

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DNH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

June 22, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2001-29." (U)

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b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
 - 1 - Mr. [redacted]
 - 1 - Mr. [redacted]
 - 1 - OPR (IOB 2001-29)
 - 1 - INSD (278-HQ-C1229736-53 Serial 73)
 - 1 - Mr. [redacted]
- JAW:jaw (7)

~~UNCLASSIFIED WHEN
DETACHED FROM NOTE &
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Int. _____
- National Sec. _____
- OPR _____
- Off. of Public
& Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2162

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC and the Director's Office (OPR) by EC from INSD dated 6/04/01 and titled [redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-29." In this regard, in conjunction with a [redacted] of the [redacted] the [redacted]

(S)

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[redacted] has reported that the unauthorized take was sequestered for destruction, and that no investigative action was taken based on the information received from the unauthorized coverage. ~~(S)~~

APPROVED:	Crim. Inv. _____	Inspection <u>D</u>	Training _____
	CJS _____	Laboratory _____	Off. of EEO _____
	Finance <u>RAK</u>	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	OPR _____
Deputy Director _____	Info. Res. _____	Personnel _____	Cong. Affs. _____

WMS
6/11/01

~~SECRET~~

Hofmann-IOB-2163

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2001-29 (U)

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b7E

Inquiry has determined that the Federal Bureau of
Investigation (FBI) [REDACTED]
[REDACTED] in violation of Title 50, United States Code,
Section 1805. In this regard, in conjunction with a [REDACTED]
[REDACTED] of the [REDACTED]

(S)

[REDACTED]

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[REDACTED] for destruction. No investi-
gative action was taken based on the information received from
the [REDACTED] (S)

APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
Deputy Director _____	Int. Affs. _____	Personnel _____	Cong. Affs. _____

JWC
6/11/01

Classified by: ~~29645, NSA/OGC~~
Reason: ~~1.5 (c)~~
Declassify on: ~~X1~~

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

June 22, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter [redacted] and the

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(S)

[redacted] IOB Matter 2001-31." (U)

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

1 - Mr. Parkinson

1 - Mr. [redacted]

1 - Mr. [redacted]

① - Mr. [redacted]

2 - INSD (1-278-HQ-C1229736-705) (1-IOB 2001-31)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

JAW:jaw (7)

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2165

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division, dated 6/01/01 and titled [redacted]

(S) [redacted] Intelligence Oversight Board (IOB) Matter 2001-31." This letter transmits to the IOB an LHM regarding the failure of the [redacted]

(S) [redacted] and the [redacted] to ensure that [redacted] 90-day and first annual LHMs concerning [redacted] a U.S. person, were forwarded to the Office of Intelligence Policy and Review (OIPR) as required. As a result of these errors, OIPR was not advised of the status of this case for more than two years. This was contrary to the requirements of [redacted] of the Attorney General Guidelines.

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APPROVED:	Crim. Inv. _____	Inspection _____ <i>DL</i>	Training _____
	CJS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public Affs. _____
Deputy Director _____	Info. Res. _____	Personnel _____	Cong. Affs. _____

AMJ
6/01/01

~~SECRET~~

Hofmann-IOB-2166

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

AND THE

(S)

NATIONAL SECURITY DIVISION
IOB MATTER 2001-31 (U)

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(S)

Inquiry has determined that in conducting a [redacted]
[redacted] of [redacted] a U.S. person, the Federal
Bureau of Investigation (FBI) failed to meet the reporting
requirements prescribed in [redacted] of the Attorney General
Guidelines for FBI Foreign Intelligence Collection and Foreign
Counterintelligence Investigations (FCIG). In this regard, the

[redacted] Division [redacted] converted its [redacted]
[redacted] on the subject to a [redacted]

Due to administrative error, the two [redacted]

(S)

[redacted] involved in the oversight of this case -- the [redacted]
[redacted] and the [redacted]

[redacted] -- failed to forward
Los Angeles' 90-day letterhead memorandum (LHM), submitted in
[redacted] or the first annual LHM, submitted in [redacted] to
the Office of Intelligence Policy and Review (OIPR), Department
of Justice (DOJ), as required by the FCIG. These errors were not
realized until [redacted] when [redacted] second annual LHM was
received by [redacted] and forwarded to OIPR. As a consequence of the
error, OIPR was precluded from exercising oversight and control
of this ongoing investigation, which is contrary to the
requirements of [redacted] of the FCIG.

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APPROVED:

Director	Gen. Counsel	Inspection	Training
Deputy Director	Info. Res.	Laboratory	Off. of EEO
		National Sec.	Affairs
		CPI	Off. of Public Affs
		Personnel	Comp. Affs

WMS
6/21

~~Classified by: 39645 NSA/OGC
Reason: 1.5 (c)
Declassify on: K1~~

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

June 22, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

(S)

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM) entitled "Intelligence Oversight
Board (IOB) Matter, [redacted]
National Security Division, IOB Matter 2001-32." (U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- ① - Mr. [redacted]
- 2 - INSD (1-278-HQ-C1229736-705) (1-IOB 2001-32)

UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE

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b7C

JAW:jaw (7)

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2168

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 5/29/01 and titled [redacted]

(S)

[redacted] Intelligence Oversight Board (IOB) Matter 2001-32." This letter transmits to the IOB a letterhead memorandum regarding the failure of the [redacted]

(S)

[redacted] to ensure that [redacted] LHM concerning [redacted] was forwarded to the Office of Intelligence Policy and Review (OIPR) as required. As a result of the error, OIPR was not advised of the status of this case for approximately [redacted]. This was a violation of [redacted] of the Attorney General Guidelines. (X)

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APPROVED:	Crim. Inv. _____	Inspection <i>[Signature]</i>	Training _____
	CJIS _____	Laboratory _____	Off. of EEO _____
	Finance <i>[Signature]</i>	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel <i>[Signature]</i>	OPR _____
Deputy Director _____	Info. Res. _____	Personnel _____	Cong. Affs. _____

[Handwritten]
6/06/01

~~SECRET~~

Hofmann-IOB-2169

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

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(S) INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED]
NATIONAL SECURITY DIVISION
IOB MATTER 2001-32 (U)

(S) Inquiry has determined that in conducting a [REDACTED] of [REDACTED] a U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the annual reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division [REDACTED] initially opened a [REDACTED] on the subject on [REDACTED]. Due to administrative error, the [REDACTED] [REDACTED] failed to forward the annual letterhead memorandum (LHM) due in [REDACTED] to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ), as required by the FCIG. The error was not realized until [REDACTED]'s next annual LHM was received by [REDACTED] and forwarded to OIPR. This error precluded meaningful oversight and review of [REDACTED]'s ongoing investigation by OIPR, which is contrary to the requirements of [REDACTED] of the FCIG. ~~SI~~

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APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
Deputy Director _____	Int. Res. _____	Personnel _____	Cong. Affs. _____

JWA
6/10/01

Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: ~~AI~~

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

June 22, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division and the [redacted]
[redacted] FBI
Headquarters, IOB Matter 2001-33." (U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-33)
- 2 - INSD (278-HQ-C1229736-41 Serial 2) (IOB 2001-33)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (8)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2171

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 6/04/01 and captioned [redacted]

[redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-33. This letter transmits to the IOB an LHM concerning [redacted]

(S)

[redacted]'s error, and that of the [redacted] in failing to ensure that [redacted] LHM regarding [redacted] a U.S. person, was submitted on time. As a result of the error, OIPR was not advised of status of this case for approximately [redacted] a violation of the Attorney General Guidelines. (S)

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APPROVED:	Crim. Inv. _____	Inspection <i>Dr.</i>	Training _____
	CJS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
Director _____	Gen. Counsel <i>DR</i>	OPR _____	Off. of Public S _____
Deputy Director _____	Info. Sys. _____	Personnel _____	Cong. Affs. _____

NUM
6/14/01

~~SECRET~~

Hofmann-IOB-2172

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
AND
[REDACTED]

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FBI HEADQUARTERS
IOB MATTER 2001-33 (U)

(S) Inquiry has determined that in conducting a [REDACTED] on [REDACTED] a U.S. person, the Federal Bureau of Investigation (FBI) failed to comply with the annual reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division [REDACTED] opened a [REDACTED] on the subject on [REDACTED]. Because the subject is a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978, continuation of the [REDACTED] required that [REDACTED] and the [REDACTED] [REDACTED] comply with the requirements of Executive Order 12333 and the FCIG. Due to an administrative error on the part of the [REDACTED] Division, the annual letterhead memorandum reporting the status of this case, [REDACTED] was not submitted. The error went undetected by the Headquarters substantive unit. As a result, the Office of Intelligence Policy and Review, Department of Justice, was not advised of the ongoing status of this investigation as required by the FCIG. These errors have been reported to the FBI's Office of Professional Responsibility. (S)

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~~Classified by: 39845, NSA/OGC
Reason: 1.5(c)
Declassify on: XI~~

~~SECRET~~

Hofmann-IOB-2173

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APPROVED:	Crim. Inv. _____	Inspection <i>[Signature]</i>	Training _____
	C.I.S. _____	Laboratory _____	Off. of ESO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of F. Int. _____
Deputy Director _____	Info. Res. _____	Personnel _____	Gen. Asst. _____

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c, d)
DECLASSIFY ON: 07-02-2035

June 22, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

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Enclosed for your information is a self-explanatory
letterhead memorandum (LHM) entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division and the [redacted]

(S)

[redacted] IOB Matter 2001-34." ~~SECRET~~

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- ① - Mr. [redacted]
- 1 - OPR (IOB 2001-34)
- 2 - INSD (1-278-HQ-CI229736-705 Serial 49)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- GIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (7)

Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: ~~SI~~

~~SECRET~~

Hofmann-IOB-2174

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 5/16/01 and titled [redacted] Intelligence Oversight Board (IOB) Matter 2001-34." The underlying case involves the possible targeting of a U.S. Government official by [redacted] For [redacted] following the initiation of this case involving an [redacted] [redacted] submitted its annual LHM in the manner prescribed by the FCIG. In [redacted] due to apparent administrative error, the HQ [redacted] failed to forward [redacted] LHM to the Office of Intelligence Policy and Review, DOJ. This is a violation of the reporting requirements established by the FCIG.

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(S)

APPROVED:

Crim. Inv.	Inspection	Training
CJS	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	Gen. Counsel	Off. of Public &
Deputy Director	Info. Res.	Cong. Affs.

JML
6/18/01
mju

~~SECRET~~

Hofmann-IOB-2175

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c, d)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
AND THE
[REDACTED]

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IOB MATTER 2001-34 (U)

(S)

Inquiry has determined that in conducting a [REDACTED]
[REDACTED] of a presumed U.S. person, the Federal Bureau of
Investigation (FBI) failed to meet the annual reporting
requirements prescribed in [REDACTED] of the Attorney General
Guidelines for FBI Foreign Intelligence Collection and Foreign
Counterintelligence Investigations (FCIG). In this regard,
review of this matter has determined that on [REDACTED] the
[REDACTED] Division [REDACTED] initially opened a [REDACTED]
[REDACTED] involving the [REDACTED]

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(S)

[REDACTED] Consistent with the
requirements of the FCIG, for [REDACTED] prepared and
submitted appropriate annual letterhead memoranda (LHM) intended
for submission to the Office of Intelligence Policy and Review
(OIPR), Department of Justice (DOJ). Due to apparent admin-
istrative error, [REDACTED] FBI Head-
quarters, failed to forward [REDACTED] LHM.
As a result of the error, OIPR was not advised of the status of
this ongoing investigation for approximately [REDACTED]. This
conduct is inconsistent with the reporting requirements
established by the FCIG. ~~(S)~~

APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	CJS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
	Deputy Director _____	Info. Sys. _____	Personnel _____

Handwritten: 6/18/01
mgw

~~Classified by: 39645, NSA/OGC
Reason: 1.5 (c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2176

~~SECRET~~

June 26, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division and the [redacted]

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[redacted]
IOB Matter 2001-35." (U)

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-35)
- 2 - INSD (278-HQ-C1229736-705) (IOB 2001-35)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public
& Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (7)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2177

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 6/15/01 and captioned [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-35."

In this regard, due to apparent administrative error, [redacted] failed to forward [redacted]'s initial 90-day letterhead memorandum, submitted on [redacted] to OIPR. As a result, the FBI's opening of a [redacted] on a U.S. person was not reported to OIPR until [redacted]. This was a violation of Section [redacted] of the Attorney General Guidelines. (S)

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(U)

APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	CJIS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Attairs _____
Director _____	Gen. Counsel _____	OIPR _____	Off. of Public & _____
Deputy Director _____	Info. Sys. _____	Personnel _____	Coop. Affs. _____

JLR
6/18/01

mpw

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
AND THE
[REDACTED]

b2
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IOB MATTER 2001-35 (U)

(S) Inquiry has determined that in conducting a [REDACTED] of [REDACTED] a U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the initial 90-day reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division [REDACTED] opened its investigation on the subject on [REDACTED] and forwarded the required 90-day letterhead memorandum (LHM) to the [REDACTED] [REDACTED] within the period prescribed. Due to administrative error, [REDACTED] failed to submit the required 90-day letterhead memorandum to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ) until [REDACTED] [REDACTED]. This error precluded meaningful oversight and review of [REDACTED]'s ongoing investigation by OIPR, which is contrary to the requirements of [REDACTED] of the FCIG. ~~(S)~~

b1
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APPROVED:

Crim. Inv.	Inspection	Training
C.I.S.	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	OPR	Off. of Public &
Deputy Director	Info. Res.	Comp. Affs.

JMM
4/12/10
mjs

Classified by: 39645, NSA/OGC
Reason: 1.5 (c)
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2179

~~SECRET~~

July 23, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division and the [redacted]
[redacted] IOB Matter 2001-38." (U)

b2
b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- ① - Mr. [redacted]
- 1 - OPR (IOB 2001-38)
- 2 - INSD (1-278-HQ-C1229736-23 Serial 4)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CIIS _____
- Financ. _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (7)

Classified by: 39645, NSA/OGC
Reason: ~~1.5(c)~~
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2180

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 7/03/01 and titled [redacted]

[redacted] Division(;) Intelligence Oversight Board (IOB) Matter 2001-38." In this regard, owing to the [redacted] of the initially assigned case agent, [redacted] case was reassigned twice. This resulted in the third case agent mistaking the due date for the required [redacted] LHM. As a result, that LHM was overdue by approximately [redacted] when it was finally submitted to the Office of Intelligence Policy and Review, Department of Justice, in [redacted]. This is a violation of the reporting requirements of the AG's FCIG.

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APPROVED:

Director _____	Crim. Inv. _____	Inspection <u>[Signature]</u>	Training _____
Deputy Director _____	CNS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Gen. Insp. <u>[Signature]</u>	GPR _____	Off. of Public Aff. _____
	Info. Res. _____	Personnel _____	Comp. Affs. _____

NJLU:mgw

~~SECRET~~

Hofmann-IOB-2181

JUMA
7/12/01

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
AND THE
[REDACTED]

b2
b7E

IOB MATTER 2001-38 (U)

(S) Inquiry has determined that in conducting a [REDACTED]
[REDACTED] of [REDACTED] a U.S. person, the Federal
Bureau of Investigation (FBI) failed to meet the annual reporting
requirements prescribed in [REDACTED] of the Attorney General
Guidelines for FBI Foreign Intelligence Collection and Foreign
Counterintelligence Investigations (FCIG). In this regard,
review of this matter has determined that the [REDACTED]
Division [REDACTED] initiated a [REDACTED] on the
subject on [REDACTED] and converted that [REDACTED] to a
[REDACTED] on [REDACTED]. Thereafter, owing to
the [REDACTED] of the initially
assigned case agent, the case was reassigned twice, which
resulted in the third case agent mistaking the due date for the
[REDACTED] status report (a letterhead memorandum [LHM]). As
a result, that LHM was overdue by approximately [REDACTED] when
it was finally submitted to the Office of Intelligence Policy and
Review, Department of Justice, in [REDACTED]. This was a
violation of the reporting requirements prescribed in the FCIG.

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JUNE
7/12/10

APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	CJIS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public Aff. _____
Deputy Director _____	Info. Res. _____	Personnel _____	Cong. Affs. _____

Classified by: 39645, NSA/OGC
Reason: ~~1.5(c)~~
Declassify on: ~~AI~~

~~SECRET~~

Hofmann-IOB-2182

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DHH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

August 20, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

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(S) Enclosed for your information is a self-explanatory
letterhead memorandum (LHM) entitled "Intelligence Oversight
Board (IOB) Matter: [redacted] Division and the [redacted]
[redacted] FBI Headquarters,
(S) IOB Matter 2001-39 . . . ~~SECRET~~

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-39)
- 2 - INSD (278-HQ-C1229736-705 Serial 56) (IOB 2001-39)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (8)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2183

MAIL ROOM

FBI/DOJ

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 08/07/01 and captioned [redacted]

(S) [redacted] Intelligence Oversight Board (IOB) Matter 2001-39. This letter transmits to the IOB a letterhead memorandum regarding the failure of the [redacted]

(S) [redacted] to ensure that [redacted] LHM concerning [redacted] a U.S. person, was submitted to OIPR in a timely manner. As a result of the error, OIPR was not advised of status of this case for approximately [redacted] which is contrary to the requirements of the FCIG. (S)

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APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	CJS _____	Laboratory _____	Off. of EEG _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
Deputy Director _____	Info. Res. _____	Personnel _____	Cong. Affs. _____

WMS
8/08/01

~~SECRET~~

Hofmann-IOB-2184

NSLU
8-9-01

DATE: 07-02-2010
CLASSIFIED BY 65179/DHH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED] DIVISION
AND THE
[REDACTED]

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(S)

FBI HEADQUARTERS.
IOB MATTER 2001-39 (U)

(S)

Inquiry has determined that in conducting a [REDACTED]
[REDACTED] on [REDACTED] a U.S. person,
the Federal Bureau of Investigation (FBI) failed to comply with
the annual reporting requirements prescribed in [REDACTED] of
the Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations (FCIG).
In this regard, the [REDACTED] Division [REDACTED] opened a
[REDACTED] of the subject on [REDACTED].

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(S)

Because the subject is a "United States person" as that term is used in
Section 101(i) of the Foreign Intelligence Surveillance Act of
1978, continuation of the investigation required that [REDACTED]
and the [REDACTED]
[REDACTED] comply with the requirements of Executive Order 12333 and
the FCIG. Consistent with these requirements, [REDACTED]
submitted an initial 90-day letterhead memorandum (LHM) and [REDACTED]
[REDACTED] LHMs when due in [REDACTED] respectively. Due
to administrative error on the part of the [REDACTED]
[REDACTED] LHM, submitted in
[REDACTED] was not forwarded to the Office of Intelligence
Policy and Review, Department of Justice, until [REDACTED]
Thus, the LHM was approximately [REDACTED] which is a
violation of the [REDACTED] of the FCIG. (S)

JHMS
8/08k

APPROVED:

Director _____	Crim. Inv. _____	Inspection _____	Training _____
Deputy Director _____	CJS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Gen. Counsel _____	OPR _____	Off. of Public & _____
	Int. Affs. _____	Personnel _____	Cong. Affs. _____

Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1

JHMS
8-9-01

~~SECRET~~

Hofmann-IOB-2185

~~SECRET~~

July 25, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division and the [redacted] IOB Matter 2001-41." (U)

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This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- ① - Mr. [redacted]
- 1 - OPR (IOB 2001-41)
- 2 - INSD (1-278-HQ-C1229736-705 Serial 53)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Cong. _____
- Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (7)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: XI~~

~~SECRET~~

Hofmann-IOB-2186

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 7/17/01 and titled [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-41. In this regard, investigation of this matter has determined that [redacted] failed to download and forward [redacted] [redacted] LHM which had been properly prepared and submitted on time in [redacted]. As a consequence of the error, OIPR was not advised of the status of [redacted] on-going investigation of a presumed U.S. person for approximately [redacted]. This is a violation of the reporting requirements of the Attorney General Guidelines.

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APPROVED:

Crim. Inv.	Inspection	Training
C.J.S.	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	Gen. Counsel	Off. of Public &
Deputy Director	Info. Res.	Cong. Affs.
	Personnel	

llw
7/17/01

mpw

- 2 -
~~SECRET~~

Hofmann-IOB-2187

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
AND THE

[REDACTED]

IOB MATTER 2001-41 (U)

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(S)

Inquiry has determined that in conducting a [REDACTED] of [REDACTED] a presumed U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the annual reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, review of this matter has determined that the [REDACTED] Division [REDACTED] initiated a [REDACTED] on the subject on [REDACTED]. During the next [REDACTED] fully complied with the reporting requirements of the FCIG, including the submission of a [REDACTED] letterhead memorandum (LHM) to the [REDACTED] for further dissemination to the Office of Intelligence Policy and Review (OIPR), Department of Justice. Due to administrative error, [REDACTED] s [REDACTED] LHM was not forwarded to OIPR. The error was not detected until [REDACTED] when [REDACTED] s [REDACTED] LHM was submitted. [REDACTED] delayed submission was a violation of the reporting requirements prescribed in the FCIG.

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APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
	Deputy Director _____	Info. Res. _____	Personnel _____

JUNE
7/18/10

mpj

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2188

~~SECRET~~

DECLASSIFIED BY 65179DMH/plj/lcw
ON 07-07-2010

August 20, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division and the [redacted]
[redacted]
IOB Matter 2001-43." (U)

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b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- ① - Mr. [redacted]
- 1 - OPR (IOB 2001-43)
- 2 - INSD (278-HQ-C1229736-705-55) (IOB 2001-43)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (7)

Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2189

MAIL ROOM □

FBI/DOJ

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 08/08/01 and captioned [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-43. In this regard, due to apparent administrative error, [redacted] failed to forward [redacted] initial 90-day letterhead memorandum, dated [redacted] to OIPR. As a result, the FBI's opening of a [redacted] on a U.S. person was not reported to OIPR until [redacted]. This was a violation of [redacted] of the Attorney General Guidelines. (S)

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(U)

APPROVED:	Crim. Inv.	Inspection	Training
	CJS	Laboratory	Off. of EEO
	Finance	National Sec.	Affairs
	Director	Gen. Counsel	Off. of Public & Affairs
Deputy Director	Info. Res.	Personnel	Coop. Affs.

[Signature]
NSLU
8-13-01

July
8/09/01

~~SECRET~~

Hofmann-IOB-2190

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
AND THE
[REDACTED]

b2
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IOB MATTER 2001-43 (U)

(S) Inquiry has determined that in conducting a [REDACTED] on [REDACTED] a United States person, the Federal Bureau of Investigation (FBI) failed to meet the initial (90-day) reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division [REDACTED] opened an [REDACTED] of the subject on [REDACTED] and forwarded the required 90-day letterhead memorandum (LHM) to the [REDACTED] [REDACTED] within the period prescribed. Due to administrative error, [REDACTED] failed to download the LHM from the FBI's Automated Case Support system. As a consequence, the required 90-day LHM was not forwarded to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ), until [REDACTED]. This error thus precluded meaningful oversight and review of [REDACTED] ongoing investigation by OIPR, which is contrary to the requirements of [REDACTED] of the FCIG. (S)

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(U)

APPROVED:	Crim. Inv.	Inspection	Training
	CJS	Laboratory	Off. of EEO
	Finance	National Sec.	Affairs
	Director	OPR	Off. of Public &
Deputy Director	Info. Res.	Personnel	Cong. Affs.

WKS
08/09/0

Classified by: 39645, NSA/OGC
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

HP NSA
9-13-01

~~SECRET~~

Hofmann-IOB-2191

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

July 25, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division and the [redacted]

(S)

[redacted] IOB Matter 2001-42." ~~(S)~~

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- ① - Mr. [redacted]
- 1 - OPR (IOB 2001-42)
- 2 - INSD (278-HQ-C1229736-34) (IOB 2001-42)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- Intelligence Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Aff. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (7)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2192

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 7/10/01 and captioned [redacted]

[redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-42." In this regard, due to apparent administrative error, [redacted] failed to download and forward [redacted]'s initial 90-day letterhead memorandum to OIPR. As a result, the FBI's opening of a [redacted] on [redacted] [redacted] a U.S. person, was not reported to OIPR for approximately [redacted] This was a violation of [redacted] of the Attorney General Guidelines. (S)

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b7C
b7E

(S)

(S)

JAMS
7/13/01

APPROVED:	Crim. Inv.	Inspection	Training
	C.I.S.	Laboratory	Off. of EEO
	Finance	National Sec.	Affairs
	Director	Gen. Counsel	OIPR
Deputy Director	Info. Sys.	Personnel	Off. of Public & Cong. Affs.

~~SECRET~~

Hofmann-IOB-2193

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
AND THE

(S)

[REDACTED]

IOB MATTER 2001-42 (U)

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Inquiry has determined that in conducting a [REDACTED]
[REDACTED] of [REDACTED] a U.S. person, the Federal
(S) Bureau of Investigation (FBI) failed to meet the initial 90-day
reporting requirements prescribed in [REDACTED] of the Attorney
General Guidelines for FBI Foreign Intelligence Collection and
Foreign Counterintelligence Investigations (FCIG). In this
regard, the [REDACTED] Division [REDACTED] opened its [REDACTED]
[REDACTED] on the subject on [REDACTED] and forwarded the
required 90-day letterhead memorandum (LHM) to the [REDACTED]
[REDACTED] within the period prescribed. Due to
administrative error, the LHM was not downloaded from the FBI's
Automated Case Support system. The error went undetected by the
Headquarters [REDACTED] until [REDACTED]
when [REDACTED] LHM was submitted. The delayed
reporting precluded the Office of Intelligence Policy and Review,
Department of Justice, from conducting meaningful oversight and
review of [REDACTED]'s ongoing investigation. This is contrary to
the requirements of [REDACTED] of the FCIG. (S)

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APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	CJS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
Director _____	Gen. Counsel _____	OPR _____	Off. of P. & I. _____
Deputy Director _____	Intell. Res. _____	Personnel _____	Legal Att. _____

Vaus
7/13/0
mfw

Classified by: ~~39645, NSA/OGC~~
Reason: ~~1.5 (c)~~
Declassify on: ~~X1~~

~~SECRET~~

Hofmann-IOB-2194

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

September 5, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

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b7E

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted]

[redacted] IOB Matter 2001-44." ~~(S)~~

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - INSD (1-278-HO-C1229736-705 Serial 59 (1-IOB 2001-44))

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Council _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JWms:jwms (1)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2195

MAIL ROOM C

FBI/DOJ

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

(S) NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 8/18/01 and titled [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-44." This letter transmits to the IOB a letterhead memorandum regarding the failure of the [redacted]

[redacted], to ensure that [redacted]'s initial 90-day LHM concerning [redacted] a U.S. person,

(S) was forwarded to the Office of Intelligence Policy and Review (OIPR) within the period prescribed by regulation. As a result of the error, OIPR was not advised of the status of this case for approximately [redacted]

(S) [redacted] This was a violation of [redacted] of the Attorney General Guidelines. ~~XX~~

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b7E

APPROVED:

Director _____	Crim. Inv. _____	Inspection _____	Training _____
Deputy Director _____	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Gen. Counsel _____	OPR _____	Off. of Pub. & _____
	Info. Res. _____	Personnel _____	Off. of Adm. & _____

June 8/23/01

~~SECRET~~

Hofmann-IOB-2196

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

b1
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b7E

(S)

[Redacted]

IOB MATTER 2001-44 (X)

(S)

Inquiry has determined that in conducting a [Redacted] of [Redacted] a U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the initial (90-day) reporting requirements prescribed in [Redacted] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [Redacted] Division [Redacted] opened a [Redacted] on the subject on [Redacted]. Due to administrative error, the [Redacted] [Redacted] failed to forward [Redacted]'s 90-day letterhead memorandum (LHM), which was due not later than [Redacted] to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ), as required by the FCIG. The error was not realized until [Redacted] when [Redacted]'s [Redacted] LHM was received by [Redacted] and forwarded to OIPR as required. The delayed submission precluded meaningful oversight and review of [Redacted]'s ongoing investigation by OIPR within the period prescribed by [Redacted] of the FCIG. ~~(S)~~

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APPROVED:

Crim. Inv.	Inspection	Training
CJIS	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	Gen. Counsel	Off. of Public &
Deputy Director	Int. Affs.	Cong. Affs.

WJH
8/23/01

myw

Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2197

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

August 10, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted]
[redacted] IOB Matter 2001-45." (U)

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b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- ① - Mr. [redacted]
- 2 - INSD (1-278-HQ-C1229736-717-7) (1-IOB 2001-45)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel: _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Inf. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public
& Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2198

MAIL ROOM

FBI/DOJ

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 08/03/01 and titled [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-45." This letter transmits to the IOB a letterhead memorandum regarding the failure of the [redacted] to ensure that [redacted] s initial 90-day LRM concerning [redacted] was forwarded to the Office of Intelligence Policy and Review (OIPR) as required. As a result of the error, OIPR was not advised of the status of this case for approximately [redacted] This was a violation of [redacted] of the Attorney General Guidelines. ~~(S)~~

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b7E

(S)

JUL
8/07/01

APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	CJIS _____	Laboratory _____	Off. of EEO _____
Director _____	Finance _____	National Sec. _____	Affairs _____
Deputy Director _____	Gen. Counsel _____	OPR _____	Off. of Public & _____
	Info. Res. _____	Personnel _____	Cong. Affs. _____

NSCH
8-7-01

~~SECRET~~
Hofmann-IOB-2199

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[Redacted]

IOB MATTER 2001-45 (U)

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b7E

(S) Inquiry has determined that in conducting a [Redacted] of [Redacted] a U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the initial reporting requirements prescribed in [Redacted] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [Redacted] Division [Redacted] initially opened a [Redacted] on the subject on [Redacted]. Due to administrative error, the [Redacted] -- failed to forward [Redacted]'s initial 90-day letterhead memorandum (LHM), due in [Redacted] to the Office of Intelligence Policy and Review (OIPR), Department of Justice, as required by the FCIG. The error was not realized until [Redacted] when [Redacted] LHM was received by the [Redacted] and forwarded to OIPR for review. The error precluded meaningful oversight and review of [Redacted]'s ongoing investigation by OIPR, which is contrary to the requirements of [Redacted] of the FCIG. (S)

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8/07/0

APPROVED:	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
Director	Gen. Counsel	OIPR	Off. of Public & Cong. Affs.
Deputy Director	Info. Res.	Personnel	

Classified by: ~~39645, NSA/OGC~~
Reason: ~~1.5 (c)~~
Declassify on: ~~X1~~

~~SECRET~~

~~SECRET~~

May 10, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division and the [redacted] IOB Matter 2001-25."

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(U)

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (2001-25)
- 1 - Mr. [redacted]
- 2 - INSD (278-HQ-C1229736-34) (IOB 2001-25)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (7)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: K1~~

~~SECRET~~

Hofmann-IOB-2201

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 4/30/01 and captioned [redacted]

[redacted] Division, Intelligence Oversight Board (IOB) Matter 2001-25." In this regard, due to apparent administrative error,

[redacted] failed to submit the required 90-day letterhead memorandum due on [redacted]. As a result, the FBI's opening of a [redacted]

[redacted] on a permanent resident alien (i.e., USPER) was not reported to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ), until [redacted]. This was a violation of [redacted] of the Attorney General Guidelines.

Additionally, the [redacted] failed to report this matter until [redacted]. This, too, is contrary to FBI regulations, which require that reports of potential IOB matters be submitted to INSD within 14 days of discovery. Our LHM reports both errors to the IOB. (U)

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WMS
5/04/01

APPROVED:

Crim. Inv.	Inspector	Training
GIS	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	Gen Counsel	Off. of Public's
	Personnel	Cong. Affs.

- 2 -

~~SECRET~~

Hofmann-IOB-2202

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
AND THE
[REDACTED]

IOB MATTER 2001-25 (U)

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b7E

(S) Inquiry has determined that in conducting a [REDACTED] of [REDACTED] the Federal Bureau of Investigation (FBI) failed to meet the initial 90-day reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division [REDACTED] opened its [REDACTED] on the subject on [REDACTED]. Thereafter, due to administrative error, [REDACTED] failed to submit the required 90-day letterhead memorandum due on [REDACTED]. The error was realized upon receipt of [REDACTED] LHM, and a search of the FBI's Automated Case Support system determined that the 90-LHM had not been prepared. This error precluded meaningful oversight and review of [REDACTED]'s ongoing investigation by the Office of Intelligence Policy and Review, Department of Justice, and is contrary to the requirements of [REDACTED] of the FCIG. Additionally, the [REDACTED] FBI Headquarters, failed to report this matter for submission to the IOB until [REDACTED]. This, too, is contrary to FBI regulations, which require that reports of potential IOB matters be submitted within 14 days of discovery. Both errors have been reported to the Bureau's Office of Professional Responsibility. ~~154~~

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APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
Deputy Director _____	Info. Res. _____	Personnel _____	Cong. Affs. _____

WJH
5/04/c

imp

Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2203

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

May 10, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM) entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division and the [redacted]
[redacted] IOB Matter
2001-24." (U)

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b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-24)
- 2 - INSD (1-278-HQ-C1229736-3 Serial 9)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- GIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (7)

Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2204

MAIL ROOM

FBI/DOJ

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

(S) NOTE: This matter was referred to the Office of the General Counsel (OGC) by ECs from the Inspection Division, dated 4/26/01 and titled [redacted]

(S) [redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-24." In this regard, [redacted] opened a [redacted] on [redacted] a U.S. person [redacted]

(S) [redacted] on [redacted] Due to administrative error, [redacted] failed to submit the first annual LHM, due on [redacted] until [redacted] This delay is not de minimis and precluding OIPR, DOJ, from exercising its responsibility for oversight and approval of an ongoing counterintelligence investigation. This error was compounded by the failure of the [redacted] [redacted] to identify and report the potential IOB violation for an additional [redacted] a violation of [redacted] of the FBI's National Foreign Intelligence Program Manual.

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APPROVED:	Crim. Inv. _____	Inspection <u>[initials]</u>	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance <u>[initials]</u>	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel <u>[initials]</u>	OPR _____
Deputy Director _____	Info. Sys. _____	Personnel _____	Off. of Public & Cong. Affs. _____

my JWL
5/03/01

~~SECRET~~

Hofmann-IOB-2205

DATE: 07-02-2010
CLASSIFIED BY 65179/DNH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
AND THE
[REDACTED]

b2
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IOB MATTER 2001-24 (U)

(S)

(S)

(S)

Inquiry has determined that in conducting a [REDACTED] of [REDACTED] a U.S. person [REDACTED], the Federal Bureau of Investigation (FBI) failed to meet the annual reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division opened a [REDACTED] of the subject on [REDACTED]. Due to administrative error, [REDACTED] failed to submit the annual letterhead memorandum (LHM), due on [REDACTED] until [REDACTED]. This delay is not de minimis and precluding the Office of Intelligence Policy and Review, Department of Justice, from exercising its responsibility for oversight and approval of an ongoing counterintelligence investigation. [REDACTED]'s error was compounded by the failure of the [REDACTED] to identify and report the potential IOB violation for an additional [REDACTED] a violation of [REDACTED] of the FBI's National Foreign Intelligence Program Manual. ~~DA~~

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APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	CNS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
Deputy Director _____	Int. Affs. _____	Personnel _____	Coop. Affs. _____

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HML
5/03/c

Classified by: 39645, NSA/OGC
Reason: ~~1.5 (c)~~
Declassify on: ~~X1~~

~~SECRET~~

Hofmann-IOB-2206

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

June 22, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division and [redacted]
[redacted] IOB Matter 2001-23." (U)

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b7E

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-23)
- 2 - INSD (1-278-HQ-C1229736-717 Serial 4)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

JAW:jaw. (7)

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2207

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 5/16/01 and titled [redacted]

(S)

[redacted] Intelligence Oversight Board (IOB) Matter 2001-23." The EC reflects that [redacted] opened a [redacted] on [redacted] a U.S. person, on [redacted]'s initial 90-day LHM was properly forwarded. Thereafter, due to apparent administrative error, [redacted] failed to forward [redacted]'s first annual LHM. As a result of the error, OIPR was not advised of the status of this on-going investigation until [redacted]. This conduct is inconsistent with the reporting requirements established by the FCIG.

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APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
	Deputy Director _____	Info. Sys. _____	Personnel _____
		Comp. Affs. _____	

JMM
5/23/01
m/w

~~SECRET~~

Hofmann-IOB-2208

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION

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[REDACTED]
IOB MATTER 2001-23 (U)

(S)

Inquiry has determined that in conducting a [REDACTED] of [REDACTED] a U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the annual reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division [REDACTED] opened a [REDACTED] of the subject on [REDACTED]. Consistent with the requirements of the FCIG, [REDACTED] prepared and submitted appropriate 90-day and annual letterhead memoranda (LHM) intended for submission to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ). [REDACTED]'s initial 90-day LHM was properly forwarded. Thereafter, due to administrative error, [REDACTED] FBI Headquarters, failed to forward [REDACTED]'s first annual LHM which had been submitted to the Headquarters on [REDACTED]. As a result of the error, OIPR was not advised of the status of this on-going investigation until [REDACTED]. This conduct is inconsistent with the reporting requirements established by the FCIG.

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APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Director _____	Finance _____	National Sec. _____
	Deputy Director _____	Gen. Counsel _____	OPR _____
	Info. Res. _____	Personnel _____	Off. of Public & Cong. Affs _____

JUL
5/23/01
[Signature]

Classified by: 39645, NSA/OGC
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

~~SECRET~~

Hofmann-IOB-2209



~~SECRET~~
U.S. Department of Justice

Federal Bureau of Investigation

Washington, D. C. 20535

May 9, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matters, [redacted] Division, IOB Matter 2001-21, IOB Matter 2001-22." (U)

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This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: K1~~

~~SECRET~~

Hofmann-IOB-2210

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,



Larry R. Parkinson
General Counsel



David R. Knowlton
Assistant Director
Inspection Division

- 1 - Honorable John D. Ashcroft
The Attorney General
U.S. Department of Justice
Room 5111

- 1 - Mr. H. Marshall Jarrett
Counsel, Office of Professional Responsibility
U.S. Department of Justice
Room 4304

- 2 -

~~SECRET~~

Hofmann-IOB-2211

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTERS
[REDACTED] DIVISION

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IOB MATTER 2001-21
IOB MATTER 2001-22

(S) Inquiry has determined that in conducting [REDACTED]
[REDACTED] of [REDACTED] -- the Federal Bureau of
Investigation (FBI) failed to meet the initial 90-day reporting
requirements prescribed in [REDACTED] of the Attorney General
Guidelines for FBI Foreign Intelligence Collection and Foreign
Counterintelligence Investigations (FCIG). In this regard, the
(S) [REDACTED] Division [REDACTED] opened a [REDACTED]
on [REDACTED] on [REDACTED]. This [REDACTED] was converted to
a [REDACTED] on [REDACTED]. A [REDACTED]
(S) [REDACTED] was also initiated on [REDACTED].
Thereafter, due to administrative error, [REDACTED] failed to
submit the required initial 90-day letterhead memoranda (LHM)
which were due on [REDACTED]. The [REDACTED] LHMs, we have
determined, were submitted on time. Nevertheless, the FBI's
opening of full investigations on U.S. persons was not reported
to the Office of Intelligence Policy and Review, Department of
Justice, for approximately [REDACTED] which is contrary to the
requirements of the FCIG. ~~(S)~~

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~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2212

~~SECRET~~

May 2, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division, IOB Matter 2001-20." (U)

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b7E

This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (2001-20)
- ① - Mr. [redacted]
- 2 - INSD (278-HQ-C1229736-62) (IOB 2001-20)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

JAW:jaw (7)

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- GIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: ~~SI~~

~~SECRET~~

Hofmann-IOB-2213

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 4/19/01 and captioned [redacted]

[redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-20." In this regard, due to apparent administrative error, [redacted] failed to submit the required 90-day letterhead memorandum due on [redacted]. As a result, the FBI's opening of a [redacted] on a presumed U.S. person was not reported to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ), before the case was closed on [redacted]. This was a violation of [redacted] of the Attorney General Guidelines. (S)

(U)

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APPROVED:	Crim. Inv. _____	Inspection <u> D </u>	Training _____
	C/S _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Coun. <u> LR </u>	Off. of Public & _____
Deputy Director _____	Info. Res. _____	Personnel _____	Cong. Affs. _____

JKL
4/24/0

myw

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DHH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER,
[REDACTED] DIVISION

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IOB MATTER 2001-20 (U)

(S) Inquiry has determined that in conducting a [REDACTED] of [REDACTED] a presumed U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the initial 90-day reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division [REDACTED] opened a [REDACTED] on [REDACTED] which was later converted to a [REDACTED] of the subject on [REDACTED]. Thereafter, due to administrative error, [REDACTED] failed to submit the required 90-day letterhead memorandum due on [REDACTED]. As a result, the FBI's opening of a [REDACTED] on a presumed U.S. person was not reported to the Office of Intelligence Policy and Review (OIPR), Department of Justice, before the case was closed by [REDACTED] on [REDACTED]. This error precluded meaningful oversight and review of Albuquerque's ongoing investigation by OIPR, which is contrary to the requirements of [REDACTED] of the FCIG. (S)

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~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

SECRET

VMM
4/23/01
mw

APPROVED: _____
Crim. Inv. _____ Inspection _____ Training _____
C.I.S. _____ Laboratory _____ Off. of EEO _____
Finance _____ Mat'l Sec. _____ Affairs _____
Director _____ Gen. Coun. _____ OPR _____ Off. of Public & _____
Deputy Director _____ Personnel _____ Cong. Affs. _____
Norman IOB-2215

~~SECRET~~

May 9, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2001-18."
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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- ① - Mr. [redacted]
- 1 - OPR (2001-18)
- 2 - INSD (278-HQ-C1229736-26 Serial 8) (IOB 2001-18)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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JAW:jaw (7)

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- CIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: K1

~~SECRET~~

Hofmann-IOB-2216

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (and the FBI's Office of Professional Responsibility) by EC from the Inspection Division, dated 4/25/01 and captioned [redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-18." This letter transmits to the IOB a letterhead memorandum (LHM) regarding [redacted] failure to submit the first annual LHM due on [redacted]. As a result of the error, OIPR was not advised of the status of this case involving a U.S. person before the case was closed on [redacted]. This is contrary to the requirements of [redacted] of the Attorney General Guidelines. (S)

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APPROVED:

Director _____	Crim. Inv. _____	Inspection <u>[Signature]</u>	Training _____
Deputy Director _____	CNS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Gen. Counsel <u>[Signature]</u>	OPR _____	Off. of Public & _____
	Info. Res. _____	Personnel _____	Cong. Affs. _____

myw
JMM
5/1/01

~~SECRET~~

Hofmann-IOB-2217

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2001-18 (U)

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(S)

Inquiry has determined that in conducting a [redacted]

(S)

[redacted] of [redacted]
[redacted] a U.S. person, the Federal Bureau of
Investigation (FBI) failed to meet the annual reporting
requirements prescribed in [redacted] of the Attorney General
Guidelines for FBI Foreign Intelligence Collection and Foreign
Counterintelligence Investigations (FCIG). In this regard,
the [redacted] Division [redacted] opened a [redacted]
[redacted] on the subject on [redacted]. Due to administrative
error, [redacted] failed to submit the required annual letter-
head memorandum (LHM) due on [redacted]. The investigation was
closed on [redacted]. The failure to submit the required
annual LHM precluded meaningful oversight and review of [redacted]
[redacted] ongoing investigation by the Office of Intelligence
Policy and Review, Department of Justice, which is contrary to
the requirements of [redacted] of the FCIG. ~~(S)~~

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APPROVED:

Crim. Inv.	Inspection	Training
C.I.S.	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	Gen. Counsel	Off. of Public &
Deputy Director	Intg. Res.	Personnel
		Cong. Affs.

WJA
5/1/10
mmw

~~Classified by: 39645 NSA/OGC
Reason: 1.5 (c)
Declassify on: X1~~

~~SECRET~~

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMM/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

May 10, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2001-16." (U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
 - 1 - Mr. [redacted]
 - 1 - Mr. [redacted]
 - 1 - OPR (IOB 2001-16)
 - 1 - INSD (278-HQ-C1229736-34 Serial 55)
 - ① - Mr. [redacted]
- JAW:jaw (7)

~~UNCLASSIFIED WHEN
DETACHED FROM NOTE &
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- IDS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2219

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter; an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC and the Director's Office (OPR) by EC from INSD dated 5/01/01 and titled [redacted]

[redacted] Division[;]
Intelligence Oversight Board (IOB) Matter 2001-16." In this regard, [redacted] has reported that, due to administrative error,

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[redacted]

[redacted] when the error was discovered. Appropriate action has been taken to sequester the [redacted] for eventual destruction.

APPROVED:

Crim. Inv.	Inspection	Training
C.I.S.	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	OPR	Off. of Public &
Deputy Director	Personnel	Cong. Affs.

JMM
5/04/c
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~~SECRET~~

Hofmann-IOB-2220

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DNH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
IOB MATTER 2001-16 (U)

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The [REDACTED] Division of the Federal Bureau of
Investigation (FBI) has reported that, due to administrative
error, the [REDACTED]

(S)

[REDACTED]

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[REDACTED] After the error was discovered, appro-
priate action was taken to sequester the [REDACTED] for
eventual destruction. ~~(S)~~

Handwritten: 3/4/01

APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
Director _____	Gen. Counsel _____	OPR _____	Off. of Public & _____
Deputy Director _____	Info. Sys. _____	Personnel _____	Coop. Affs. _____

~~Classified by: 39645 NSA/OGC~~
~~Reason: 1.5(c)~~
~~Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2221

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DNH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

April 13, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted]
[redacted], FBI Headquarters, IOB Matter 2001-14." ~~(S)~~

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. Additionally, the matter is
being investigated by the Office of Professional Responsibility
at the Department of Justice. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (2001-14)
- 2 - INSD (278-HQ-C1229736-717 Serial 1) (IOB 2001-14)
- 1 - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Admin. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (7)

Classified by: ~~39645, NSA/OGC~~
Reason: ~~1.5(c)~~
Declassify on: ~~AT~~

~~SECRET~~

Hofmann-IOB-2222

MAIL ROOM Q

FBI/DOJ

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 3/22/01 and captioned [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-14." This letter transmits to the IOB a letterhead memorandum concerning [redacted]

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[redacted] in a timely manner. ~~(S)~~

APPROVED:

Director _____	Crim. Inv. _____	Inspection <i>[Signature]</i>	Training _____
Deputy Director _____	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance <i>[Signature]</i>	National Sec. _____	Affairs _____
	Gen. Coun. <i>[Signature]</i>	CPA _____	Off. of Public & _____
	Info. Res. _____	Personnel _____	Cong. Affs. _____

[Signature]
3/24/01

~~SECRET~~

Hofmann-IOB-2223

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[REDACTED]

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b7E

FBI HEADQUARTERS
IOB MATTER 2001-14 ~~(S)~~

As described in detail in [REDACTED]

[REDACTED]

~~Classified by: 39645, NSA/OGC~~
~~Reason: 1.5(c)~~
~~Declassify on: X1~~

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~~SECRET~~

1 ~~(S)~~
[REDACTED]

(S)

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Hofmann-IOB-2224

~~SECRET~~

[Redacted]

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errors -- Additionally, despite the significance of these

[Redacted]

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(U) [Redacted] The delayed reporting is a violation of FBI regulations. These matters have been reported to the FBI's Office of Professional Responsibility. The matter is also being investigated by the Office of Professional Responsibility, Department of Justice. ~~(S)~~

APPROVED:	Crim. Inv. _____	Inspection <u>DR</u>	Training _____
	CJIS _____	Laboratory _____	Off. of EEO _____
	Finzace _____	National Sec. _____	Adm. Serv. _____
	Director _____	Gen. Counsel <u>AP</u>	Off. of Public & _____
Deputy Director _____	Info. Sys. _____	Personnel _____	Comm. Affs. _____

WJW
4/04/01

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: ~~X1~~~~

~~SECRET~~

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DNH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

March 23, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division and [redacted]
[redacted] IOB Matter 2001-13." ~~20~~

b2
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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- ① - OPR (2001-13)
- 2 - INSD (278-HQ-C1229736-13 Serial 4) (IOB DN2001-13)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

JAW:jaw (7)

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of GEOA _____
- Director's Office _____

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2226

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (and the FBI's Office of Professional Responsibility) by EC from the Inspection Division, dated 3/19/01 and captioned

[redacted] Division[;]. Intelligence Oversight Board (IOB) Matter 2001-13."

(S) This letter transmits to the IOB a letterhead memorandum regarding the failure of the [redacted] Division and [redacted]

[redacted] to ensure that [redacted] LHM concerning [redacted]

(S) [redacted] a U.S. person, was submitted to the Office of Intelligence Policy and Review (OIPR) as required. As a result of the error, OIPR was not advised of the status of this case for approximately [redacted] a violation of [redacted] of the Attorney General Guidelines. (S)

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APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
	Deputy Director _____	Info. Res. _____	Comp. Affs. _____

JML
3/19/01
mjw

~~SECRET~~
Hofmann-IOB-2227

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
AND
[REDACTED]

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b7E

IOB MATTER 2001-13 (U)

(S) Inquiry has determined that in conducting a [REDACTED] of [REDACTED] a U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the annual reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division [REDACTED] initially opened its [REDACTED] on [REDACTED]. The initial (90-day) letterhead memorandum (LHM) and the [REDACTED] LHM were properly prepared and uploaded into the FBI's Automated Case Support system. Thereafter, due to administrative error, [REDACTED] LHM was not submitted for [REDACTED] and the [REDACTED] [REDACTED] which is responsible for administrative supervision of the case, failed to detect the error. These mistakes precluded the Office of Intelligence Policy and Review, Department of Justice, from exercising meaningful oversight and review of [REDACTED]'s investigation, which is contrary to the reporting requirements of [REDACTED] of the FCIG. (S)

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(U)

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
Director _____	Finance _____	Int'l Sec. _____	Affairs _____
Deputy Director _____	Gen. Counsel _____	OPR _____	Off. of Public & Cong. Affs. _____
	Intell. Res. _____	Personnel _____	

3/19/01
mp

Hofmann-IOB-2228

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

March 23, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division, IOB Matter 2001-11." (U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (2001-11)
- 1 - Mr. [redacted]
- 2 - INSD (278-HQ-C1229736-65 Serial 2) (IOB 2001-11)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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JAW:jaw (7)

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645 NSA/OGC
Reason: 1.5 (c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2229

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 3/09/01 and captioned [redacted]

[redacted] Division, Intelligence Oversight Board (IOB) Matter 2001-11." This letter transmits to the IOB a letterhead memorandum concerning the failure of Headquarters' personnel to ensure that a copy of [redacted] properly submitted LHM concerning [redacted] a U.S. person, was forwarded to the Office of Intelligence Policy and Review (OIPR) as required. As a result of the error, OIPR was not advised of the status of this case for approximately [redacted] a violation of [redacted] of the Attorney General Guidelines. (X)

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(S)

APPROVED:

Crim. Inv.	Inspection	Training
C.I.S.	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	Gen. Counsel	Off. of Public Aff.
Deputy Director	Info. Res.	Personnel
		Cong. Affs.

JWW
3/14/00
mfw

~~SECRET~~

Hofmann-IOB-2230

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2001-11 (U)

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(S)

Inquiry has determined that in conducting a [redacted]
[redacted] on [redacted] a U.S. person, the Federal
Bureau of Investigation (FBI) failed to meet the annual reporting
requirements prescribed in [redacted] of the Attorney General
Guidelines for FBI Foreign Intelligence Collection and Foreign
Counterintelligence Investigations (FCIG). In this regard, the
[redacted] Division [redacted] initially opened its [redacted]
[redacted] on [redacted]. Because the subject was
(and remains) a "United States person" as that term is used in
Section 101(i) of the Foreign Intelligence Surveillance Act of
1978 (FISA), continuation of the investigation required that [redacted]
[redacted] and the [redacted]
[redacted] comply with the requirements of Executive
Order 12863 and the FCIG. [redacted] has reported that its first
annual letterhead (LHM) was prepared and submitted on time, and
[redacted] has reported that the LHM was retrieved from the
FBI's Automated Case Support (ACS) system and forwarded to the
[redacted]
on [redacted]. The [redacted] has no record of having received
the LHM. As a result, the Office of Intelligence Policy and
Review (OIPR), Department of Justice (DOJ), was not advised of
the status of this case until [redacted] when [redacted]'s
[redacted] LHM was received by the [redacted] for submission to
OIPR. This error precluded meaningful oversight and review of
[redacted]'s ongoing investigation by OIPR, which is contrary to
the requirements of [redacted] of the FCIG. (S)

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Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1

~~SECRET~~

APPROVED:	Crim. Inv.	Inspection	Training
	CNS	Laboratory	Off. of EEO
	Finance	National Sec.	Affairs
	Director	Gen. Counsel	Off. of Publics
	Deputy Director	Info. Res.	Personnel

WMM
3/13/01
MV

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

August 10, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM) entitled "Intelligence Oversight
Board (IOB) Matter, [redacted]
[redacted] IOB Matter 2001-46." (U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
 - 1 - Mr. [redacted]
 - 1 - Mr. [redacted]
 - ① - Mr. [redacted]
 - 2 - INSD (1-278-HQ-C1229736-717-6) (1-IOB 2001-46)
- JAW:jaw (7)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Dir. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CHS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

Classified by: ~~39645, NSA/OGC~~
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

~~SECRET~~

Hofmann-IOB-2232

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 08/03/01 and titled [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-46." This letter transmits to the IOB a letterhead memorandum regarding the failure of the [redacted] to ensure that [redacted] initial 90-day LHM concerning [redacted] a U.S. person, was forwarded to the Office of Intelligence Policy and Review (OIPR) as required. As a result of the error, OIPR was not advised of the status of this case for approximately [redacted]. This was a violation of the Attorney General Guidelines. [redacted]'s initial error was compounded by the unit's failure to report the potential IOB matter for [redacted] following discovery of the mistake. Such errors are required by FBI regulations [redacted] to be reported with 14 days of discovery. ~~(S)~~

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(S)

APPROVED:	Crim. Inv. _____	Inspection <u>[initials]</u>	Training _____
	CJS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel <u>[initials]</u>	Off. of Public & _____
Deputy Director _____	Info. Res. _____	Personnel _____	Cong. Affs. _____

WUS
8/7/01

- 2 -

LRP/SLK
8-7-01

~~SECRET~~

Hofmann-IOB-2233

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

[Redacted]

IOB MATTER 2001-46 (U)

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(S) Inquiry has determined that in conducting a [Redacted] of [Redacted] a United States person, the Federal Bureau of Investigation (FBI) failed to meet the initial reporting requirements prescribed in [Redacted] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [Redacted] Division [Redacted] opened a [Redacted] of the subject on [Redacted]. Thereafter, due to administrative error, the [Redacted] [Redacted] -- failed to forward [Redacted] initial 90-day letterhead memorandum (LHM) to the Office of Intelligence Policy and Review (OIPR), Department of Justice, as required by the FCIG. The error was not realized until [Redacted] when [Redacted] LHM was received by the [Redacted] and forwarded to OIPR for review. The delayed submission clearly precluded meaningful oversight and review of [Redacted] ongoing investigation by OIPR, which is contrary to the requirements of [Redacted] of the FCIG. The [Redacted] initial error was further compounded by the unit's failure to report the error for [Redacted] following the discovery of the mistake. FBI regulations specify that potential IOB matters shall be reported with 14 days of discovery. [Redacted]'s handling of this case has been reported to the FBI's Office of Professional Responsibility. (U) (S)

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~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

APPROVED:

Director	Gen. Counsel	Info. Rec.	Crim. Inv.	Inspection	Laboratory	National Sec.	Personnel	Training

LA NSLU
8-7-01

Hofmann-IOB-2234

WMM
8/7/01

DATE: 07-02-2010
CLASSIFIED BY: 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

September 26, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter involving the [redacted]
[redacted] IOB Matter 2001-47." (U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- ① - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

2 - INSD (1-278-HQ-C1229736-705 Serial 62) (1-IOB-2001-47)
JAW:jaw (7)

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~
Hofmann-IOB-2235

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 08/28/01 and titled [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-47." This letter transmits to the IOB a letterhead memorandum regarding the failure of the [redacted]

(S) [redacted] to ensure that [redacted] s initial 90-day LHM concerning the [redacted]

(S) [redacted] a presumed U.S. person, was forwarded to the Office of Intelligence Policy and Review (OIPR) as required. As a result of the error, OIPR was not advised of the status of this case for approximately [redacted] This was a violation of [redacted] of the Attorney General Guidelines. (S)

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APPROVED:

Crim. Inv.	Inspection	Training
CJS	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	Gen. Counsel	OIPR
Deputy Director	Info. Sys.	Personnel
		Cong. Affs.

- 2 -

~~SECRET~~

Hofmann-IOB-2236

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
INVOLVING

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[Redacted]

IOB MATTER 2001-47 (U)

(S)

Inquiry has determined that the [Redacted] Division

[Redacted] opened a [Redacted] on the [Redacted]

(S)

[Redacted] on [Redacted] Because

the subject was (and remains) a presumed "United States person"
as that term is used in Section 101(i) of the Foreign
Intelligence Surveillance Act of 1978 (FISA), continuation of
the investigation required that [Redacted] and the [Redacted]

[Redacted] comply with the requirements of
Executive Order 12863 and the Attorney General Guidelines for FBI
Foreign Intelligence Collection and Foreign Counterintelligence
Investigations (FCIG). Consistent with those requirements, in

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[Redacted] submitted a 90-day letterhead (LHM) to
the substantive unit to be forward to the Office of Intelligence
Policy and Review (OIPR), Department of Justice (DOJ) for review.
The [Redacted] erred in failing to forward the LHM as
required. The error was realized in [Redacted] when [Redacted]

[Redacted] LHM was received by Headquarters, and the
[Redacted] realized that the 90-day LHM had not been
submitted as required. The delayed reporting to OIPR precluded
that Office from conducting meaningful oversight and review of
[Redacted]'s ongoing intelligence investigation. Accordingly, this
matter has been reported to the FBI's Office of Professional
Responsibility for action deemed appropriate. ~~DOT~~

JWK
09/06/07

APPROVED:	Crim. Inv. _____	Inspection <i>[Signature]</i>	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
Director _____	Gen. Couns. <i>[Signature]</i>	OPR _____	Off. of Public & _____
Deputy Director _____	Info. Res. _____	Personnel _____	Cong. Affs. _____

myw

Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2237

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

August 31, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted] Division and [redacted]
[redacted] FBI Headquarters, IOB Matter
2001-48." (U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-48)2
- 1 - INSD (278-HQ-C1229736-8 Serial 6) (IOB 2001-48)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Sup. Dir. _____
- Chief of Staff _____
- Asst. Dir.:
- Adm. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JWms.:jwms (8)

Classified by: 39645 NSA/OGC
Reason: 1.5 (c)
Declassify on: X1

~~SECRET~~

Hofmann-IOB-2238

MAIL ROOM □

FBI/DOJ

~~SECRET~~

Honorable Warren E. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 8/10/01 and captioned [redacted]

[redacted] Division [redacted] Intelligence Oversight Board (IOB) Matter 2001-48." This letter transmits to the IOB a letterhead memorandum (LHM) regarding the failure of the [redacted] Division and [redacted] to ensure that [redacted] s [redacted]

(S) LHM concerning [redacted], a U.S. person, was submitted to the Office of Intelligence Policy and Review, DOJ, in a timely manner. The LHM was overdue by approximately [redacted] ~~10~~

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APPROVED:

Director	Crim. Inv.	Inspection	Training
Deputy Director	CJS	Laboratory	Off. of EEO
	Finance	National Sec.	Affairs
	Gen. Counsel	CFR	Off. of Public &
	Info/Res.	Personnel	Coop. Affs.

JML
8/13/01

~~SECRET~~

Hofmann-IOB-2239

my

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[REDACTED] DIVISION
AND

b2
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[REDACTED]
FBI HEADQUARTERS
IOB MATTER 2001-48 ~~184~~

(S) Inquiry has determined that in conducting a [REDACTED] on [REDACTED] a U.S. person, the Federal Bureau of Investigation (FBI) failed to comply with the annual reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division [REDACTED] opened its [REDACTED] on the subject on [REDACTED]. Because the subject was (and remains) a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978, continuation of the investigation required that [REDACTED] and the [REDACTED] [REDACTED] comply with the requirements of Executive Order 12863 and the FCIG. During a file review conducted by the [REDACTED] Division on [REDACTED], it was determined that, owing to a series of personnel transfers, [REDACTED] had failed to submit the required [REDACTED] letterhead memorandum due on [REDACTED]. As a result of the error, the Office of Intelligence Policy and Review, Department of Justice, was not advised of the status of this on-going investigation for approximately [REDACTED]. This is a violation of the FCIG. ~~184~~

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JMS
8/13/0

APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
Deputy Director _____	Info. Sys. _____	Personnel _____	Cong. Affs. _____

Classified by: ~~39645, NSA/OGC~~
Reason: ~~1.5(c)~~
Declassify on: ~~X1~~

myw

~~SECRET~~

Hofmann-IOB-2240

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 07-02-2010 BY 65179/DMH/plj/lcw

January 19, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

The purpose of this letter is to advise you of past errors in the Federal Bureau of Investigation's administration of the Intelligence Oversight Board (IOB) process, and the results of corrective action completed.

As you know, Executive Order 12863 requires the Inspectors General and the General Counsel of the Intelligence Community components to report to the IOB all information "concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive order or Presidential Directive." Within the FBI, this responsibility is vested in the Assistant Director of the Inspection Division and the General Counsel. In this regard, current Bureau regulations, approved by the IOB, require the Assistant Director, Inspection Division, to refer possible IOB violations to the Office of the General Counsel for review by the Associate General Counsel for National Security Affairs (AGCNSA). In the event that he determines a particular matter should be reported to the IOB, the AGCNSA so advises the Assistant Director, Inspection Division, and he (the AGCNSA) prepares a letter to the IOB

- 1 - Mr. Parkinson
 - 1 - Mr. [redacted]
 - 1 - Mr. Knowlton
 - 1 - Mr. [redacted]
 - 1 - Mr. [redacted]
- 66F-102-11258990 SERIAL 210*
JAW:jaw (7)

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir. _____
- Admin. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finances _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EBOA _____
- Director's Office _____

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Hofmann-IOB-2241

Honorable Warren B. Rudman

reporting the intelligence violation. That correspondence is signed by the Assistant Director, Inspection Division, and the General Counsel. In the event that a matter is not required to be reported to the IOB, the AGCNSA advises the Assistant Director that a copy of his legal opinion should be retained with the case file for review by the Counsel to the IOB, if requested. Additionally, Bureau regulations require that matters reported to the IOB be reported to the FBI's Office of Professional Responsibility (OPR) by the Inspection Division for possible administrative or disciplinary action.

In August of 1999, following an internal OGC audit of the IOB process, the National Security Law Unit, OGC, informed the Inspection Division of significant delays in reporting IOB violations to OGC, and also questioned whether reportable IOB violations were being referred to OPR as required. Further inquiry determined that, owing to personnel transfers and general unfamiliarity with IOB procedures, the Inspection Division had not been reporting reportable IOB violations to OPR since April, 1997, when responsibility for oversight of the IOB process was transferred from OPR to the Inspection Division. Thus, for approximately twenty-nine months, the FBI's correspondence to the IOB, in which we reported the Bureau's IOB violations and indicated that such violations were being referred to the OPR, was incorrect with regard to the referral of such matters to OPR.

As soon as this problem was identified in 1999, Inspection Division, OGC and OPR representatives met on several occasions to identify those cases which should have been referred to OPR. During the past year, all of those cases have been identified and referred to OPR. Additionally, appropriate measures have been taken to ensure IOB reporting requirements conform to Bureau regulations. This matter was also addressed during OGC's recent inspection by the Inspection Division.

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience.

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

APPROVED: Crim. Inv. _____ Inspection DR _____ Training _____
CNS _____ Laboratory _____ Off. of EEO _____
Finance _____ National Sec. _____ Affairs _____
Director _____ Gen. Counsel DR _____ CFR _____ Off. of Public & _____
Deputy Director _____ Info. Res. _____ Personnel _____ Cong. Affs. _____

Handwritten: VAK
12/18/80
mgs

~~SECRET~~

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

September 21, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted]
[redacted] IOB Matter 2001-50." ~~U~~

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-50)
- ① - INSD (1-278-HQ-C1229736-717 Serial 10)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

JAW:jaw (7)
1- WMS

~~Classified by: 32645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2244

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 08/27/01 and titled [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-50. Owing to the reorganization of [redacted] and the assignment of new personnel, the unit failed to forward to OIPR the [redacted] letterhead memorandum (LHM) submitted by the [redacted] Division on [redacted] a U.S. person. When the error was caught in [redacted]'s LHM was [redacted] overdue. The delay was a violation of the reporting requirements set forth in the Attorney General Guidelines.

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APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public Affs. _____
Deputy Director _____	Info. Res. _____	Personnel _____	Cong. Affs. _____

VIMS
8/31/01

mjw

~~SECRET~~

Hofmann-IOB-2245

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION

[redacted]

IOB MATTER 2001-50 ~~80~~

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Inquiry has determined that in conducting a [redacted] of [redacted] a U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the annual reporting requirements prescribed in [redacted] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, review of this matter has determined that the [redacted] Division [redacted] opened a [redacted] on the subject on [redacted]. The initially required 90-day letterhead memorandum (LHM) was submitted on time and was properly forwarded to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ). Thereafter, owing to the reorganization of [redacted] FBI Headquarters, the unit failed to forward [redacted] LHM when it was received in [redacted]. The error went detected until [redacted]. As a result of the delayed reporting, OIPR was not advised of the undetected status of this ongoing investigation for approximately [redacted]. This was a violation of the reporting requirements prescribed in the FCIG. ~~IX~~

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APPROVED:	Crim. Inv. _____	Inspection <u>D</u>	Training _____
	CJIS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel <u>AP</u>	Off. of Public & _____
Deputy Director _____	Intell. Res. _____	Personnel _____	Cong. Affs _____

JMS
8/31/0

myu

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2246

~~SECRET~~

September 21, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter, [redacted] Division, IOB Matter 2001-52."
(U)

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This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-52)
- 1 - INSD (278-HQ-C1229736-705-45) (IOB 2001-52)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

Handwritten initials: D-Wms

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2247

MAIL ROOM □

FBI/DOJ

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to OGC by EC from the Inspection Division, dated 08/27/01 and captioned [redacted]

[redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-52." In this regard, INSD has determined that [redacted] s required 90-day LHM was approximately [redacted] overdue when it was submitted on [redacted] s annual LHM was submitted [redacted] later, which was [redacted]. These errors might have been caught by the [redacted] except that [redacted] did not report the conversion of the case from a [redacted] to a [redacted], and thus the [redacted] was unaware that reporting dates had been created and were being missed. The [redacted] is legally significant, requiring submission of this matter to the IOB. ~~xxx~~

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APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Coun. _____	Off. of Public & _____
Deputy Director _____	Info. Res. _____	Personnel _____	Cong. Affs. _____

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
[redacted] DIVISION
IOB MATTER 2001-52 (U)

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(S)

Inquiry has determined that in conducting a [redacted] of [redacted] a United States person, the Federal Bureau of Investigation (FBI) failed to meet the initial (90-day) and annual reporting requirements prescribed in [redacted] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [redacted] Division [redacted] opened a [redacted] on the subject which subsequently was converted to a [redacted] on [redacted]. Because the subject was (and remains) a "United States person" as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA), continuation of the investigation required that [redacted] and the [redacted] [redacted] comply with the reporting requirements set forth in Executive Order 12863 and the FCIG. [redacted] erred initially by failing to advise the [redacted] that its [redacted] had been converted to a [redacted]. This initial error was compounded further by [redacted]'s failing to submit the required 90-day letterhead memorandum (LHM), due on [redacted] [redacted] until [redacted]. The LHM was thus approximately [redacted] [redacted] overdue. [redacted] annual LHM was submitted three days after that, which was [redacted] late. The [redacted] delay was legally significant, as it precluded the Office of Intelligence Policy and Review, Department of Justice, from conducting meaningful oversight and review of [redacted] ongoing investigation involving a United States person. This matter has been reported to the FBI's Office of Professional Responsibility for action deemed appropriate. (S)

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~~Classified by: 39645, NSA/OGC
Reason: 1.5 (c)
Declassify on: X1~~

~~SECRET~~

APPROVED: Crim. Inv. _____ Inspection _____ Training _____
CJS _____ Laboratory _____ Off. of EEO _____
Finance _____ National Sec. _____ Affairs _____
Director _____ Gen. Counsel _____ CFR _____ Off. of Public & _____
Deputy Director _____ Info. Res. _____ Personnel _____ Cong. Affs. _____

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myw

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

September 5, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted]
Division, IOB Matter 2001-51." (U)

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 2 - INSD (1-278-HQ-C1229736-63 Serial 3 (1-IOB 2001-51))
- 1 - Mr. [redacted]

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____ JWms:jwms (7)
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

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Hofmann-IOB-2250

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 8/17/01 and titled [redacted]

[redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-51." This letter transmits to the IOB a letterhead memorandum regarding the failure of the [redacted] Division, to ensure that an initial 90-day LHM and periodic reports of the investigation of [redacted] a presumed U.S. person, were forwarded to FBIHQ and to the Office of Intelligence Policy and Review (OIPR) within the periods prescribed by regulation. As a result of these errors, neither FBIHQ nor OIPR were advised of the status of this case. This was a violation of [redacted] of the Attorney General Guidelines.

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8/24/01

APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	Off. of Public & _____
Deputy Director _____	Info. Tech. _____	Personnel _____	Cong. Affs. _____

- 2 -

~~SECRET~~

Hofmann-IOB-2251

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER

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[REDACTED] DIVISION
IOB MATTER 2001-51 (U)

(S)

Inquiry has determined that in conducting a [REDACTED] on [REDACTED] a presumed U.S. person, the Federal Bureau of Investigation (FBI) failed to meet the reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG). In this regard, the [REDACTED] Division, initiated a [REDACTED] on the subject on [REDACTED] which was set to expire on [REDACTED]. Because the subject was a presumed U.S. person, continuation on the investigation required that the [REDACTED] comply with the requirements of the FCIG. Owing to administrative errors related to the retirement of the initially assigned case agent, the [REDACTED] remained open until [REDACTED] without Headquarters' authorization or the submission of periodic reports to the Office of Intelligence Policy and Review (OIPR), Department of Justice (DOJ). As a consequence of the errors, FBI Headquarters and OIPR were precluded from exercising oversight and control of this investigation, which is contrary to the requirements of [REDACTED] of the FCIG. ~~SI~~

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APPROVED:	Crim. Inv.	Inspection	Training
	C.I.S.	Laboratory	Off. of EEO
	Finance	National Sec.	Affairs
	Director	Gen. Counsel	Off. of P.
	Deputy Director	Info. Rec.	Personnel

Jul
8/24/01

~~Classified by: 39645, NSA/OGC
Reason: 1.5 (c)
Declassify on: X1~~

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Hofmann-IOB-2252

October 17, 2001

DECLASSIFIED BY 65179/DMH/plj/lcw
ON 07-02-2010

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted]

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[redacted] IOB Matter
2001-37." (U)

This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-37)
- 1 - INSD (278-HQ-C1229736-9 Serial 17)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Ser. _____
- Crim. Inv. _____
- CIIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public _____
- & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

(1) WMS

~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~ Hofmann-IOB-2253

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division to OGC and the Director's Office [OPR], dated 10/03/01 and titled "Unknown Subject[;] [redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-37." In this regard, by EC dated 01/22/01, the [redacted] Division reported to OPR the [redacted]

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[redacted] The matter was referred to INSD by OPR, and by INSD to OGC, to determine if the matter must be reported as an Intelligence Oversight Board (IOB) violation. Inquiry subsequently conducted by INSD has determined that the information came from the [redacted]

(U)

[redacted] and that the [redacted]

[redacted] The error must be reported to the IOB. (S)

July
10/05/01
myw

APPROVED:	Crim. Inv. _____	Inspection _____	Training _____
	CJS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel _____	OPR _____
	Deputy Director _____	Info. Res. _____	Personnel _____
		Off. of Public & Cong. Affs _____	

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c,d)
DECLASSIFY ON: 07-02-2035

~~SECRET~~

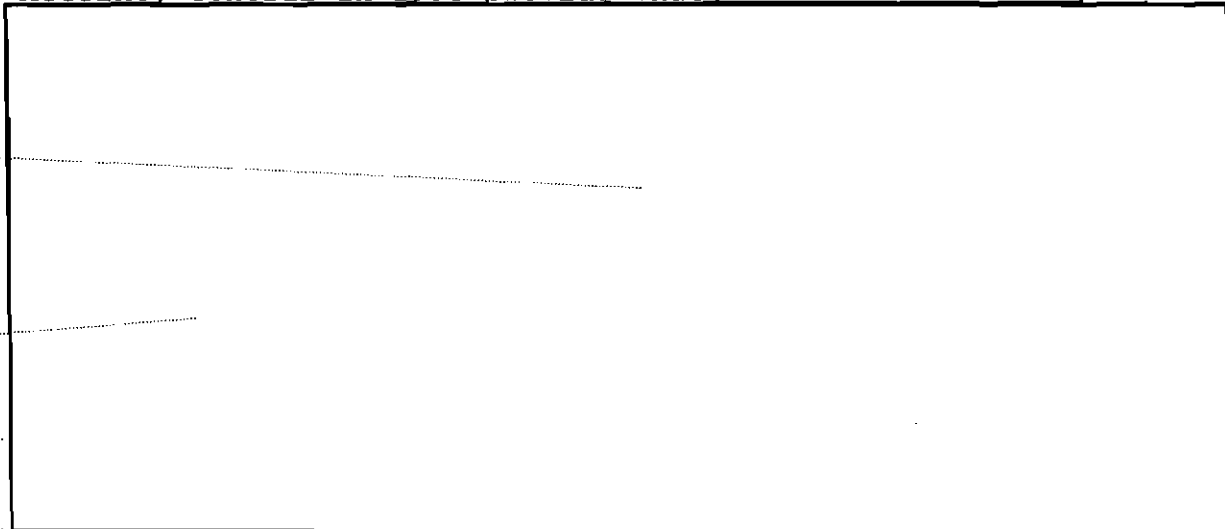
INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER



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IOB MATTER 2001-37 (U)

Information sharing procedures promulgated by the
Attorney General in 1995 provide that



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This matter has been referred to the FBI's Office
of Professional Responsibility for appropriate action. ~~(S)~~

APPROVED:	Crim. Inv. _____	Inspection <u>1</u>	Training _____
	C.I.S. _____	Laboratory _____	Off. of EEO _____
	Finance <u>RRP</u>	National Sec. _____	Affairs _____
Director _____	Gen. Inv. _____	OPR _____	Off. of Public & _____
Deputy Director _____	Info. Res. _____	Personnel _____	Cong. Affs. _____

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~~Classified by: 39645; NSA/OGC
Reason: 1.5 (c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2255

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DECLASSIFIED BY 65179 DMH/plj
ON 07-12-2010

September 26, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory letterhead memorandum (LHM), entitled "Intelligence Oversight Board (IOB) Matter involving the [redacted] FBI Headquarters, and the [redacted] Division, IOB Matter 2001-53." (U)

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This LHM sets forth details of investigative activity which the FBI has determined was conducted contrary to the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations and/or laws, Executive Orders, or Presidential Directives which govern FBI foreign counterintelligence and international terrorism investigations. This matter has also been referred to our Office of Professional Responsibility for a determination of whether any administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - OPR (IOB 2001-53)
- 1 - INSD (278-HQ-C1229736-45 Serial 5) (IOB 2001-53)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

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b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. _____
- Counsel _____
- Asst. Dir.:
- Adm. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Cong. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

WMS

~~Classified by: 39645, NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2256

MAIL ROOM 6

FBI/DOJ

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Honorable Warren B. Rudiman

Should you or any member of your staff require additional information concerning this matter; an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division.

NOTE: This matter was referred to OGC by EC from the Inspection Division, dated 08/27/01 and captioned [redacted]

[redacted] Division[;] Intelligence Oversight Board (IOB) Matter 2001-53." In this regard, INSD has determined that, inexplicably, neither [redacted] nor [redacted] was able to correctly determine when [redacted]'s initial (90-day) LHM was due. Each left it to the other to resolve the issue, and as a result of the confusion created, [redacted]'s 90-day LHM was [redacted] overdue when it was finally submitted on [redacted]. The delay was legally significant, requiring submission of this matter to the IOB. (S)

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JULY
9/10/01

APPROVED:

Crim. Inv.	Inspection	Training
CJIS	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	Gen. Counsel	Off. of Public &
Deputy Director	Info. Res.	Personnel
		Comp. Affs.

~~SECRET~~

Hofmann-IOB-2257

~~SECRET~~

DATE: 07-12-2010
CLASSIFIED BY 65179 DMH/plj
REASON: 1.4 (c)
DECLASSIFY ON: 07-12-2035

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER
INVOLVING

[REDACTED]

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

FBI HEADQUARTERS
AND THE [REDACTED] DIVISION
IOB MATTER 2001-53 ~~78~~

(S) Investigation of this matter has determined that in conducting a [REDACTED] of [REDACTED] the Federal Bureau of Investigation (FBI) failed to meet the initial (90-day) reporting requirements prescribed in [REDACTED] of the Attorney General Guidelines for FBI Foreign Intelligence Collection and Foreign Counterintelligence Investigations (FCIG).

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In this case, the [REDACTED] Division [REDACTED] opened a [REDACTED] of the subject on [REDACTED]. Because the subject was (and remains) a presumed "United States person"² as that term is used in Section 101(i) of the Foreign Intelligence Surveillance Act of 1978 (FISA)³, continuation of the investigation required that [REDACTED] and the [REDACTED] [REDACTED] comply with the reporting requirements set forth in Executive Order 12863 and the FCIG. Inexplicably, during the [REDACTED] period after the case was initially opened, neither [REDACTED] nor the substantive unit was able to correctly determine whether a legal basis existed to

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~~Classified by: 39645 NSA/OGC
Reason: 1.5(c)
Declassify on: X1~~

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(S) [REDACTED]

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² (U) Subsequent investigation has determined that neither the [REDACTED] Division nor the [REDACTED] was able to conclusively determine whether the subject was a "United States person" as that term is used in 50 U.S.C. § 1801(i). [REDACTED]

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³ (U) 50 U.S.C. § 1801 et seq.

~~SECRET~~

continue [redacted]'s full investigation. As a result of the confusion generated, [redacted] failed to submit the 90-day letterhead memorandum (LHM), due on [redacted] setting forth the basis for the continuation of its investigation. When the required LHM ultimately was submitted to the Headquarters on [redacted] it was [redacted] overdue. The delay in submitting this matter for review was legally significant, and precluded the Office of Intelligence Policy and Review, Department of Justice, from conducting meaningful oversight and review of San Antonio's ongoing investigation involving a presumed United States person.

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b7E

(U) The FBI's handling of this matter has been reported to the FBI's Office of Professional Responsibility for action deemed appropriate. ~~(S)~~

July
9/05/56

APPROVED: Crim. Inv. _____ Inspection *DRK/...* Training _____
C.I.S. _____ Laboratory _____ Off. of EEO _____
Finance _____ National Sec. _____ Affairs _____
Director _____ Gen. Couns. *DRP* OPR _____ Off. of Public & _____
Deputy Director _____ Inform. _____ Personnel _____ Cong. Affs. _____

- 2 -
~~SECRET~~

Hofmann-IOB-2259

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE

DATE: 07-02-2010
CLASSIFIED BY 65179/DMH/plj/lcw
REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

September 26, 2001

BY COURIER

Honorable Warren B. Rudman
Acting Chairman
Intelligence Oversight Board
Old Executive Office Building
Washington, D.C.

Dear Senator Rudman:

Enclosed for your information is a self-explanatory
letterhead memorandum (LHM), entitled "Intelligence Oversight
Board (IOB) Matter, [redacted]
[redacted] FBI Headquarters, IOB Matter 2001-54." ~~781~~

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This LHM sets forth details of investigative activity
which the FBI has determined was conducted contrary to the
Attorney General Guidelines for FBI Foreign Intelligence
Collection and Foreign Counterintelligence Investigations and/or
laws, Executive Orders, or Presidential Directives which govern
FBI foreign counterintelligence and international terrorism
investigations. This matter has also been referred to our Office
of Professional Responsibility for a determination of whether any
administrative action is warranted. (U)

Enclosure

- 1 - Mr. Parkinson
- 1 - Mr. [redacted]
- 1 - Mr. [redacted]
- 1 - INSD (1-278-HQ-C1229736) (1-IOB 2001-54)

~~UNCLASSIFIED WHEN
DETACHED FROM
CLASSIFIED ENCLOSURE~~

b6
b7C

- Dep. Dir. _____
- Chief of Staff _____
- Off. of Gen. Counsel _____
- Asst. Dir.:
- Admin. Serv. _____
- Crim. Inv. _____
- CJIS _____
- Finance _____
- Info. Res. _____
- Lab. _____
- National Sec. _____
- OPR _____
- Off. of Public & Coop. Affs. _____
- Training _____
- Off. of EEOA _____
- Director's Office _____

① Wms
JWms: jwms (7)

~~Classified by: 39645 NSA/OGC
Reason: 1.5 (c)
Declassify on: X1~~

~~SECRET~~ Hofmann-IOB-2260

~~SECRET~~

Honorable Warren B. Rudman

Should you or any member of your staff require additional information concerning this matter, an oral briefing will be arranged for you at your convenience. (U)

Sincerely,

Larry R. Parkinson
General Counsel

David R. Knowlton
Assistant Director
Inspection Division

NOTE: This matter was referred to the Office of the General Counsel (OGC) by EC from the Inspection Division, dated 9/13/01 and titled [redacted]

[redacted] Intelligence Oversight Board (IOB) Matter 2001-54." In this regard, the letterhead memorandum prepared for submission to the IOB advises the Board that, during the period [redacted]

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b2
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(S)

[redacted] of [redacted], a U.S. person.

(S)

[redacted]

~~SECRET~~

APPROVED:	Crim. Inv. _____	Inspection <i>[initials]</i>	Training _____
	CJIS _____	Laboratory _____	Off. of EEO _____
	Finance _____	National Sec. _____	Affairs _____
	Director _____	Gen. Counsel <i>[initials]</i>	OPR _____
Deputy Director _____	Info. Res. _____	Personnel _____	Coop. Affs. _____

WWS
9/15/0

mpw

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DATE: 07-02-2010
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REASON: 1.4 (c)
DECLASSIFY ON: 07-02-2035

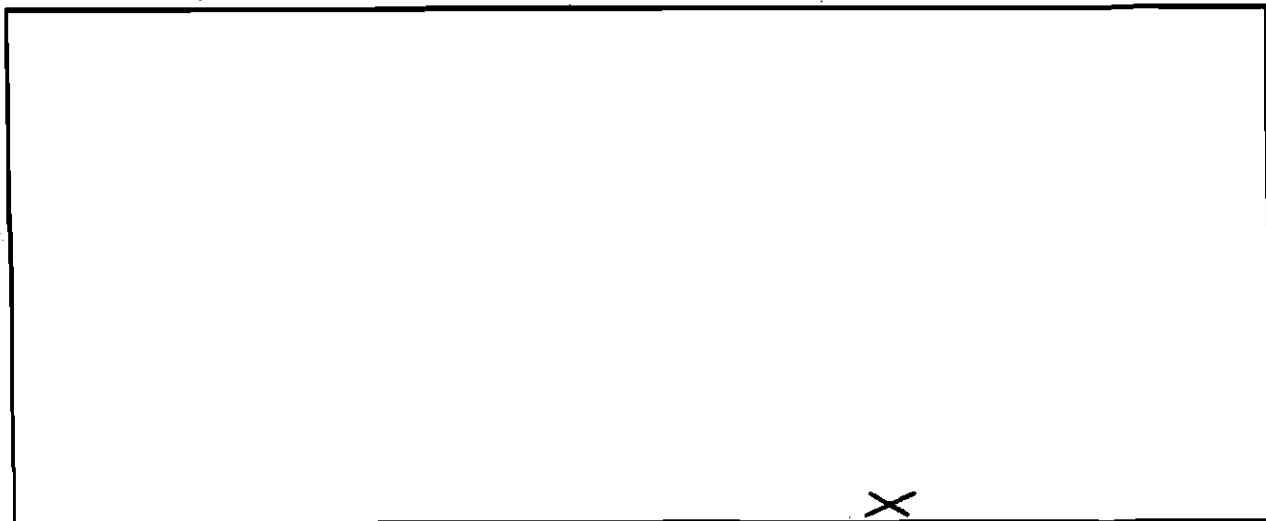
~~SECRET~~

INTELLIGENCE OVERSIGHT BOARD (IOB) MATTER



FBI HEADQUARTERS
IOB MATTER 2001-54 (U)

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b7E



(S)

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b2
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b7C
b7E

APPROVED:

Crim. Inv.	Inspection	Training
C.I.S.	Laboratory	Off. of EEO
Finance	National Sec.	Affairs
Director	Gen. Counsel	Off. of Public &
Deputy Director	Info. Res.	Personnel
		Cong. Affs.

July
9/15/0
mju

~~Classified by: 39645 NSB/OGC
Reason: 1.5 (c)
Declassify on: X1~~

~~SECRET~~

Hofmann-IOB-2262