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(U) (S) On [REDACTED] Field Office [REDACTED] initiated a [REDACTED] of a non-U.S. Person. Under the March 8, [REDACTED] [REDACTED] which were in effect at that time, the FBI was not required to notify the Department of Justice of the [REDACTED] of a non-U.S. Person. However, when the October 31, 2003 Attorney General Guidelines were replaced the earlier guidelines, the FBI issued guidance on December 23, 2003 to implement an Interim Policy for the new Notice Requirements of the Attorney General Guidelines. This interim policy required [REDACTED] to submit a Letterhead Memorandum (LHM) no later than [REDACTED] for this investigation. No LHM was submitted. Furthermore, no LHMs were submitted for the periods ending [REDACTED] [REDACTED]. However, once the error was discovered, an updated LHM was submitted [REDACTED] when this matter was reported to FBIHQ.

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(U) (S) If OIPR's oversight ability has been "substantially impaired" by an error, the FBI reports the error to the IOB. In this situation, no notice was provided to FBI HQ in order to notify OIPR of [REDACTED]. No other form of notice to the Department of Justice was identified to mitigate this lack

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Hofmann-IOB-3861

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of notice. In addition, no LHMs were submitted for the next three years. Because of this complete lack of notice, OIPR's oversight ability was substantially impaired.

~~Derived from: G-3
Declassify on: 20170824~~

page 2

Hofmann-IOB-3862

~~SECRET//20170824~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

b2 CY Quarterly Report:
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DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: April 3, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines

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OTHER EXECUTIVE ORDER (SPECIFY):

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3863

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1656

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(U)

~~(S)~~ As provided in [REDACTED] of the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31, 2003, a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field of a [REDACTED] [REDACTED]" After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. In addition, the FBI shall notify and provide OIPR and the Criminal Division with a summary of the investigation "at the end of each year a full investigation continues." [REDACTED]

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(U) On April 3, 2007 [REDACTED] Field Office [REDACTED] reported that a [REDACTED] of a non-U.S. Person was initiated on [REDACTED]. Under the March 8, 1999 [REDACTED]

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[REDACTED] which were in effect at that time, the FBI was not required to notify the Department of Justice of the [REDACTED] of a non-U.S. Person. However, when the October 31, 2003 [REDACTED] replaced the earlier guidelines, on December 23, 2003, the FBI implemented an Interim Policy for the notice requirements. This interim policy required [REDACTED] to submit a Letterhead Memorandum (LHM) no later than [REDACTED] for this investigation. No LHM was submitted. No annual LHM during the investigation. A closing LHM was submitted when the case was closed on [REDACTED].

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(U)

~~(S)~~ If OIPR's oversight ability has been "substantially impaired" by an error, the FBI reports the error to the IOB. In this situation, no notice was provided to FBI HQ in order to notify OIPR of [REDACTED] while the investigation was active. No other form of notice to the Department of Justice was identified to mitigate this lack of notice. Because of this complete lack of notice, OIPR's oversight ability was substantially impaired.

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~~Derived from: G-3
Declassify on: 20170906~~

Hofmann-IOB-3864

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IOB SUMMARY SHEET

Name of Department or Agency: FBI

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CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: April 3, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (SO U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines ()

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OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3865

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-1625

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(U)

(S) As provided in [redacted] of the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31, 2003, a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field of a [redacted] [redacted]." After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. In addition, the FBI shall notify and provide OIPR and the Criminal Division with a summary of the investigation "at the end of each year a full investigation continues." [redacted]

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(U)

(S) [redacted] initiated a [redacted] of a non-U.S. Person on [redacted] closed it on [redacted] reopened it on [redacted] and closed it again on [redacted]. Under the [redacted] [redacted] which were in effect when the case was opened and reopened, the FBI was not required to notify the Department of Justice of the initiation of a [redacted] of a non-U.S. Person. However, when the October 31, 2003 Attorney General Guidelines replaced the earlier guidelines, the FBI issued a 12/23/2003 EC implementing an Interim Policy for the new Notice Requirements of the Attorney General Guidelines. This interim policy required [redacted] to submit a Letterhead Memorandum (LHM) no later than [redacted] for this investigation because it was opened prior to [redacted] and it remained opened as of [redacted]. No LHM was submitted.

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(U)

(S) If OIPR's oversight ability has been "substantially impaired" by an error, the FBI must report the mistake to the IOB. In this situation, no notice was provided to FBI HQ in order to notify OIPR of [redacted]. No other form of notice to the Department of Justice was identified to substitute for this lack of notice. Because of this complete lack of notice, OIPR's oversight ability was substantially impaired.

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~~Derived from: G-3
Declassify on: 20170824~~

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IOB SUMMARY SHEET

Name of Department or Agency: FBI

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CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: April 3, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
 Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG Approved EO 12333 Guidelines

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OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3867

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(U) INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-1621

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(S) As provided in [redacted] of the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31, 2003, a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field of a [redacted]" After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. In addition, the FBI shall notify and provide OIPR and the Criminal Division with a summary of the investigation "at the end of each year a full investigation continues." [redacted]

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(U) On April 2, 2007, [redacted] Field Office [redacted] reported that a [redacted] of a non-U.S. Person was initiated on [redacted]. Under the March 8, 1999 [redacted] which were in effect at that time, the FBI was not required to notify the Department of Justice of the initiation of [redacted] of a non-U.S. Person. However, when the October 31, 2003 [redacted] replaced the earlier guidelines, on December 23, 2003, the FBI implemented an Interim Policy for the notice requirements. This interim policy required [redacted] to submit a Letterhead Memorandum (LHM) no later than [redacted] for this investigation. No LHM was submitted. No annual LHM beyond this initial notice was required because the investigation [redacted].

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(U) (S) If OIPR's oversight ability has been "substantially impaired" by an error, the FBI reports the error to the IOB. In this situation, no notice was provided to FBI HQ in order to notify OIPR of [redacted]. No other form of notice to the Department of Justice was identified to mitigate this lack of notice. Because of this complete lack of notice, OIPR's oversight ability was substantially impaired.

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Derived from: G-3
Declassify on: ~~20170824~~

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-12-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI

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b7E CY Quarterly Report: 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: April 2, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (SO U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble; least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines

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OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3869

~~SECRET//20170824~~

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(U) INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1620

(S) As provided in [REDACTED] of the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31, 2003, a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of [REDACTED]"

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" After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. In addition, the FBI shall notify and provide OIPR and the Criminal Division with a summary of the investigation "at the end of each year a full investigation continues." [REDACTED]

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(U) (S) On [REDACTED] Field Office [REDACTED] of a non-U.S. Person was initiated on [REDACTED] Under the March 8, [REDACTED]

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[REDACTED] which were in effect at that time, the FBI was not required to notify the Department of Justice of the initiation of a full investigation of a non-U.S. Person. However, when the October 31, 2003 NSIG replaced the earlier guidelines, on December 23, 2003, the FBI implemented an Interim Policy for the notice requirements. This interim policy required [REDACTED] to submit a Letterhead Memorandum (LHM) no later than [REDACTED] for this investigation. No LHM was submitted. No annual LHM beyond this initial notice was required because the investigation closed [REDACTED]

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(U) (S) If OIPR's oversight ability has been "substantially impaired" by an error, the FBI reports the error to the IOB. In this situation, no notice was provided to FBI HQ in order to notify OIPR of [REDACTED] No other form of notice to the Department of Justice was identified to mitigate this lack of notice. Because of this complete lack of notice, OIPR's oversight ability was substantially impaired.

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~~Derived from: G-3
Declassify on: 20170824~~

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Hofmann-IOB-3870

IOB SUMMARY SHEET

Name of Department or Agency: FBI

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CY Quarterly Report:

DATE OF INCIDENT:

DATE OF REPORT TO IOB:

DATE OF REPORT TO DEPARTMENT/AGENCY: April 3, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

___ FISA (SO U.S.C. 1801)
___ Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

___ Section 2.3 collection & dissemination of USP info
___ Section 2.4 preamble: least intrusive collection
___ Section 2.4(a) CIA electronic surveillance w/in US
___ Section 2.4(b) unconsented physical search w/in US
___ Section 2.4(c) physical surveillance of USP w/in US
___ Section 2.4(d) physical surveillance of USP abroad
___ Section 2.5 AG approval w/in US or against USP abroad
___ Section 2.6 assistance to law enforcement
___ Section 2.7 contracting
___ Section 2.9 undisclosed participation
___ Section 2.10 human experimentation
___ Section 2.11 prohibition on assassination
___ Section 2.12 indirect participation
___ AG Approved EO 12333 Guidelines

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OTHER EXECUTIVE ORDER (SPECIFY)

___ Creation of unauthorized SAP (EO 12958, as amended)
___ Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

___ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
___ Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3871

~~SECRET//20170906~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1443(U)

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(S) The [REDACTED] Division [REDACTED] of the Federal Bureau of Investigation (FBI) reported a possible Intelligence Oversight Board (IOB) violation involving failure to provide an opening notice (EC) or an annual letterhead memorandum (LHM) for a [REDACTED] of a non-U.S. person. On [REDACTED] [REDACTED] on a subject who is a non-United States person. [REDACTED] did not submit an EC or LHM notifying FBIHQ of the initiation of this investigation.

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(U)

(S) As provided in [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), a "field office shall notify FBI Headquarters within ten working days of the initiation by [REDACTED]" FBIHQ first learned of [REDACTED] during an audit on the use of NSIs in March of 2007. Because this notification was [REDACTED] FBIHQ and DOJ were unable to provide meaningful oversight and review of this investigation.

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~~Derived from: Multiple Sources
Declassify on: 09/06/2017~~

~~SECRET//20170906~~

Hofmann-IOB-3872