EXPLANATION OF EXEMPTIONS

SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552

- (b)(1) (A) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified to such Executive order;
- (b)(2) related solely to the internal personnel rules and practices of an agency;
- (b)(3) specifically exempted from disclosure by statute (other than section 552b of this title), provided that such statute(A) requires that the matters be withheld from the public in such a manner as to leave no discretion on issue, or (B) establishes particular criteria for withholding or refers to particular types of matters to be withheld:
- (b)(4) trade secrets and commercial or financial information obtained from a person and privileged or confidential;
- (b)(5) inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency;
- (b)(6) personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy;
- (b)(7) records or information compiled for law enforcement purposes, but only to the extent that the production of such law enforcement records or information (A) could be reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could be reasonably expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of confidential source, including a State, local, or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of record or information compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law, or (F) could reasonably be expected to endanger the life or physical safety of any individual;
- (b)(8) contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions; or
- (b)(9) geological and geophysical information and data, including maps, concerning wells.

SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552a

- (d)(5) information compiled in reasonable anticipation of a civil action proceeding;
- (j)(2) material reporting investigative efforts pertaining to the enforcement of criminal law including efforts to prevent, control, or reduce crime or apprehend criminals;
- (k)(1) information which is currently and properly classified pursuant to an Executive order in the interest of the national defense or foreign policy, for example, information involving intelligence sources or methods;
- (k)(2) investigatory material compiled for law enforcement purposes, other than criminal, which did not result in loss of a right, benefit or privilege under Federal programs, or which would identify a source who furnished information pursuant to a promise that his/heridentity would be held in confidence;
- (k)(3) material maintained in connection with providing protective services to the President of the United States or any other individual pursuant to the authority of Title 18, United States Code, Section 3056;
- (k)(4) required by statute to be maintained and used solely as statistical records;
- (k)(5) investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or for access to classified information, the disclosure of which would reveal the identity of the person who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(6) testing or examination material used to determine individual qualifications for appointment or promotion in Federal Government service the release of which would compromise the testing or examination process;
- (k)(7) material used to determine potential for promotion in the armed services, the disclosure of which would reveal the identity of the person who furnished the material pursuant to a promise that his/her identity would be held in confidence.

FBI/DOJ

DECLASSIFIED BY 65179/DMH/PLJ/db

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2
FIELD OFFICE 67
IOB MATTER 2007-1554(U)
(S) During a
of a U.S. person, issued an NSL under
OI & O.B. PELBON, P
b2
In response to the NSL, the
produced a copy of the target's with non-responsive
portions redacted. The information that the
intended to redact, however, remained legible.
summarized the including some of the non-
responsive information that was intended to be redacted, in an
EC. The EC was subsequently uploaded onto ACS.
(III) To this case is a summary and this but
(U) In this case, an error was committed by when it uploaded the over-produced information onto ACS.
To aproaded the over-produced information onto Aca.
(U) Since realizing the over-production and uploading,
has removed the original from the
investigative file and has replaced it with a copy containing
illegible redactions. has also removed the EC
summarizing the from the investigative file and has b2 b7E.
permanently charged it out of ACS. Finally, has
sequestered the and the EC with its Chief Division
Counsel (CDC).
(U) has been advised that it may redact the
over-produced information from the EC summarizing the NSL results
and place the redacted version in the investigative file.
has been instructed to then destroy the un-redacted
version. has also been instructed to contact the
credit reporting agency and ask whether the improperly redacted . b7E
credit report should be returned or destroyed, with appropriate
documentation to the file.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-07-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 1/16-2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: $1/31/10$
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/16	/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., D	OJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of U Section 2.4 preamble: least intrusive collect Section 2.4(a) CIA electronic surveillance v Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.5 AG approval w/in US or against Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guide	ion v/in US w/in US w/in US abroad t USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, and Other (EO citation:	as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAL Other (Presidential Directive citation:	NDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: *DATE OF FINAL DISPOSITION:	

SECRET//20271116

	MATTER 2007-1834 (C	ū)
U.S. person, under the	issued a National Secur	
subsequently issued	not requested in the	NSL.
NSLs with uploaded the	from the respectively. NSLs onto ACS.	to also
from theha	g for investigative purposes s been instructed to cont	act the
should be returned or to the file: of the	ask whether the original destroyed, with appropriations been informed that provided that all or	Late documentation :
information is redact	NSI productions	has been
advised that it may ke	eep the documents from the had issued the ould have received the sa	NSLs without the b7
Furthermore, the before they received therefore, that	had the NSLs. The FBI h	ne concluded,
	mation that it currently	pas.

(S) Derived From : 6-3 Declassify On: 11/16/2017

Hofmann-IOB-3463

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-07-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency:	FBI	CY Quarterly	y Report: 山仏	5007.
DATE OF INCIDENT:				3: 1/31/200
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/16/2007				
DATE REPORT SUBMITTED TO	O OTHER ENTITY	(i.e., DoJ, Congréss,	Civil Liberties	Office):
POSSIBLE VIOLATION OF:		·.	•	:
STATUTE (United States FISA (50 U.S.C. X Other (U.S.C. ci	. 1801)	· · · · · · · · · · · · · · · · · · ·		<u>ا</u>
Section 2.4 pream Section 2.4(a) Comparison 2.4(b) under Section 2.4(c) plane Section 2.4(d) plane Section 2.5 AG and Section 2.7 controls Section 2.9 undirection 2.10 hundles Section 2.11 production 2.12 individuals Section 2.12 individuals Sect	ction & dissemination ble: least intrusive in the least surveillance approval win US or tance to law enforce acting sclosed participation an experimentation hibition on assassin irect participation	collection llance w/in US search w/in US of USP w/in US of USP abroad against USP abroad ement	nd section:	
Other (EO citation PRESIDENTIAL DIREC	thorized SAP (EO on:) TIVE (SPECIFY)	12958, as amended).		
COVERT ACTI Other (President	ON FINDING/MEI ial Directive citation	MORANDUM OF N	OTIFICATION	
DEPARTMENT/AGENCY ACTI	ON:			

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	(U) INTELLIGENCE OVERSIGHT BOARD (10B) MATTER	.02
	FIELD OFFICE	b7E
	IOB MATTER 2007-2072(U)	
	The Division of the	. •
	Federal Bureau of Investigation (FBI) reported a possible	b2
	Intelligence Oversight Board (IOB) violation involving failure to	. b7E
	provide an opening notice (EC) or an extension of a	
	beyond one year letterhead memorandum (LHM)	
٠.	for a PI of a presumed U.S. person On	
	on a	
. •	subject who is a presumed United States person. did	
(U) <	not submit an EC or LHM notifying FBIHQ of the initiation of this	
101	investigation, and did not provide FBIHQ with notice when the	``.
· · ·	was extended beyond one year.	· · · · · ·
	was extended beyond one year.	•
٠.,	S) As provided in of The Attorney	
	General's Guidelines for FBI National Security Investigations and	
·	Foreign Intolligance Collection (NGTG) - #field office shell	•
,	Foreign Intelligence Collection (NSIG), a "field office shall	* /
`. ·	notify FBI Headquarters within ten working days of the initiation by the field of a	
٠	, , , , , , , , , , , , , , , , , , ,	
•	Additionally, if a sextended beyond	. p5 -
3. 34	an initial one-year period, the FBI shall notify and provide OIPR	, ,b7E
1 ?	with the extension statement "within ten working days of the	÷ `
	transmittal of the approval to a field office. NSIG,	,
•	FBIHQ first learned of the on when	
	provided notice that it intended to close the	
	investigation. Because this notification was	.: 4"
	FBIHQ and DOJ were unable to provide meaningful oversight and	
•	review of this investigation.	·,

Derived from: Multiple Sources
Declassify on: 11/02/2017

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*AIT INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-07-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2007 b2
DATE OF REPORT TO DEPARTMENT/AGENCY: July 9, 2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Cong	ress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (SO U.S.C. 1801) Other (U.S.C. citation:)	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection	
Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad	
Section 2.5 AG approval w/in US or against USP abr Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation	oad
Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	b2
AG Approved EO 12333 Guidelines (Guidelines nam OTHER EXECUTIVE ORDER (SPECIFY) OTHER EXECUTIVE ORDER (SPECIFY)	
Creation of unauthorized SAP (EO 12958, as amende Other (EO citation:)	
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM O Other (Presidential Directive citation:)	
DEPARTMENT/AGENCY ACTION: Matter involve failure to provic LHM provided. No further action to be taken.	le LHM during pendency o Closing b2 b7E
*DATE OF FINAL DISPOSITION:	

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(U)	TAMELI TORUGE ANDRESCOUR DAND ACTUAR	
•	INTELLIGENCE OVERSIGHT BOARD MATTER	b2
	DIVISION	b7E
	IOB MATTER 2007-3054NSL (U)	•
•		´ .
•	On 07/13/2007 the Federal Bureau of Investigation	
	(FBI), Division reported a potential	b2
	Intelligence Oversight Board (IOB) violation involving the late	b7E
U } <	notification of to	
The state of the s	OIPR.	
	opened a on a U.S.	•
• • • •	person target. The and the SAC extended	•
•	Subsequently, was granted an	b2
	additional six-month extension from FBIHQ: On the	b7E
,	case was closed. did not submit notification of the	
· · · · ·	initiation to the Department of Justice Office of Intelligence	
1771	Policy Review (OIPR) until more than	•
- ((U)) <	more chair	
•	As provided in of The Attorney General's	*.
	As provided in of <u>The Attorney General's</u> Guidelines for FBI National Security Investigations and Foreign	
,		
	Intelligence Collection (NSIG), effective 10/31/2003 (NSIG), a	• ` : '
- 4	"field office shall notify FBI Headquarters [FBIHQ] within ten	
	working days of the initiation by the field of a	b2 . b7E '
	Arter recerving the notice from the freight	
•	office, FBIHQ shall provide notice to the Office of Intelligence	٠,
• • •	Policy and Review (OIPR) and to the Criminal Division. OIPR	× 3,
· ለተተነ	shall then notify the Attorney General and Deputy Attorney	
And A	General:	•
		: •
	The FBI reports that failure to notify OIPR of the	b2 ',
	investigation in a timely manner "substantially impaired" their	b7E
•	oversight of this case. Here, notification to OIPR of the	
	initiation was not until after it had been	
**	opened.	
• .		

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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-07-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 4th 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 11/2007 1/31/0008
DATE OF REPORT TO DEPARTMENT/AGENCY: 07/13/	2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection & Section 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical searc Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US	ction w/in US a w/in US P w/in US
Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	
X AG Approved EQ 12333-Guidelines (Gui	delines name and section b2
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958 Other (EO citation:	, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	ANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-3342NSL (U)

b2 b7E

(U) (U) During the NSL audit of March 2007, the Inspection Division directed that the Division report this issue as a potential IOB matter.

b2 b7E

(U) On December 1, 2003, FBI Headquarters (FBIHQ) mandated that all 199J (International Terrorism), 256A (Hostage Taking By International Terrorists), 262 (Overseas Homicide/Attempted Homicide) and 265 (Act of Terrorism) cases be reclassified as 315J (International Terrorism). Subsequent to the reclassification, all such cases became subject to the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG).

7	TT	١
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The case at issue here was opened	
prior to the December 1, 2003 reclassification that put i	it.
under the purview of the NSIG. It was subsequently tardy in	
providing notification to FBIHQ as mandated by the NSIG	٦
and and ·	_
(annual notification) by more than	

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-07-2010



IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 46 7007
DATE OF INCIDENT:	DATE OF REPORT TO 10B: 1/31/200% b2 b7E.
DATE OF REPORT TO DEPARTMENT/AGENCY: 8/20/	/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance	ection e w/in US
Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again	SP w/in US SP abroad nst USP abroad
Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation	
Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958 X Other (EO citation:	8, as amended) b2
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMOR Other (Presidential Directive citation:	ANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Return or destroy	
*DATE OF FINAL DISPOSITION.	

DATE: 07-07-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb REASON: 1.4 (c, d) ALL INFORMATION CONTAINED DECLASSIFY ON: 07-07-2035 HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE ZET//20171210 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 b7E DIVISION IOB MATTER 2007-2191 (U) (8) · (S) b1 Ъ2 b7E Upon review of the file, determined that an . annual report was never submitted to FBI Headquarters (FBIHQ) and the Office of Intelligence Policy and Review (OIPR) of the Department of Justice (DOJ) for believed that in the past an annual report was not determined to be nécessary, . b2 b7E No documentation exists in the file that would explain the absence of the annual reports. In did file annual reports to OIPR concerning Until the inception of the The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG) on 10/31/2003, when The Attorney b2 General's Guidelines for FBI Foreign Intelligence b7E Collection and Foreign Counterintelligence Investigations (FCIG) was in effect, annual reports were not required to be submitted by the field for Therefore, prior to Desived from: G-3 Declassify on:

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	• •	

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decision not to submit annual reports was not an error, and, in any case, did not	ο7E
substantially impair OIPR's ability to oversee the investigation.	•
submitted an annual report to FBIHQ and	
OIPR on While submission of the annual	
report provided OIPR with knowledge of the	
it did not absolve from its failure to	•
file annual reports on the following the	
implementation of the NCIC by the EDT. As a result of failing to	b2 b7E
submit these reports, for over OIPR had no	
knowledge of the While OIPR may have learned from .	``
about the specific investigations that	
Involved the who visited OIPR	
still had no knowledge of open investigation until .	
filed the annual report in	,
(U) Therefore, OGC finds that the failure to submit	٠,٠
annual reports to OIPR in substantially.	7 E
impaired OIPR's opportunity to provide oversight of the	
investigation. Accordingly, OGC has determined that an IOB	
violation has occurred.	

Hofmann-IOB-3472

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IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 4th 7007	· .
DATE OF INCIDENTS: DATE OF REPORT TO IOB:	`	b2 b7
DATE OF REPORT TO DEPARTMENT/AGENCY: 08/21/2007		· .
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congre	ess, Civil Liberties Office):	٠.
POSSIBLE VIOLATION OF:		
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)		
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abr Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation X AG Approved EO 12333 Guidelines (Guidelines name		b2 b7
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM.OFM.OFM.OFM.OFM.OFM.OFM.OFM.OFM.OFM.OF		
DEPARTMENT/AGENCY ACTION:		
*DATE OF FINAL DISPOSITION:		• •

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, -	TAMER CARRIED CHARGE COMPANY DONNE (COR) WARRED	
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2 b7E
	IOB MATTER 2007-3244 (U)	1012
	10D FAILDR 2007 5244 (0)	•
		•
•	(S) On opened a	
	on a United States person that was	
$(\mathbf{U})_{\sim}$	converted to a pn During a file	b2
	review, the squad supervisor observed that annual status reports	b7E
,	required by the Attorney General's Guidelines for FBI National	
	Security Investigations and Foreign Intelligence Collection had	
	not been submitted since According to the annual	
Ī.	LHMs for and were inadvertantly overlooked due to a	•
• • •	reassignment of personnel.	
_	During the subject left	ï
•	Non Bulling Cite Subject left!	
· · · · · ·	While	b2
	the Department of Justice/Office of Intelligence Policy and	-b7E
•	Review (OlPR) had notice of the opening	
	init was not informed of developments in the	
	in and a period in which investigative	
• • • • • • • • • • • • • • • • • • • •	activity occurred. As a result, OGC concludes that the delay in	• •
	filing the required status reports was sufficiently great to	
•	deprive OIPR of the opportunity to provide meaningful oversight, requiring this report to the Board.	
	requiring this report to the poard.	
(U)	has informed FBIHQ that the	b7E
	has set up a spreadsheet to track the submission of required	
	reports and other milestones with investigative significance.	
•		
• ,		7.
	Derived from: 0-3	
	Declassify on: 20171019	
· ,		
•		

Hofmann-IOB-3474

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 46 2007
DATE OF INCIDENT	DATE OF REPORT TO 10B: 1/31/2009 b2
DATE OF REPORT TO DEPARTMENT/AGENCY:	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., Do.J.,	Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in Section 2.4(b) unconsented physical search w/i Section 2.4(c) physical surveillance of USP w/i Section 2.4(d) physical surveillance of USP about the section 2.5 AG approval w/in US or against US Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	a US in US in US road SP abroad
AG Approved EO 12333 Guidelines (Guidelines) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as a Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDU Other (Presidential Directive citation:)	mended)
DEPARTMENT/AGENCY ACTION: *DATE OF FINAL DISPOSITION:	

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-971NSL (U)

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b2 b7E

b2 b7E

 $\{U\}$

· · (U) The	Division		of the
Federal Bureau of Investigati	ion (FBI) re	ported a pos	ssible "
Intelligence Oversight Board	(IOB) viola		
notification of the opening of	of a	-	
	· —		
On		initiated, a	
but failed to	notify FBIHQ	and the Der	partment of
Justice as provided by			ey General's
Guidelines for FBI National S	Security Inv	estigations	and Foreign
Intelligence Collection (NSIC	3) which wen	t into effec	ct on
10/31/2003. Consistent with	implementin	g guidance,	
	the on-goin		

FBIHQ discovered this issue during an audit on the use of NSLs in March of 2007. Because proper notification was not provided about this investigation, the Office of Intelligence Policy and Reveiw had no opportunity to review or provide oversight of this investigation.

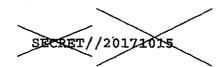
Hofmann-IOB-3476

Derived From: G-3
Declassify On: 11/30/2017

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-07-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI CY Quarterly Report: 4	b 2007
DATE OF INCIDENT: DATE OF REPORT TO 10B: 12/2007	31 08 b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties	Office):
POSSIBLE VIOLATION OF:	· •,
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:	b2 b7F
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:) DEPARTMENT/AGENCY ACTION: *DATE OF FINAL DISPOSITION:	



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

FIELD OFFICE

IOB MATTER 2007-3375 (U)

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b7E

(U)

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The Field Office of the Federal Burea	au of
Investigation has reported errors in conjunction with an	
investigation of that division. In this regard, 11 NSLs so	ought
information presumably about US Person	
were relevant to this investigation. respon	
included additional or other information	that .
went beyond what was request requested. These records had	been
uploaded into FBI databases (ACS); but were subsequently de	eleted.

(U) This matter has been reported to the FBI's Inspection Division for appropriate action.

Derived from: G-3
Declassify on: October 25, 2017

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-67-2010

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-07-2010 BY.65179/DMH/PLJ/sdb

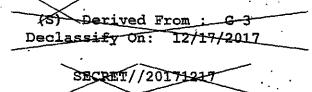
*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 44 700 7
DATE OF INCIDENT: 2006	DATE OF REPORT TO IOB: 1/31/2
DATE OF REPORT TO DEPARTMENT/AGENCY: 10/02	2/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive colle Section 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines (Guideline	ction w/in US w/in US w/in US P w/in US. P abroad ast USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EQ 12958 Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMOR. Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION:	

(U) <		•	
I.E.L.	INTELLIGENCE OVERSIGHT BOAR	D (IOB) MATTER (b2
\	FIELD OFF		b7E
	IOB MATTER 2007-126	3 (U) `	-
			•
131	opened an authorized		b2
	of a U.S. person	but did not	b7E
	ice of the investigation unt	11	
more than			
	•		
	e Office of Intelligence Pol:		b 2
	f the pending investigation		b7E
	sumes, therefore, that OIPR's		,
substantial	ly impaired by the delay and	is reporting this issue	
to the IOB.	•	•	• .

DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-07-2010



IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 4 200 7
DATE OF INCIDENT:	Date of report to iob: 1/3//07
DATE OF REPORT TO DEPARTMENT/AGENCY: Ap	ril 9, 2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e	, DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:	fection ce w/in US rch w/in US JSP w/in US JSP abroad sinst USP abroad at nuidelines name and section: S8, as amended) RANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION	
*DATE OF EDIAL DISPOSITION	

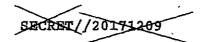
	_	
<u> </u>		~·~
SECR	ET 7/20	171209

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTERS FIELD OFFICE IOB MATTERS 2007-3426 (U)

b2 b7E

The Federal Bureau of Investigation ("FBI	") has
determined that in conducting an investigation on a	
	y issued an b7E
NSL to which returned information that	
be irrelevant to the investigation and the informat	
uploaded into a database. (U)	
	,
In this regard, on or	ened a
	, , ,
	Further,
the user	
Sect	ion 101(i) of b2
the Foreign Intelligence Surveillance Act of 1978	
that same day, the case agent drafted a	
to the	`
to determine	The
NSL was reviewed and eventually issued on	The NSL
requested	
In the interim, unbeknownst to two ECs wer	e drafted.
dated	
had no reaso	· • • • • • • • • • • • • • • • • • • •
_	,
or this information, as the EC was drafted by the	n to be aware. Field
_	,
Office, which operated the main case.	Field b2
or this information, as the EC was drafted by the	Field b2
Of this information, as the EC was drafted by the Office, which operated the main case. The information that was returned include	Field b2 b7E While
Of this information, as the EC was drafted by the Office, which operated the main case. The information that was returned include the return information was not uploaded into any day	Field b2 b7E While tabase, an EC
Office, which operated the main case. The information that was returned include the return information was not uploaded into any dadescribing the return information was uploaded into	Field d the While tabase, an EC ACS and did
Office, which operated the main case. The information that was returned include the return information was not uploaded into any dadescribing the return information was uploaded into include the	Field d the While tabase, an EC ACS and did has
Office, which operated the main case. The information that was returned include the return information was not uploaded into any dadescribing the return information was uploaded into include the sequestered the return information and will purge A	Field d the While tabase, an EC ACS and did has CS of the EC
The information that was returned include the return information was not uploaded into any dadescribing the return information was uploaded into include the sequestered the return information and will purge A which includes the	Field d the While tabase, an EC ACS and did has CS of the EC Further,
Office, which operated the main case. The information that was returned include the return information was not uploaded into any dadescribing the return information was uploaded into include the sequestered the return information and will purge A which includes the has been admonished that the case should has	Field d the While tabase, an EC ACS and did has CS of the EC Further,
Office, which operated the main case. The information that was returned include the return information was not uploaded into any dadescribing the return information was uploaded into include the sequestered the return information and will purge A which includes the has been admonished that the case should has been admonished that the case should have categorized as a USP investigation because	Field d the While b7E tabase, an EC ACS and did has CS of the EC Further, ve been
Office, which operated the main case. The information that was returned include the return information was not uploaded into any dadescribing the return information was uploaded into include the sequestered the return information and will purge A which includes the has been admonished that the case should not categorized as a USP investigation because and there is a presumption, absent	Tield d the While b7E tabase, an EC ACS and did has CS of the EC Further, ve been any other
Office, which operated the main case. The information that was returned include the return information was not uploaded into any dadescribing the return information was uploaded into include the sequestered the return information and will purge A which includes the has been admonished that the case should not categorized as a USP investigation because and there is a presumption, absent information, that the user is a USP. The case is information, that the user is a USP.	Tield d the While b7E tabase, an EC ACS and did has CS of the EC Further, ve been any other
Office, which operated the main case. The information that was returned include the return information was not uploaded into any dadescribing the return information was uploaded into include the sequestered the return information and will purge A which includes the has been admonished that the case should not categorized as a USP investigation because and there is a presumption, absent	Field d the While b7E tabase, an EC ACS and did has CS of the EC Further, ve been any other n the process
Office, which operated the main case. The information that was returned include the return information was not uploaded into any dadescribing the return information was uploaded into include the sequestered the return information and will purge A which includes the has been admonished that the case should not categorized as a USP investigation because and there is a presumption, absent information, that the user is a USP. The case is information, that the user is a USP.	Tield d the While b7E tabase, an EC ACS and did has CS of the EC Further, ve been any other

Derived from: G-3 Declassify on: 12/09/2017



The IOB has instructed OGC to report matters in which the FBI has uploaded into a database information that it knows or should have known was not relevant to an investigation, regardless of whether there was any impropriety in obtaining the information. Therefore, this matter is being reported to the IOB. (U)

Hofmann-IOB-3483

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-07-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

		414	•
Name of Department or Agency:	FBI OGC	CY Quarterly Report: 2007	
DATE OF INCIDENT:	(issuance of NSL)	DATE OF REPORT TO IOB:	12/30/07 1/31
DATE OF REPORT TO DEPART	MENT/AGENCY: 10/02	/07	
DATE REPORT SUBMITTED TO	O OTHER ENTITY (i.e., i	DoJ, Congress, Civil Liberties Offi	ice):
POSSIBLE VIOLATION OF:		·	
OTATITE ALLIA CALL	- Cada Objects X		
· STATUTE (United State			
FISA (50 U.S.C		• • • • • • • • • • • • • • • • • • • •	`
_x Other (U.S.C. ci	ranon:		
EXECUTIVE ORDER 1:	2222.	· · ·	
•	ection & dissemination of	FTICD info	
	imble: least intrusive colle		
	CIA electronic surveillance		
	inconsented physical sear		•
	hysical surveillance of US		
	hysical surveillance of U		
	approval w/in US or agai	net USP abroad	
Section 2.5 AG Section 2.6 assi	stance to law enforcement	t.	٠,
Section 2.7 con			•
Continu 2.0	isclosed participation		<i>:</i>
	man experimentation		· · · · · · · · · · · · · · · · · · ·
Section 2.11 pro	ohibition on assassination		,
Section 2.12 inc	direct participation	*	
		idelines name and section:	:) .
	:		
OTHER EXECUTIVE O	RDER (SPECIFY)		
	uthorized SAP (EO 1295)	8. as amended)	
	ion:)		· · · · ·
			:
PRESIDENTIAL DIREC	CTIVE (SPECIFY) .		
		ANDUM OF NOTIFICATION	
	itial Directive citation:		•
	· · ·		•
DEPARTMENT/AGENCY ACTI	ON: Advised that matter	was being reported to IOB: that in	formation should r

DEPARTMENT/AGENCY ACTION: Advised that matter was being reported to IOB; that information should not be used or disseminated; it may be destroyed or kept in file, which is being closed. Information already being purged from database.

*DATE OF FINAL DISPOSITION:



	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE	b2 b7E
	IOB MATTER 2007-3295- (U)	
U) -	of a U.S. person. lissued an NSL under	•
	to a requested	
• .		b2 b7E
•		212
•		. ; . ;
	response to the NSL, the produced a	
,	subsequently drafted an EC containing	
	information from the The EC was uploaded onto ACS.	
•		•
	(U) In this case, erred when it requested	b2
	in its NSL.	. Ъ7Е
	it uploaded onto ACS over-produced information from the	
	Such uploading constitutes a compounding of a	8
•	third party error.	
;	(U) has been instructed to redact from all	,
	(U) has been instructed to redact from all documents any over-produced information from the	.b2 .b7E
, ,	has also been instructed to contact the	
	and ask whether the	:
	should be returned or destroyed, with appropriate documentation	
	to the file.	



DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency:	FBI CY Quarterly Report: 4\frac{1}{200.7}
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/08
DATE OF REPORT TO DEPARTM	MENT/AGENCY: 03/19/2007
DATE REPORT SUBMITTED TO	OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States of FISA (50 U.S.C.) X Other (U.S.C. cita	1801)
EXECUTIVE ORDER 123	
Section 2.4 pream Section 2.4(a) CIA Section 2.4(b) und Section 2.4(c) phy Section 2.5 AG ap Section 2.6 assists Section 2.7 contra Section 2.9 undisc Section 2.10 huma Section 2.11 prohi	closed participation an experimentation ibition on assassination
OTHER EXECUTIVE OR Creation of unauth Other (EO citation	horized SAP (EO 12958, as amended)
	CIVE (SPECIFY) ON FINDING/MEMORANDUM OF NOTIFICATION LL Directive citation:
DEPARTMENT/AGENCY ACTIO	N:

SECRET//20174130

,	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE	
	IOB MATTER 2007-1943 (U)	
(U)	(S) On the Federal Bureau of Investigation (FBI). Field Office reported on a	•
	United states Person was initiated on	•
j)		
**		•
		,
	į į	
•		•
•.	} .	
		,
	Based upon these facts, investigative activity	٠.
	occurred following the	
·		
, (Accordingly, this matter is reported to the IOB.	
U)	Having determined that	_
]. `` /
`	we turn to the handling of information acquired thereby. Exclusion is a remedy of judicial origin created to	
	address violations of constitutional dimension. In addition,	. '
	some statutes, such as the Foreign Intelligence Surveillance Act,	٠.
	provide for an exclusionary rule. In this instance, neither the	• •
, , , ,	Constitution nor any applicable statute has been violated and.	 [
.		
		-
. '		
,		ľ •

Derived From: G-3
Declassify On: 11/30/2017

Hofmann-IOB-3487

*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency: FBI CY Quarterly Report: Uth 7003	
DATE OF INCIDENT: DATE OF REPORT TO IOB: 12/2007 1/31/08	
DATE OF REPORT TO DEPARTMENT/AGENCY	E
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):	
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:	227
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:) DEPARTMENT/AGENCY ACTION:	

SECRET//20171130

	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2
{Ŭ} <u>~</u>	DIVISION	b7E
	IOB MATTER 2007-1072NSL (U)	
•	During an authorized investigation of a U.S.	`
	person, issued at least four National Security Letters	. b2 b7E
,	(NSLs) for believed to be associated with	D/E
	the target of the investigation. Three of those NSLs were signed	
•	by the Acting Special Agent in Charge (A/SAC). One NSL was	
J## L	signed by an A/SAC designated by the Director of the FBI. The	
(U)	other two A/SACs were Assistant Special Agents in Charge (ASACs)	•
•	signing for the designated SAC.	•
•	vagaring roa one debagnaced bro.	
•	Two of the NSLs were issued in the fall of 2002.	٠.
•	In these cases, the A/SACs may have signed the NSLs in accordance	,
• •	with legal advice from OGC and prior to the promulgation of	
,	guidance from the Department of Justice, Office of Legal Counsel	
	(OLC) to the contrary. The other NSL was signed by an A/SAC and	•
,	issued on 01/07/2004, after OGC promulgated the OLC opinion.	•
	The second secon	٠,
,	(U) The Electronic Communications Privacy Act (ECPA)	•
	expressly states that the certification must be signed by the FBT	,
	Director "or the Director's designee in a position not lower than	
	Deputy Assistant Director at Bureau headquarters or a Special	
	Agent in Charge in a Bureau field office 18 U.S.C. § 2709(b).	b2
•	On three occasions, requested an NSL for information	b7E
	relevant to an authorized investigation, but it did not get the	•
,	proper approvals and SAC signature, as required by law.	
,	· · · · · · · · · · · · · · · · · · ·	• ,
•	(U) was advised to destroy the records, with	
	appropriate documentation to the file, and to remove all records	b2
•	from any FBI database(s). was also told to report any	b7E
•	other instances where an A/SAC signed an NSL.	
		•
• • •		
• • • • • • • • • • • • • • • • • • • •	Derived From : G-3	
	Declassify Op. 11/30/2017	•

Hofmann-IOB-3489

IOB SUMMARY SHEET

DATE OF INCIDENT: DATE OF REPORT TO 10B: 12/31/2908 1/31/03 b2 b7E DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A
•
POSSIBLE VIOLATION OF:
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: <u>18 U.S.C. 2709</u>)
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation
AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: Other (Presidential Directive citation:
DEPARTMENT/AGENCY ACTION: Data obtained pursuant to NSLs without proper authorization to be purged from all FBI records also told to report all instances where an A/SAC signed an NSL. *DATE OF FINAL DISPOSITION: 11/30/2007



(U) \	
INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	. b2
FIELD OFFICE	, b7E
IOB MATTER 2007-1425- (U)	
(S) During a	
of a U.S. person, issued a National Security Le	tter
(NSL) under to a	, ,
The NSL requested only	b2
	b7E
Despite the request in terms produce	
NSL. containing more information than requested	
	in
an EC that was uploaded onto ACS.	
/**\ **	. b2
(U) In this case, committed an error when	
uploaded onto ACS some of the over-produced information	from the
Such handling of over-produced info	rmation
is considered compounding a third party error, which mus	it be
reported to the IOB.	
	· · · · · · · · · · · · · · · · · · ·
has been instructed to contact the	
and ask whether the	should be
returned or destroyed, with appropriate documentation to	the
appropriate file has been informed that it may	ıy keep a
copy of the provided that all informations	
beyond in the copies is	- <u>- </u>
redacted.	
With	regards to
	been
directed to redact the over-produced information from the	10
investigative file copy and either permanently charge-or	it or
redact the over-produced information from the ACS conv	



HEREIN IS UNCLASSIFIED DATE 07-07-2010 BY 65179/DMH/PLJ/sdHOB SUMMARY SHEET CY Quarterly Report: 4th 2007 Name of Department or Agency: FBI DATE OF REPORT TO IOB: 1/31/08 DATE OF INCIDENT: DATE OF REPORT TO DEPARTMENT/AGENCY: 05/04/2007 DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): POSSIBLE VIOLATION OF: STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation: **EXECUTIVE ORDER 12333:** Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section: OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation: _____ PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation: __

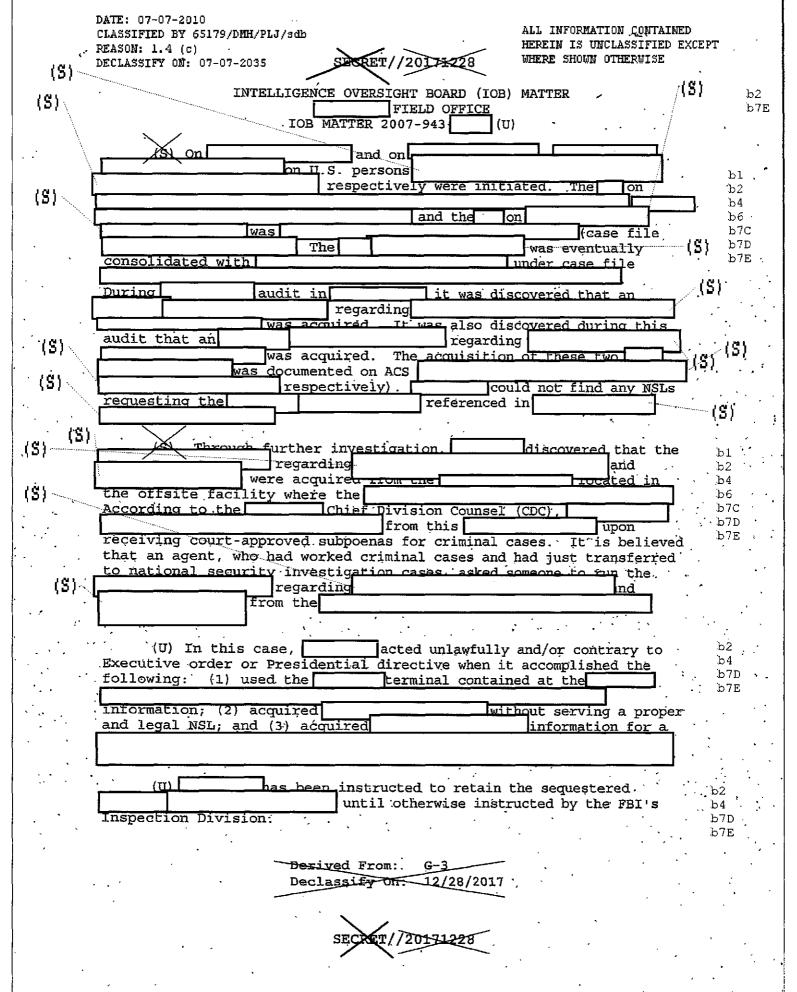
ALL FBI INFORMATION CONTAINED

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3492

b2 b7E



IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 4 700 7
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/08
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/16	5/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	b7E
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: EXECUTIVE ORDER 12333:	ugn i. f.
Section 2.3 collection & dissemination of 0 Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USI Section 2.4(d) physical surveillance of USI Section 2.5 AG approval w/in US or again	ction w/in US 1 w/in US P w/in US P abroad st USP abroad
Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines)	b2 b5 b7
OTHER EXECUTIVE ORDER (SPECIFY) Création of unauthorized SAP (EO 12958, Other (EO citation:)	as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	NDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Instructed San Juan to otherwise by the FBI's Inspection Division. This investigation	to retain the sequestere until directed
*DATE OF KINAL DISPOSITION:	on mus oround of

DATE: 07-07-2010

CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

DECLASSIFY 'ON: 07-07-2035

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	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2
	FIELD OFFICE	b7
	IOB MATTER 2007-1143-NSL (U)	
	The state of the s	
ន} 🦿	The Federal Bureau of Investigation ("FBI") has	
m (determined that in conducting an investigation	
•	the Field Office issued a	
	NSL in response to which returned records beyond the	, p1
(S)	parameters of the request and the information was uploaded into a	b2 b6
1 4	database. In this regard, on or about opened	b7
	an investigation who is a "United States	b7
•	person" as that term is used in Section 101(i) of the Foreign	
	Intelligence Surveillance Act of 1978 (FISA). During the course	
	of this investigation, an NSL was issued	, •
,	pursuant to the	
	for	
	returned the requested information, but, in addition, provided	
	information outside the parameters of the request. The	
	information was uploaded into	•
	this matter was reported as a potential 10B.	ì
•		• •
•	The IOB has instructed OGC to report matters in which	•
	information due to error is uploaded into a l	2
• • • •	database. Thus, this situation is being reported to the IOB.	7E '
	has been advised to purge the database of the	. •
	information, and destroy or return the information to the	•
•	(0)	

Derived from: G-3
Declassify on: 11/28/2017

Name of Department or Agency: FBI OGC	CY Quarterly Report: 2007	
DATE OF INCIDENT: (issuance of NSL)	DATE OF REPORT TO IOB: 12/30/07	1/31/08
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/07		•
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ,	Congress, Civil Liberties Office):	· · · b2
POSSIBLE VIOLATION OF:	,	b7E
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:		
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of US. Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/s Section 2.4(b) unconsented physical search w Section 2.4(c) physical surveillance of USP w Section 2.4(d) physical surveillance of USP a Section 2.5 AG approval w/in US or against U Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines)	n US in US in US in US broad JSP abroad	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANI Other (Presidential Directive citation:) DEPARTMENT/AGENCY ACTION: Advised field to purge data	amended) DUM OF NOTIFICATION	b2 b7E

"DATE OF FINAL DISPOSITION



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION IOB MATTER 2007-1406NSL (U)

(U) During an NSL re-audit on April 19,2007, the Inspection Division directed the Division to report this issue as a potential IOB matter.
A NSL was drafted the same day, for the
Both letters were delivered to the
for service to
but hever received the actual NSL. In response to
initiation of the provided records dating from the These
records were uploaded by and incorporated into the substantive case file. On
encompassing the scope of all records produced.
encompassing the scope of arr records produced.
(U) Pursuant to the July 31, 2007 instructions from the
IOB, the FBI is reporting this matter because the uploading of
the over-produced information arguably constitutes a compounding
of the third party financial institution's error.
or ene curre bairs trusuctar ruserengion s effor.
S Because a subsequent encompassed the
need take no remedial or curative action

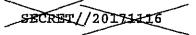
Hofmann-IQB-3497

b2

b7E



Name of Department or Agency:	FBI	CY Quarterly Report:	46 2007
DATE OF INCIDENT:]	DATE OF REPORT T	O IOB: 1/31/08
DATE OF REPORT TO DEPART	MENT/AGENCY: 04/27/	07	
DATE REPORT SUBMITTED TO	O OTHER ENTITY (i.e., D	oJ, Congress, Civil Lib	erties Office):
POSSIBLE VIOLATION OF:	•	,	
STATUTE (United States FISA (50 U.S.C. X Other (U.S.C. cit	1801)		• • • •
	ction & dissemination of U		
Section 2.4(a) C	mble: least intrusive collect IA electronic surveillance v aconsented physical search	v/in US	
Section 2.4(c) ph Section 2.4(d) ph	nysical surveillance of USP nysical surveillance of USP approyal w/in US or agains	w/in US abroad	
Section 2.6 assis Section 2.7 contr	tance to law enforcement racting	t Oor abtoad	
Section 2.10 hun	sclosed participation nan experimentation hibition on assassination		
Section 2.12 ind			
	thorized SAP (EO 12958,	as amended)	· * * * * * * * * * * * * * * * * * * *
Other (EO citation PRESIDENTIAL DIREC			
COVERT ACTI	ON FINDING/MEMORAL ial Directive citation:	NDUM OF NOTIFICA?	TION
DEPARTMENT/AGENCY ACTI	ON: Return or destroy		
*DATE OF FINAL DISPOSITION	ν ι - ,		, ·



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 FIELD OFFICE b7E IOB MATTER 2007-1211-NSL (U) The Federal Bureau of Investigation ("FRI") has determined that in conducting a of a United States person, Field Office issued an NSL to after the investigation was closed. Thus, investigative activity was conducted without proper authorization. The results of the NSL have been sequestered and will be returned to the provider or destroyed. Likewise, FBI databases will be purged of substantive information taken from the results. \\ In this regard, on 10/2/2003, properly prepared an NSL pursuant to the for that were relevant the investigation. request was made of another department in the office to serve the NSL This did not immediately occur, however. (U) Due to investigative progress a determination was made to close this investigation on Apparently the .b2 ∵ personnel responsible for issuing the NSE did not receive notice, b7E as the NSL was actually delivered to returned results in and the records were documented in the case file until discovered during the NSL audit in The records are now sequestered and will either be destroyed or returned to Likewise, any substantive information that might be associated with the results of the NSL are being purged from FBI databases. (U) is training personnel on the importance b2 of tracking and coordination of NSLs to assist in preventing similar circumstances from occurring in the future. (U) . Dexived from: G-3 Declassify on: 11/16/2017

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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-07-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 4 7007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/03
DATE OF REPORT TO DEPARTMENT/AGENCY:	b7E
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:) EXECUTIVE ORDER 12333:	
Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting	ction w/in US h w/in US P w/in US P abroad nst USP abroad
Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation X AG Approved EO 12333 Guidelines	b2 b7F
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958 Other (EO citation:)	, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	

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(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 FIELD OFFICE b7E IOB MATTER 2007-3388 (U) The Federal Bureau of Investigation ("FBI") has determined that in conducting a b7E of a United States person Field Office received about an individual who was not relevant to the investigation. This third party overproduction was documented in an electronic communication (EC) which was temporarily uploaded into the FBI's Automated Case Support (ACS). The overproduction has been redacted from the NSL results and purged from ACS. In this regard, on 8/1/2006, prepared and issued an NSL pursuant to the that are releva<u>nt the investigation</u>. A reque<u>st was made</u> Field Office to serve the NSL on area of responsibility. (U) whose offices are in the In response, provider the information However, with respect to one of the also provided for dates outside the range requested, such that the results included b2 about an individual not relevant to the b7E investigation. Upon receipt of the results from documented all provided by into an EC and uploaded the EC into the ACS case file for Upon review of the results, the _Case Agent identified the overproduction. The overproduction was then redacted from the results such that only compliant information is now in the case file and the EC documenting the overproduction has been purged from ACS. (U) other officer whose personnel are asked to serve NSLs for all the Bureau, have been advised of this challenge. These offices are making efforts through training and protocols to prevent third party overproductions from being compounded when transmitting the results back to the requesting office. (U) Derived from: G-3 Declassify on: 10/31/2017

b7E

Name of Department or Agency: FBI	CY Quarterly Report: 4th 7007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/3//o
DATE OF REPORT TO DEPARTMENT/AGENCY:	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)	
EXECUTIVE ORDER 12333: X Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Gui	etion w/in US h w/in US P w/in US P abroad ast USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958 Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	, as amended)
DEPARTMENT/AGENCY ACTION:	
*TATE OF FINAL DISPOSITION	

(U)			٠
1 - 1	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	-	b2
7	FIELD OFFICE	•	b71
	IOB MATTER 2007-3263 (U)		
	(S) During an authorized	-	:
	of a U.S. person,	sent a	
	National Security Letter (NSL) to a seeking		•
	Seeking	i .	
		,	
•	ļ	ď.	
		۵	7E
		n	
	response to the NSL, the produced	la	· •
٠ ـ ـ	which included information that was not r	equested	
	in the NSL. subsequently provided a summary of t		
	subject's	in two	,
• ,	documents which were provided to FBI; Headquarters and/or		' .
JÝT.	uploaded onto Automated Case Support (ACS). Additionally		•
{U}>	provided a copy of the to FBI,	- "	4
. ''	ijeauquarters.	• •	
•	In this case, errors were committed by	hen it	-
	compounded the third party error by uploading onto ACS and	d/or	
	providing FBI, Headquarters documents which contain a sum		
	the subject's payment history, account status and debt st		•
`	Additionally, compounded by the third party error		
•		FBI,	
•	Headquarters.		
. ′			
	(U) has been instructed to charge-out from t	:he	•
•	database any documents that contain the above-described		2 .
·	information and reduct the portions of those documents the reference the over-produced information.	iac	7E
	reference the over-produced information. has also instructed to contact FBI. Headquarters and direct it to	O Deen	
•	its copy of the subject Finally,	has	•
,	also been instructed to contact the	and	
•	ask whether the original should be ret	· ·	
•	destroyed, with appropriate documentation to the file.	•	
	·	,	
	Derived From: G-3	2 *	
	Declassify On: 12/28/2017		
			,, ·
		* * *	•
		• •	
			:
			•
	CT (2017/2017/2018		

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-08-2010 BY 65179/DMH/PLJ/sdb

Name of Department or Agency:	FBI CY Quarterly Report:	142007
DATE OF INCIDENT:	DATE OF REPORT T	8 0/15/ 1:801 OJ
DATE OF REPORT TO DEPART	MENT/AGENCY: 04/16/2007	
	O OTHER ENTITY (i.e., DoJ, Congress, Civil Lib	erties Office): b2
POSSIBLE VIOLATION OF:		
STATUTE (United States FISA (50 U.S.C. X Other (U.S.C. ci	1801)	
Section 2.4 pread Section 2.4(a) Control Section 2.4(b) under Section 2.4(c) plus Section 2.4(d) plus Section 2.5 AG Section 2.6 assists Section 2.7 control Section 2.9 undial Section 2.10 hur Section 2.11 pro Section 2.12 indial	ction & dissemination of USP info- mble: least intrusive collection IA electronic surveillance w/in US- nconsented physical search w/in US- nysical surveillance of USP w/in US- nysical surveillance of USP abroad approval w/in US or against USP abroad tance to law enforcement	n:
Other (EO citation of the Control of	thorized SAP (EO 12958, as amended) on: TIVE (SPECIFY) ON FINDING/MEMORANDUM OF NOTIFICAT ial Directive citation: ON: Directed to charge-out from ACS tho	b2 b4 b7D
Also, directed to contact F provided to it by Finally,	ct the portions of those documents that reference the BI, I feadquarters and direct it to destroy the copy of directed to contact the documents to contact the documents that reference the copy of the copy of directed to contact the documents and directed to contact the documents and directed to contact the documents and directed to contact the copy of	ne ove <u>r-produced information.</u> b7E

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. JTT1	INTELLIGENCE OVERSIGHT BOARD (10B) MATTER	4 4 4
` (U)	FIELD OFFICE IOB MATTER 2007-3253 (U)	,
İ	During an authorized Division sent a	
	of a U.S. person, Division sent a	
	seeking	
		b2
•		. þ7
	In	٠.
<u>.</u>	response to the NSL, the produced a	•
[which included information that was not requested	
	overproduction in documents that were uploaded into an FBI	
•	database.	
ſj		·
' '	In this case, an error was committed by when it compounded the third party overproduction by uploading a document	b2
	containing the subject's	, b7
\ , \ '		• . •
	[:	
•	(U) has been instructed to purge the	
	(U) has been instructed to purge the from the case file, as well as the database. has also	, b2
	been instructed to contact the and ask	, b/
	whether the overproduction should be returned or destroyed.	,
`		
	The second (U) second and the second	•
		· ;
	(S) Derived From: G-3	
	Declassify On: 12/28/2017	•
		٠.
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•		
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Name of Department or Agency: FBI	CY Quarterly Report: 1/13 Zoo 7
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2009
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/17	/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., D	oJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	b7
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of U Section 2.4 preamble: least intrusive collect Section 2.4(a) CIA electronic surveillance w Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.5 AG approval w/in US or against Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guide	ion //in US w/in US w/in US abroad t USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, and other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAL Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION: Field Office has been file and database and to contact the autor destroyed.	n instructed to purge the from the case b2 hould be returned b7E

*DATE OF FINAL DISPOSITION:

KĘ	Z ĆRET	1/2017	1228
/			_

U) \	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	
	FIELD OFFICE IOB MATTERS 2007-2056-NSL AND 2007-3110-NSL (U)	b2 b7E
	Of a	
	U.S. person,issued a National Security Letter (NSL) to a bank directing the production of	. b2 b7E
	following certification in the NSL:	*
•		b2
		b7E
•	(U) The NSL did not contain any other certification.	
,	(U) This matter is reportable because NSL was not yalid under either the The NSL was not valid	b2 b7E
	under It was not valid under because it did not include the certification that the FBI had complied with all applicable provisions of	•
•••	(U) has been instructed to contact the and ask whether the production should be returned or destroyed, with appropriate documentation to the file.	b2 b7E
.,	(U) Derived From: G-3	
· · .	Declassify On: 12/28/2017	· · · · · · · · · · · · · · · · · · ·

Name of Departmen	nt or Agency:	FBI		CY Quarterl	y Report: (ll za	o 7-	
DATE OF INCIDE	NT:			DATE OF R	REPORT TO	юв: 1/	31/0	78
DATE OF REPORT	TO DEPART	MENT/AGEN	CY: 06/20/200	7 and 07/06/	/2007		•	
DATE REPORT SU	JBMITTED TO	OTHER ENT	TTY (i.e., DoJ,	Congress, C	Civil Liberties	Office):	•	,
POSSIBLE VIOLA	TION OF:	,		• •				, .
F	E (United States ISA (50 U.S.C. Other (U.S.C. cit	1801)	a)				·.	د_
· s	VE ORDER 12: ection 2.3 colle- ection 2.4 pream	ction & disser	-	-		•	÷.	
S S	ection 2.4(a) CI ection 2.4(b) un ection 2.4(c) ph	A electronic s sconsented phy sysical surveill	urveillance w/i ysical search wa ance of USP w	in US /in US /in US		·· `		· . •
S	ection 2.4(d) phection 2.5 AG a ection 2.6 assist ection 2.7 contr	pproval w/in tance to law e	US or against U			· · · · · · · · · · · · · · · · · · ·		,
S	ection 2.9 undis ection 2.10 hum ection 2.11 prol	sclosed partici nan experimen hibition on ass	tation assination	• • • • •		· .		•
	ection 2.12 indi G Approved E			nes name and	d section:	-		• •
	XECUTIVE OF Creation of unau			omenided)		;		٠,
	ther (EO citatio		_) · · · · · ·	amenáca)			· ;	.•
; <u> </u>	NTIAL DIRECT OVERT ACTION	ON FINDING	MEMORAND	OUM OF NO	TIFICATION	, , ,		,
DEPARTMENT/AC	Other (Presidenti	•	icación:	/		•		÷
*DATE OF FINAL								

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTERS 2007-2145 and 2112 (U)

b2 b7E

	. The Federal Bureau of Investigation ("FBI		
•		nvolving	
	the		
	issued three National Security Letters, which NSLs		
	· · · · · · · · · · · · · · · · · · ·	eived ·	•
	information leading it to believe that	,	. ′
	may belong to associates of	or persons	•
	targeted by In an attempt to least		
. 1	information about on or about	out	
l	it issued three NSLs pursuant to the	L - 412	b2 b7E
`. I		to the	, D/E
, 1	for	<u> </u>	4
	However, the NSLs were signed by a person without a sign the NSLs; the NSLs were issued under a control		
	there apparently was no investigative file under who		•
	have been issued. Further, there was no supporting		
	provided information to NSLB for congressional repo		
		nformation was	•
• -	provided in response to the NSLs via a CD; however,		
`	information was not used or uploaded. The CD has be		
(ሀ)	segregated. The has been advised to destroy	the CD or	
161	return the CD to the carriers, depending on their w	ishes. 8	
_	D		٠.
·	Because there were numerous violations of	this	b2 ·
	Because there were numerous violations of matter is being reported to the IOB. (U)		b2 b7E
• • •			
• • • • • • • • • • • • • • • • • • •			
• • •	matter is being reported to the IOB. (U)		
• • • • • • • • • • • • • • • • • • •	matter is being reported to the IOB. (U) Derived from: G-3		
	matter is being reported to the IOB. (U)		
	matter is being reported to the IOB. (U) Derived from: G-3		
	matter is being reported to the IOB. (U) Derived from: G-3		
	Derived from: G-3 Declassify on: 11/28/2017		
	Derived from: G-3 Declassify on: 11/28/2017 SIFIED BY 65179 DMH/plj SECRET-/-20171128		
	Derived from: G-3 Declassify on: 11/28/2017		

	IOB SUMMA	RY SHEET	LALL .	ŕ	
Name of Department or Agency:	FBI OGC	CY Quarterly Report:		,	
DATE OF INCIDENT: 12/08/2	.005 (issuance of NSL)	DATE OF REPORT T	O IOB:12/30/07	/31/2008	`
DATE OF REPORT TO DEPART		•			•
DATE REPORT SUBMITTED T	O OTHER ENTITY (i.e.,	DoJ, Congress, Civil Lil	berties Office):		
POSSIBLE VIOLATION OF:					
STATUTE (United States FISA (50 U.S.C. x Other (U.S.C. ci	. 1801)).`	·	. 3	b2 - b7E
Section 2.4 preasured Section 2.4(a) Consider Section 2.4(b) under Section 2.4(c) plus Section 2.4(d) plus Section 2.5 AG Section 2.6 assists Section 2.7 context Section 2.9 undiasured Section 2.10 hurus Section 2.12 ind	ection & dissemination of mble: least intrusive colled in the colled in the consented physical searchysical surveillance of US hysical surveillance of US approval w/in US or againstance to law enforcements	ection e w/in US ch w/in US SP w/in US SP abroad nst USP abroad	n;		
Other (EO citati PRESIDENTIAL DIREC	athorized SAP (EO 12958 on:)		ATION		
DEPARTMENT/AGENCY ACT		turn or destroy	nformation.		b2 b71
*DAME OF EDIAL DISPOSITIO		•	•		

·	.b2 .b7
INTELLIGEN <u>CE OVERS</u> IGHT BOARD (IOB) MATTER	.D.
FIELD OFFICE	
IOB MATTER 2007-3206 (U)	
	`
(U) During an authorized of a	
U.S. person, sent a National Security Letter (NSL) to a	
	• •
In response to	b2
the NSL, the may have produced a	b7E
which included information that was not requested in the NSL.	
Whether the provided a	
cannot be confirmed because cannot locate the NSL response	•. •
received from the . However, used	
in a Letterhead Memorandum (LHM)	•
and uploaded this LHM onto Automated Case Support (ACS). The LHM	
appears to attribute receiving	
from the NSL response received from the	•
	•
	:
In this case, an error may have been committed by when	
it companied that companies to the state of	•
it compounded what appears to be a third party error by uploading onto	·
it compounded what appears to be a third party error by uploading onto Automated Case Support (ACS) the LHM containing the subject's	•
it compounded what appears to be a third party error by uploading onto	· · ·
it compounded what appears to be a third party error by uploading onto	, b2
it compounded what appears to be a third party error by uploading onto	. b2 b7E .
it compounded what appears to be a third party error by uploading onto	, b2 b7E ,
it compounded what appears to be a third party error by uploading onto	,b2 b7E
it compounded what appears to be a third party error by uploading onto Automated Case Support (ACS) the LHM containing the subject's	.b2 b7E .
Automated Case Support (ACS) the LHM containing the subject's However,	,b2 b7E
it compounded what appears to be a third party error by uploading onto Automated Case Support (ACS) the LHM containing the subject's	b2 b7E
Automated Case Support (ACS) the LHM containing the subject's However, cannot definitively identify the source of the Accordingly, in an abundance of	,b2 b7E
However, cannot definitively identify the source of the Accordingly, in an abundance of caution, this matter is being reported to the IOB. (U) has been instructed to charge-out from ACS the LHM	.b2 b7E
However, cannot definitively identify the source of the Accordingly, in an abundance of caution, this matter is being reported to the IOB. (U) has been instructed to charge-out from ACS the LHM containing the above-described information and redact the portions of	b2 b7E b2 b7F
However, cannot definitively identify the source of the Accordingly, in an abundance of caution, this matter is being reported to the IOB. (U) has been instructed to charge-out from ACS the LHM containing the above-described information and redact the portions of the LHM that reference the	b2 b7E b2 b7E
However, cannot definitively identify the source of the Accordingly, in an abundance of caution, this matter is being reported to the IOB. (U) has been instructed to charge-out from ACS the LHM containing the above-described information and redact the portions of the LHM that reference the Dallas has also been instructed to remove any other	
However, cannot definitively identify the source of the Accordingly, in an abundance of caution, this matter is being reported to the IOB. (U) has been instructed to charge-out from ACS the LHM containing the above-described information and redact the portions of the LHM that reference the Dallas has also been instructed to remove any other data that may exist from all FBI databases, with appropriate	
However, cannot definitively identify the source of the Accordingly, in an abundance of caution, this matter is being reported to the IOB. (U) has been instructed to charge-out from ACS the LHM containing the above-described information and redact the portions of the LHM that reference the Dallas has also been instructed to remove any other	
However, cannot definitively identify the source of the Accordingly, in an abundance of caution, this matter is being reported to the IOB. (U) has been instructed to charge-out from ACS the LHM containing the above-described information and redact the portions of the LHM that reference the Dallas has also been instructed to remove any other data that may exist from all FBI databases, with appropriate	
However, cannot definitively identify the source of the Accordingly, in an abundance of caution, this matter is being reported to the IOB. (U) has been instructed to charge-out from ACS the LHM containing the above-described information and redact the portions of the LHM that reference the Dallas has also been instructed to remove any other data that may exist from all FBI databases, with appropriate documentation to the case file.	
However,	
However, cannot definitively identify the source of the Accordingly, in an abundance of caution, this matter is being reported to the IOB. (U) has been instructed to charge-out from ACS the LHM containing the above-described information and redact the portions of the LHM that reference the Dallas has also been instructed to remove any other data that may exist from all FBI databases, with appropriate documentation to the case file.	
However,	
However,	

SECRET//20171207 Hofmann-IOB-3511

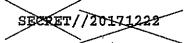
Name of Department or Agency: FBI	CY Quarterly Report: UH 700 7
DATE OF INCIDENT:	DATE OF REPORT TO IOB: (/31/08
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10	5/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	b7E
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines (ction w/in US h w/in US P w/in US P abroad st USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958 Other (EO citation:	, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	NDUM OF NOTIFICATION
DEPARTMENT/AGENCY AGTION: nas been inst Memorandum (LHM) that contains the unauthorized inform the over-produced information. nas also been instru- exist from all FBI databases, with appropriate documentation. *DATE OF FINAL DISPOSITION:	cted to remove any other data that may

/TT5 .	SECRET//20131102	
ገ ሆ ነ እ		-
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2
	FIELD DIVISION	b7E
	IOB MATTER 2007-1741 (U)	27.0
		•
	(S) The Federal Bureau of Investigation ("FBI") has	
	determined that in conducting aof a "United	
	States Person," as that term is used in Section 101(i) of the	b2 ·
•		b7E
	Foreign Intelligence Surveillance Act of 1978 (FISA), it	
	collected information on aunrelated to an	
ለፓፓኒ	authorized investigation.	٠.
YOY		
. '	Relevant to this investigation, a National Security	,
	Letter (NSL) dated	
	requesting the	b2
	related	b4
	to a relevant to this investigation, was	.b7D
	prepared and approved in accordance with	` ,b7E
	DECOATO BIO ANALONEO ID ACTIVIDADE WITH	
•	•	٠. ,,
/ ///		;
(V)		,
	provided a which	•
•	was not consistent with	
	The record showed that an individual other than the	b2
	subject was the	b4 .
		b7p
	it appeared that the results.	b7E
· - '	were erroneous. The FBI requested that	•
	determine which record was erroneousconfirmed	•
•	that the subject was the	
	not the individual for which the	
-	information was provided in the results.	• • •
	were no longer available.	
	wore, no longer available.	
, : ·	(IT). Who TERT reserved the descendant information from the	p5
		b4 .
	FBI databases and sequestered the incorrectly provided	b7D b7E
	- First of weep from the following the first f	.D/E · ·
· ·	on the wishes of	
		· •
-		
• • • • • • • • • • • • • • • • • • • •	Derived from: G-3	
` .	Declassify on: 20171102	
. :		• •
•		
•		
	CDODES / / 200 2 1 1 0 8	
	SECRET//20171102	,

b2 b7E

Name of Department or Agency: FBI CY Quarterly Report: 4 7007
DATE OF INCIDENT: DATE OF REPORT TO IOB: 1/31/2009
DATE OF REPORT TO DEPARTMENT/AGENCY:
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation EXECUTIVE ORDER 12333:
Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad
Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:)
DEPARTMENT/AGENCY ACTION:
Overproduction was purged from FBI systems and files.
*DATE OF FINAL DISPOSITION

(U)

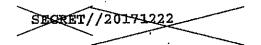


INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION	b2 b7E
IOB MATTER 2007-2206NSL (U)	
	•
On or about 06/01/2005, properly prepared and issued a National Security Letter (NSL) requesting	
believed to be	
associated with a U.S. person who is the subject of an authorized	•
investigation. Though it had sufficient predication to issue a	
NSL, chose to issue a less intrusive	b2
NSL. In response,	b7E
	•
NSL that was issued. Upon receiving this information	•
from uploaded it into an FBI database.	•
Upon realizing that this was an overproduction beyond the scope	
of the NSL, sequestered the information.	*
(U) was advised to remove the information	
from FBI databases and contact the to	•
determine whether the records should be returned or destroyed,	,
with appropriate documentation to the file. If the records are	b2
relevant to an authorized investigation. was advised	. b7E
that it may, at its discretion, continue to sequester the	
	•
information and issue another NSL requesting these records.	• •
	•
	<i>*</i>
	, ,

Derived From: 2-3
Declassify On: 12/22/2017

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-08-2010 BY 65179/DMH/PLJ/sdb IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 4thQ FY07.
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 12/31/2007 1/3/7008
DATE OF REPORT TO DEPARTMENT/AGENCY: 08/2	2/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office): N/A
POSSIBLE VIOLATION OF:	b7E
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive colle Section 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Gui	ction e w/in US th w/in US SP w/in US SP abroad est USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958 Other (EO citation:	, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMOR Other (Presidential Directive citation:	ANDUM OF NOTIFICATION b4 b7D
	or destroyed. If relevant to an authorized investigation,
field office was advised that it may sequester information a *DATE OF FINAL DISPOSITION: 12/22/2007	nd issue another NSL requesting these records.



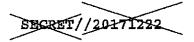
b2 b7E

	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	
	DIVISION	
٠,	IOB MATTER 2007-2208NSL (U)	
Į	100 11111111 200, 220010 (0)	
	On or about 01/13/2005, properly	•
	prepared and issued two National Security Letters (NSLs)	b2
	requesting believed to be associated with a	Ъ7I
	U.S. person who is the subject of an authorized investigation.	
	The NSLs specifically asked for records from	
1	. In response, the provided .	
1	records beyond the date range requested -	
		٠.
•	(U) It should be noted, however, that upon realizing	
-	(U) It should be hoted, however, that apon realising	b2
1	that this was an overproduction beyond the scope of the NSL,	b7E
	sequestered the information.	
	(U) was advised to remove the information	
	from FBI databases and contact the to	
	determine whether the records should be returned or destroyed,	
	with appropriate documentation to the file. If the records are	
	relevant to an authorized investigation, was advised	,b2
	that it may, at its discretion, continue to sequester the	Ъ7E
	TOBE II WAY AT ITS DISCIPLION, COMBUME OU SCOUGSCOL ONS	**
	information and issue another NSL requesting these records.	•

Derived From: G-3
Declassify On: 12/22/2017

ALL INFORMATION CONTAINED 'HEREIN IS UNCLASSIFIED DATE 07-08-2010 BY 65179/DMH/PLJ/sdb

Name of Department or Agency: FBI	CY Quarterly Report: 4thQ FY07
DATE OF INCIDENT:	DATE OF REPORT TO 10B; 12/31/2007 1/31/200%
DATE OF REPORT TO DEPARTMENT/AGENCY: 08/2	2/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office): N/A
POSSIBLE VIOLATION OF:	b7E
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	ь
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical searce	ction w/in US h w/in US
Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval win US or again Section 2.6 assistance to law enforcement Section 2.7 contracting	SP abroad ast USP abroad
Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Gui	delines name and section:
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958 Other (EO citation:	, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	ANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Field office advised to determine whether the records should be returned field office was advised that it may sequester information are	or destroyed. If relevant to an authorized investigation,
*DATE OF FINAL DISPOSITION: 12/22/2007	b2 b7E



INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2
	b7E
IOB MATTER 2007-3119 (U)	,
	,
On or about 06/13/2006, properly prepared	
and issued a National Security Letter (NSL) requesting financial	· · ·
records believed to be associated with a U.S. person who is the	
subject of an authorized investigation. The NSL specified the	b2 .b4
subject's date of birth (DOB) and social security number (SSN) in	. 64 67D
an effort to narrow the scope of the request. In response, due	b7E
to the fact that it does not search based on personal identifiers	•
such as DOB or SSN.	
This response	>
included records associated with the subject, as well as	
records associated with other individuals with the same name.	•
Upon receiving this information from the	
reviewed and analyzed the results and created a spreadsheet that	
was attached to an electronic communication (EC) uploaded into an	· · · :
· FBI database.	
	•
(U) It should be noted, however, that upon realizing	
that this was an overproduction beyond the scope of the NSL,	1.0
took all necessary corrective measures. re-wrote	b2 b4
the EC and spreadsheet and purged all remaining copies of the	b7D
original documents from FBI databases. The floppy disk	b7E
containing the overproduction was forwarded to the CDC for	
sequestration. was advised to contact to	•
determine whether the sequestered records should be returned or	•
destroyed, with appropriate documentation to the file.	

Derived From : G-3
Declassify On: 12/22/2017

Name of Department or Agency: FBI	CY Quarterly Report: 1stQ FY08 LIL 7007
DATE OF INCIDENT	DATE OF REPORT TO 10B: 12/31/2007 1/31/2008
DATE OF REPORT TO DEPARTMENT/AGENCY: 07/10	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	DoJ, Congress, Civil Liberties Office): N/A
POSSIBLE VIOLATION OF:	b2
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation: EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of U.S. Section 2.4 preamble: least intrusive collection and the section 2.4(a) CIA electronic surveillance of U.S. Section 2.4(b) unconsented physical search section 2.4(c) physical surveillance of U.S. Section 2.4(d) physical surveillance of U.S. Section 2.5 AG approval w/in U.S. or against Section 2.6 assistance to law enforcement section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	JSP info tion w/in US w/in US w/in US abroad st USP abroad
AG Approved EO 12333 Guidelines (Guidelines) OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION: Field office already to determine whether the records should be contact	as amended) NDUM OF NOTIFICATION b2 b7E

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•			
(℧) √	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER		b2
Jak /	FIELD OFFICE		b71
	IOB MATTER 2007-1445-NSL (U)		
•			
	(S) Oninitiated a		
	of a U.S. person. On		b2
,	submitted to FBI Headquarters (FBIHQ) a request to		b71
•	the beyond the	•	•
•	FBIHQ denied the request on	,	
			•
	XS) After the	-	
(U)	engaged in investigative activities beyond	•	
	those permitted during a		
•		•	
			b2
•	In addition,		ь71
			:
•	\cdot		. :
`		, ,	
´ I	submitted an EC closing the case on	•	
,		` .	٠.
, ' ,	(U) This matter is being reported because conducted	b2	•
		b7E	
ስተ ፐፕ	the Attorney General's Guidelines for FBI National Security	•	ر آهي.
ίσλ	Investigations and Foreign Intelligence Collection (NSIG)		٠
,	We now turn to the handling of the information		
	acquired from these activities. Exclusion is a remedy of	·	. `
	judicial origin created to address violations of constitutional dimension. In addition, some statutes, such as the Foreign		
	dimension. In addition, some statutes, such as the Foreign Intelligence Surveillance Act, provide for an exclusionary rule.	٠,٠,٠	
	In this instance, neither the Constitution nor any applicable		,
	statute has been violated and,		;
. : (III) s	beautice hab been violated and,	┰,	`.'
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			: ,.
		Ι΄.,	
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•		1	b7 E
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•		_] ·	
` .	S) Derived From: G-3	,	`. <u>.</u>
	Declassify On: 11/30/2017		. •
•			
_			•
		٠,	
•		- '	

SECRET//20171130

Name of Department or Agency: FBI	CY Quarterly Report: 44 7007
DATE OF INCIDENT:	DATE OF REPORT TO 10B: $1/31/200\%$ b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09	/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., D	oJ, Congress, Civil Liberties Office);
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical searction 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation X AG Approved EO 12333 Guidelines (Guidelines (Gu	ction w/in US h w/in US P w/in US P abroad ast USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958 Other (EO citation:)	
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION:	

•

*DATE OF FINAL DISPOSITION:

(S)	CLASSIFIED BY 65179/DMH/PLJ/sdb	
	REASON: 1.4 (c) DECLASSIFY ON: 07-08-2035	
***	SPECET//20174228 ALL INFORMATION CONTA	
	HEREIN IS UNCLASSIFIE INTELLIGENCE OVERSIGHT BOARD (IOB) MANYER SHOWN OTHERWISE	
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER SHOWN OTHERWISE FIELD OFFICE	DΖ
	IOB MATTER 2007-1793 (U)	Ъ7Е
(S)·	(0)	∠(S)
101	During an authorized	JANL
	5	(\$) "
	acmired an regarding .	1. 1
Г	in the case file. believed that was	b1 b2
(S) t	the spouse (subject of the above	b4
	discussed as not the	, b6
4.	subject of an FBI national security investigation and an NSL was not	(5) b7C b7D
; ;	found requesting that provide consumer information regarding	b7E
	Through further investigation discovered	
7.63	that the pertaining as acquired from the	
(S) <	According to the	•
	Chief Division Counsel (CDC),	
· (\$) ₁ .		••
19(It is believed that an agent, who had	•
	worked criminal cases and had just been transferred to national	
	security investigation cases, asked someone to run the legarding from the	/(S):
	regarding 120m circ	
(S) <		\rightarrow
101	An regarding	
•	dated may have been acquired by the same method	b2
, ,	discussed above. The acquisition of this was	. b4
	documented on Automated Case Support (ACS). The hard copy of this however, could not be located. As a result,	b6 b7C
•	cannot say with any certainty from where the originated	
	Additionally other than the ACS reference to the acquisition of a	b7E
•	regarding there is no	``
	further evidence that a was acquired. Finally,	┛ .
	could not find an NSL requesting the referenced on ACS.	· · · · · · · · · · · · · · · · · · ·
	Terestenced on Act.	
the section	(U) In this case, acted unlawfully and/or contrary to	,
•	Executive order or Presidential directive when it accomplished the	b2
: .	following: (1) used the contained	b4 b7D
•	information; (2) acquired one or more without	b7E .
•. •	serving a proper and legal NSL; and (3) acquired	
• ,		
4		` ;
	(U) has been instructed to retain the sequestered	b2 b4
	Inspection Division. until otherwise instructed by the FBI's	ъ7D
•	impocton bivision.	b7E
		•
	Desived From: G-3	
•	Declassify Oa: 12/28/2017	
•		•
	SECRET//20171228	•
		•
	Hofmann-IOB-3523	

DATE: 07-08-2010

*DATE OF FINAL DISPOSITION:

Name of Department or Agency: FBI	CY Quarterly Report: 4\$ 7007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/08
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/15	5/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., I	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	. / b71
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble; least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.5 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved E0 12333 Guidelines (Guidelines (Guidelines)	etion w/in US n w/in US P w/in US P abroad st USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation:	NDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Instructe otherwise by the FBI's Inspection Division. This investigation	or retain the sequestered until directed b7E on was closed by

(U)\

332	ርጀድጥ /	/1217	>>2 28
	·/	7	

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER DIVISION 10B MATTER 2007-1206 (U)

b2 b7E

	b2
(U) By electronic communication ("EC") dated April 04, 2007,	b4
the Division reported a potential IOB error in	Ъ7D
conjunction with its	b7E
of a United States Person.	· · · · · · · · · · · · · · · · · · ·
By way of background, on 02/01/2006,	
issued an approved National	. `
Security Letter to seeking	
associated with were	:b2
associated with the target of investigation and the request was	b4
for records The NSL did .	b7D b7E
not list identifying information of the target, but did list	
On or about returned	•
associated with the target for the time period of	
The records from and from	
were outside the date range requested in	
the NSL, and therefore an overproduction on the part of	b2
Prior to discovery of the overproduction by the FBI Inspection	b7E
Division, the documents were uploaded to FBI databases and used	; -
in the course of the underlying investigation. Once notified of	
the overproduction promptly removed the records	٠.
from the casefile.	

(U) In the matter at issue, the FBI compounded an error by a third party when records were uploaded into FBI databases that were not outside the date range of records requested by the NSL. Despite the fact that the FBI could have requested this information and that the information appeared relevant to the investigation while it was open, the overproduction will be purged from the systems and returned or destroyed at the request of the third party provider.

Derived From: 0-3
Declassify On: 12/28/2017

Name of Department or Agency: FBI	CY Quarterly Report: 4th	2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB:	31 1008
DATE OF REPORT TO DEPARTMENT/AGENCY: 4/4/	2007	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Of	fice): b2 :
POSSIBLE VIOLATION OF:		b7E
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of U.S. Section 2.4(d) physical surveillance of U.S. Section 2.5 AG approval w/in US or again	ction e w/in US ch w/in US SP w/in US SP abroad	
Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Gu		
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958 Other (EO citation:	3, as amended)	
PRÉSIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMOR Other (Presidential Directive citation:	ANDUM OF NOTIFICATION	
DEPARTMENT/AGENCY ACTION: Purge and destroy	or return	b4 b7D
*DATE OF FINAL DISPOSITION:		

DATE: 07-08-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb

regard, on or about [

was issued pursuant to the

requested time period. Those

IOB violation was reported.

term is used in

determined that in conducting an

Field Office

REASON: 1.4 (c)

the

which

DECLASSIFY ON: 07-08-2035

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

issued an NSL to

pertaining to the ...

were uploaded into

opened an

a United States person, as that

On or about 06/21/2004, an NSL

is in the process of

(S) ~

(S)-.

SPEART//20171121

The Federal Bureau of Investigation ("FBI") has

returned information beyond the scope of the NSL

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
FIELD OFFICE
IOB MATTER 2007-1321-NSL (U)

and such information was uploaded into a database. In this

b2 b7E

b1 b2 b6 b7c b7E

The IOB has instructed OGC to report matters in which b7E information error is uploaded into a database. Thus, this situation is being reported to the IOB. (U)

returned information for a period of time six months beyond the

a database. Thereafter, by EC dated 04/05/2007, the potential

Derived from: G-3 Declassify on: 11/21/201

SPECET//20171121

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-08-2010 BY 65179/DMH/PLJ/sdb

*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC	YUA CY Quarterly Report: 2007
DATE OF INCIDENT: 06/21/2004 (issuance of NSL)	DATE OF REPORT TO IOB:12/30/07 1/3/2008
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/07	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., Do	J, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X. Other (U.S.C. citation:	
EXECUTIVE ORDER 13333:	
Section 2.3 collection & dissemination of U Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance w Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.5 AG approval w/in US or against Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guide	ion //in US w/in US abroad USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, a Other (EO citation:)	is amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAN Other (Presidential Directive citation:	IDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Advised field to continuous doing) information should be destroyed or return	

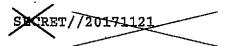
b2 b7E DATE: 07-08-2010

CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

√(S) ~

DECLASSIFY ON: 07-08-2035



b2 b7E

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE

IOB MATTER 2007-2016-NSL (U)

	The Federal Bureau of Investigation ("FBI") has	
LALL	determined that in conducting an investigation on	
$\{S\}_{\S}$	the issued an NSL to which	
ł.	returned information beyond the scope of the request paragraph in	
1		-
•	the NSL and such information was uploaded into a database. In	
	this regard, on or about pened an investigation	
	a United States person, as that term is used in	
	·`	
•	During the course of the investigation, an NSL	.b1
•	dated 03/10/2005 was issued to bursuant to the	b2
		.b4
:	for The opening	b6
	till opporting t	b70 b71
	paragraph stating the request used the standard language for a	b7E
	NSL. However, the certification paragraph of the NSL.	D/E
,	mistakenly referred to in	•
	addition to Apparently because of such	
	language, provided in addition	
• • • •	to the requested, for	٠.
	The receipt of the was an	
٠.	The information was uploaded into a database.	
-		
	By EC dated 05/07/2007, reported this matter as a potential	
	IOB. The information subsequently was removed from the database.	
		b2
.,		b7E
	information error is uploaded into a	, · · ,
•	database. Thus, this situation is being reported to the IOB. (U)	
		٠.

Derived from: G-3
Declassify on: 11/21/2017

	UL.
Name of Department or Agency: FBI OGC	CY Quarterly Report; 2007
DATE OF INCIDENT: 03/10/05 (issuance	of NSL) DATE OF REPORT TO IOB: 12/30/07 1/3/2008
DATE OF REPORT TO DEPARTMENT/AC	ENCY: 05/07/07
DATE REPORT SUBMITTED TO OTHER	NTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Cita FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	b2 b7
EXECUTIVE ORDER 13333: Section 2.3 collection & dis Section 2.4 preamble: least Section 2.4(a) CIA electron	ntrusive collection c surveillance w/in US
Section 2.4(b) unconsented Section 2.4(c) physical surv Section 2.4(d) physical surv Section 2.5 AG approval w Section 2.6 assistance to lay	rillance of USP w/in US rillance of USP abroad n US or against USP abroad
Section 2.7 contracting Section 2.9 undisclosed par Section 2.10 human experir Section 2.11 prohibition on	icipation entation
Section 2.12 indirect partic	
OTHER EXECUTIVE ORDER (SP Creation of unauthorized S Other (EO citation:	
PRESIDENTIAL DIRECTIVE (SPICE COVERT ACTION FINDING Other (Presidential Directive)	NG/MEMORANDUM OF NOTIFICATION .
DEPARTMENT/AGENCY ACTION: Advis destroyed or returned	ed that matter was being reported to IOB; that information should be b7E
*DATE OF FINAL DISPOSITION:	

DATE: 07-08-2010

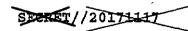
CLASSIFIED BY 65179/DMH/PLJ/sdb

reported to the IOB. (U)

REASON: 1.4 (c)

(8)

DECLASSIFY ON: 07-08-2035



ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

ł	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER S)	/(S)	b2 b7E
, 1	IOB MATTER 2007-1166-NSL (U)	/ .	
	The Federal Bureau of Investigation ("FBI") has		•
	determined that in conducting	. ,	
***** <u>*</u> **	the Field Office	•	•
· Tarana and a said	<u>issued</u> an NSL in response to which returned		
-	for a period of time beyond the time period requested.	_	
	and the information was uploaded into a database. In this	•	
	regard,	•	b1 b2
_	who is a	<u></u> , .	b6
	"United States person" as that term is used in		b7C
•	of the,	•	b7E
		, •,	,
	During the course of this	•	•
•	investigation, an NSL dated 01/04/2006 was issued pursuant to	•	• .
	the	1. ·	
	for information. returned	3	
	information responsive to the request but, in doing so,	: •	
.'	provided records outside the time period sought in the NSL.		,
	The information was uploaded into a database. By EC dated	<i>.:</i> ,	• • •
	04/05/2007, the potential IOB violation was reported.	٠,	•
	Further, represents that it is in the process of	`	:
<i>:</i> .	purging the database of the information. (S)		: '
•		•	
	The IOB has instructed OGC to report matters in	b2	•
٠	which information error is	b7E	
`,	uploaded into a database. Thus this situation is being		

Derived from: 6-3
Declassify on: 11/17/2017

SECRET//20171117

UH

Name of Department or Agency: FBI OGC	CY Quarterly Report: 2007
DATE OF INCIDENT: 01/04/06 (issuance of NSL)	DATE OF REPORT TO 10B:12/30/07 1/31/2007
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/0	7
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., D	oJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation)	ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
FISA (50 U.S.C. 1801)	DATE 07-08-2010 BY 65179/DMH/PLJ/sdb
X Other (U.S.C. citation	
EXECUTIVE ORDER 13333:	ISP info
Section 2.3 collection & dissemination of l	Jai muo
Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance	
Section 2.4(a) CIA electronic surveinance Section 2.4(b) unconsented physical search	
Section 2.4(b) disconsence physical scarci	
Section 2.4(d) physical surveillance of US	
Section 2.5 AG approval w/in US or against	
Section 2.6 assistance to law enforcement	Se ODI autoud,
Section 2.7 contracting	
Section 2.9 undisclosed participation	
Section 2.10 human experimentation	
Section 2.11 prohibition on assassination	
Section 2.12 indirect participation	
AG Approved EO 12333 Guidelines (Guidelines)	lelines name and section:
OTHER EXECUTIVE ORDER (SPECIFY)	
Creation of unauthorized SAP (EO 12958,	as amended)
Other (EO citation:)	
,	
PRESIDENTIAL DIRECTIVE (SPECIFY)	
COVERT ACTION FINDING/MEMORA	NDUM OF NOTIFICATION
Other (Presidential Directive citation:	
	, b2
DEPARTMENT/AGENCY ACTION: Advised field to purge	e database; return or destro
*DATE OF FINAL DISPOSITION:	

ጉ∤ /	INTELLIGE <u>NCE OVERSIGHT BOARD (IOB) MATTER</u> b2	
	FIELD OFFICE b7E	
	IOB MATTER 2007-757- (U)	
	S) During a .	
	of a U.S. person,issued a National Security Letter	
•		
• *	(NSL) under to a	• , ,
	The NSL requested the	_•
		•
· [In response to the NSL, the	
•	produced a with attempted redactions of most	ь2
•	of the information that was not requested in the NSL. Although	b7
•	covered with black ink, the redacted portions of the	
I	remained legible. The case agent reviewed the	
	and drafted an EC documenting his review. The case agent	
,	included in the EC some of the over-produced information, such as	
	The state of the state of the product of the product of the state of t	
	In addition, the case agent issued two	
	III dad clott, the case agene issaed two	
Γ	. The NSLs were uploaded onto ACS.	٠,
. <i>.</i> L	The Nobla were aproaded onco Acb.	
	(U) In this case, committed errors when it uploaded	
	color and and few immediate accordance of the community	
` · · · .	onto ACS and used for investigative purposes come of the over-	,
`	broakeed information the	
	of over-produced information is considered compounding a third	- ,
	party error, which must be reported to the IOB.	
: .		
	(U) has been instructed to contact the	·
	and ask whether the should be	•
	returned or destroyed, with appropriate documentation to the	•
	file. has been informed that it may keep a copy of the	1
- 1	provided that all of the over-produced	b2
	information is fully redacted. As for the case agent's EC	b7E
	containing over-produced information, has been directed	
	to redact the over-produced portions from the investigative file	
•	version and either permanently check-out or redact the over-	
	produced portions from the ACS version. Similarly, has	
. (.	been told to redact the account numbers from the investigative	
	file version of the NSTs and either nermanently check-out or	
	file version of the NSLs and either permanently check-out or	



Name of Department or Agency: FBI	CY Quarterly Report: Ub 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2007
DATE OF REPORT TO DEPARTMENT/AGENCY: 0	3/14/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.	e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination Section 2.4 preamble: least intrusive co Section 2.4(a) CIA electronic surveillar Section 2.4(b) unconsented physical section 2.4(c) physical surveillance of Section 2.4(d) physical surveillance of Section 2.5 AG approval w/in US or ag	llection nce w/in US arch w/in US USP w/in US USP abroad
Section 2.6 assistance to law enforcements Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation	ent.
Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (C	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 129 Other (EO citation:)	958, as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMO Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	



·	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2
(U) <	FIELD_OFFICE .	·b7E
Jal >	IOB MATTER 2007-961 (U) "	
		·
	S) During a	
•	of a U.S. person, issued a National Security Letter	
	(NSL) under the to a	
Г	The NSL requested	
· L	of the investigation. In response	., :
,	to the NSL, the produced a	1.0
. *	containing information not requested by the NSL.	. b2 · b7E
	subsequently issued a	
	NSL seeking information from a with which	,
	the target had an included in the	
	NSL an is uncertain	
	at this time whether its NSL was precipitated by the	
	information in the did not	
	upload or disseminate any of the over-produced information from	•
•	the	
•		• .
	(U) In this case, although is uncertain	
•	whether it used for investigative purposes some of the over-	
•	produced information in the the FBI is	b2 - b7E
	reporting this matter because it has reason to believe that	, D/E
•	may have compounded the third party error by using	• • •
1-	the over-produced information for investigative purposes.	-
	end over produced intolanacion for investigative parposes.	
•	(U) has been instructed to issue a curative	
	FCRA NSL requesting financial institution information in order to	b2
	retain the financial institution information from the	b7E
	City has also been instructed to	, •
:	contact the and ask whether the original	•
	should be returned or destroyed, with	
٠. ٠	appropriate documentation to the file. has been	•
• :	informed that it may retain a copy of the	
	provided that all over-produced information is fully redacted.	•
		•



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HEREIN IS UNCLASSIFIED
DATE 07-08-2010 BY 65179/DMH/PLJIGESUMMARY SHEET

*DATE OF FINAL DISPOSITION:

Name of Department or Agency: FBI	CY Quarterly Report: 445 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/3//200
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/2	1/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	, DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Gui	ection e w/in US ch w/in US SP w/in US SP abroad inst USP abroad t
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958 Other (EO citation:)	8, as ainended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMOR Other (Presidential Directive citation:	ANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	

b2 b7E



. b2 b7E

	INTERDIT <u>GENCE OVERSIGH</u> I BOARD (10B) MAITER
TT	FIELD_OFFICE
ነሠ	IOB MATTER 2007-3383 (U)
	(S) During a of a
	U.S. person, issued three (3) National Security
_	Letters (NSL) under
•	respectively. All three NSLs requested
	information pertaining to the target of the b2
	investigation In response to the NSLs. two (2) of the
	producedthat included
	information not requested by the NSL. summarized
٠	some of the over-produced information in an EC that was uploaded
	onto ACS. Subsequently, permanently charged out of
	ACS the EC summarizing the and has sequestered
٠,	hard copies with its Chief Division Counsel (CDC).
	b2
	(U) In this case, an error was committed by
	when it uploaded some of the over-produced information. Such
	handling of over-produced information is considered compounding a
	third party error, which must be reported to the IOB.
	third party crior, which make be reported to the tob.
	(U) has been instructed to continue to charge-
• •	out permanently the EC summarizing the and destroy
	any hard copies of EC in the investigative file.
	has also be instructed to contact both
	and ask whether the original should be returned or
٠.,	destroyed, with appropriate documentation to the file.
,	descroyed, with appropriate documentation to the fire.



ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-08-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency:	FBI	CY Quarterly Report: 니스	2007
DATE OF INCIDENT:		DATE OF REPORT TO IOB:	1/31/2008
DATE OF REPORT TO DEPART	IMENT/AGENCY: 09/2	5/2007	
DATE REPORT SUBMITTED T	O OTHER ENTITY (i.e., 1	DoJ, Congress, Civil Liberties O	ffice):
POSSIBLE VIOLATION OF:	• • •	••	•
STATUTE (United States FISA (50 U.S.C. ci	. 1801)		٠. ٠
	2333: ection & dissemination of to		• • •
Section 2.4(a) C Section 2.4(b) u Section 2.4(c) pl	inole: least infusive conec IA electronic surveillance nconsented physical search hysical surveillance of USI hysical surveillance of USI	w/in US w/in US w/in US	
Section 2.5 AG Section 2.6 assis Section 2.7 cont	approval w/in US or again stance to law enforcement racting		
Section 2.10 hur Section 2.11 pro	sclosed participation nan experimentation shibition on assassination lirect participation		
OTHER EXECUTIVE O	O 12333 Guidelines (Guidelines (Guidelines (Guidelines (SPECIFY)	elines name and section:	• • • • • • • • • • • • • • • • • • • •
	ithorized SAP (EO 12958,	as amended)	, · · .
		NDUM OF NOTIFICATION	
DEPARTMENT/AGENCY ACT	ION:		
*DATE OF FINAL DISPOSITIO	N:		, ,

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
DIVISION
IOB MATTER 2007-3386 (U)

b2 b7E

b7E

(U)

By electronic communication dated 10/01/2007, the field office advised that they had failed to submit the initial opening communication to the Department of Justice, Office of Intelligence Policy and Review (OIPR) on an investigation related to a presumed USPER

This failure was due to an administrative oversight. Although no investigatory activity occurred during the investigation, we are reporting the matter to the IOB because the failure to provide the any notification

whatsoever of the initiation of an investigation substantially impairs the ability of the Department of Justice to provide meaningful oversight.

Derived from: G-3
Declassify on: \$1/05/2017

Hofmann-IOB-3539

SECRET//20171105

Name of Department or Agency: FBI	CY Quarterly Report:	UB	7007	
DATE OF INCIDENT:	DATE OF REPORT T			b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY: 10/01/2007	•			,
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Con	gress, Civil Liberties Of	fice); N/	Α .	• ,
POSSIBLE VIOLATION OF:	· .		, ,	•
STATUTE (United States Code Citation)				
FISA (50 U.S.C. 1801)	·	·	: ,	
Other (U.S.C. citation:		•		
Other (0.5.C. citation,)	•		•	
EXECUTIVE ORDER 13333:			• •	
Section 2.3 collection & dissemination of USP info		- '	`	
Section 2.4 preamble: least intrusive collection	,	- 💥 .		
Section 2.4(a) CIA electronic surveillance w/in US				
Section 2.4(b) unconsented physical search w/in US		,	·	• ÷
Section 2.4(c) physical surveillance of USP w/in US				• • • • • • • • • • • • • • • • • • • •
Section 2.4(d) physical surveillance of USP abroad	•			4
Section 2.5 AG approval w/in US or against USP al	broad			,
Section 2.6 assistance to law enforcement	production of the second	• • • • • • • • • • • • • • • • • • • •		
Section 2.7 contracting	•			, , ,
Section 2.9 undisclosed participation		·`` ,		
Section 2.10 human experimentation				
Section 2.11 prohibition on assassination			,	•
Section 2.12 indirect participation		٠,		
X AG Approved EO 12333 Guidelines (Guidelines na	me and section:		7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	b2
110 11pproved 20 12000 Outdomines (Outdomines in				b7E
OTHER EXECUTIVE ORDER (SPECIFY)	• , • • • • • • • • • • • • • • • • • •			• . •
Creation of unauthorized SAP (EO 12958, as amen	ded)	* 1		
Other (EQ citation:)	ayay			, , •
				• •
PRESIDENTIAL DIRECTIVE (SPECIFY)		. :		
COVERT ACTION FINDING/MEMORANDUM	TE NOTIFICATION	٠٠ .	green to great the	′ • • • •
Other (Presidential Directive citation:)			
Outer (i residential Directive cleanous	<i>.</i>			
DEPARTMENT/AGENCY ACTION: PIOB was filed				
*DATE OF FINAL DISPOSITION: 11/05/2007				• .

DATE: 07-08-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-08-2035

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SECRET//20171128

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INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b2 FIELD OFFICE b7E IOB MATTER 2007-1076-NSL (U) The Federal Bureau of Investigation ("FBI") has determined that in conducting an issued a Field Office the may have returned records NSL in response to which beyond the parameters of the request and the information was uploaded into a database. In this regard, bpened an investigation who is a Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA). During the course b2 of this investigation, an NSL dated was issued b6 pu<u>rsuant to th</u>e b7C According to the b7E Inspection Report, returned information beyond the scope of the request and the information was uploaded into a database. Without having conducted an independent review, reported this matter as a potential TOB via EC dated 03/29/2007. Upon subsequent review, Thas been unable to confirm the Its recent review uncovered only return information that was within the parameters of the request. The IOB has instructed OGC to report matters in which error is uploaded into a information database. As OCG is unable to determine the facts of this case, and there is an allegation that, if true, would represent a reportable offense under the current IOB policy, this matter is being reported to the IOB. (U)

Derived from: G-3
Declassify on: 11/28/2017

SECRET//20171128

DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

e of Department or Agency: FBI	CY Quarterly Report: リガ てのチ
TE OF INCIDENT:	DATE OF REPORT TO IOB: 12/30/2007 1/31/08
E OF REPORT TO DEPARTMENT/AGENCY: 03/29/2007	
E REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congr	ress, Civil Liberties Office):
	, b7
SIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
A Other (O.S.C. Chadon.	
EXECUTIVE ORDER 13333:	
Section 2.3 collection & dissemination of USP info	
Section 2.4 preamble: least intrusive collection	
Section 2.4(a) CIA electronic surveillance w/in US	
Section 2.4(b) unconsented physical search w/in US	
Section 2.4(c) physical surveillance of USP w/in US	
Section 2.4(d) physical surveillance of USP abroad	
Section 2.5 AG approval w/in US or against USP ab	road
Section 2.6 assistance to law enforcement	
Section 2.7 contracting	
Section 2.9 undisclosed participation	
Section 2.10 human experimentation Section 2.11 prohibition on assassination	
Section 2.11 prohibition on assassination	
Section 2.12 indirect participation	
AG Approved EO 12333 Guidelines (Guidelines nai	me and section:
OTHER EXECUTIVE ORDER (SPECIFY)	
Creation of unauthorized SAP (EO 12958, as amend	led)
Other (EO citation:)	
PRESIDENTIAL DIRECTIVE (SPECIFY)	
COVERT ACTION FINDING/MEMORANDUM C	PNOTIFICATION
Other (Presidential Directive citation:	
ARTMENT/AGENCY ACTION: Advised the field of this report	

1	DATE: 07-08-2010	HEREIN IS UNCLASSIFIED ÉX	CEPT
	CLASSIFIED BY 65179/DMH/PLJ/sdb	WHERE SHOWN OTHERWISE	
	REASON: 1.4 (c)		
S	DECLASSIFY ON: 07-08-2035 SECRET//20171217	,	
		(S)	
•	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2
	FIELD DIVISION	V	b7E
		• \	2,2
	IOB MATTER 2007-1679 (U)	· \	
		· \	
	The Federal Bureau of Investigation	tion ("FBI") has	
	determined that in conducting a	<u> </u>	-
. 1	who is a "United States Person " as	that form is used	b1 -
	in		b2
	<u></u>		b7E
	it collected information on	Г.	
•	beyond what it was authorized to receive.		,
		The second second second	
	(TT) T = 1	`	
	(U) Relevant to this investigation,	a National	•
·	Security Letter (NSL) dated		
_	requesting the	•	
Ì	for a	<u> </u>	•
. <i>-</i> L	for the period		; ·
		was prepared,	
	approved and served in accordance with	· · · · · · · · · · · · · · · · · · ·	b2
•. '			b4 "
•		·	b6 .
	provided from		ъ7с 1b7С
٠.	requested from		b7D -
	· - · · · ·	lon_a	b7E
- 1	second associated and from		-
Į	on a third associated As	the	
	were 1	peyond the scope	
,	or the NSL, they were removed from FBI database	ses and	
. .	sequestered.		
. ′			•
٠,	(TT)		-
	(U) Also relevant to this case, the	FBI sèrved	. ~ -
	another similarly authorized NSL dated	ltol	
: _	requesting the	and ···	
1	for a targe		
Ť	for the period		b2
, -	provided	had '	b4
Ī		As the	b7D
٠ [were	beyond the scope	b7E
	of the NSL, they were removed from FBI databas	ses and	•
	sequestered. The original results will be eit	her destroyed or	•
	returned to depending on the wish	and a Control of	
Г	depending on the wisi	res or,	
L		•	
		· · · · · · · · · · · · · · · · · · ·	•
	Derived from: G-3		•
	Declassify on: 12/17/20	017	•
	33.72		
•			-

ALL INFORMATION:-CONTAINED

Name of Department or Agency: FBI	CY Quarterly Report: 4th Z007-
DATE OF INCIDENT;	DATE OF REPORT TO IOB: (/31/2008
DATE OF REPORT TO DEPARTMENT/AGENCY: 4/12	/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or again Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Gui	ction w/in US h w/in US P w/in US P abroad ast USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958 Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORA Other (Presidential Directive citation: DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	

DATE: 07-08-2010 CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

DECLASSIFY ON: 07-08-2035

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HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

SECRET//20171121

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTERS FIELD OFFICE b7E IOB MATTER 2007-1138-NSL (U) **(S)** Ъ1 The Federal Bureau of Investigation ("FBI") has b2 determined that in conducting an .Ъ4 **b**6 who is not a "United States person" as that b7C term is used in Section 101(i) of the Foreign Intelligence b7D Surveillance Act of 1978 (FISA), the FBI Field Office issued an NSL that improperly was signed by an acting SAC. this regard, an was initiated on On or about 08/23/2002, an NSL was issued under seeking At the time, legal advice had been issued by OGC suggesting that under certain circumstances, an acting SAC could sign an NSL. In or around February, 2003, the Department of Justice opined that an acting SAC could not sign an NSL under any circumstance. Applying that guidance to these NSLs, OGC opines that these NSLs were improperly issued. Therefore, this matter: is being reported to the IOB. (S) · OGC advised

Derived from: G-3
Declassify on: 11/21/2017

SECRET//20171121

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-08-2010 BY 65179/DNH/PLJ/sdb

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC	CY Quarterly Report: 2007
DATE OF INCIDENT: 08/23/02 (issuance of NSL)	DATE OF REPORT TO IOB: 12/30/07 1/3/1000
DATE OF REPORT TO DEPARTMENT/AGENCY: 04	,
DATE REPORT SUBMITTED TO OTHER ENTITY (i	.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) The Control of the	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination Section 2.4 preamble: least intrusive of Section 2.4(a) CIA electronic surveilla Section 2.4(b) unconsented physical se Section 2.4(c) physical surveillance of Section 2.5 AG approval w/in US or at Section 2.6 assistance to law enforcem Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassinati Section 2.12 indirect participation AG Approved EO 12333 Guidelines (6)	offlection mice w/in US earch w/in US USP w/in US USP abroad gainst USP abroad tent
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12) Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMO Other (Presidential Directive citation:	958, as amended)
DEPARTMENT/AGENCY ACTION: Advised field that by NSL signed by acting SAC must be destroyed/purged	at matter is being reported to IOB; that information obtained I unless new NSL is appropriate.

DATE: 07-08-2010

CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

DECLASSIFY ON: 07-08-2035

SECRET//20171028

103	INTELLIGENCE OVERSIGHT BOARD (108) MATTER b2
(S)	FIELD OFFICE b7
	TOB MATTER 2007-3212 (II)
	/(S)
	\cdot
1	
1.01	The Federal Bureau of Investigation ("FBI") has
(S) \	determined that in conducting an (S)
1	
1	the returned information beyond the scope of the request
•	and the information was uploaded into a database. In this
	regard, on opened an
• • •	who is a "United States person" as that term is used
	in
•	
	an NSL was issued pursuant bl
	to the b2
· •	for shroral
	returned information responsive to the request but, b7E
	in addition, provided information beyond the scope of time frame
•	set forth in the NSL with regard to one of the
	The information was entered into
_	By EC dated 05/03/2007, the potential IOB
	violation was reported, and the information was removed from
	The IOB has instructed OGC to report matters in which
٠	information error is uploaded into a
	database. Thus, this situation is being reported to the IOB. b2 b7E
	The information should remain sequestered pending the issuance of
	a second NSL to authorize its retention, per the expressed
′ •	intentions of (U)
. •	
.•	

Declassify on: 10/28/2017

SECRET//20171028

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-08-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

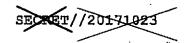
Name of Department or Agency: FBI OGC	CY Quarterly Report: 2007	, .	
DATE OF INCIDENT: ssuance of NSL)	DATE OF REPORT TO IOB:1 2/30/07	1/31/2003	b2 b7E
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07			· ,
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., Do.	J, Congress, Civil Liberties Office):	* * * * *	-
POSSIBLE VIOLATION OF:		• .	• • •
STATUTE (United States Code Citation)			•
FISA (50 U.S.C. 1801)			
X Other (U.S.C. citation:			
. Constitution			1
EXECUTIVE ORDER 13333:			
Section 2.3 collection & dissemination of US	SP info		<u> </u>
Section 2.4 preamble: least intrusive collecti	on		
Section 2.4(a) CIA electronic surveillance w			
Section 2.4(b) unconsented physical search v			
Section 2.4(c) physical surveillance of USP			
Section 2.4(d) physical surveillance of USP			
Section 2.5 AG approval w/in US or against	USP abroad		
Section 2.6 assistance to law enforcement			• • • • • • • • • • • • • • • • • • • •
Section 2.7 contracting			´ • • • •
Section 2.9 undisclosed participation			• • •
Section 2.10 human experimentation			₽.
Section 2.11 prohibition on assassination			•
Section 2.12 indirect participation			•
AG Approved EO 12333 Guidelines (Guidel	ines name and section:)	
			` ;
OTHER EXECUTIVE ORDER (SPECIFY)			.,
Creation of unauthorized SAP (EO 12958, a	s amended).		
Other (EO citation:)		100	
PRESIDENTIAL DIRECTIVE (SPECIFY)			3
COVERT ACTION FINDING/MEMORAN	DUM OF NOTIFICATION		
Other (Presidential Directive citation:			
DED A DUMENTIA GENICY A CHIONE A deise de la deservicione		المتد	`.b2
DEPARTMENT/AGENCY ACTION: Advised field that matter from database	er was reportable lready pur	Ren	, b7E
Hom database			, ` `
*DATE OF FINAL DISPOSITION:		,	: • 24

DATE: 07-08-2010

CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

DECLASSIFY ON: 07-08-2035



ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

	INTELLIGENCE OVERSIGHT BOARD (10B) MATTER	. b2
,	FIELD OFFICE (S)	Ъ71
(S)	IOB MATTER 2007-923-NSL (U)	
1		
	The Federal Bureau of Investigation ("FBI") has	•
	determined that in conducting an	
(S) 🦴 _	the Field Office issued a	
	NSL in response to which returned records beyond the	-
	time frame of the request and the information was uploaded into a	
•	database. In this regard, on or about opened.	b1 b2
•	an who is a "United States"	ьz bб
	person" as that term is used in	b7C
	During the course	b7E
,	of this investigation, an NSL dated 07/26/2005 was issued	
•	pursuant to the	· , . ·
•	for returned	
	the requested information, but, in addition, provided	
	information for a time period that predated the time frame of the	
•	request. The information was uploaded into ACS via an EC from the	•
	Field Office todescribing the NSL return results.	
	By EC dated 04/05/2007, this matter was reported as a potential	
	IOB. (S)	
		(2)
	The IOB has instructed OGC to report matters in which	, ,
1.,	information error is uploaded into a	
	database. Due to the uploading into ACS of information which	
	predated the time frame of the NSL request, OGC will report this	p5
	matter to the IOB. This information should be destroyed or	b7E
	returned to depending on its wishes. The EC issued	٠.
	by should be purged from ACS. (U)	
·		
	こくしょ アース・ディング はたらない というこくさいがく しょいしん 多名 しょうしゅう ケージのおり	

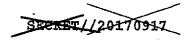
Derived from: 6-3
Declassify on: 10/23/2017

SECRET//20171023

DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC	CY Quarterly Report: 4th 2007
DATE OF INCIDENT: 07/26/05 (issuance of NSL)	DATE OF REPORT TO IOB: January 31, 2008
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/0	v7
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., D	oJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation EXECUTIVE ORDER 13333:	
Section 2.3 collection & dissemination of U Section 2.4 preamble: least intrusive collect Section 2.4(a) CIA electronic surveillance v Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.5 AG approval w/in US or agains Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation	tion w/in US w/in US w/in US abroad
Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved BO 12333 Guidelines (Guide	elines name and section:
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, Other (EO citation:	as amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAL Other (Presidential Directive citation:	NDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Advised field to purge	e database; destroy or return material



INTELLIGENCE OVERSIGHT BOARD (10B) MATTER

DATE: 07-08-2010 IOB MATTER 2007-953-NSL (U) CLASSIFIED BY 65179/DMH/PLJ/sdb ALL INFORMATION CONTAINED REASON: 1.4 (c) HEREIN IS UNCLASSIFIED EXCEPT DECLASSIFY ON: 07-08-2035 WHERE SHOWN .OTHERWISE The Federal Bureau of Investigation ("FBI") has determined that in conducting an Field Office, the an NSL to which (S) returned information beyond the scope of the request and the information was uploaded into a database. In this regard, on opened an who is a "United States person" as that term is used in On or about pursuant to the an NSL was issued to for a returned information responsive to the request but, in addition, provided The information was entered into analysis of the information was drafted and uploaded into ACS. By EC dated 03/27/2007, the potential IOB violation was reported. Subsequently, the records were removed from the closed case file and sequestered with the Chief Division Counsel: the information was purged from and the serials in ACS which contained analyses of the records were permanently charged out of the case file. The IOB has instructed OGC to report matters in which information error is uploaded into a database. reported to the IOB. (U)

Derived from: G-3
Declassify on: 09/17/2017

SECRET//20170917

Name of Department or Agency: FBI OGC CY Quarterly Report: 2	ft h_ 2007
DATE OF INCIDENT: 03/04/05 (issuance of NSL) DATE OF REPORT 1	TO IOB: 09/20/07 . / 78/ 2008
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/27/07	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Libe	erties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	b2 b71
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of USP info Section 2.4 preamble: least intrusive collection	
Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad	
Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation	
Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and sections)	
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:	
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICAT Other (Presidential Directive citation:)	CION b2
DEPARTMENT/AGENCY ACTION: Advised field to destroy or return had been uploaded into databases but had already been purged from databases) *DATE OF FINAL DISPOSITION:	nformation (information b7E

SECRET//20171026

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-2202 (U)

b2 b7E

(U)

Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31, 2003, a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field of a After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. In addition, the FBI shall notify and provide OIPR and the Criminal Division with a summary of the investigation "at the end of each year a full investigation continues."

(U)

initiated a of a U.S. Person. No electronic communication (EC) or Letterhead

Memorandum (LHM) was submitted to FBI HQ to provide notice of the investigation to the Department of Justice Office of Intelligence Policy Review (OIPR). Similarly, no annual summary LHM issued on the anniversary date. Not until ______ more than

the

after the investigation had been initiated, were

these LHM's provided.

As provided in[

impaired" by an error, the FBI reports the error to the IOB. In this situation, no notice was provided to FBI HQ in order to notify OIPR of this full investigation. No other form of notice to the Department of Justice was identified to mitigate this lack of notice. In addition, no LHMs were submitted for the following year. Because of this complete lack of notice, OIPR's oversight ability was substantially impaired.

Derived from: 6-3
Declassify on: 20171026

SECRET//20171026

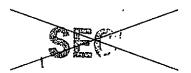
of the Attorney General's

Field Office

*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency: FBI .	CY Quarterly Report: 4 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: 1/31/2007 b2
DATE OF REPORT TO DEPARTMENT/AGENCY: August 27	, 2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ,	Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (SO U.S.C. 1801) Other (U.S.C. citation:)	
EXECUTIVE ORDER 13333:	
Section 2.3 collection & dissemination of USP Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in Section 2.4(b) unconsented physical search w/in Section 2.4(c) physical surveillance of USP w/i Section 2.4(d) physical surveillance of USP abr Section 2.5 AG approval w/in US or against US Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	US n US n US oad SP abroad
AG Approved EO 12333 Guidelines (Guideline	s name and section: b7E
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as an Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDU Other (Presidential Directive citation:	
DEPARTMENT/AGENCY ACTION:	



(U)	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-3294 U)	b2 . b71
	of a U.S. person, issued an NSL under to a requested	·
	also requested	b2 b7E
	To	• • • •
	information from the The EC was uploaded	
	onto ACS. (U) In this case, erred when it requested	b2
	the FBI to request such information. does not allow also erred when it uploaded onto ACS over-produced information from the	b7E
` 	Such uploading constitutes a compounding or a third party error.	
•	(U) has been instructed to redact from all documents any over-produced information from the has also been instructed to contact the	b2
	and ask whether the should be returned or destroyed, with appropriate documentation	, b7E



*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency: FBI	CY Quarterly Report: 4th 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: //3//1003
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/	19/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e.,	, DoJ, Congress, Civil Liberties Office);
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	
EXECUTIVE ORDER 12333: Section 2.3 collection & dissemination of Section 2.4 preamble: least intrusive collection 2.4(a) CIA electronic surveillance Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of US Section 2.4(d) physical surveillance of US Section 2.5 AG approval w/in US or agained Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Gui	ection e w/in US ch w/in US SP w/in US SP abroad nst USP abroad
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 1295) Other (EO citation:) PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMOR Other (Presidential Directive citation:	ANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	



DECLASSIFIED BY 65179/DMM/PLJ/sdb ON 07-08-2010

(U) \	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-3301- (U)	b2 b7E
	U.S. person, issued an NSL under requested	' ,
ı	also requested	b2 b7E
	the NSL, the produced a produced a produced, destroyed the document. did not upload, disseminate, or use for investigative purposes the]:
1	(U) In this case, erred when it requested in its NSL. does not allow the FBI to request such information.	b2 b7E
	(U). pas been instructed to contact the to advise that the has been	b2 b7E



Name of Department or Agency: FBI CY Quarterly Report: 44 7007
DATE OF INCIDENT: DATE OF REPORT TO IOB: 1/31/200
DATE OF REPORT TO DEPARTMENT/AGENCY: 03/19/2007
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) Other (U.S.C. citation:)
EXECUTIVE ORDER 12333: Section 2.3 collection. & dissemination of USP info Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance w/in US Section 2.4(b) unconsented physical search w/in US Section 2.4(c) physical surveillance of USP w/in US Section 2.4(d) physical surveillance of USP abroad Section 2.5 AG approval w/in US or against USP abroad Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guidelines name and section:
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, as amended) Other (EO citation:)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION Other (Presidential Directive citation:
DEPARTMENT/AGENCY ACTION:
*DATE OF FINAL DISPOSITION:

DATE: 07-08-2010

CLASSIFIED BY 65179/DMH/PLJ/sdb

(S) -

REASON: 1.4 (c)

DECLASSIFY ON: 07-08-2035

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SECRET//20171023

b2 b7E

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-1358-NSL (U) The Federal Bureau of Investigation ("FBI") has determined that in conducting an Field Office isśued a NSL in response to which the returned records beyond the parameters of the request and the information was uploaded into a **b**6 database. In this regard, on or about b7C opened an who is a "United States b7E : person" as that term is used in During the course of this investigation, an NSL dated 06/21/2005 was issued pursuant to the returned the requested information, but, in addition, provided The information was uploaded into an FBI database. By EC dated 04/10/2007, this matter was reported as a potential IOB. The IOB has instructed OGC to report matters in which information error is uploaded into a database. OGC further instructed

> Derived from: G-3 Declassify on: 10/23/2017

> > SECRET//2017±023

*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC	CY Quarterly Report: 2007
DATE OF INCIDENT: 06/21/05 (issuance of NSL)	DATE OF REPORT TO IOB: 12/30/07 1/3/2003
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/0	· ·
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., Do	oJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801) X Other (U.S.C. citation	b2 b7
EXECUTIVE ORDER 13333:	
Section 2.3 collection & dissemination of U Section 2.4 preamble: least intrusive collect Section 2.4(a) CIA electronic surveillance v Section 2.4(b) unconsented physical search Section 2.4(c) physical surveillance of USP Section 2.4(d) physical surveillance of USP Section 2.5 AG approval w/in US or agains Section 2.6 assistance to law enforcement Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination	tion Win US w/in US w/in US abroad
Section 2.12 indirect participation AG Approved EO 12333 Guidelines (Guide	elines name and section:
OTHER EXECUTIVE ORDER (SPECIFY) Creation of unauthorized SAP (EO 12958, Other (EO citation: PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAL Other (Presidential Directive citation:	NDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Advised field to purge	database; destroy or return material b2 b7E
<u>.</u>	

(U) b2 INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER b7E FIELD OFFICE IOB MATTER 2007-1622-During an authorized of a <u>U.S. person</u>, NSLs to two. sent two (2) b2 b7E seeking information under both which included information that was not requested in the NSLs. subsequently summarized the in two ECs that were uploaded onto Automated Case Support (ACS) (U) In this case, an error was committed by When it. compounded the third party error by uploading the over-produced information onto ACS. has been instructed to nerwanently charge-out from ACS the ECs summarizing the and destroy any hard copies of the ECs. has also been instructed to contact both and ask whether the should be returned or destroyed, with appropriate documentation to the file.



b2 b7E

Name of Department or	Agency: FBI	CY Quarterly Report: 44 7003
DATE OF INCIDENT:	**************************************	DATE OF REPORT TO 10B: 1/3/1008
DATE OF REPORT TO	DEPARTMENT/AGENCY: 04	4/05/2007
DATE REPORT SUBM	LITTED TO OTHER ENTITY (i.e	e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATIO	N OF:	
STATUTE (Un	nited States Code Citation)	
	(50 U.S.C. 1801)	
	(U.S.C. citation:	```
· · · · · · ·	(O.O.O. Ordanom -	· ·
EXECUTIVE (ORDER 12333:	
	n 2.3 collection & dissemination of	of USP info
	п 2.4 preamble: least intrusive col	
	n 2.4(a) CIA electronic surveillan	
	n 2.4(b) unconsented physical sea	
	π 2.4(c) physical surveillance of U	
	n 2.4(d) physical surveillance of L	
	n 2.5 AG approval w/in US or aga	
	n 2.6 assistance to law enforcement	
	n 2.7 contracting	
	n 2.9 undisclosed participation	
	n 2.10 human experimentation 💘	
	n.2.11 prohibition on assassination	<u>n</u>
	n 2.12 indirect participation	
	pproved EO 12333 Guidelines (G	uidelines name and section:
, , , , , , , , , , , , , , , , , , , ,		
OTHER EXEC	UTIVE ORDER (SPECIFY)	
Creati	on of unauthorized SAP (EO 129)	58, as amended)
	(EO citation:)	
PRESIDENTIA	AL DIRECTIVE (SPECIFY)	
	ERT ACTION FINDING/MEMO	RANDUM OF NOTIFICATION
	(Presidential Directive citation:	
DEPARTMENT/AGEN	ICY ACTION:	

*DATE OF FINAL DISPOSITION

SECRET//20171031

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

> b2 b7E

b6 b7c

. DATE: 07-08-2010

CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

DECLASSIFY ON: 07-08-2035

	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
	FIELD OFFICE
(S) 🔻 👚	IOB MATTER 2007-1775-NSL (U)
\	The Federal Bureau of Investigation ("FBI") has
\	determined that in conducting an
i Art	the Field Office issued an NSL
(\$)	in response to which for a
\	period of time beyond the time period requested and the
· · · / ·	information was uploaded into a database. In this regard, on
· · /	or about initiated
•	who is a "United States person" as that term is used in
•	to investigate information or
•	allegations of involvement in terrorism activities. During
	the course of this investigation, an NSL dated 11/24/2003 was
	issued pursuant to the
	for the state of t
	returned information responsive to the request but, in
	doing so, provided the information via a that
	post-dated the NSL time frame, which also included
	which post-dated the
•,	NSL time frame. The information was uploaded into a database.
••••	By EC dated 04/09/2007, the potential IOB violation was
٠ ٠	reported. since has destroyed the information, and
	it represents that it is in the process of purging the
	database of the information (S)
	The IOB has instructed OGC to report matters in b2
	which information error is.
	uploaded into a database. Thus, this situation is being.
• •	removed to the TOD (II)

Derived from: G-3 Declassify On: 10/31/2017

SECRET//20171931

Name of Department or Agency: FBI OGC	CY Quarterly Report: 2007
DATE OF INCIDENT: 11/24/03 (issuance of NSL)	DATE OF REPORT TO IOB:12/30/07 1/3
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07	
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., Dol	I, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citation) FISA (50 U.S.C. 1801)X Other (U.S.C. citation:	
EXECUTIVE ORDER 13333: Section 2.3 collection & dissemination of US	SP info
Section 2.4 preamble: least intrusive collection Section 2.4(a) CIA electronic surveillance we Section 2.4(b) unconsented physical search we Section 2.4(c) physical surveillance of USP section 2.4(d) physical surveillance of USP section 2.5 AG approval w/in US or against Section 2.6 assistance to law enforcement	on /in US w/in US w/in US abroad
Section 2.7 contracting Section 2.9 undisclosed participation Section 2.10 human experimentation Section 2.11 prohibition on assassination Section 2.12 indirect participation	
AG Approved EO 12333 Guidelines (Guidel OTHER EXECUTIVE ORDER (SPECIFY)	ines name and section:
Creation of unauthorized SAP (EO 12958, as Other (EO citation:	s amended)
PRESIDENTIAL DIRECTIVE (SPECIFY) COVERT ACTION FINDING/MEMORAN Other (Presidential Directive citation:	DÙM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION: Advised field to purge of *DATE OF FINAL DISPOSITION:	latabase; material already destroyed.

•	ALL INFORMATION CONTAINED	
	DATE: 07-08-2010 HEREIN IS UNCLASSIFIED EXCÉPT	(S)
	CLASSIFIED BY 65179/DMH/PLJ/sdb WHERE SHOWN OTHERWISE	/ * T •
	CPWNTLIED DI OGI/2/DEEM/EEN/200	
	REASON: 1.4 (c)	-[
	DECLASSIFY ON: 07-08-2035 SECRET//20171928	
(8	.(·S) _/	,
·		[
		1
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2
	FIELD OFFICE	. b7E
•	IOB MATTER 2007-1151-NSL (U)	~ .
•	TOB PATIER 2007-1131-Nob (0)	
/		,
. /	The Federal Bureau of Investigation ("FBI") has	
_	determined that in conducting an	
;	the Field Office issued a	└ ─ ┐ /(S)
· -		
L	NSL in response to which returned recor	
(S) 🤇 🗍	beyond the parameters of the request and the information wa	s / ˈ ·
	uploaded into a database. In this regard, on or about	
√Γ		
1	opened an	b2
1. L	who is a "United States person" as that term is used	<u>in </u>
. [· · b7c
·	During the course of this investigation, NSLs	b 7E
. •	dated 01/18/2003 and 01/31/2007 were issued in this case.	
• •	· · · · · · · · · · · · · · · · · · ·	¬
	pursuant to the	」 , ∴
	for	1
•, -	returned the requested information, but, in addition, provi	ded
	billing information, as well; as	7.
	predating the NSL time frame, which information was beyond	
	scope of the requests. The information was uploaded into	
	By EC dated 04/09/2007, as well as	
_	subsequent email traffic, these matters have been reported	• • • • • • • • • • • • • • • • • • • •
	potential IOBs. The information has been sequestered.	
G.	— · · · · · · · · · · · · · · · · · · ·	
	has been advised to destroy or return the information to the	e ,
•	carrier. (5)	
	The IOB has instructed OGC to report matters in	b2
•		b7E .
	which information error is	
· • .	uploaded into a database. Thus, this situation is being	
`.	reported to the IOB. (U)	
•		• • • • • • • • • • • • • • • • • • • •
•	Derived from: G-3	,
•	Declassify on: 18/28/2017	•
		÷ .
		<u> </u>

Name of Department or Agency: FBI OGC.	CY Quarterly Report: 2007
DATE OF INCIDENT: 01/31/07 (issuance of NSL)	DATE OF REPORT TO IOB: 12/30/97 //31/2003
	,
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07	
TO LOTTE DEPONDE CHEST COMMENTS OF A CONTROL OF THE	T. C Ct. 117 (to attack (1955-1))
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., Do	I, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	•
1 OSSIBLE VIOLATION OF.	
STATUTE (United States Code Citation)	
FISA (50 U.S.C. 1801)	
X Other (U.S.C. citation	b. h
EXECUTIVE ORDER 13333:	
Section 2.3 collection & dissemination of U	
Section 2.4 preamble: least intrusive collecti	
Section 2.4(a) CIA electronic surveillance w	
Section 2.4(b) unconsented physical search	
Section 2.4(c) physical surveillance of USP	
Section 2.4(d) physical surveillance of USP	
Section 2.5 AG approval w/in US or against Section 2.6 assistance to law enforcement	USP appoag
Section 2.0 assistance to law enforcement Section 2.7 contracting	
Section 2.9 undisclosed participation	
Section 2.19 thruscrosed participation .	
Section 2.11 prohibition on assassination	
Section 2.12 indirect participation	
AG Approved EO 12333 Guidelines (Guide	lines name and section:
OTHER EXECUTIVE ORDER (SPECIFY)	
Creation of unauthorized SAP (EO 12958, a	s amended)
Other (EO citation:	
PRESIDENTIAL DIRECTIVE (SPECIFY)	
COVERT ACTION FINDING/MEMORAN	DUM OF NOTIFICATION
Other (Presidential Directive citation:	
DIDA DOS COSTOS LOS CONTOS LA COSTOS DE COSTOS DE LA COSTOS DEL COSTOS DE LA COSTOS DEL COSTOS DE LA COSTOS DEL COSTOS DE LA COSTOS DE LA COSTOS DE LA COSTOS DE LA COSTOS DE	b2
DEPARTMENT/AGENCY ACTION: Advised field to purge	database; return or destroy naterial b7E
*DATE OF FINAL DISPOSITION:	

b2

	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	. b7E
``\.	FIELD OFFICE	
• • •	IOB MATTER 2007-831 (U)	
	York To the second of the seco	
	During an authorized	•
	of a U.S. person, sent a National Security	
	Letter (NSL) to a seeking	
	requested "the names and addresses of all financial	b2 ·
		b 7E
. •	,	
		٠.
	response to the NSL, the produced a	
٠.	which included information that was not	•
	requested in the NSL. subsequently referenced the	
	in six documents, noting that the	
		150
٠.		`
	(U) In this case, an error was committed by when it	b2
	compounded the third party error by uploading onto ACS	Ъ7Е
	information regarding the	
	(U) has been instructed to charge out from ACS the	
	ECs that mention the and redact the	
• -	portions that reference the issue. has also been	b2 -
	instructed to contact the and ask whether	b7E
. ,	the should be returned or destroyed, with	
,	appropriate documentation to the file.	

(U)



Name of Department or Agency: FBI	CY Quarterly Report: 44 2007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: $1/31/200$
DATE OF REPORT TO DEPARTMENT/AG	ENCY: 03/08/2007
DATE REPORT SUBMITTED TO OTHER E	NTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States Code Citati FISA (50 U.S.C. 1801) X Other (U.S.C. citation:	ion)
EXECUTIVE ORDER 12333: Section 2.3 collection & diss Section 2.4 preamble: least ir Section 2.4(a) CIA electronic Section 2.4(b) unconsented p Section 2.4(c) physical surve Section 2.4(d) physical surve Section 2.5 AG approval w/ii Section 2.6 assistance to law Section 2.7 contracting Section 2.9 undisclosed parti Section 2.10 human experim Section 2.11 prohibition on a Section 2.12 indirect particip AG Approved EO 12333 Gu	ntrusive collection c surveillance w/in US physical search w/in US cillance of USP w/in US cillance of USP abroad n US or against USP abroad enforcement topation entation assassination
OTHER EXECUTIVE ORDER (SPE Creation of unauthorized SA Other (EO citation: PRESIDENTIAL DIRECTIVE (SPEC COVERT ACTION FINDIN Other (Presidential Directive	P (EO 12958, as amended) CIFY) IG/MEMORANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACTION:	
*DATE OF FINAL DISPOSITION:	



U)	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER FIELD OFFICE IOB MATTER 2007-938 (U)	b2 b7E
	During an authorized sent a National Security Letter (NSL) to a seeking	
. `l	requested	b2 b7E
	In response to the NSL, the produced a which included information that was not requested in the NSL. subsequently summarized the in an EC that was	
· ·	uploaded onto ACS. (U) In this case, an error was committed by when it	. b2 b7E
:	compounded the third party error by uploading the over-produced information onto ACS.	
	(U) has been instructed to permanently charge-out from ACS the EC summarizing the and destroy any hard copies of the EC. has also been instructed to contact the and ask whether the consumer report should be returned or destroyed, with appropriate documentation to the file.	b2 b7E



*DATE OF FINAL DISPOSITION:

IOB SUMMARY SHEET

Name of Department or Agency:	FBI ·	CY Quarterly Report: L	16 COO7
DATE OF INCIDENT:	11/09/2004	DATE OF REPORT TO I	OB: 1/31/200
DATE OF REPORT TO DEPART	MENT/AGENCY:		•
DATE REPORT SUBMITTED TO	O OTHER ENTITY (i.e., I	oJ, Congress, Civil Liberti	es Office):
POSSIBLE VIOLATION OF:	• • • • • • • • • • • • • • • • • • • •		
Section 2.4 pream Section 2.4(a) CI Section 2.4(b) un Section 2.4(c) ph Section 2.5 AG a Section 2.6 assist Section 2.7 contr Section 2.9 undis Section 2.10 hum Section 2.11 prof Section 2.12 indi	ation: 2333: ction & dissemination of Unble: least intrusive collect A electronic surveillance of USP approval win US or against tance to law enforcement acting sclosed participation an experimentation hibition on assassination	tion w/in US w/in US w/in US abroad at USP abroad	
OTHER EXECUTIVE OF Creation of unautother (EO citation	thorized SAP (EO 12958,	as amended)	
PRESIDENTIAL DIRECTORY COVERT ACTION Other (Presidential DEPARTMENT/AGENCY ACTION	ON FINDING/MEMORA ial Directive citation:	NDUM OF NOTIFICATIO	N

	Section 1	
	INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER	b2
	FIELD OFFICE	b7
JTT	IOB MATTER 2007-1565- (U)	
ιU	1	•
. –	S During a	• •
	of a U.S. person, issued an NSL under	
•	to a requested	
. •		
		b2
		b7E
•	'	
` ,•	To responde to the Not the	
	In response to the NSL, the	••,
	produced data that was not	. ' '
•	requested by the NSLsummarized the credit check .	
	data in an EC, which was uploaded onto ACS.	. "
,		· b2
	(U) In this case, an error was committed by when	b7
٠.	it uploaded the over-produced information onto ACS.	
	up	•
, ,	(U) has been instructed to charge-out of ACS	• • • • • • • • • • • • • • • • • • • •
		. b2
	immediately and permanently the EC containing the	. 62 . 67 E
•	data. It has also been instructed to destroy the hard copy of	
	the EC in the investigative file. Finally, has been	
•	instructed to contact the and ask whether	
	the data should be returned or destroyed, with	·
	appropriate documentation to the file.	
	appropriate goodinamonation to the title.	



Name of Department or Agency:	FBI CY Quarterly Report: 4th 7007
DATE OF INCIDENT:	DATE OF REPORT TO IOB: (/31/2001
DATE OF REPORT TO DEPART	MENT/AGENCY: 04/23/2007
DATE REPORT SUBMITTED TO	OOTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:	
STATUTE (United States FISA (50 U.S.C. X Other (U.S.C. cit	1801)
Section 2.4 prear Section 2.4(a) Cl Section 2.4(b) un Section 2.4(c) ph Section 2.5 AG a Section 2.7 contr Section 2.9 undir Section 2.10 hun Section 2.11 prof Section 2.12 indir	ction & dissemination of USP info mble: least intrusive collection IA electronic surveillance w/in US nconsented physical search w/in US nysical surveillance of USP w/in US nysical surveillance of USP abroad approval w/in US or against USP abroad tance to law enforcement acting sclosed participation man experimentation hibition on assassination
Other (EO citation of the Covert Action of the Cove	athorized SAP (EO 12958, as amended)

*DATE OF FINAL DISPOSITION:



	INTELLIG <u>ENCE OVERSIGH</u> T BOARD (IOB) MATTER	b2
(V	FIELD OFFICE	b7E
	IOB MATTERS 2007-1538 AND	~
	2007-1539- (U)	•
	(S) During a of a	b2
	U.S. person, issued two NSLs under	b7E
•,	to two respective	
	requested	
•		•
		•
		b2
	(U) In this case, an error was committed by when	, b7E
٠,	it requested account numbers in its NSLs.	. •
· •	does not allow the FBI to request such information.	, ,
, ,		.b2
•	(U) has been instructed to redact from all	. b7E
	documents any account numbers that it received due to the	
	NSL.	

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b2 b7E

Name of Department or Agency:	FBI	CY Quarterly Report:	44 2007
DATE OF INCIDENT:		DATE OF REPORT TO	010B: 1/31/200
DATE OF REPORT TO DEPART	MENT/AGENCY: 04/24	/2007	
DATE REPORT SUBMITTED TO	O OTHER ENTITY (i.e., I	oJ, Congress, Civil Libe	erties Office):
POSSIBLE VIOLATION OF:			
STATUTE (United States FISA (50 U.S.C. X Other (U.S.C. ci	. 1801):	·	
Section 2.4 prear Section 2.4(a) Cl Section 2.4(b) ur Section 2.4(c) ph Section 2.5 AG a Section 2.6 assis Section 2.7 contr Section 2.9 undir Section 2.10 hun Section 2.11 pro Section 2.12 indir	ction & dissemination of Unble: least intrusive collect IA electronic surveillance vacousented physical search hysical surveillance of USP hysical surveillance of USP approval w/in US or agains tance to law enforcement	ion v/in: US ·w/in: US ·w/in: US ·abroad t: USP abroad	
Other (EO citation PRESIDENTIAL DIRECTION COVERT ACTION CO	thorized SAP (EO 12958, on:)		TION
DEPARTMENT/AGENCY ACTI	` , _		

DATE OF FINAL DISPOSITION:



DECLASSIFIED BY 65179/DMH/PLJ/sdb ON 07-08-2010

TTL	INIELLIG <u>ENCE OVERSIGH</u> T BOARD (10B) MATTER	b2
(U)	FIELD OFFICE	b7
	IOB MATTER 2007-1548- (U)	
	(S) During a	
	of a U.S. person. issued an NSL under	•
ı		,
	Ito al	10
		b2
		b7E
	In response to the NSL, the	·
	produced credit check data that was not requested by the	• •
	NSL. subsequently inserted a small amount of the	. :
	credit check data into a closing letterhead memorandum (LHM),.	
	which was uploaded onto ACS.	
	(U) In this case, an error was committed by when	: .b2
1	(U) In this case, an error was committed by when	`b7
•	it uploaded the over-produced information onto ACS.	
	has been instructed to immediately charge-	. b2
	out the LHM from ACS and redact the data from both	b7E
•	the ACS and investigative file copies. has also been	, e s (
	instructed to contact the and ask whether	. ,,
	the data should be returned or destroyed, with	
٠.	appropriate documentation to the file	1.



ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 07-08-2010 BY 65179/DMH/PLJ/sdb

IOB SUMMARY SHEET

b2 b7E

Name of Department or Agency:	FBI	CY Quarterly Report: 44 Z007
DATE OF INCIDENT:		DATE OF REPORT TO IOB: 1/31/200
DATE OF REPORT TO DEPART	TMENT/AGENCY: 04/0	9/2007
DATE REPORT SUBMITTED TO	O OTHER ENTITY (i.e.,	DoJ, Congress, Civil Liberties Office):
POSSIBLE VIOLATION OF:		· · · · · · · · · · · · · · · · · · ·
STATUTE (United States FISA (50 U.S.C. X Other (U.S.C. ci	. 1801)	
Section 2.4 pread Section 2.4(a) Control Section 2.4(b) under Section 2.4(c) plus Section 2.4(d) plus Section 2.5 AG Section 2.6 assists Section 2.7 control Section 2.9 unditable Section 2.10 hur Section 2.11 pro Section 2.12 ind	ection & dissemination of mble: least intrusive collection IA electronic surveillance nconsented physical search hysical surveillance of US hysical surveillance of US approval w/in US or againstance to law enforcement	ction w/in US h w/in US P w/in US P abroad ast USP abroad
Other (EO citati PRESIDENTIAL DIREC COVERT ACT) Other (President	on:	, as amended), ANDUM OF NOTIFICATION
DEPARTMENT/AGENCY ACT	ION!	