

EXPLANATION OF EXEMPTIONS

SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552

- (b)(1) (A) specifically authorized under criteria established by an Executive order to be kept secret in the interest of national defense or foreign policy and (B) are in fact properly classified to such Executive order;
- (b)(2) related solely to the internal personnel rules and practices of an agency;
- (b)(3) specifically exempted from disclosure by statute (other than section 552b of this title), provided that such statute(A) requires that the matters be withheld from the public in such a manner as to leave no discretion on issue, or (B) establishes particular criteria for withholding or refers to particular types of matters to be withheld;
- (b)(4) trade secrets and commercial or financial information obtained from a person and privileged or confidential;
- (b)(5) inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency;
- (b)(6) personnel and medical files and similar files the disclosure of which would constitute a clearly unwarranted invasion of personal privacy;
- (b)(7) records or information compiled for law enforcement purposes, but only to the extent that the production of such law enforcement records or information (A) could be reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could be reasonably expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of confidential source, including a State, local, or foreign agency or authority or any private institution which furnished information on a confidential basis, and, in the case of record or information compiled by a criminal law enforcement authority in the course of a criminal investigation, or by an agency conducting a lawful national security intelligence investigation, information furnished by a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions if such disclosure could reasonably be expected to risk circumvention of the law, or (F) could reasonably be expected to endanger the life or physical safety of any individual;
- (b)(8) contained in or related to examination, operating, or condition reports prepared by, on behalf of, or for the use of an agency responsible for the regulation or supervision of financial institutions; or
- (b)(9) geological and geophysical information and data, including maps, concerning wells.

SUBSECTIONS OF TITLE 5, UNITED STATES CODE, SECTION 552a

- (d)(5) information compiled in reasonable anticipation of a civil action proceeding;
- (j)(2) material reporting investigative efforts pertaining to the enforcement of criminal law including efforts to prevent, control, or reduce crime or apprehend criminals;
- (k)(1) information which is currently and properly classified pursuant to an Executive order in the interest of the national defense or foreign policy, for example, information involving intelligence sources or methods;
- (k)(2) investigatory material compiled for law enforcement purposes, other than criminal, which did not result in loss of a right, benefit or privilege under Federal programs, or which would identify a source who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(3) material maintained in connection with providing protective services to the President of the United States or any other individual pursuant to the authority of Title 18, United States Code, Section 3056;
- (k)(4) required by statute to be maintained and used solely as statistical records;
- (k)(5) investigatory material compiled solely for the purpose of determining suitability, eligibility, or qualifications for Federal civilian employment or for access to classified information, the disclosure of which would reveal the identity of the person who furnished information pursuant to a promise that his/her identity would be held in confidence;
- (k)(6) testing or examination material used to determine individual qualifications for appointment or promotion in Federal Government service the release of which would compromise the testing or examination process;
- (k)(7) material used to determine potential for promotion in the armed services, the disclosure of which would reveal the identity of the person who furnished the material pursuant to a promise that his/her identity would be held in confidence.

~~SECRET~~

DECLASSIFIED BY 65179/DMH/PLJ/adb
ON 07-07-2010

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED] FIELD OFFICE
IOB MATTER 2007-1554-[REDACTED] (U)

b2
b7E

(S) During a [REDACTED] of a U.S. person, [REDACTED] issued an NSL under [REDACTED]

[REDACTED]

b2
b7E

[REDACTED] In response to the NSL, the [REDACTED] produced a copy of the target's [REDACTED] with non-responsive portions redacted. The information that the [REDACTED] intended to redact, however, remained legible. [REDACTED] summarized the [REDACTED] including some of the non-responsive information that was intended to be redacted, in an EC. The EC was subsequently uploaded onto ACS.

b2
b7E

(U) In this case, an error was committed by [REDACTED] when it uploaded the over-produced information onto ACS.

(U) Since realizing the over-production and uploading, [REDACTED] has removed the original [REDACTED] from the investigative file and has replaced it with a copy containing illegible redactions. [REDACTED] has also removed the EC summarizing the [REDACTED] from the investigative file and has permanently charged it out of ACS. Finally, [REDACTED] has sequestered the [REDACTED] and the EC with its Chief Division Counsel (CDC).

b2
b7E

(U) [REDACTED] has been advised that it may redact the over-produced information from the EC summarizing the NSL results and place the redacted version in the investigative file. [REDACTED] has been instructed to then destroy the un-redacted version. [REDACTED] has also been instructed to contact the credit reporting agency and ask whether the improperly redacted credit report should be returned or destroyed, with appropriate documentation to the file.

b2
b7E

~~SECRET~~

Hofmann-IOB-3461

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4/6-2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/16/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET//20171116~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[redacted] FIELD OFFICE
IOB MATTER 2007-1834 [redacted] (U)

b2
b7E

(U)

(S) During a [redacted] of a
U.S. person, [redacted] issued a National Security Letter (NSL)
under the [redacted] to a [redacted]

b2
b7E

[redacted]
[redacted]
[redacted] not requested in the [redacted] NSL. [redacted]
[redacted] subsequently issued [redacted]
[redacted] NSLs with [redacted] from the [redacted] to
[redacted] respectively. [redacted] also
uploaded the [redacted] NSLs onto ACS.

b2
b7E

(U) In this case, [redacted] compounded the third party error
by uploading and using for investigative purposes the [redacted]
[redacted] from the [redacted]

b2
b7E

(U) [redacted] has been instructed to contact the [redacted]
[redacted] and ask whether the original [redacted]
should be returned or destroyed, with appropriate documentation
to the file. [redacted] has been informed that it may keep a copy
of the [redacted] provided that all over-produced
information is redacted.

(U) As for the [redacted] NSL productions, [redacted] has been
advised that it may keep the documents from the [redacted]
[redacted] If [redacted] had issued the [redacted] NSLs without the
[redacted] it would have received the same information.
Furthermore, the [redacted] had the [redacted]
before they received the [redacted] NSLs. The FBI has concluded,
therefore, that [redacted] need not re-issue redundant NSLs to
obtain the same information that it currently has.

b2
b7E

~~(S) Derived From : G-3
Declassify On: 11/16/2017~~

Hofmann-IOB-3463

~~SECRET//20171116~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4/4 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/16/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3464

~~SECRET//20171102~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-2072(U)

b2
b7E

(U)

(S) The [REDACTED] Division [REDACTED] of the Federal Bureau of Investigation (FBI) reported a possible Intelligence Oversight Board (IOB) violation involving failure to provide an opening notice (EC) or an extension of a [REDACTED] [REDACTED] beyond one year letterhead memorandum (LHM) for a PI of a presumed U.S. person. On [REDACTED] [REDACTED] on a subject who is a presumed United States person. [REDACTED] did not submit an EC or LHM notifying FBIHQ of the initiation of this investigation, and did not provide FBIHQ with notice when the [REDACTED] was extended beyond one year.

b2
b7E

(U)

(S) As provided in [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), a "field office shall notify FBI Headquarters within ten working days of the initiation by the field of a [REDACTED]. Additionally, if a [REDACTED] is extended beyond an initial one-year period, the FBI shall notify and provide QIPR with the extension statement "within ten working days of the transmittal of the approval to a field office." NSIG, [REDACTED] FBIHQ first learned of the [REDACTED] on [REDACTED] when [REDACTED] [REDACTED] provided notice that it intended to close the investigation. Because this notification was [REDACTED] FBIHQ and DOJ were unable to provide meaningful oversight and review of this investigation.

b2
b7E

~~Derived from: Multiple sources
Declassify on: 11/02/2017~~

~~SECRET//20171102~~

Hofmann-IOB-3465

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 2007 ^{4/15}

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: July 9, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Matter involve failure to provide LHM during pendency of Closing
LHM provided. No further action to be taken.

b2
b7E

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3466

~~SECRET//20171119~~

(U)

INTELLIGENCE OVERSIGHT BOARD MATTER
[REDACTED] DIVISION
IOB MATTER 2007-3054NSL (U)

b2
b7E

(S) On 07/13/2007 the Federal Bureau of Investigation (FBI), [REDACTED] Division [REDACTED] reported a potential Intelligence Oversight Board (IOB) violation involving the late notification of [REDACTED] to OIPR.

b2
b7E

(U)

(S) On [REDACTED] opened a [REDACTED] on a U.S. person target. The [REDACTED] and the SAC extended [REDACTED]. Subsequently, [REDACTED] was granted an additional six-month [REDACTED] extension from FBIHQ. On [REDACTED] the case was closed. [REDACTED] did not submit notification of the [REDACTED] initiation to the Department of Justice Office of Intelligence Policy Review (OIPR) until [REDACTED] more than [REDACTED].

b2
b7E

(U)

(S) As provided in [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective 10/31/2003 (NSIG), a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field of a [REDACTED]. After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General.

b2
b7E

(U)

(S) The FBI reports that failure to notify OIPR of the investigation in a timely manner "substantially impaired" their oversight of this case. Here, notification to OIPR of the [REDACTED] initiation was not until [REDACTED] after it had been opened.

b2
b7E

~~SECRET//20171119~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT: [REDACTED]

DATE OF REPORT TO IOB: 11/2007 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 07/13/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333-Guidelines (Guidelines name and section: [REDACTED])

[REDACTED]

b2
b7E

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3468

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-3342NSL (U)

b2
b7E

(U) (U) During the NSL audit of March 2007, the Inspection Division directed that the [REDACTED] Division [REDACTED] report this issue as a potential IOB matter.

b2
b7E

(U) On December 1, 2003, FBI Headquarters (FBIHQ) mandated that all 199J (International Terrorism), 256A (Hostage Taking By International Terrorists), 262 (Overseas Homicide/Attempted Homicide) and 265 (Act of Terrorism) cases be reclassified as 315J (International Terrorism). Subsequent to the reclassification, all such cases became subject to the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG).

(U)

(S) The case at issue here was opened [REDACTED] prior to the December 1, 2003 reclassification that put it under the purview of the NSIG. It was subsequently tardy in providing notification to FBIHQ as mandated by the NSIG [REDACTED] and [REDACTED] and [REDACTED] (annual notification) by more than [REDACTED]
[REDACTED]

b2
b7E

DECLASSIFIED BY 65179/DMH/PLJ/sdb
ON 07-07-2010

Hofmann-IOB-3469

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 8/20/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

b2
b7E

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Return or destroy

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3470

~~SECRET//20171210~~

(S) INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-2191 (U)

b2
b7E

(S) [REDACTED]

b1
b2
b7E

(U) (S) Upon review of the file, [REDACTED] determined that an annual report was never submitted to FBI Headquarters (FBIHQ) and the Office of Intelligence Policy and Review (OIPR) of the Department of Justice (DOJ) for [REDACTED] believed that in the past an annual report was not determined to be necessary. [REDACTED]

b2
b7E

[REDACTED] No documentation exists in the file that would explain the absence of the annual reports. In [REDACTED] did file annual reports to OIPR concerning [REDACTED]

(U) (S) Until the inception of the The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG) on 10/31/2003, when The Attorney General's Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG) was in effect, annual reports were not required to be submitted by the field for [REDACTED]

b2
b7E

[REDACTED] Therefore, prior to

~~Derived from: G-3
Declassify on: 20171210~~

~~SECRET//20171210~~

(U)

b2
b7E

10/31/2003. [redacted] decision not to submit annual reports [redacted] [redacted] was not an error, and, in any case, did not substantially impair OIPR's ability to oversee the investigation.

(S) [redacted] submitted an annual report to FBIHQ and OIPR on [redacted]. While [redacted] submission of the annual report provided OIPR with knowledge of the [redacted] [redacted] it did not absolve [redacted] from its failure to file annual reports on the [redacted] following the implementation of the NSIG by the FBI. As a result of failing to submit these reports, for over [redacted] OIPR had no knowledge of the [redacted]. While OIPR may have learned from [redacted] about the specific investigations that involved the [redacted] who visited [redacted] OIPR still had no knowledge of [redacted] open investigation until [redacted] filed the annual report in [redacted].

b2
b7E

(U) Therefore, OGC finds that the failure to submit annual reports to OIPR in [redacted] substantially impaired OIPR's opportunity to provide oversight of the investigation. Accordingly, OGC has determined that an IOB violation has occurred.

b2
b7E

Hofmann-IOB-3472

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENTS: [] DATE OF REPORT TO IOB:

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 08/21/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)
___ FISA (50 U.S.C. 1801)
___ Other (U.S.C. citation: ___)

EXECUTIVE ORDER 12333:

- ___ Section 2.3 collection & dissemination of USP info
- ___ Section 2.4 preamble: least intrusive collection
- ___ Section 2.4(a) CIA electronic surveillance w/in US
- ___ Section 2.4(b) unconsented physical search w/in US
- ___ Section 2.4(c) physical surveillance of USP w/in US
- ___ Section 2.4(d) physical surveillance of USP abroad
- ___ Section 2.5 AG approval w/in US or against USP abroad
- ___ Section 2.6 assistance to law enforcement
- ___ Section 2.7 contracting
- ___ Section 2.9 undisclosed participation
- ___ Section 2.10 human experimentation
- ___ Section 2.11 prohibition on assassination
- ___ Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: [])

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- ___ Creation of unauthorized SAP (EO 12958, as amended)
- ___ Other (EO citation: ___)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- ___ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- ___ Other (Presidential Directive citation: ___)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET//20171019~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

IOB MATTER 2007-3244 (U)

b2
b7E

(U) ~~(S)~~ On [redacted] [redacted] opened a [redacted] [redacted] on a United States person that was converted to a [redacted] on [redacted]. During a file review, the squad supervisor observed that annual status reports required by the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection had not been submitted since [redacted]. According to [redacted] the annual LHMs for [redacted] and [redacted] were inadvertently overlooked due to a reassignment of personnel.

b2
b7E

(U) ~~(S)~~ During [redacted] the subject left [redacted] [redacted] [redacted] While the Department of Justice/Office of Intelligence Policy and Review (OIPR) had notice of the opening [redacted] in [redacted] it was not informed of developments in the [redacted] in [redacted] and [redacted] a period in which investigative activity occurred. As a result, OGC concludes that the delay in filing the required status reports was sufficiently great to deprive OIPR of the opportunity to provide meaningful oversight, requiring this report to the Board.

b2
b7E

(U) ~~(S)~~ [redacted] has informed FBIHQ that the [redacted] has set up a spreadsheet to track the submission of required reports and other milestones with investigative significance.

b2
b7E

~~Derived from: G-3
Declassify on: 20171019~~

Hofmann-IOB-3474

~~SECRET//20171019~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT: [redacted]

DATE OF REPORT TO IOB: 1/31/2008

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY:

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

[redacted]

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET//20171130~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-971NSL (U)

b2
b7E

(U)

(U) The [REDACTED] Division [REDACTED] of the Federal Bureau of Investigation (FBI) reported a possible Intelligence Oversight Board (IOB) violation involving late notification of the opening of a [REDACTED]

b2
b7E

(U) On [REDACTED] [REDACTED] initiated a [REDACTED] but failed to notify FBIHQ and the Department of Justice as provided by [REDACTED] of The Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG) which went into effect on 10/31/2003. Consistent with implementing guidance, [REDACTED] had until [REDACTED] to report the on-going investigation.

b2
b7E

(U)

(S) FBIHQ discovered this issue during an audit on the use of NSLs in March of 2007. Because proper notification was not provided about this investigation, the Office of Intelligence Policy and Reveiw had no opportunity to review or provide oversight of this investigation.

Hofmann-IOB-3476

~~Derived From: G-3
Declassify On: 11/30/2017~~

~~SECRET//20071130~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: ~~12/2007~~ 1/31/08

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3477

~~SECRET//20171015~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-3375 (U)

b2
b7E

(U)

~~(S)~~ The [REDACTED] Field Office of the Federal Bureau of Investigation has reported errors in conjunction with an investigation of that division. In this regard, 11 NSIs sought [REDACTED] information presumably about US Persons who were relevant to this investigation. [REDACTED] responses included additional [REDACTED] or other information that went beyond what was request requested. These records had been uploaded into FBI databases (ACS); but were subsequently deleted.

b2
b7E

(U) This matter has been reported to the FBI's Inspection Division for appropriate action.

~~Derived from : G-3
Declassify on: October 25, 2017~~

DECLASSIFIED BY 65179/DMH/PLJ/sdb
ON 07-07-2010

~~SECRET//20171025~~

Hofmann-IOB-3478

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT: 2006

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 10/02/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3479

~~SECRET//20171217~~

(U)

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1263 (U)

b2
b7E

~~(S)~~ [REDACTED] opened an authorized [REDACTED]
[REDACTED] of a U.S. person [REDACTED] but did not
provide notice of the investigation until [REDACTED]
more than [REDACTED]

b2
b7E

(U) The Office of Intelligence Policy and Review (OIPR) had
no notice of the pending investigation prior to [REDACTED] notice.
The FBI presumes, therefore, that OIPR's oversight ability was
substantially impaired by the delay and is reporting this issue
to the IOB.

b2
b7E

DECLASSIFIED BY 65179/DMH/PLJ/sdb
ON 07-07-2010

Hofmann-IOB-3480

~~(S) Derived From : C-3
Declassify On: 12/17/2017~~

~~SECRET//20171217~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4/2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/07

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: April 9, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

b2
b5
b7E

*DATE OF FINAL DISPOSITION:

~~SECRET//20171209~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTERS
[REDACTED] FIELD OFFICE
IOB MATTERS 2007-3426 (U)

b2
b7E

The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation on a [REDACTED] [REDACTED] the [REDACTED] properly issued an NSL to which [REDACTED] returned information that turned out to be irrelevant to the investigation and the information was uploaded into a database. (U)

b2
b7E

In this regard, on [REDACTED] opened a [REDACTED] [REDACTED] Further, the user [REDACTED] [REDACTED] Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA). On that same day, [REDACTED] the case agent drafted an NSL pursuant to the [REDACTED] to determine [REDACTED] The NSL was reviewed and eventually issued on [REDACTED] The NSL requested [REDACTED] In the interim, unbeknownst to [REDACTED] two ECs were drafted, dated [REDACTED]

b2
b7E

[REDACTED] had no reason to be aware of this information, as the EC was drafted by the [REDACTED] Field Office, which operated the main case.

b2
b7E

The information that was returned included the [REDACTED] [REDACTED] While [REDACTED] the return information was not uploaded into any database, an EC describing the return information was uploaded into ACS and did include the [REDACTED] has sequestered the return information and will purge ACS of the EC which includes the [REDACTED] Further, [REDACTED] has been admonished that the case should have been categorized as a USP investigation because [REDACTED] [REDACTED] and there is a presumption, absent any other information, that the user is a USP. The case is in the process of being closed. (S)

(U)

Hofmann-IOB-3482

~~Derived from: G-3
Declassify on: 12/09/2017~~

~~SECRET//20171209~~

~~SECRET//20171209~~

The IOB has instructed OGC to report matters in which the FBI has uploaded into a database information that it knows or should have known was not relevant to an investigation, regardless of whether there was any impropriety in obtaining the information. Therefore, this matter is being reported to the IOB. (U)

Hofmann-IOB-3483

~~SECRET//20171209~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007 ^{4th}

DATE OF INCIDENT: (issuance of NSL)

DATE OF REPORT TO IOB: ~~12/30/07~~ 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 10/02/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Advised that matter was being reported to IOB; that information should not be used or disseminated; it may be destroyed or kept in file, which is being closed. Information already being purged from database.

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3484

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-3295-[redacted] (U)

b2
b7E

(U)

(S) During a [redacted] of a U.S. person, [redacted] issued an NSL under [redacted] to a [redacted] [redacted] requested [redacted]

[redacted]
[redacted]
[redacted]

b2
b7E

In response to the NSL, the [redacted] produced a [redacted] [redacted] subsequently drafted an EC containing information from the [redacted] The EC was uploaded onto ACS.

(U) In this case, [redacted] erred when it requested [redacted] [redacted] in its NSL. [redacted] [redacted] also erred when it uploaded onto ACS over-produced information from the [redacted] [redacted] Such uploading constitutes a compounding of a third party error.

b2
b7E

(U) [redacted] has been instructed to redact from all documents any over-produced information from the [redacted] [redacted] has also been instructed to contact the [redacted] and ask whether the [redacted] should be returned or destroyed, with appropriate documentation to the file.

b2
b7E

Hofmann-IOB-3485

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT: [REDACTED]

DATE OF REPORT TO IOB: 1/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/19/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [REDACTED])

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3486

~~SECRET//20171130~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-1943 (U)

b2
b7E

(U)

~~(S)~~ On [redacted] the Federal Bureau of Investigation (FBI), [redacted] Field Office [redacted] reported that a [redacted] on a United States person was initiated on [redacted]

b2
b7E

(U)

~~(S)~~ [redacted]

b2
b7E

b2
b7E

(U)

~~(S)~~ Based upon these facts, investigative activity occurred following the [redacted] in violation of the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG). A [redacted] must be open [redacted]

b2
b7E

[redacted] Accordingly, this matter is reported to the IOB.

(U)

~~(S)~~ Having determined that [redacted] [redacted] we turn to the handling of information acquired thereby. Exclusion is a remedy of judicial origin created to address violations of constitutional dimension. In addition, some statutes, such as the Foreign Intelligence Surveillance Act, provide for an exclusionary rule. In this instance, neither the Constitution nor any applicable statute has been violated and,

b2
b5
b7E

[redacted]

~~Derived From: G-3
Declassify On: 11/30/2017~~

Hofmann-IOB-3487

~~SECRET//20171130~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 12/2007 1/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY

b2
b7E

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3488

~~SECRET//20171130~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED] DIVISION

IOB MATTER 2007-1072NSL (U)

b2
b7E

(U)

(S) During an authorized investigation of a U.S. person, [REDACTED] issued at least four National Security Letters (NSLs) for [REDACTED] believed to be associated with the target of the investigation. Three of those NSLs were signed by the Acting Special Agent in Charge (A/SAC). One NSL was signed by an A/SAC designated by the Director of the FBI. The other two A/SACs were Assistant Special Agents in Charge (ASACs) signing for the designated SAC.

b2
b7E

(U)

(S) Two of the NSLs were issued in the fall of 2002. In these cases, the A/SACs may have signed the NSLs in accordance with legal advice from OGC and prior to the promulgation of guidance from the Department of Justice, Office of Legal Counsel (OLC) to the contrary. The other NSL was signed by an A/SAC and issued on 01/07/2004, after OGC promulgated the OLC opinion.

(U) The Electronic Communications Privacy Act (ECPA) expressly states that the certification must be signed by the FBI Director "or the Director's designee in a position not lower than Deputy Assistant Director at Bureau headquarters or a Special Agent in Charge in a Bureau field office..." 18 U.S.C. § 2709(b). On three occasions, [REDACTED] requested an NSL for information relevant to an authorized investigation, but it did not get the proper approvals and SAC signature, as required by law.

b2
b7E

(U) [REDACTED] was advised to destroy the records, with appropriate documentation to the file, and to remove all records from any FBI database(s). [REDACTED] was also told to report any other instances where an A/SAC signed an NSL.

b2
b7E

~~Derived From : G-3
Declassify On: 11/30/2017~~

~~SECRET//20171130~~

Hofmann-IOB-3489

IOB SUMMARY SHEET

Name of Department or Agency: FBI CY Quarterly Report: 1st Q FY08 4th 2007

DATE OF INCIDENT: [REDACTED] DATE OF REPORT TO IOB: ~~12/31/2008~~ 1/31/08

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation: 18 U.S.C. 2709)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Data obtained pursuant to NSLs without proper authorization to be purged from all FBI records [REDACTED] also told to report all instances where an A/SAC signed an NSL.

b2
b5
b7E

*DATE OF FINAL DISPOSITION: 11/30/2007

~~SECRET~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1425-[REDACTED] (U)

b2
b7E

(S) During a [REDACTED] of a U.S. person, [REDACTED] issued a National Security Letter (NSL) under [REDACTED] to a [REDACTED]. The NSL requested only [REDACTED]. Despite the request for [REDACTED] information, the [REDACTED] produced a [REDACTED] containing more information than requested in the NSL. [REDACTED] subsequently summarized the [REDACTED] in an EC that was uploaded onto ACS.

b2
b7E

(U) In this case, [REDACTED] committed an error when it uploaded onto ACS some of the over-produced information from the [REDACTED]. Such handling of over-produced information is considered compounding a third party error, which must be reported to the IOB.

b2
b7E

(U) [REDACTED] has been instructed to contact the [REDACTED] and ask whether the [REDACTED] should be returned or destroyed, with appropriate documentation to the appropriate file. [REDACTED] has been informed that it may keep a copy of the [REDACTED] provided that all information beyond [REDACTED] in the copies is redacted.

b2
b7E

[REDACTED]
[REDACTED] With regards to the EC summarizing the [REDACTED] [REDACTED] has been directed to redact the over-produced information from the investigative file copy and either permanently charge-out or redact the over-produced information from the ACS copy.

Hofmann-IOB-3491

~~SECRET~~

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY: 05/04/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3492

~~SECRET//20171228~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[redacted] FIELD OFFICE

IOB MATTER 2007-943 [redacted] (U)

(S)

b2
b7E

(S) On [redacted] and on [redacted]
[redacted] on U.S. persons [redacted]
[redacted] respectively were initiated. The [redacted] on [redacted]

b1
b2
b4
b6
b7C
b7D
b7E

(S) [redacted] was [redacted] and the [redacted] on [redacted]
[redacted] (case file [redacted])
The [redacted] was eventually [redacted] (S)
consolidated with [redacted] under case file [redacted]

(S) During [redacted] audit in [redacted] it was discovered that an [redacted] (S)
[redacted] regarding [redacted]

(S) [redacted] was acquired. It was also discovered during this [redacted] (S)
audit that an [redacted] regarding [redacted]

(S) [redacted] was documented on ACS [redacted] (S) (S)
[redacted] respectively). [redacted] could not find any NSLs
requesting the [redacted] referenced in [redacted] (S)

(S) (S) Through further investigation, [redacted] discovered that the [redacted] (S)
[redacted] regarding [redacted] and [redacted]
[redacted] were acquired from the [redacted] located in [redacted]

b1
b2
b4
b6
b7C
b7D
b7E

(S) the offsite facility where the [redacted]
According to the [redacted] Chief Division Counsel (CDC), [redacted]
[redacted] from this [redacted] upon
receiving court-approved subpoenas for criminal cases. It is believed
that an agent, who had worked criminal cases and had just transferred
to national security investigation cases, asked someone to run the [redacted]

(S) [redacted] regarding [redacted] and [redacted]
[redacted] from the [redacted]

(U) In this case, [redacted] acted unlawfully and/or contrary to
Executive order or Presidential directive when it accomplished the
following: (1) used the [redacted] terminal contained at the [redacted]
[redacted]
information; (2) acquired [redacted] without serving a proper
and legal NSL; and (3) acquired [redacted] information for a
[redacted]

b2
b4
b7D
b7E

(U) [redacted] has been instructed to retain the sequestered.
[redacted] until otherwise instructed by the FBI's
Inspection Division:

b2
b4
b7D
b7E

~~Derived From: G-3
Declassify On: 12/28/2017~~

~~SECRET//20171228~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT: [REDACTED]

DATE OF REPORT TO IOB: 1/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/16/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [REDACTED])

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

b2
b5
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Instructed San Juan to retain the sequestered [REDACTED] until directed otherwise by the FBI's Inspection Division. This investigation was closed by [REDACTED]

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3494

~~SECRET//20171128~~

(S)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1143-NSL (U)

b2
b7E

(S) The Federal Bureau of Investigation ("FBI") has
determined that in conducting an investigation [REDACTED]
[REDACTED] the [REDACTED] Field Office [REDACTED] issued a [REDACTED]
NSL in response to which [REDACTED] returned records beyond the
(S) parameters of the request and the information was uploaded into a
database. In this regard, on or about [REDACTED] opened
an investigation [REDACTED] who is a "United States
person" as that term is used in Section 101(i) of the Foreign
Intelligence Surveillance Act of 1978 (FISA). During the course
of this investigation, an NSL [REDACTED] was issued
pursuant to the [REDACTED]
[REDACTED] for [REDACTED]
[REDACTED] returned the requested information, but, in addition, provided
information outside the parameters of the request. The
information was uploaded into [REDACTED]
[REDACTED] this matter was reported as a potential IOB.

b1
b2
b6
b7C
b7E

(S) The IOB has instructed OGC to report matters in which
information [REDACTED] due to [REDACTED] error is uploaded into a b2
database. Thus, this situation is being reported to the IOB. b7E
[REDACTED] has been advised to purge the database of the
information, and destroy or return the information to the
[REDACTED] (U)

~~Derived from: G-3
Declassify on: 11/28/2017~~

~~SECRET//20171128~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

4/4

DATE OF INCIDENT: [redacted] (issuance of NSL)

DATE OF REPORT TO IOB: 12/30/07 1/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [redacted])

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

b2
b7E

DEPARTMENT/AGENCY ACTION: Advised field to purge database; destroy or return [redacted]

*DATE OF FINAL DISPOSITION:

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1406NSL (U)

b2
b7E

(U) During an NSL re-audit on April 19, 2007, the Inspection Division directed the [REDACTED] Division ([REDACTED]) to report this issue as a potential IOB matter.

b2
b7E

(S) [REDACTED]

[REDACTED] A NSL was drafted the same day, for the [REDACTED]

b2
b4
b7D
b7E

Both letters were delivered to the [REDACTED] for service to [REDACTED] but [REDACTED] never received the actual NSL. In response to [REDACTED] provided records dating from the initiation of the [REDACTED]. These records were uploaded by [REDACTED] and incorporated into the substantive case file. On [REDACTED] encompassing the scope of all records produced.

(U) Pursuant to the July 31, 2007 instructions from the IOB, the FBI is reporting this matter because the uploading of the over-produced information arguably constitutes a compounding of the third party financial institution's error.

b2
b7E

(S) Because a subsequent [REDACTED] encompassed the [REDACTED] [REDACTED] need take no remedial or curative action in this matter.

Hofmann-IOB-3497

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 11/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/27/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Return or destroy

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3498

~~SECRET//20171116~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1211-NSL (U)

b2
b7E

The Federal Bureau of Investigation ("FBI") has determined that in conducting a [REDACTED] of a United States person, [REDACTED] Field Office [REDACTED] issued an NSL to [REDACTED] after the investigation was closed. Thus, investigative activity was conducted without proper authorization. The results of the NSL have been sequestered and will be returned to the provider or destroyed. Likewise, FBI databases will be purged of substantive information taken from the results. (S)

In this regard, on 10/2/2003, [REDACTED] properly prepared an NSL pursuant to the [REDACTED] [REDACTED] for [REDACTED] that were relevant the investigation. A request was made of another department in the [REDACTED] office to serve the NSL [REDACTED]. This did not immediately occur, however. (U)

b2
b7E

Due to investigative progress a determination was made to close this investigation on [REDACTED]. Apparently the personnel responsible for issuing the NSL did not receive notice, as the NSL was actually delivered to [REDACTED] in [REDACTED] returned results in [REDACTED] and the records were documented in the case file until discovered during the NSL audit in [REDACTED]. (U)

b2
b7E

The records are now sequestered and will either be destroyed or returned to [REDACTED]. Likewise, any substantive information that might be associated with the results of the NSL are being purged from FBI databases. (U)

b2
b7E

[REDACTED] is training personnel on the importance of tracking and coordination of NSLs to assist in preventing similar circumstances from occurring in the future. (U)

b2
b7E

~~Derived from: G-3
Declassify on: 11/16/2017~~

Hofmann-IOB-3499

~~SECRET//20171116~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY:

b2
b7E

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET//20171031~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-3388 (U)

b2
b7E

The Federal Bureau of Investigation ("FBI") has determined that in conducting a [REDACTED] of a United States person, [REDACTED] Field Office [REDACTED] received [REDACTED] about an individual who was not relevant to the investigation. This third party overproduction was documented in an electronic communication (EC) which was temporarily uploaded into the FBI's Automated Case Support (ACS). The overproduction has been redacted from the NSL results and purged from ACS. (S)

b2
b7E

In this regard, on 8/1/2006, [REDACTED] properly prepared and issued an NSL pursuant to the [REDACTED]

b2
b7E

[REDACTED] that are relevant the investigation. A request was made of [REDACTED] Field Office [REDACTED] to serve the NSL on [REDACTED] whose offices are in the [REDACTED] area of responsibility. (U)

In response, [REDACTED] provider the information requested. However, with respect to one of the [REDACTED] [REDACTED] also provided [REDACTED] for dates outside the range requested, such that the results included [REDACTED] about an individual not relevant to the investigation. Upon receipt of the results from [REDACTED] [REDACTED] documented all [REDACTED] provided by [REDACTED] into an EC and uploaded the EC into the ACS case file for [REDACTED]. Upon review of the results, the [REDACTED] Case Agent identified the overproduction. The overproduction was then redacted from the results such that only compliant information is now in the case file and the EC documenting the overproduction has been purged from ACS. (U)

b2
b7E

[REDACTED] and other offices geographically located with [REDACTED] whose personnel are asked to serve NSLs for all the Bureau, have been advised of this challenge. These offices are making efforts through training and protocols to prevent third party overproductions from being compounded when transmitting the results back to the requesting office. (U)

b2
b7E

~~Derived from: G-3
Declassify on: 10/31/2017~~

~~SECRET//20171031~~

Hofmann-IOB-3501

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY:

b2
b7E

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3502

~~SECRET//20171228~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-3263-[REDACTED] (U)

b2
b7E

~~(S)~~ During an authorized [REDACTED] of a U.S. person, [REDACTED] sent a National Security Letter (NSL) to a [REDACTED] seeking [REDACTED]

[REDACTED]

b2
b7E

[REDACTED] In response to the NSL, the [REDACTED] produced a [REDACTED] which included information that was not requested in the NSL. [REDACTED] subsequently provided a summary of the subject's [REDACTED] in two documents which were provided to FBI, Headquarters and/or uploaded onto Automated Case Support (ACS). Additionally, [REDACTED] provided a copy of the [REDACTED] to FBI, Headquarters.

(U)

~~(S)~~ In this case, errors were committed by [REDACTED] when it compounded the third party error by uploading onto ACS and/or providing FBI, Headquarters documents which contain a summary of the subject's payment history, account status and debt status. Additionally, [REDACTED] compounded by the third party error by sending a copy of the unauthorized [REDACTED] to FBI, Headquarters.

b2
b7E

(U) [REDACTED] has been instructed to charge-out from the database any documents that contain the above-described information and redact the portions of those documents that reference the over-produced information. [REDACTED] has also been instructed to contact FBI, Headquarters and direct it to destroy its copy of the subject [REDACTED]. Finally, [REDACTED] has also been instructed to contact the [REDACTED] and ask whether the original [REDACTED] should be returned or destroyed, with appropriate documentation to the file.

b2
b7E

(U)

~~(S) Derived From: G-3
Declassify On: 12/28/2017~~

~~SECRET//20171228~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

[Redacted]

DATE OF REPORT TO IOB: 1/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/16/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [Redacted])

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation.
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Directed [Redacted] to charge-out from ACS those documents that discuss [Redacted] information and redact the portions of those documents that reference the over-produced information.

Also, directed [Redacted] to contact FBI, Headquarters and direct it to destroy the copy of the [Redacted] provided to it by [Redacted]. Finally, directed [Redacted] to contact the [Redacted] to determine whether the original [Redacted] should be returned or destroyed.

b2
b4
b7D
b7E

*DATE OF FINAL DISPOSITION:

~~SECRET//20171228~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-3253-[redacted] (U)

b2
b7E

(U)

~~(S)~~ During an authorized [redacted] of a U.S. person, [redacted] Division [redacted] sent a National Security Letter (NSL) to a [redacted] seeking [redacted]

[redacted]

b2
b7E

In response to the NSL, the [redacted] produced a [redacted] which included information that was not requested in the NSL. [redacted] subsequently referenced portions of the overproduction in documents that were uploaded into an FBI database.

(U)

~~(S)~~ In this case, an error was committed by [redacted] when it compounded the third party overproduction by uploading a document containing the subject's [redacted]

b2
b7E

[redacted]

(U) [redacted] has been instructed to purge the [redacted] from the case file, as well as the database. [redacted] has also been instructed to contact the [redacted] and ask whether the overproduction should be returned or destroyed.

b2
b7E

(U)

~~(S) Derived From: G-3
Declassify On: 12/28/2017~~

~~SECRET//20171228~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4/15 2007

DATE OF INCIDENT: [REDACTED]

DATE OF REPORT TO IOB: 1/31/2009

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/17/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [REDACTED])

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Field Office has been instructed to purge the [REDACTED] from the case file and database and to contact the [REDACTED] and ask whether the [REDACTED] should be returned or destroyed.

b2
b7E

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3506

~~SECRET//20171228~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTERS 2007-2056-NSL
AND 2007-3110-NSL (U)

b2
b7E

(S) During a [REDACTED] of a
U.S. person, [REDACTED] issued a National Security Letter (NSL) to
a bank directing the production of [REDACTED]

b2
b7E

[REDACTED] included the
following certification in the NSL:

[REDACTED]

b2
b7E

(U) The NSL did not contain any other certification.

(U) This matter is reportable because [REDACTED] NSL was
not valid under either the [REDACTED] or
the [REDACTED]. The NSL was not valid
under [REDACTED]

b2
b7E

[REDACTED] It was not valid under
[REDACTED] because it did not include the certification that the FBI
had complied with all applicable provisions of [REDACTED]

(U) [REDACTED] has been instructed to contact the [REDACTED] and
ask whether the production should be returned or destroyed, with
appropriate documentation to the file.

b2
b7E

(U)

~~(S) Derived From: G-3
Declassify On: 12/28/2017~~

~~SECRET//20171228~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY: 06/20/2007 and 07/06/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3508

~~SECRET//20171128~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTERS 2007-2145 and 2112 (U)

b2
b7E

The Federal Bureau of Investigation ("FBI") has determined that in conducting a [REDACTED] involving [REDACTED] the [REDACTED] issued three National Security Letters, which NSLs were not properly authorized. In this regard, the [REDACTED] received information leading it to believe that [REDACTED] may belong to associates of [REDACTED] or persons targeted by [REDACTED]. In an attempt to learn more information about [REDACTED] on or about [REDACTED] it issued three NSLs pursuant to the [REDACTED] to the [REDACTED] for [REDACTED].

b2
b7E

However, the NSLs were signed by a person without authority to sign the NSLs; the NSLs were issued under a control file and there apparently was no investigative file under which they could have been issued. Further, there was no supporting EC which provided information to NSLB for congressional reporting purposes. These matters are violations of [REDACTED] Information was provided in response to the NSLs via a CD; however, the information was not used or uploaded. The CD has been segregated. The [REDACTED] has been advised to destroy the CD or return the CD to the carriers, depending on their wishes. (S)

(U)

Because there were numerous violations of [REDACTED] this matter is being reported to the IOB. (U)

b2
b7E

~~Derived from: G-3
Declassify on: 11/28/2017~~

DECLASSIFIED BY 65179 DMH/plj
ON 07-12-2010

~~SECRET//20171128~~

Hofmann-IOB-3509

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

4/6
CY Quarterly Report: 2007

DATE OF INCIDENT: 12/08/2005 (issuance of NSL) DATE OF REPORT TO IOB: 12/30/07 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 06/23/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)
 Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info
 Section 2.4 preamble: least intrusive collection
 Section 2.4(a) CIA electronic surveillance w/in US
 Section 2.4(b) unconsented physical search w/in US
 Section 2.4(c) physical surveillance of USP w/in US
 Section 2.4(d) physical surveillance of USP abroad
 Section 2.5 AG approval w/in US or against USP abroad
 Section 2.6 assistance to law enforcement
 Section 2.7 contracting
 Section 2.9 undisclosed participation
 Section 2.10 human experimentation
 Section 2.11 prohibition on assassination
 Section 2.12 indirect participation
 AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)
 Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
 Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised field to return or destroy information.

b2
b7E

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3510

~~SECRET//20171207~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-3206 [redacted] (U)

b2
b7E

(U) During an authorized [redacted] of a
U.S. person, [redacted] sent a National Security Letter (NSL) to a [redacted]

[redacted]

[redacted] In response to
the NSL, the [redacted] may have produced a [redacted]
[redacted] which included information that was not requested in the NSL.
Whether the [redacted] provided a [redacted]
cannot be confirmed because [redacted] cannot locate the NSL response
received from the [redacted]. However, [redacted] used
[redacted] in a Letterhead Memorandum (LHM)
and uploaded this LHM onto Automated Case Support (ACS). The LHM
appears to attribute receiving [redacted]
[redacted] from the NSL response received from the [redacted]

b2
b7E

~~(S)~~ In this case, an error may have been committed by [redacted] when
it compounded what appears to be a third party error by uploading onto
Automated Case Support (ACS) the LHM containing the subject's [redacted]

[redacted]

b2
b7E

However, [redacted] cannot definitively identify the source of the [redacted]
[redacted] Accordingly, in an abundance of
caution, this matter is being reported to the IOB.

(U) [redacted] has been instructed to charge-out from ACS the LHM
containing the above-described information and redact the portions of
the LHM that reference the [redacted]
Dallas has also been instructed to remove any other [redacted]
data that may exist from all FBI databases, with appropriate
documentation to the case file.

b2
b7E

~~(S) Derived From: G-3
Declassify On: 12/07/2017~~

~~SECRET//20171207~~

Hofmann-IOB-3511

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT: [redacted]

DATE OF REPORT TO IOB: 1/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/16/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [redacted])

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: [redacted] has been instructed to charge-out from ACS the Letterhead Memorandum (LHM) that contains the unauthorized information and redact the portions of the LHM that reference the over-produced information. [redacted] has also been instructed to remove any other [redacted] data that may exist from all FBI databases, with appropriate documentation to the case file.

b2
b7E

*DATE OF FINAL DISPOSITION:

~~SECRET//20171102~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD DIVISION
IOB MATTER 2007-1741(U)

b2
b7E

(U)

(S) The Federal Bureau of Investigation ("FBI") has determined that in conducting a [REDACTED] of a "United States Person," as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA), it collected information on a [REDACTED] unrelated to an authorized investigation.

b2
b7E

(U)

(S) Relevant to this investigation, a National Security Letter (NSL) dated [REDACTED] requesting the [REDACTED] related to a [REDACTED] relevant to this investigation, was prepared and approved in accordance with [REDACTED]

b2
b4
b7D
b7E

(U)

(S) [REDACTED] provided a [REDACTED] which was not consistent with [REDACTED]. The record showed that an individual other than the subject was the [REDACTED]. [REDACTED] it appeared that the results were erroneous. The FBI requested that [REDACTED] determine which record was erroneous. [REDACTED] confirmed that the subject was the [REDACTED] not the individual for which the [REDACTED] information was provided in the [REDACTED] results. [REDACTED] were no longer available.

b2
b4
b7D
b7E

(U) The FBI removed the erroneous information from the FBI databases and sequestered the incorrectly provided [REDACTED] information for return to [REDACTED] or destruction, depending on the wishes of [REDACTED]

b2
b4
b7D
b7E

~~Derived from: G-3
Declassify on: 20171102~~

~~SECRET//20171102~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY:

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

Overproduction was purged from FBI systems and files.

*DATE OF FINAL DISPOSITION:

~~SECRET//20171222~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED] DIVISION

IOB MATTER 2007-2206NSL (U)

b2
b7E

(U)

~~(S)~~ On or about 06/01/2005, [REDACTED] properly prepared and issued a National Security Letter (NSL) requesting [REDACTED] believed to be associated with a U.S. person who is the subject of an authorized investigation. Though it had sufficient predication to issue a [REDACTED] NSL, [REDACTED] chose to issue a less intrusive [REDACTED] NSL. In response, [REDACTED] records. This information was beyond the scope of the [REDACTED] NSL that was issued. Upon receiving this information from [REDACTED] [REDACTED] uploaded it into an FBI database. Upon realizing that this was an overproduction beyond the scope of the NSL, [REDACTED] sequestered the information.

b2
b7E

(U) [REDACTED] was advised to remove the information from FBI databases and contact the [REDACTED] to determine whether the records should be returned or destroyed, with appropriate documentation to the file. If the records are relevant to an authorized investigation, [REDACTED] was advised that it may, at its discretion, continue to sequester the information and issue another NSL requesting these records.

b2
b7E

~~Derived From : G-3
Declassify On: 12/22/2017~~

~~SECRET//20171222~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4thQ FY07

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 12/31/2007 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 08/22/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

b4
b7D

DEPARTMENT/AGENCY ACTION: Field office advised to remove records from FBI database(s) and contact to determine whether the records should be returned or destroyed. If relevant to an authorized investigation, field office was advised that it may sequester information and issue another NSL requesting these records.

*DATE OF FINAL DISPOSITION: 12/22/2007

Hofmann-IOB-3516

~~SECRET//20171222~~

b2
b7E

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED] DIVISION
IOB MATTER 2007-2208NSL (U)

(U)

~~(S)~~ On or about 01/13/2005, [REDACTED] properly prepared and issued two National Security Letters (NSLs) requesting [REDACTED] believed to be associated with a U.S. person who is the subject of an authorized investigation. The NSLs specifically asked for records from [REDACTED]. In response, the [REDACTED] provided records beyond the date range requested - [REDACTED]

b2
b7E

(U) It should be noted, however, that upon realizing that this was an overproduction beyond the scope of the NSL, [REDACTED] sequestered the information.

b2
b7E

(U) [REDACTED] was advised to remove the information from FBI databases and contact the [REDACTED] to determine whether the records should be returned or destroyed, with appropriate documentation to the file. If the records are relevant to an authorized investigation, [REDACTED] was advised that it may, at its discretion, continue to sequester the information and issue another NSL requesting these records.

b2
b7E

~~Derived From : G-3
Declassify On: 12/22/2017~~

~~SECRET//20171222~~

Hofmann-IOB-3517

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4thQ FY07

DATE OF INCIDENT: [REDACTED]

DATE OF REPORT TO IOB: ~~12/31/2007~~ 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 08/22/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [REDACTED])

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines. (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Field office advised to remove records from FBI database(s) and contact the [REDACTED] to determine whether the records should be returned or destroyed. If relevant to an authorized investigation, field office was advised that it may sequester information and issue another NSL requesting these records.

*DATE OF FINAL DISPOSITION: 12/22/2007

b2
b7E

~~SECRET//20171222~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED]
IOB MATTER 2007-3119 (U)

(U)

b2
b7E

~~(S)~~ On or about 06/13/2006, [REDACTED] properly prepared and issued a National Security Letter (NSL) requesting financial records believed to be associated with a U.S. person who is the subject of an authorized investigation. The NSL specified the subject's date of birth (DOB) and social security number (SSN) in an effort to narrow the scope of the request. In response, due to the fact that it does not search based on personal identifiers such as DOB or SSN, [REDACTED]

b2
b4
b7D
b7E

[REDACTED] This response included records associated with the [REDACTED] subject, as well as records associated with other individuals with the same name. Upon receiving this information from the [REDACTED] [REDACTED] reviewed and analyzed the results and created a spreadsheet that was attached to an electronic communication (EC) uploaded into an FBI database.

(U) It should be noted, however, that upon realizing that this was an overproduction beyond the scope of the NSL, [REDACTED] took all necessary corrective measures. [REDACTED] re-wrote the EC and spreadsheet and purged all remaining copies of the original documents from FBI databases. The floppy disk containing the overproduction was forwarded to the CDC for sequestration. [REDACTED] was advised to contact [REDACTED] to determine whether the sequestered records should be returned or destroyed, with appropriate documentation to the file.

b2
b4
b7D
b7E

~~Derived From : G-3
Declassify On: 12/22/2017~~

~~SECRET//20171222~~

Hofmann-IOB-3519

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 1st Q FY08 *CP 2007*

DATE OF INCIDENT

DATE OF REPORT TO IOB: ~~12/31/2007~~ *1/31/2008*

DATE OF REPORT TO DEPARTMENT/AGENCY: 07/10/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Field office already purged records from FBI database(s). Further advised to contact to determine whether the records should be returned or destroyed.

b2
b7E

*DATE OF FINAL DISPOSITION: 12/22/2007

Hofmann-IOB-3520

~~SECRET//20171130~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1445-NSL (U)

b2
b7E

(U)

~~(S)~~ On [REDACTED] initiated a [REDACTED]
[REDACTED] of a U.S. person. On [REDACTED]
[REDACTED] submitted to FBI Headquarters (FBIHQ) a request to [REDACTED]
the [REDACTED] beyond the [REDACTED]
FBIHQ denied the request on [REDACTED]

b2
b7E

(U)

~~(S)~~ After the [REDACTED]
[REDACTED] engaged in investigative activities beyond
those permitted during a [REDACTED]

b2
b7E

[REDACTED] In addition, [REDACTED]
[REDACTED]
[REDACTED] submitted an EC closing the case on [REDACTED]

(U) This matter is being reported because [REDACTED] conducted
investigative activities inconsistent with the requirements of
the Attorney General's Guidelines for FBI National Security
Investigations and Foreign Intelligence Collection (NSIG).

b2
b7E

(U)

~~(S)~~ We now turn to the handling of the information
acquired from these activities. Exclusion is a remedy of
judicial origin created to address violations of constitutional
dimension. In addition, some statutes, such as the Foreign
Intelligence Surveillance Act, provide for an exclusionary rule.
In this instance, neither the Constitution nor any applicable
statute has been violated and, [REDACTED]

b2
b5
b7E

(U)

[REDACTED]

~~(S) Derived From: G-3
Declassify On: 11/30/2017~~

~~SECRET//20171130~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: _____)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3522

~~SECRET//20171228~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-1793 [redacted] (U)

b2
b7E

(S) During an authorized [redacted] of a U.S. person, [redacted] acquired an [redacted] regarding [redacted]

(S) [redacted] found the hard copy of this [redacted] in the case file. [redacted] believed that [redacted] was the spouse [redacted] (subject of the above discussed [redacted] as not the subject of an FBI national security investigation and an NSL was not found requesting that [redacted] provide consumer information regarding [redacted] (S)

b1
b2
b4
b6
b7C
b7D
b7E

(S) Through further investigation, [redacted] discovered that the [redacted] pertaining [redacted] was acquired from the [redacted] According to the [redacted] Chief Division Counsel (CDC), [redacted]

(S) [redacted] It is believed that an agent, who had worked criminal cases and had just been transferred to national security investigation cases, asked someone to run the [redacted] regarding [redacted] from the [redacted] (S)

(S)

(S) An [redacted] regarding [redacted] dated [redacted] may have been acquired by the same method discussed above. The acquisition of this [redacted] was documented on Automated Case Support (ACS). The hard copy of this [redacted] however, could not be located. As a result, [redacted] cannot say with any certainty from where the [redacted] originated. Additionally, other than the ACS reference to the acquisition of a [redacted] regarding [redacted] there is no further evidence that a [redacted] was acquired. Finally, [redacted] could not find an NSL requesting the [redacted] referenced on ACS.

b2
b4
b6
b7C
b7D
b7E

(U) In this case, [redacted] acted unlawfully and/or contrary to Executive order or Presidential directive when it accomplished the following: (1) used the [redacted] contained [redacted] information; (2) acquired one or more [redacted] without serving a proper and legal NSL; and (3) acquired [redacted]

b2
b4
b7D
b7E

(U) [redacted] has been instructed to retain the sequestered [redacted] until otherwise instructed by the FBI's Inspection Division.

b2
b4
b7D
b7E

Derived From: G-3
Declassify On: 12/28/2017

~~SECRET//20171228~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT: [REDACTED]

DATE OF REPORT TO IOB: 1/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/15/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [REDACTED])

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION-FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Instructed [REDACTED] to retain the sequestered [REDACTED] until directed otherwise by the FBI's Inspection Division. This investigation was closed by [REDACTED]

b2
b7E

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3524

~~SECRET//12171228~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-1206 (U)

b2
b7E

(U)

(U) By electronic communication ("EC") dated April 04, 2007, the [REDACTED] Division reported a potential IOB error in conjunction with its [REDACTED] of a United States Person.

b2
b4
b7D
b7E

(U)

(S) By way of background, on 02/01/2006, [REDACTED] issued an approved [REDACTED] National Security Letter to [REDACTED] seeking [REDACTED] [REDACTED] associated with [REDACTED] were associated with the target of investigation and the request was for records [REDACTED]. The NSL did not list identifying information of the target, but did list [REDACTED].

b2
b4
b7D
b7E

(S) On or about [REDACTED] returned [REDACTED] associated with the target for the time period of [REDACTED]. The records from [REDACTED] and from [REDACTED] were outside the date range requested in the NSL, and therefore an overproduction on the part of [REDACTED]. Prior to discovery of the overproduction by the FBI Inspection Division, the documents were uploaded to FBI databases and used in the course of the underlying investigation. Once notified of the overproduction [REDACTED] promptly removed the records from the casefile.

b2
b7E

(U) In the matter at issue, the FBI compounded an error by a third party when records were uploaded into FBI databases that were not outside the date range of records requested by the NSL. Despite the fact that the FBI could have requested this information and that the information appeared relevant to the investigation while it was open, the overproduction will be purged from the systems and returned or destroyed at the request of the third party provider.

~~Derived From: G-3
Declassify On: 12/28/2017~~

~~SECRET//12171228~~

Hofmann-IOB-3525

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 4/4/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Purge and destroy or return

b4
b7D

*DATE OF FINAL DISPOSITION:

~~SECRET//20171121~~

(S)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1321-NSL (U)

b2
b7E

(S)

The Federal Bureau of Investigation ("FBI") has determined that in conducting an [REDACTED] the [REDACTED] Field Office [REDACTED] issued an NSL to which [REDACTED] returned information beyond the scope of the NSL and such information was uploaded into a database. In this regard, on or about [REDACTED] opened an

b1
b2
b6
b7C
b7E

[REDACTED] a United States person, as that term is used in [REDACTED]

[REDACTED]. On or about 06/21/2004, an NSL was issued pursuant to the [REDACTED] for [REDACTED] pertaining to the [REDACTED]

[REDACTED] returned information for a period of time six months beyond the requested time period. Those [REDACTED] were uploaded into a database. Thereafter, by EC dated 04/05/2007, the potential IOB violation was reported. [REDACTED] is in the process of removing those records from the database. (S)

b2
b7E

The IOB has instructed OGC to report matters in which information [REDACTED] error is uploaded into a database. Thus, this situation is being reported to the IOB. (U)

~~Derived from: G-3
Declassify on: 11/21/2017~~

~~SECRET//20171121~~

Hofmann-IOB-3527

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007 ^{4th}

DATE OF INCIDENT: 06/21/2004 (issuance of NSL)

DATE OF REPORT TO IOB: ~~12/30/07~~ 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info.
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Advised field to continue to purge database (which it was in the process of doing) information should be destroyed or returned

b2
b7E

*DATE OF FINAL DISPOSITION:

DATE: 07-08-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-08-2035

~~SECRET//20171121~~

b2
b7E

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED] FIELD OFFICE
IOB MATTER 2007-2016-NSL (U)

(S)

The Federal Bureau of Investigation ("FBI") has determined that in conducting an investigation of [REDACTED] the [REDACTED] issued an NSL to which [REDACTED] returned information beyond the scope of the request paragraph in the NSL and such information was uploaded into a database. In this regard, on or about [REDACTED] opened an investigation [REDACTED] a United States person, as that term is used in [REDACTED]

[REDACTED] During the course of the investigation, an NSL dated 03/10/2005 was issued to [REDACTED] pursuant to the [REDACTED]

for [REDACTED]. The opening paragraph stating the request used the standard language for a [REDACTED] NSL. However, the certification paragraph of the NSL mistakenly referred to [REDACTED] in addition to [REDACTED]. Apparently because of such language, [REDACTED] provided [REDACTED] in addition to the [REDACTED] requested, for [REDACTED]

The receipt of the [REDACTED] was an [REDACTED]. The information was uploaded into a database. By EC dated 05/07/2007, [REDACTED] reported this matter as a potential IOB. The information subsequently was removed from the database.

The IOB has instructed OGC to report matters in which information [REDACTED] error is uploaded into a database. Thus, this situation is being reported to the IOB. (U)

b1
b2
b4
b6
b7C
b7D
b7E

b2
b7E

~~Derived from: G-3
Declassify on: 11/21/2017~~

~~SECRET//20171121~~

IOB-SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 03/10/05 (issuance of NSL)

DATE OF REPORT TO IOB: 12/30/07 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 05/07/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised that matter was being reported to IOB; that information should be destroyed or returned

b2
b7E

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3530

~~SECRET//20171117~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-1166-NSL (U)

(S)

b2
b7E

The Federal Bureau of Investigation ("FBI") has determined that in conducting [redacted] the [redacted] Field Office [redacted] issued an NSL in response to which [redacted] returned [redacted] for a period of time beyond the time period requested and the information was uploaded into a database. In this regard, [redacted] who is a "United States person" as that term is used in [redacted] of the [redacted] [redacted] During the course of this investigation, an NSL dated 01/04/2006 was issued pursuant to the [redacted] for [redacted] information. [redacted] returned information responsive to the request but, in doing so, provided records outside the time period sought in the NSL. The information was uploaded into a database. By EC dated 04/05/2007, the potential IOB violation was reported. Further, [redacted] represents that it is in the process of purging the database of the information. (S)

(S)

b1
b2
b6
b7C
b7E

The IOB has instructed OGC to report matters in which information [redacted] error is uploaded into a database. Thus, this situation is being reported to the IOB. (U)

b2
b7E

~~Derived from: G-3
Declassify on: 11/17/2017~~

~~SECRET//20171117~~

IOB SUMMARY SHEET

C/14

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 01/04/06 (issuance of NSL)

DATE OF REPORT TO IOB: 12/30/07 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-08-2010 BY 65179/DMH/PLJ/sdb

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad.
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Advised field to purge database; return or destroy

b2
b7E

*DATE OF FINAL DISPOSITION:

~~SECRET~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-757-[REDACTED] (U)

b2
b7E

(S) During a [REDACTED] of a U.S. person, [REDACTED] issued a National Security Letter (NSL) under [REDACTED] to a [REDACTED]. The NSL requested the [REDACTED]. [REDACTED] In response to the NSL, the [REDACTED] produced a [REDACTED] with attempted redactions of most of the information that was not requested in the NSL. Although covered with black ink, the redacted portions of the [REDACTED] remained legible. The case agent reviewed the [REDACTED] and drafted an EC documenting his review. The case agent included in the EC some of the over-produced information, such as [REDACTED]. In addition, the case agent issued two [REDACTED]. [REDACTED]. The NSLs were uploaded onto ACS.

b2
b7E

(U) In this case, [REDACTED] committed errors when it uploaded onto ACS and used for investigative purposes some of the over-produced information from the [REDACTED]. Such handling of over-produced information is considered compounding a third party error, which must be reported to the IOB.

b2
b7E

(U) [REDACTED] has been instructed to contact the [REDACTED] and ask whether the [REDACTED] should be returned or destroyed, with appropriate documentation to the file. [REDACTED] has been informed that it may keep a copy of the [REDACTED] provided that all of the over-produced information is fully redacted. As for the case agent's EC containing over-produced information, [REDACTED] has been directed to redact the over-produced portions from the investigative file version and either permanently check-out or redact the over-produced portions from the ACS version. Similarly, [REDACTED] has been told to redact the account numbers from the investigative file version of the [REDACTED] NSLs and either permanently check-out or redact the account numbers from the ACS versions.

b2
b7E

Hofmann-IOB-3533

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 1st 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/14/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3534

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-961-[REDACTED] (U)

b2
b7E

(U)

(S) During a [REDACTED] of a U.S. person, [REDACTED] issued a National Security Letter (NSL) under the [REDACTED] to a [REDACTED]

[REDACTED] The NSL requested [REDACTED] of the investigation. In response to the NSL, the [REDACTED] produced a [REDACTED] containing information not requested by the NSL. [REDACTED] subsequently issued a [REDACTED] NSL seeking information from a [REDACTED] with which the target had an [REDACTED] included in the [REDACTED] NSL an [REDACTED] is uncertain at this time whether its [REDACTED] NSL was precipitated by the information in the [REDACTED] [REDACTED] did not upload or disseminate any of the over-produced information from the [REDACTED]

b2
b7E

(U) In this case, although [REDACTED] is uncertain whether it used for investigative purposes some of the over-produced information in the [REDACTED] the FBI is reporting this matter because it has reason to believe that [REDACTED] may have compounded the third party error by using the over-produced information for investigative purposes.

b2
b7E

(U) [REDACTED] has been instructed to issue a curative FCRA NSL requesting financial institution information in order to retain the financial institution information from the [REDACTED] [REDACTED] City has also been instructed to contact the [REDACTED] and ask whether the original [REDACTED] should be returned or destroyed, with appropriate documentation to the file. [REDACTED] has been informed that it may retain a copy of the [REDACTED] provided that all over-produced information is fully redacted.

b2
b7E

Hofmann-IOB-3535

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-08-2010 BY 65179/DMH/PLJ IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/21/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3536

~~SECRET~~

b2
b7E

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED] FIELD OFFICE
IOB MATTER 2007-3383 [REDACTED] (U)

(U)

(S) During a [REDACTED] of a U.S. person, [REDACTED] issued three (3) National Security Letters (NSL) under [REDACTED] [REDACTED] respectively. All three NSLs requested [REDACTED] information pertaining to the target of the investigation. In response to the NSLs, two (2) of the [REDACTED] [REDACTED] produced [REDACTED] that included information not requested by the NSL. [REDACTED] summarized some of the over-produced information in an EC that was uploaded onto ACS. Subsequently, [REDACTED] permanently charged out of ACS the EC summarizing the [REDACTED] and has sequestered hard copies with its Chief Division Counsel (CDC).

b2
b7E

(U) In this case, an error was committed by [REDACTED] when it uploaded some of the over-produced information. Such handling of over-produced information is considered compounding a third party error, which must be reported to the IOB.

b2
b7E

(U) [REDACTED] has been instructed to continue to charge-out permanently the EC summarizing the [REDACTED] and destroy any hard copies of EC in the investigative file. [REDACTED] has also be instructed to contact both [REDACTED] and ask whether the original [REDACTED] should be returned or destroyed, with appropriate documentation to the file.

b2
b7E

Hofmann-IOB-3537

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 09/26/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3538

~~SECRET//20171105~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2007-3386 (U)

b2
b7E

(U)

~~(S)~~ By electronic communication dated 10/01/2007, the [REDACTED] field office [REDACTED] advised that they had failed to submit the initial opening communication to the Department of Justice, Office of Intelligence Policy and Review (OIPR) on an investigation related to a presumed USPER [REDACTED]

b2
b7E

[REDACTED] This failure was due to an administrative oversight. Although no investigatory activity occurred during the investigation, we are reporting the matter to the IOB because the failure to provide the any notification whatsoever of the initiation of an investigation substantially impairs the ability of the Department of Justice to provide meaningful oversight.

~~Derived from: G-3
Declassify on: 11/05/2017~~

Hofmann-IOB-3539

~~SECRET//20171105~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4/12 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 10/01/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office): N/A

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: PIOB was filed

*DATE OF FINAL DISPOSITION: 11/05/2007

~~SECRET//20171128~~

(S)

(S)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED] FIELD OFFICE

IOB MATTER 2007-1076-NSL (U)

b2
b7E

(S)

The Federal Bureau of Investigation ("FBI") has determined that in conducting an [REDACTED] [REDACTED] the [REDACTED] Field Office [REDACTED] issued a [REDACTED] NSL in response to which [REDACTED] may have returned records beyond the parameters of the request and the information was uploaded into a database. In this regard, [REDACTED] opened an investigation [REDACTED] who is a [REDACTED]

[REDACTED] Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA). During the course of this investigation, an NSL dated [REDACTED] was issued pursuant to the [REDACTED]

[REDACTED] for [REDACTED] According to the Inspection Report, [REDACTED] returned information beyond the scope of the request and the information was uploaded into a database. Without having conducted an independent review, [REDACTED] reported this matter as a potential IOB via EC dated 03/29/2007. Upon subsequent review, [REDACTED] has been unable to confirm the [REDACTED] Its recent review uncovered only return information that was within the parameters of the request.

b1
b2
b6
b7C
b7E

~~(S)~~

The IOB has instructed OGC to report matters in which information [REDACTED] error is uploaded into a database. As OGC is unable to determine the facts of this case, and there is an allegation that, if true, would represent a reportable offense under the current IOB policy, this matter is being reported to the IOB... (U)

b2
b7E

~~Derived from: G-3
Declassify on: 11/28/2017~~

~~SECRET//20171128~~

Hofmann-IOB-3541

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 12/30/2007 1/31/08

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/29/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG-approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised the field of this report to the IOB.

*DATE OF FINAL DISPOSITION:

DATE: 07-08-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-08-2035

ALL INFORMATION-CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

(S)

~~SECRET//20171217~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER (S)
[REDACTED] FIELD DIVISION
IOB MATTER 2007-1679(U)

b2
b7E

(S) The Federal Bureau of Investigation ("FBI") has determined that in conducting a [REDACTED] [REDACTED] who is a "United States Person" as that term is used in [REDACTED] [REDACTED] it collected information on [REDACTED] beyond what it was authorized to receive.

b1
b2
b7E

(U) Relevant to this investigation, a National Security Letter (NSL) dated [REDACTED] requesting the [REDACTED] [REDACTED] for a [REDACTED] [REDACTED] for the period [REDACTED] was prepared, approved and served in accordance with [REDACTED] [REDACTED] had provided [REDACTED] from [REDACTED] for the requested [REDACTED] from [REDACTED] on a second associated [REDACTED] and from [REDACTED] [REDACTED] on a third associated [REDACTED]. As the [REDACTED] [REDACTED] were beyond the scope of the NSL, they were removed from FBI databases and sequestered.

b2
b4
b6
b7C
b7D
b7E

(U) Also relevant to this case, the FBI served another similarly authorized NSL dated [REDACTED] to [REDACTED] requesting the [REDACTED] and [REDACTED] for a targeted [REDACTED] [REDACTED] for the period [REDACTED] had provided [REDACTED] [REDACTED] As the [REDACTED] [REDACTED] were beyond the scope of the NSL, they were removed from FBI databases and sequestered. The original results will be either destroyed or returned to [REDACTED] depending on the wishes of [REDACTED] [REDACTED]

b2
b4
b7D
b7E

~~Derived from: G-3
Declassify on: 12/17/2017~~

~~SECRET//20171217~~

Hofmann-IOB-3543

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 4/12/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET//20171121~~

(S) INTELLIGENCE OVERSIGHT BOARD (IOB) MATTERS
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1138-NSL (U)

b2
b7E

(S)

(S)

The Federal Bureau of Investigation ("FBI") has determined that in conducting an [REDACTED] [REDACTED] who is not a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA), the FBI [REDACTED] Field Office issued an NSL that improperly was signed by an acting SAC. In this regard, an [REDACTED] was initiated on [REDACTED]. On or about 08/23/2002, an NSL was issued under [REDACTED] seeking [REDACTED]. At the time, legal advice had been issued by OGC suggesting that under certain circumstances, an acting SAC could sign an NSL. In or around February, 2003, the Department of Justice opined that an acting SAC could not sign an NSL under any circumstance. Applying that guidance to these NSLs, OGC opines that these NSLs were improperly issued. Therefore, this matter is being reported to the IOB. (S)

b1
b2
b4
b6
b7C
b7D
b7E

OGC advised [REDACTED]

b2
b5
b7E

~~Derived from: G-3
Declassify on: 11/21/2017~~

~~SECRET//20171121~~

Hofmann-IOB-3545

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 08/23/02 (issuance of NSL)

DATE OF REPORT TO IOB: ~~12/30/07~~ 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/04/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Advised field that matter is being reported to IOB; that information obtained by NSL signed by acting SAC must be destroyed/purged unless new NSL is appropriate.

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3546

DATE: 07-08-2010
CLASSIFIED BY 65179/DNH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-08-2035

~~SECRET//20171028~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-3212 (U)

b2
b7E

(S)

(S)

(S)

The Federal Bureau of Investigation ("FBI") has determined that in conducting an [redacted] the [redacted] Field Office [redacted] issued an NSL to which the [redacted] returned information beyond the scope of the request and the information was uploaded into a database. In this regard, on [redacted] opened an [redacted] [redacted] who is a "United States person" as that term is used in [redacted]

(S)

[redacted] an NSL was issued pursuant to the [redacted] for [redacted] for several [redacted] returned information responsive to the request but, in addition, provided information beyond the scope of time frame set forth in the NSL with regard to one of the [redacted] The [redacted] information was entered into [redacted] By EC dated 05/03/2007, the potential IOB violation was reported, and the information was removed from [redacted]

b1
b2
b6
b7C
b7E

(S)

The IOB has instructed OGC to report matters in which information [redacted] error is uploaded into a database. Thus, this situation is being reported to the IOB. The information should remain sequestered pending the issuance of a second NSL to authorize its retention, per the expressed intentions of [redacted] (U)

b2
b7E

~~Derived from: G-3
Declassify on: 10/28/2017~~

~~SECRET//20171028~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CMS
CY Quarterly Report: 2007

DATE OF INCIDENT: [redacted] ssuance of NSL)

DATE OF REPORT TO IOB: 12/30/07 1/31/2008

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

____ FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [redacted])

EXECUTIVE ORDER 13333:

____ Section 2.3 collection & dissemination of USP info

____ Section 2.4 preamble: least intrusive collection

____ Section 2.4(a) CIA electronic surveillance w/in US

____ Section 2.4(b) unconsented physical search w/in US

____ Section 2.4(c) physical surveillance of USP w/in US

____ Section 2.4(d) physical surveillance of USP abroad

____ Section 2.5 AG approval w/in US or against USP abroad

____ Section 2.6 assistance to law enforcement

____ Section 2.7 contracting

____ Section 2.9 undisclosed participation

____ Section 2.10 human experimentation

____ Section 2.11 prohibition on assassination

____ Section 2.12 indirect participation

____ AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

____ Creation of unauthorized SAP (EO 12958, as amended)

____ Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

____ COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

____ Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Advised field that matter was reportable [redacted] already purged from database

b2
b7E

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3548

DATE: 07-08-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-08-2035

~~SECRET//20171023~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED] FIELD OFFICE

IOB MATTER 2007-923-NSL (U)

(S)

b2
b7E

(S)

(S)

The Federal Bureau of Investigation ("FBI") has determined that in conducting an [REDACTED] [REDACTED] the [REDACTED] Field Office [REDACTED] issued a [REDACTED] NSL in response to which [REDACTED] returned records beyond the time frame of the request and the information was uploaded into a database. In this regard, on or about [REDACTED] opened an [REDACTED] who is a "United States person" as that term is used in [REDACTED]. During the course of this investigation, an NSL dated 07/26/2005 was issued pursuant to the [REDACTED] for [REDACTED] returned the requested information, but, in addition, provided [REDACTED] information for a time period that predated the time frame of the request. The information was uploaded into ACS via an EC from the [REDACTED] Field Office to [REDACTED] describing the NSL return results. By EC dated 04/05/2007, this matter was reported as a potential IOB. (S)

b1
b2
b6
b7C
b7E

The IOB has instructed OGC to report matters in which information [REDACTED] error is uploaded into a database. Due to the uploading into ACS of information which predated the time frame of the NSL request, OGC will report this matter to the IOB. This information should be destroyed or returned to [REDACTED] depending on its wishes. The EC issued by [REDACTED] should be purged from ACS. (U)

b2
b7E

~~Derived from: G-3
Declassify on: 10/23/2017~~

~~SECRET//20171023~~

Hofmann-IOB-3549

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 4th 2007

DATE OF INCIDENT: 07/26/05 (issuance of NSL)

DATE OF REPORT TO IOB: January 31, 2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised field to purge database; destroy or return material

*DATE OF FINAL DISPOSITION:

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[redacted] RA

DATE: 07-08-2010

CLASSIFIED BY 65179/DMH/PLJ/sdb

REASON: 1.4 (c)

DECLASSIFY ON: 07-08-2035

IOB MATTER 2007-953-NSL (U)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

(S) The Federal Bureau of Investigation ("FBI") has (S)
determined that in conducting an [redacted]
[redacted] the [redacted] Field Office, [redacted] issued
(S) an NSL to which [redacted] returned information beyond the
scope of the request and the information was uploaded into a
database. In this regard, on [redacted] opened an
[redacted] who is a "United States
person" as that term is used in [redacted]
[redacted]
[redacted] On or about
[redacted] an NSL was issued to [redacted] pursuant to the
[redacted]
[redacted] for [redacted] for a [redacted]
[redacted] returned information responsive to the request but, in
addition, provided [redacted] The [redacted]
information was entered into [redacted] and an
analysis of the information was drafted and uploaded into ACS.
By EC dated 03/27/2007, the potential IOB violation was
reported. Subsequently, the records were removed from the
closed case file and sequestered with the Chief Division
Counsel; the information was purged from [redacted]
[redacted] and the serials in ACS which contained analyses
of the records were permanently charged out of the case file.

b1
b2
b6
b7C
b7E

(S)

The IOB has instructed OGC to report matters in
which information [redacted] error is
uploaded into a database. Thus, this situation is being
reported to the IOB. (U)

b2
b7E

~~Derived from: G-3
Declassify on: 09/17/2017~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007

DATE OF INCIDENT: 03/04/05 (issuance of NSL)

DATE OF REPORT TO IOB: 09/20/07 11/2/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/27/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised field to destroy or return information (information had been uploaded into databases but had already been purged from databases)

b2
b7E

*DATE OF FINAL DISPOSITION:

~~SECRET//20171026~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-2202 (U)

b2
b7E

(U)

(S) As provided in [REDACTED] of the Attorney General's Guidelines for FBI National Security Investigations and Foreign Intelligence Collection (NSIG), effective October 31, 2003, a "field office shall notify FBI Headquarters [FBIHQ] within ten working days of the initiation by the field of a [REDACTED] [REDACTED] After receiving the notice from the field office, FBIHQ shall provide notice to the Office of Intelligence Policy and Review (OIPR) and to the Criminal Division. OIPR shall then notify the Attorney General and Deputy Attorney General. In addition, the FBI shall notify and provide OIPR and the Criminal Division with a summary of the investigation "at the end of each year a full investigation continues." [REDACTED]

b2
b7E

(U)

(S) On [REDACTED] the [REDACTED] Field Office [REDACTED] initiated a [REDACTED] of a U.S. Person. No electronic communication (EC) or Letterhead Memorandum (LHM) was submitted to FBI HQ to provide notice of the investigation to the Department of Justice Office of Intelligence Policy Review (OIPR). Similarly, no annual summary LHM issued on the anniversary date. Not until [REDACTED] more than [REDACTED] after the investigation had been initiated, were these LHM's provided.

b2
b7E

(U)

(S) IF OIPR's oversight ability has been "substantially impaired" by an error, the FBI reports the error to the IOB. In this situation, no notice was provided to FBI HQ in order to notify OIPR of this full investigation. No other form of notice to the Department of Justice was identified to mitigate this lack of notice. In addition, no LHMs were submitted for the following year. Because of this complete lack of notice, OIPR's oversight ability was substantially impaired.

~~Derived from: G-3
Declassify on: 20171026~~

~~SECRET//20171026~~

Hofmann-IOB-3553

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

b2
b7E

DATE OF REPORT TO DEPARTMENT/AGENCY: August 27, 2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation: _____)

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

b2
b7E

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[redacted] FIELD OFFICE
IOB MATTER 2007-3294 [redacted] (U)

b2
b7E

(S) During a [redacted]
of a U.S. person, [redacted] issued an NSL under [redacted]
[redacted] to a [redacted] [redacted] requested [redacted]

[redacted]
[redacted] also requested [redacted]

b2
b7E

[redacted] In
response to the NSL, the [redacted] produced a [redacted]
[redacted] [redacted] subsequently drafted an EC containing
information from the [redacted] The EC was uploaded
onto ACS.

(U) In this case, [redacted] erred when it requested [redacted]
[redacted] in its NSL. [redacted] does not allow
the FBI to request such information. [redacted] also erred when
it uploaded onto ACS over-produced information from the [redacted]
[redacted] Such uploading constitutes a compounding of a
third party error.

b2
b7E

(U) [redacted] has been instructed to redact from all
documents any over-produced information from the [redacted]
[redacted] [redacted] has also been instructed to contact the
[redacted] and ask whether the [redacted]
should be returned or destroyed, with appropriate documentation
to the file.

b2
b7E

Hofmann-IOB-3555

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT: [REDACTED]

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/19/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [REDACTED])

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

~~SECRET~~

DECLASSIFIED BY 65179/DMM/PLJ/sdb
ON 07-08-2010

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] FIELD OFFICE
IOB MATTER 2007-3301-[redacted] (U)

b2
b7E

(S) During a [redacted] of a
U.S. person, [redacted] issued an NSL under [redacted]
a [redacted] requested [redacted]

[redacted]
[redacted]
also requested [redacted]
[redacted]

b2
b7E

In response to
the NSL, the [redacted] produced a [redacted]
[redacted] Upon discovering that a [redacted] had been
produced, [redacted] destroyed the document. [redacted] did not
upload, disseminate, or use for investigative purposes the [redacted]
[redacted]

(U) In this case, [redacted] erred when it requested [redacted]
[redacted] in its NSL. [redacted] does not allow
the FBI to request such information.

b2
b7E

(U) [redacted] has been instructed to contact the [redacted]
[redacted] to advise that the [redacted] has been
destroyed.

b2
b7E

Hofmann-IOB-3557

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/19/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3558

~~SECRET//20171023~~

(S)

b2
b7E

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1358-NSL (U)

(S)

The Federal Bureau of Investigation ("FBI") has determined that in conducting an [REDACTED] the [REDACTED] Field Office [REDACTED] issued a [REDACTED] NSL in response to which the [REDACTED] returned records beyond the parameters of the request and the information was uploaded into a database. In this regard, on or about [REDACTED] opened an [REDACTED] who is a "United States person" as that term is used in [REDACTED]

b1
b2
b6
b7C
b7E

[REDACTED] During the course of this investigation, an NSL dated 06/21/2005 was issued pursuant to the [REDACTED]

[REDACTED] for [REDACTED] returned the requested information, but, in addition, provided [REDACTED] The information was uploaded into an FBI database. By EC dated 04/10/2007, this matter was reported as a potential IOB. (S)

The IOB has instructed OGC to report matters in which information [REDACTED] error is uploaded into a database. OGC further instructed [REDACTED]

b2
b5
b7E

(U)

~~Derived from: G-3
Declassify on: 10/23/2017~~

~~SECRET//20171023~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

CY Quarterly Report: 2007 ^{U/B}

DATE OF INCIDENT: 06/21/05 (issuance of NSL)

DATE OF REPORT TO IOB: 12/30/07 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/10/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION: Advised field to purge database; destroy or return material

b2
b7E

*DATE OF FINAL DISPOSITION:

~~SECRET~~

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1622-[REDACTED] (U)

b2
b7E

(S) During an authorized [REDACTED] of a U.S. person, [REDACTED] sent two (2) NSLs to two (2) [REDACTED] seeking [REDACTED] information under [REDACTED]. In response to the NSLs, both [REDACTED] which included information that was not requested in the NSLs. [REDACTED] subsequently summarized the [REDACTED] in two ECs that were uploaded onto Automated Case Support (ACS).

b2
b7E

(U) In this case, an error was committed by [REDACTED] when it compounded the third party error by uploading the over-produced information onto ACS.

b2
b7E

(U) [REDACTED] has been instructed to permanently charge-out from ACS the ECs summarizing the [REDACTED] and destroy any hard copies of the ECs. [REDACTED] has also been instructed to contact both [REDACTED] and ask whether the [REDACTED] [REDACTED] should be returned or destroyed, with appropriate documentation to the file.

b2
b7E

Hofmann-IOB-3561

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT: [REDACTED]

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/05/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation: [REDACTED])

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least-intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section: _____)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation: _____)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation: _____)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3562

DATE: 07-08-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-08-2035

~~SECRET//20171031~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

INTELLIGENCE OVERSIGHT BOARD..(IOB) MATTER

[REDACTED] FIELD OFFICE
IOB MATTER 2007-1775-NSL (U)

(S) b2
b7E

(S) The Federal Bureau of Investigation ("FBI") has determined that in conducting an [REDACTED] [REDACTED] the [REDACTED] Field Office [REDACTED] issued an NSL in response to which [REDACTED] for a period of time beyond the time period requested and the information was uploaded into a database. In this regard, on or about [REDACTED] initiated [REDACTED] [REDACTED] who is a "United States person" as that term is used in [REDACTED] [REDACTED] to investigate information or allegations of involvement in terrorism activities. During the course of this investigation, an NSL dated 11/24/2003 was issued pursuant to the [REDACTED] [REDACTED] for [REDACTED] [REDACTED] returned information responsive to the request but, in doing so, provided the information via a [REDACTED] that post-dated the NSL time frame, which [REDACTED] also included [REDACTED] [REDACTED] which post-dated the NSL time frame. The information was uploaded into a database. By EC dated 04/09/2007, the potential IOB violation was reported. [REDACTED] since has destroyed the information, and it represents that it is in the process of purging the database of the information. (S)

b1
b2
b6
b7C
b7E

The IOB has instructed OGC to report matters in which information [REDACTED] error is uploaded into a database. Thus, this situation is being reported to the IOB. (U)

b2
b7E

~~Derived from: G-3
Declassify on: 10/31/2017~~

~~SECRET//20171031~~

Hofmann-IOB-3563

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC

^{U/S}
CY Quarterly Report: 2007

DATE OF INCIDENT: 11/24/03 (issuance of NSL)

DATE OF REPORT TO IOB: 12/30/07 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

EISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised field to purge database; material already destroyed.

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3564

DATE: 07-08-2010
CLASSIFIED BY 65179/DMH/PLJ/sdb
REASON: 1.4 (c)
DECLASSIFY ON: 07-08-2035

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

(S)

~~SECRET//20171028~~

(S)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-1151-NSL (U)

b2
b7E

The Federal Bureau of Investigation ("FBI") has determined that in conducting an [REDACTED] the [REDACTED] Field Office [REDACTED] issued a [REDACTED] NSL in response to which [REDACTED] returned records beyond the parameters of the request and the information was uploaded into a database. In this regard, on or about [REDACTED]

(S)

(S)

[REDACTED] opened an [REDACTED] who is a "United States person" as that term is used in [REDACTED]

b1
b2
b6
b7C
b7E

[REDACTED] During the course of this investigation, NSLs dated 01/18/2003 and 01/31/2007 were issued in this case pursuant to the [REDACTED]

[REDACTED] for [REDACTED] returned the requested information, but, in addition, provided billing information, as well as [REDACTED] predating the NSL time frame, which information was beyond the scope of the requests. The information was uploaded into [REDACTED]

[REDACTED] By EC dated 04/09/2007, as well as subsequent email traffic, these matters have been reported as potential IOBs. The information has been sequestered. [REDACTED] has been advised to destroy or return the information to the carrier. (S)

The IOB has instructed OGC to report matters in which information [REDACTED] error is uploaded into a database. Thus, this situation is being reported to the IOB. (U)

b2
b7E

~~Derived from: G-3
Declassify on: 10/28/2017~~

~~SECRET//20171028~~

Hofmann-IOB-3565

IOB SUMMARY SHEET

Name of Department or Agency: FBI OGC. c/fb
CY Quarterly Report: 2007
DATE OF INCIDENT: 01/31/07 (issuance of NSL) DATE OF REPORT TO IOB: ~~12/30/07~~ 1/31/2008
DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/07
DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

- FISA (50 U.S.C. 1801)
- Other (U.S.C. citation:)

b2
b7E

EXECUTIVE ORDER 13333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION: Advised field to purge database; return or destroy material

b2
b7E

*DATE OF FINAL DISPOSITION:

~~SECRET~~

DECLASSIFIED BY 65179/DMH/PLJ/sdb
ON 07-08-2010

(U)

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] FIELD OFFICE
IOB MATTER 2007-831 [REDACTED] (U)

b2
b7E

(S) During an authorized [REDACTED]
[REDACTED] of a U.S. person, [REDACTED] sent a National Security
Letter (NSL) to a [REDACTED] seeking [REDACTED]
[REDACTED] requested "the names and addresses of all financial

b2
b7E

[REDACTED] In
response to the NSL, the [REDACTED] produced a
[REDACTED] which included information that was not
requested in the NSL. [REDACTED] subsequently referenced the
[REDACTED] in six documents, noting that the [REDACTED]
[REDACTED]

(U) In this case, an error was committed by [REDACTED] when it
compounded the third party error by uploading onto ACS
information regarding the [REDACTED]

b2
b7E

(U) [REDACTED] has been instructed to charge-out from ACS the
ECs that mention the [REDACTED] and redact the
portions that reference the issue. [REDACTED] has also been
instructed to contact the [REDACTED] and ask whether
the [REDACTED] should be returned or destroyed, with
appropriate documentation to the file.

b2
b7E

Hofmann-IOB-3567

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 03/08/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3568

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED] FIELD OFFICE

IOB MATTER 2007-938 [REDACTED] (U)

(U)

b2
b7E

(S) During an authorized [REDACTED]
[REDACTED] of a U.S. person, [REDACTED] sent a National Security
Letter (NSL) to a [REDACTED] seeking [REDACTED]
[REDACTED]
[REDACTED] requested [REDACTED]

b2
b7E

[REDACTED] In response to the NSL, the [REDACTED]
[REDACTED] produced a [REDACTED] which included
information that was not requested in the NSL. [REDACTED]
subsequently summarized the [REDACTED] in an EC that was
uploaded onto ACS.

b2
b7E

(U) In this case, an error was committed by [REDACTED] when it
compounded the third party error by uploading the over-produced
information onto ACS.

(U) [REDACTED] has been instructed to permanently charge-out
from ACS the EC summarizing the [REDACTED] and destroy any
hard copies of the EC. [REDACTED] has also been instructed to
contact the [REDACTED] and ask whether the consumer
report should be returned or destroyed, with appropriate
documentation to the file.

b2
b7E

Hofmann-IOB-3569

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT: 11/09/2004

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY:

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3570

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[redacted] FIELD OFFICE
IOB MATTER 2007-1565-[redacted] (U)

b2
b7E

(U)

(S) During a [redacted] of a U.S. person, [redacted] issued an NSL under [redacted] to a [redacted] requested [redacted]

[redacted]

b2
b7E

[redacted] In response to the NSL, the [redacted] produced [redacted] data that was not requested by the NSL. [redacted] summarized the credit check data in an EC, which was uploaded onto ACS.

(U) In this case, an error was committed by [redacted] when it uploaded the over-produced information onto ACS.

b2
b7E

(U) [redacted] has been instructed to charge-out of ACS immediately and permanently the EC containing the [redacted] data. It has also been instructed to destroy the hard copy of the EC in the investigative file. Finally, [redacted] has been instructed to contact the [redacted] and ask whether the [redacted] data should be returned or destroyed, with appropriate documentation to the file.

b2
b7E

Hofmann-IOB-3571

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2007

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/23/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

b2
b7E

POSSIBLE VIOLATION OF:

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3572

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

(U)

[REDACTED] FIELD OFFICE
IOB MATTERS 2007-1538-[REDACTED] AND
2007-1539-[REDACTED] (U)

b2
b7E

(S) During a [REDACTED] of a
U.S. person, [REDACTED] issued two NSLs under [REDACTED]
to two respective [REDACTED]
requested [REDACTED]

b2
b7E

[REDACTED]

(U) In this case, an error was committed by [REDACTED] when
it requested account numbers in its NSLs. [REDACTED]
[REDACTED] does not allow the FBI to request such information.

b2
b7E

(U) [REDACTED] has been instructed to redact from all
documents any account numbers that it received due to the [REDACTED]
NSL.

b2
b7E

DECLASSIFIED BY 65179/DMH/PLJ/sdb
ON 07-08-2010

~~SECRET~~

Hofmann-IOB-3573

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/24/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

- Section 2.3 collection & dissemination of USP info
- Section 2.4 preamble: least intrusive collection
- Section 2.4(a) CIA electronic surveillance w/in US
- Section 2.4(b) unconsented physical search w/in US
- Section 2.4(c) physical surveillance of USP w/in US
- Section 2.4(d) physical surveillance of USP abroad
- Section 2.5 AG approval w/in US or against USP abroad
- Section 2.6 assistance to law enforcement
- Section 2.7 contracting
- Section 2.9 undisclosed participation
- Section 2.10 human experimentation
- Section 2.11 prohibition on assassination
- Section 2.12 indirect participation
- AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

- Creation of unauthorized SAP (EO 12958, as amended)
- Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

- COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION
- Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3574

~~SECRET~~

DECLASSIFIED BY 65179/DMH/PLJ/sdb
ON 07-08-2010

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

(U)

[REDACTED] FIELD OFFICE
IOB MATTER 2007-1548-[REDACTED] (U)

b2
b7E

(S) During a [REDACTED]
of a U.S. person, [REDACTED] issued an NSL under [REDACTED]
[REDACTED] to a [REDACTED] [REDACTED] requested [REDACTED]

b2
b7E

[REDACTED] In response to the NSL, the [REDACTED]
[REDACTED] produced credit check data that was not requested by the
NSL. [REDACTED] subsequently inserted a small amount of the
credit check data into a closing letterhead memorandum (LHM),
which was uploaded onto ACS.

b2
b7E

(U) In this case, an error was committed by [REDACTED] when
it uploaded the over-produced information onto ACS.

(U) [REDACTED] has been instructed to immediately charge-
out the LHM from ACS and redact the [REDACTED] data from both
the ACS and investigative file copies. [REDACTED] has also been
instructed to contact the [REDACTED] and ask whether
the [REDACTED] data should be returned or destroyed, with
appropriate documentation to the file.

b2
b7E

Hofmann-IOB-3575

~~SECRET~~

IOB SUMMARY SHEET

Name of Department or Agency: FBI

CY Quarterly Report: 4th 2007

DATE OF INCIDENT:

DATE OF REPORT TO IOB: 1/31/2008

DATE OF REPORT TO DEPARTMENT/AGENCY: 04/09/2007

DATE REPORT SUBMITTED TO OTHER ENTITY (i.e., DoJ, Congress, Civil Liberties Office):

POSSIBLE VIOLATION OF:

b2
b7E

STATUTE (United States Code Citation)

FISA (50 U.S.C. 1801)

Other (U.S.C. citation:)

EXECUTIVE ORDER 12333:

Section 2.3 collection & dissemination of USP info

Section 2.4 preamble: least intrusive collection

Section 2.4(a) CIA electronic surveillance w/in US

Section 2.4(b) unconsented physical search w/in US

Section 2.4(c) physical surveillance of USP w/in US

Section 2.4(d) physical surveillance of USP abroad

Section 2.5 AG approval w/in US or against USP abroad

Section 2.6 assistance to law enforcement

Section 2.7 contracting

Section 2.9 undisclosed participation

Section 2.10 human experimentation

Section 2.11 prohibition on assassination

Section 2.12 indirect participation

AG Approved EO 12333 Guidelines (Guidelines name and section:)

OTHER EXECUTIVE ORDER (SPECIFY)

Creation of unauthorized SAP (EO 12958, as amended)

Other (EO citation:)

PRESIDENTIAL DIRECTIVE (SPECIFY)

COVERT ACTION FINDING/MEMORANDUM OF NOTIFICATION

Other (Presidential Directive citation:)

DEPARTMENT/AGENCY ACTION:

*DATE OF FINAL DISPOSITION:

Hofmann-IOB-3576