

SECURITY CLASSIFICATION

NSA STAFF PROCESSING FORM

TO DIRECTOR	EXREG CONTROL NUMBER 81447	KCC CONTROL NUMBER IG-9932-02
THRU D/DIR [Signature], D SECRETARIAT [Signature] 2/6/02	ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION	EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
SUBJECT Quarterly Report to the Intelligence Oversight Board on NSA Activities—First Quarter FY02		
DISTRIBUTION		

SUMMARY

PURPOSE: To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

BACKGROUND: Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. The enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. The Director's signature signifies that no other activities that require reporting are known to him.

RECOMMENDATION: Director sign the enclosed memorandum.

Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

COORDINATION/APPROVAL					
OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
GC	Robert Deitz [Signature]	5548s			
AGC(O)	[Signature]	3121s			
Acting IG	[Signature]	3544s			
D/Sec	[Signature]	963-3201			
			ORG. D11	PHONE (Secure) 963-3544	DATE PREPARED 19 February 2002

(b) (3) - P.L. 86-36

~~SECRET//COMINT//X1~~

NATIONAL SECURITY AGENCY
 CENTRAL SECURITY SERVICE
 FORT GEORGE G. MEADE, MARYLAND 20755-6000

7 March 2002

MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U) Report to the Intelligence Oversight Board on NSA Activities -
 INFORMATION MEMORANDUM

(U) Except as previously reported to you or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 31 December 2001 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported, pursuant to Section 1.7.(d) of Executive Order 12333.

(U) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.

A handwritten signature in cursive script, reading "Brian R. McAndrew".

BRIAN R. MCANDREW
 Acting Inspector General

A handwritten signature in cursive script, reading "Robert L. Deitz".

ROBERT L. DEITZ
 General Counsel

(U) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.

A handwritten signature in cursive script, reading "Michael V. Hayden".

MICHAEL V. HAYDEN
 Lieutenant General, USAF
 Director, NSA/Chief, CSS

Encl:
 a/s

This Memorandum is Unclassified
 Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2
 DATED: 24 FEB 98
 DECLASSIFY ON: X1

~~SECRET//COMINT//X1~~

1. (U) INSPECTOR GENERAL ACTIVITIES

a. (U//~~FOUO~~) During this quarter, the Office of Inspector General (OIG) reviewed various reports [redacted] the Attorney General (AG) procedures, as well as Department of Defense (DoD) and internal directives, to determine if [redacted]

(b)(3)-P.L. 86-36

b. (~~S//SI~~) An OIG team conducted an inspection of the Signals Intelligence Directorate (SID) [redacted]. The team found that [redacted] did not have an office-wide Intelligence Oversight (I/O) program, resulting in inconsistent compliance with I/O policies. Several recommendations were made to remedy the inadequacies identified. One recommendation addressed publishing policy and procedures for executing and managing the [redacted] I/O program, to include such things as specific supervisory responsibilities, newcomer and refresher training, and mechanisms to verify that all employees took the training. Other recommendations include listing I/O related duties in the I/O point of contact's performance plan and updating the [redacted] I/O web page to include the organization's I/O policy and procedures and the name and responsibilities of the I/O point of contact. [redacted] concurred with the recommendations and has implemented them.

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c. (~~S//SI~~) [redacted]

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(b)(3)-P.L. 86-36

d. (U//~~FOUO~~) The OIG issued its "Strategic Assessment of Intelligence Oversight" on 14 December 2001. Copies of the report have been provided to the ATSD (IO) under separate cover.

2. (U) GENERAL COUNSEL ACTIVITIES

a. (~~C//SI~~) The OGC reviewed various intelligence activities of the United States Signals Intelligence System (USSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and DoD and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of or concerning U.S. persons; the reporting of possible violations of federal criminal law contained in SIGINT product; the testing of electronic equipment; and the applicability of the Foreign Intelligence Surveillance Act (FISA). With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on SIGINT operations. The OGC did not file any reports with the Intelligence Oversight Board during this quarter.

3. (U) SIGINT ACTIVITIES

(b)(3)-P.L. 86-36

a. ~~(S//SI)~~

[Redacted]

(1) (U)

[Redacted]

~~(S//SI)~~

[Redacted]

(b)(3)-P.L. 86-36

[Redacted]

~~(S//SI)~~

[Redacted]

(2) (U)

[Redacted]

~~(S//SI)~~

[Redacted]

(b)(3)-P.L. 86-36

[Redacted]

b. (U//FOUO)

[Redacted]

(1) (U)

[Redacted]

~~(S//SI)~~

[Redacted]

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(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

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~~SECRET//COMINT//XI~~

(2) (U)

(b)(3)-P.L. 86-36

~~(S//SI)~~

4. (U) OTHER ACTIVITIES

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

(U) External Agency Relations

(b)(3)-P.L. 86-36

~~(S//SI)~~

[Redacted content]

(b)(3)-P.L. 86-36

~~(S//SI)~~

[Redacted content]

(U) OGC Legal Authorities Briefing

~~(U//FOUO)~~ The SID has engaged the OGC to present a briefing on NSA's legal authorities and restrictions to the entire SID workforce (civilians, military, contractors and integrees). It is geared toward a broad understanding of the

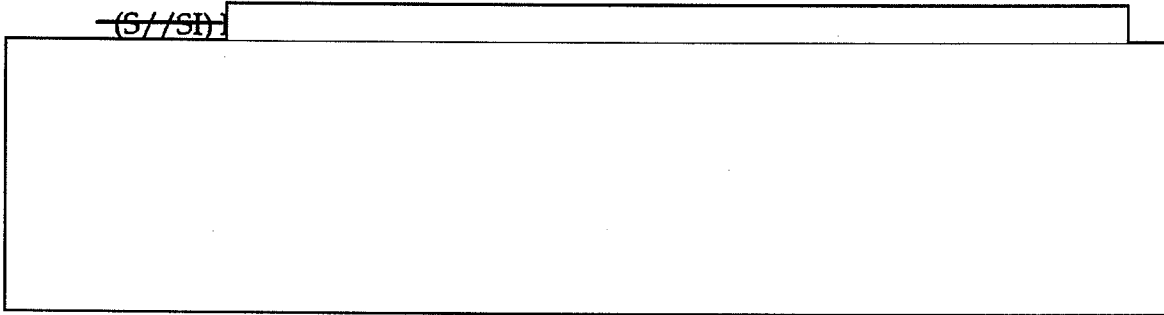
~~SECRET//COMINT//XI~~

~~SECRET//COMINT//X1~~

fundamental legal principles underlying the SID mission. At the briefing, each individual is given handouts containing a list of all the legal documents that must be read on a yearly basis, procedures for handling a USSID-18 violation, and points of contact for specific oversight issues in the SID Oversight and Compliance Office. The first briefing was presented on 6 September; after a 2-month hiatus related to the September 2001 terrorist attacks, briefings resumed on 6 November. The goal is to brief each person assigned to SID by the end of March 2002. Most individuals assigned to NSA Headquarters will attend a live presentation of the briefing; those assigned to the field and other persons unable to attend will be able to view the presentation remotely or via videotape.

(U) Databases

~~(S//SI)~~



(b)(3)-P.L. 86-36

~~SECRET//COMINT//X1~~

NSA/CSS OFFICE OF THE INSPECTOR GENERAL

SUMMARY REPORT

IV-01-058

7 January 2002

I. (U) INTRODUCTION

~~(S//SI)~~ [Redacted]

~~(S//SI)~~ [Redacted]

(b)(3)-P.L. 86-36
(b)(6)
(b)(1)
(b)(3)-50 USC 403
(b)(3)-78 USC 796

~~(S//SI)~~ [Redacted]

II. (U) SCOPE

~~(S//SI)~~ [Redacted]

III. (U) FINDINGS

(b) (1)
(b) (3) - 18 USC 793
(b) (3) - 50 USC 403
(b) (6) - P.L. 88-36
(b) (6)

[Redacted]

~~(S//SI)~~ [Redacted]

[Redacted]

~~(S//SI)~~ [Redacted]

[Redacted]

~~(S//SI)~~ [Redacted]

[Redacted]

~~(S//SI)~~ [Redacted]

[Redacted]

~~(S//SI)~~ [Redacted]

[Redacted]

~~(S//SI)~~ [Redacted]

[Redacted]

~~Personnel Privileged Information~~

[Redacted]

(b)(1)
(b)(3)-18 USC 798
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36
(b)(6)

~~(S//SI)~~
[Redacted]

IV. (U) ANALYSIS AND CONCLUSIONS

~~(S//SI)~~
[Redacted]

~~(S//SI)~~
[Redacted]

V. (U) Recommendation

~~(U//FOUO)~~ [Redacted]

(b)(3)-P.L. 86-36
(b)(6)

[Redacted]

(b)(3)-P.L. 86-36

Concurred By:

[Redacted]

Senior Assistant Inspector General
for Investigations

SECURITY CLASSIFICATION

NSA STAFF PROCESSING FORM

TO DIRECTOR	EXREG CONTROL NUMBER <i>92563</i>	KCC CONTROL NUMBER IG-10003-02
THRU <i>D/DIR</i> , D SECRETARIAT <i>AW 6/4</i>	ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION	EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
SUBJECT Quarterly Report to the Intelligence Oversight Board on NSA Activities—Second Quarter FY02		
DISTRIBUTION		

SUMMARY

PURPOSE: To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

BACKGROUND: Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. The enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PFOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. The Director's signature signifies that no other activities that require reporting are known to him.

RECOMMENDATION: Director sign the enclosed memorandum.

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

COORDINATION/APPROVAL

OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
GC	Robert Deitz <i>30 Jan 02</i>	5548s			
AGC(O)	<i>AW 3/31</i>	3121s			
IG	Joel F. Brenner <i>1/16/02</i>	3544s			
<i>D/SEC</i>	<i>6/3</i>	<i>963-3201</i>			

ORG.
D11

PHONE (Secure)
963-3544

DATE PREPARED
23 May 2002



NATIONAL SECURITY AGENCY
CENTRAL SECURITY SERVICE



Office of the Inspector General

COMMERCIAL NUMBER: (301) 688-6666 (STU-III)

SECURE NUMBER: 963-3544

DATE: 10 JUNE

TO: (b) (6)
OGA

ORG: (HSD) (IO) DoD

FAX NUMBER: 703-275-6589

PASS TO: MATT

PHONE: 703-275-6530

NUMBER OF PAGES TO FOLLOW: 10 (+ cover)

RECEIPT BY: _____

ACCESS LEVEL CONFIRMED: YES NO

FROM: (b) (3)-P.L. 86-36

COMMENTS: duplicate of Quarterly.
Signed Original is in the mail.

S//SI/NF
CLASSIFICATION

~~SECRET//COMINT//NOFORN//X1~~

NATIONAL SECURITY AGENCY
CENTRAL SECURITY SERVICE
FORT GEORGE G. MEADE, MARYLAND 20755-6000

6 June 2002

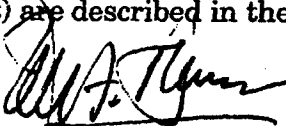
MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U) Report to the Intelligence Oversight Board on NSA Activities -
INFORMATION MEMORANDUM

(U) Except as previously reported to you or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 31 March 2002 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(U) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.




JOEL F. BRENNER
Inspector General



ROBERT L. DEITZ
General Counsel

(U) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.



MICHAEL V. HAYDEN
Lieutenant General, USAF
Director, NSA/Chief, CSS

Encl:
a/s

This Memorandum is Unclassified
Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2
DATED: 24 FEB 98
DECLASSIFY ON: X1

~~SECRET//COMINT//NOFORN//X1~~

~~SECRET//COMINT//NOFORN//X1~~

1. (U) INSPECTOR GENERAL ACTIVITIES

(b)(3)-P.L. 86-36

a. (U//~~FOUO~~) During this quarter, the Office of Inspector General (OIG) reviewed various reports [redacted] the Attorney General (AG) procedures, as well as Department of Defense (DoD) and internal directives, to determine if [redacted]

(b)(3)-P.L. 86-36

b. (U//~~FOUO~~) The OIG completed two inspections during this quarter. The Office of [redacted] intelligence oversight (I/O) program was found to be in need of improvement. It was recommended that the organization assign a point of contact for I/O to serve as the local authority on I/O matters. Also, it was recommended that the organization publish policy and procedures that include refresher and newcomer training, reporting violations, and quarterly reporting. Management concurred in the recommendations and indicated they would be implemented by 1 June 2002. A joint inspector general team completed an inspection of the Fort Gordon Regional Security Operations Center (GRSOC). The I/O new employee and refresher training programs were found to meet DoD and NSA requirements. The inspection team noted that I/O training reminders such as posters and policy letters were sparse throughout GRSOC spaces. It was recommended that the GRSOC and Service Cryptologic Elements design and post I/O training reminders in conspicuous locations throughout the GRSOC.

c. (U//~~FOUO~~) The OIG is providing guidance and assistance to various organizations that have requested help in establishing intelligence oversight training programs. These requests resulted from recommendations in our report entitled *Strategic Assessment of Intelligence Oversight* that we issued on 14 December 2001; it was sent to all Agency directorates and associate directorates.

2. (U) GENERAL COUNSEL ACTIVITIES

a. (C//~~SI~~) The OGC reviewed various intelligence activities of the United States Signals Intelligence System (USSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and DoD and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of or concerning U.S. persons; the reporting of possible violations of federal criminal law contained in SIGINT product; the testing of electronic equipment; and the applicability of the Foreign Intelligence Surveillance Act (FISA). With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on SIGINT operations. The OGC did not file any reports with the Intelligence Oversight Board during this quarter.

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3. (U) SIGINT ACTIVITIES

a. (S//SI) [Redacted]

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(1) (U) [Redacted]

(S//SI) [Redacted]

(b)(1)
(b)(3)-P.L. 86-36

[Redacted]

(S//SI) [Redacted]

[Redacted]

(2) (U) [Redacted]

(S//SI) [Redacted]

[Redacted]

[Redacted]

b. (U//FOUO) [Redacted]

(b)(3)-P.L. 86-36

(1) (U) [Redacted]

(b)(1)
(b)(3)-P.L. 86-36

(S//SI) [Redacted]

(b)(3)-P.L. 86-36

[Redacted]

[Redacted]

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(2) (U) [redacted] (b)(3)-P.L. 86-36

(S//SI) [redacted]

[redacted]

4. (U) OTHER ACTIVITIES

(U) Integrees in Analysis and Production

(S//SI//NF) [redacted] (b)(3)-P.L. 86-36

[redacted]

(S//SI) [redacted] (b)(3)-P.L. 86-36

[redacted]

(U) OGC Legal Authorities Briefing

(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

(U//~~FOUO~~) As reported last quarter, the SID has engaged the OGC to present a series of briefings on NSA's legal authorities and restrictions to the entire SID workforce (civilians, military, contractors and integrees). The briefings are geared toward a broad understanding of the fundamental legal principles underlying the SID mission. At the briefings, each individual is given handouts containing a list of all the legal documents that must be read on a yearly basis, procedures for handling a USSID-18 violation, and points of contact for specific oversight issues in the SID Oversight and Compliance Office. The first briefing was presented on 6 September; after a 2-month hiatus related to the September 2001 terrorist attacks, briefings resumed on 6 November. The briefings have been

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completed; approximately [redacted] SID personnel received the briefings live, while other SID and Agency personnel viewed the presentation remotely or via videotape.

(b)(1)
(b)(3)-P.L. 86-36

(U) Policy Guidance Issued

(S//SI) The Signals Intelligence Director issued a policy memorandum concerning [redacted] in order to ensure that the activities regarding [redacted] are consistent with NSA authorities under Executive Order 12333 and implementing regulations and directives. The memorandum, entitled *Signals Intelligence Policy* [redacted] dated 14 January 2002, provides definitions [redacted] and provides clear guidance for [redacted]. The memorandum is enclosed as attachment A.

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(U) Databases

(b)(3)-P.L. 86-36

(S//SI)

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~~SECRET//COMINT//X1~~

UNITED STATES GOVERNMENT

memorandum

SID-001-02

DATE: 14 January 2002

REPLY TO
ATTN OF: Signals Intelligence Director

SUBJECT: (U//FOUO) Signals Intelligence Policy [redacted]
[redacted] POLICY MEMORANDUM

TO: SIGINT Directorate, SIGINT/Extended Enterprise

(U) Policy

(S) United States SIGINT System (USSS) personnel and others who participate in the SIGINT production process are authorized to [redacted]

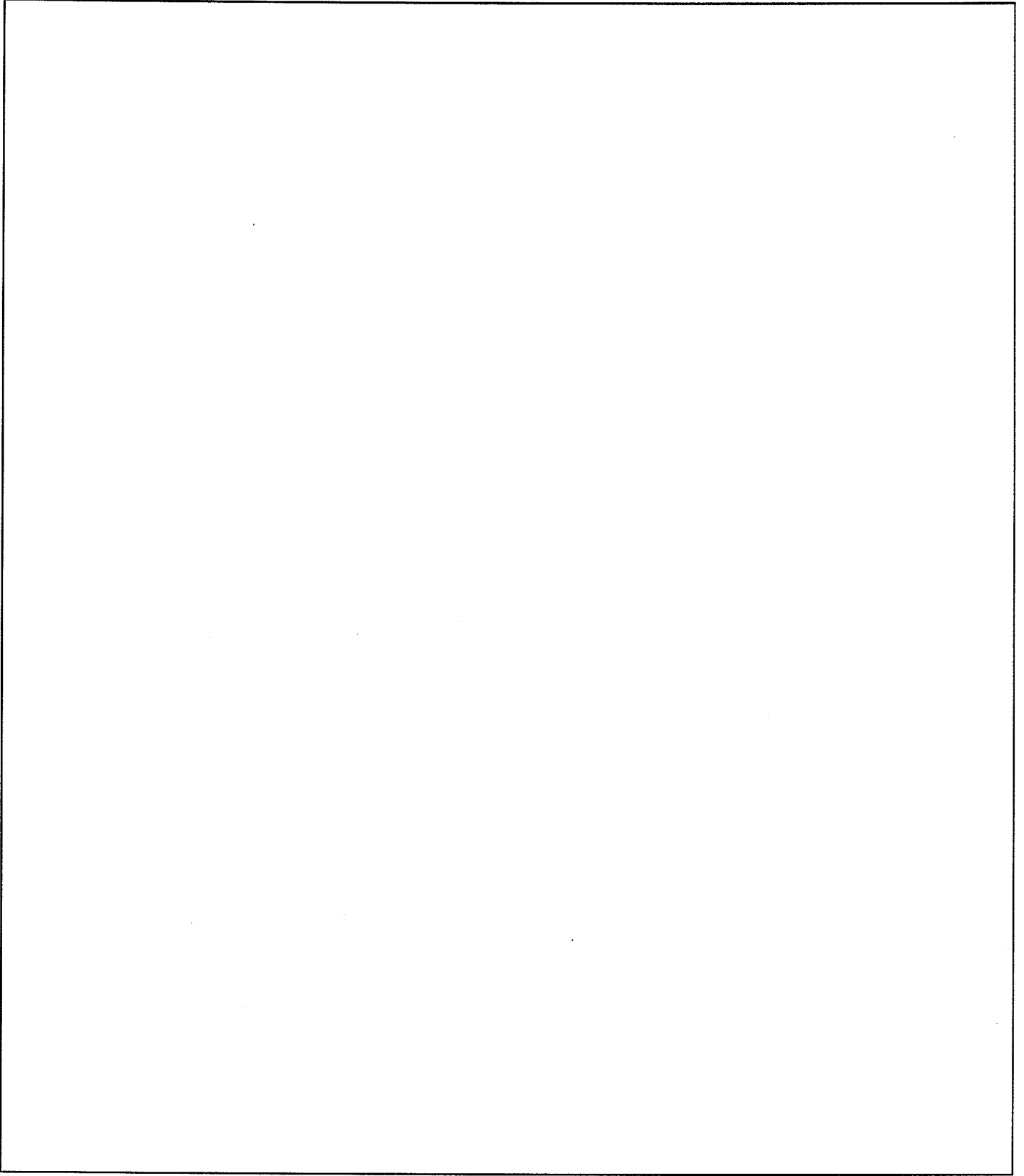
[redacted] In order to ensure our activities are consistent with our authorities under Executive Order 12333 and implementing regulations and directives, the USSS must apply consistent definitions of [redacted] as put forth in this document. [redacted]

(b)(3)-P.L. 86-36

Derived from: NSA/CSSM 123/2
Dated: 24 February 1998
Declassify on: X1

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(b)(3)-P.L. 86-36

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[Redacted]

P.L. 86-36

(C)

[Redacted]

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(S//SD)

[Redacted]

P.L. 86-36

86-36

[Redacted]

[Redacted]

JSC 403
86-36

36

(S)

[Redacted]

50 USC 403
P.L. 86-36

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~~(S)~~ [Redacted]

~~(S//SI)~~ [Redacted]

[Redacted]

(U) Special Circumstances

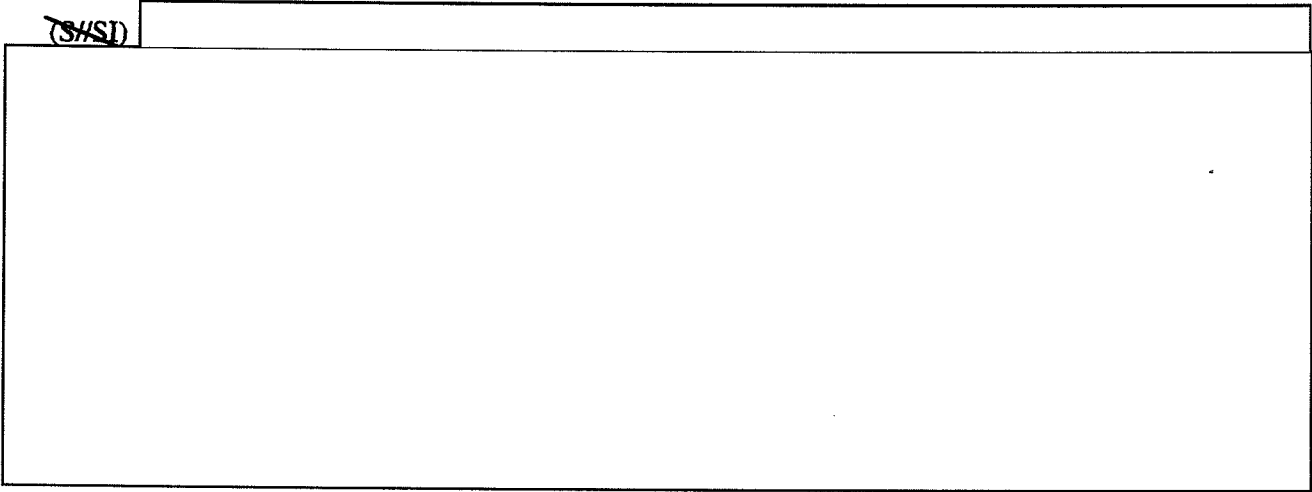
~~(S)~~ [Redacted]

[Redacted]

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~~SECRET//COMINT//X1~~

(S//SI)



/s/

(b)(3)-P.L. 86-36

MAUREEN A. BAGINSKI
Signals Intelligence Director

~~SECRET//COMINT//X1~~

~~TOP SECRET//COMINT//TALENT~~
~~KEYHOLE//NOFORN//X1~~

SECURITY CLASSIFICATION

NSA STAFF PROCESSING FORM

TO DIRECTOR	EXREG CONTROL NUMBER 83614	KCC CONTROL NUMBER IG-10054-02
THRU D/DIR, D SECRETARIAT	ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION	EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
SUBJECT Quarterly Report to the Intelligence Oversight Board on NSA Activities—Third Quarter FY02 (U//FOUO)		
DISTRIBUTION		

SUMMARY

PURPOSE: (U//FOUO) To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

BACKGROUND: (U//FOUO) Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. The enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. The Director's signature signifies that no other activities that require reporting are known to him.

RECOMMENDATION: (U//FOUO) Director sign the enclosed memorandum.



Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

COORDINATION/APPROVAL					
OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
			D. REC		
GC	Robert Deitz <i>na</i>	5548s			
AGC(O) E		21s			
IG	Joel F. Brenner <i>WV</i>	3544s		(b) (3) - P.L. 86-36	
DCS	Debbie <i>8/23/23/02</i>	3201			
			ORG. D11	PHONE (Secure) 963-3544	DATE PREPARED 21 August 2002



~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//XI~~

NATIONAL SECURITY AGENCY
CENTRAL SECURITY SERVICE
FORT GEORGE G. MEADE, MARYLAND 20755-6000

27 August 2002

MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U//FOUO) Report to the Intelligence Oversight Board on NSA
Activities - INFORMATION MEMORANDUM

(U//FOUO) Except as previously reported to you or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 30 June 2002 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(U//FOUO) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.

JOEL F. BRENNER
Inspector General

ROBERT L. DEITZ
General Counsel

(U//FOUO) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.

MICHAEL V. HAYDEN
Lieutenant General, USAF
Director, NSA/Chief, CSS

Encl:
a/s

This Memorandum is Unclassified
Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2
DATED: 24 FEB 98
DECLASSIFY ON: XI

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//XI~~

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//X1~~

1. (U) INSPECTOR GENERAL ACTIVITIES

a. (U//~~FOUO~~) During this quarter, the Office of Inspector General (OIG) reviewed various [redacted] Attorney General (AG) procedures, as well as Department of Defense (DoD) and internal directives, to determine if [redacted]

(b)(3)-P.L. 86-36

b. (~~S//TK~~) A Joint Inspection Team consisting of inspectors from the National Security Agency/Central Security Service (NSA/CSS) [redacted]

(b)(3)-P.L. 86-36

conducted an inspection of [redacted]. The team found that although the [redacted] has a very well documented and managed intelligence oversight (I/O) program, the site needs to establish a formal I/O training program for [redacted] staff members. The team's findings are being acted upon.

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

c. (~~C//SI~~) A team from the NSA/CSS OIG conducted an intelligence oversight review of [redacted]

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(b)(1)

The review determined that [redacted] needs to establish formal policies for [redacted] and needs documented standard operating procedures and stronger internal controls [redacted]

(b)(3)-P.L. 86-36

[redacted] Recommendations made by the team are being implemented.

d. (~~TS//SI~~) [redacted]

(b)(3)-P.L. 86-36

[redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

2. (U) GENERAL COUNSEL ACTIVITIES

(~~C//SI~~) The OGC reviewed various intelligence activities of the United States Signals Intelligence System (USSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and DoD and internal directives. The OGC advised Agency elements

DRV FM: NSA/CSSM 123-2

Dated: 24 Feb 98

DECL ON: X1

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//X1~~

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//X1~~

on a number of questions, including the collection and dissemination of communications of or concerning U.S. persons; the reporting of possible violations of federal criminal law contained in SIGINT product; the testing of electronic equipment; and the applicability of the FISA. With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on SIGINT operations. The OGC did not file any reports with the Intelligence Oversight Board during this quarter.

3. (U) SIGINT ACTIVITIES

a. ~~(S//SI)~~

[Redacted] (b)(3)-P.L. 86-36

(1) (U) [Redacted]

~~(S//SI)~~ [Redacted] (b)(1) (b)(3)-P.L. 86-36

[Redacted]

~~(S//SI)~~ [Redacted] (b)(3)-P.L. 86-36

[Redacted]

(2) (U)

[Redacted] (b)(3)-P.L. 86-36

~~(S//SI//NF)~~ [Redacted]

[Redacted]

[Redacted]

b. (U//FOUO)

[Redacted] (b)(3)-P.L. 86-36

(1) (U) [Redacted] (b)(1) (b)(3)-P.L. 86-36

~~(S//SI)~~ [Redacted] (b)(3)-P.L. 86-36

[Redacted]

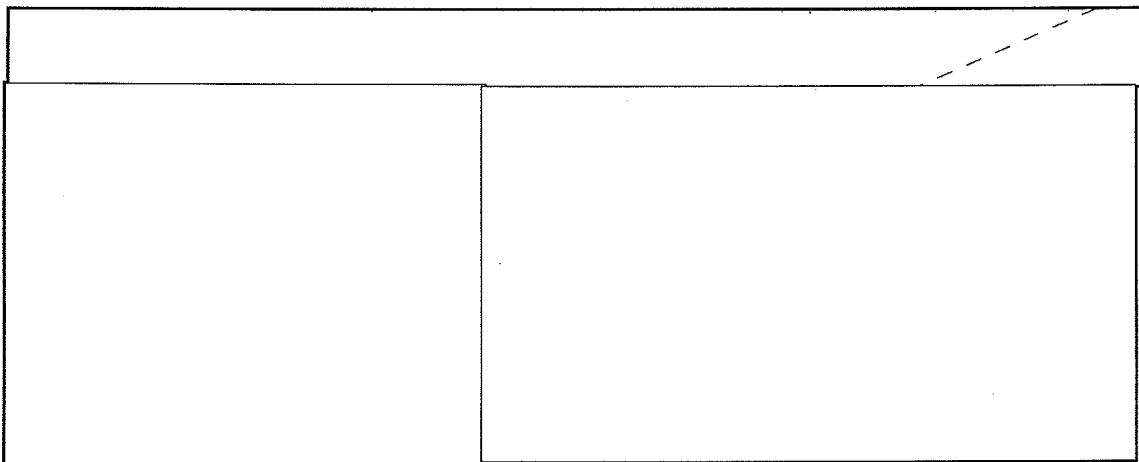
DRV FM: NSA/CSSM 123-2

Dated: 24 Feb 98

DECL ON: X1

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//X1~~

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//X1~~



86-36

(b)(3)-P.L. 86-36

(2) (U)

(b)(1)
(b)(3)-P.L. 86-36

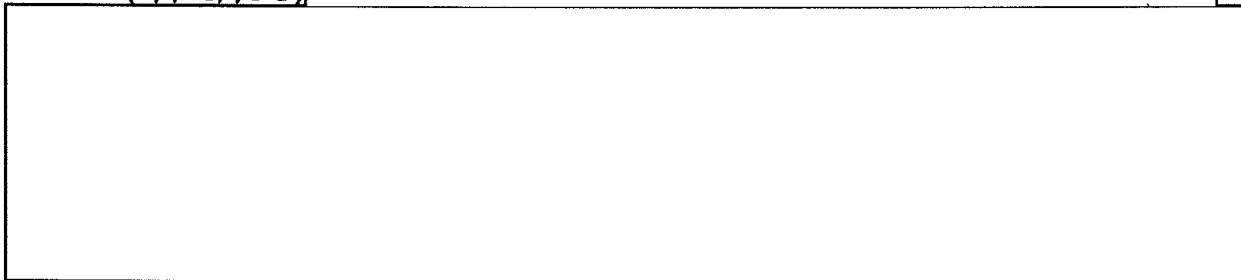
(S//SI)

4. (U) OTHER ACTIVITIES

(b)(3)-P.L. 86-36

(U) Integrees in Analysis and Production

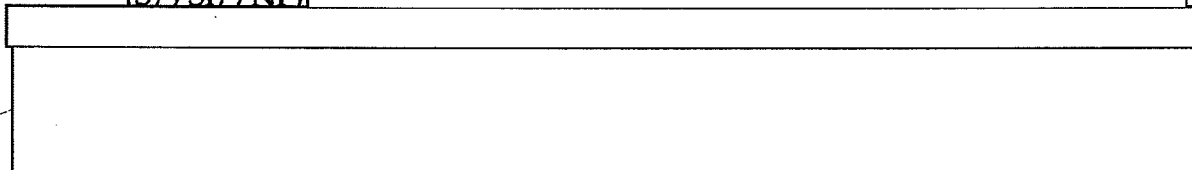
(S//SI//NF)



(U) Assistance to Law Enforcement

(S//SI//NF)

(b)(3)-P.L. 86-36



(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

DRV FM: NSA/CSSM 123-2

Dated: 24 Feb 98

DECL ON: X1

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//X1~~

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//X1~~

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

[Redacted]

(U) Working Aids

~~(S//SI)~~ The Signals Intelligence Directorate issued an update to a manual that is used in conjunction with USSID 18 [Redacted]

[Redacted]

(b)(3)-P.L. 86-36

[Redacted] dated April 2002, is enclosed at Tab A. It replaces the March 1994 edition.

(U) Commendation

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

(S//SI) ~~(S)~~ [Redacted]

[Redacted]

(U) Databases

~~(S//SI)~~ [Redacted]

[Redacted]

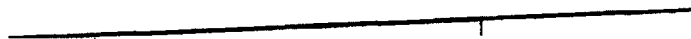
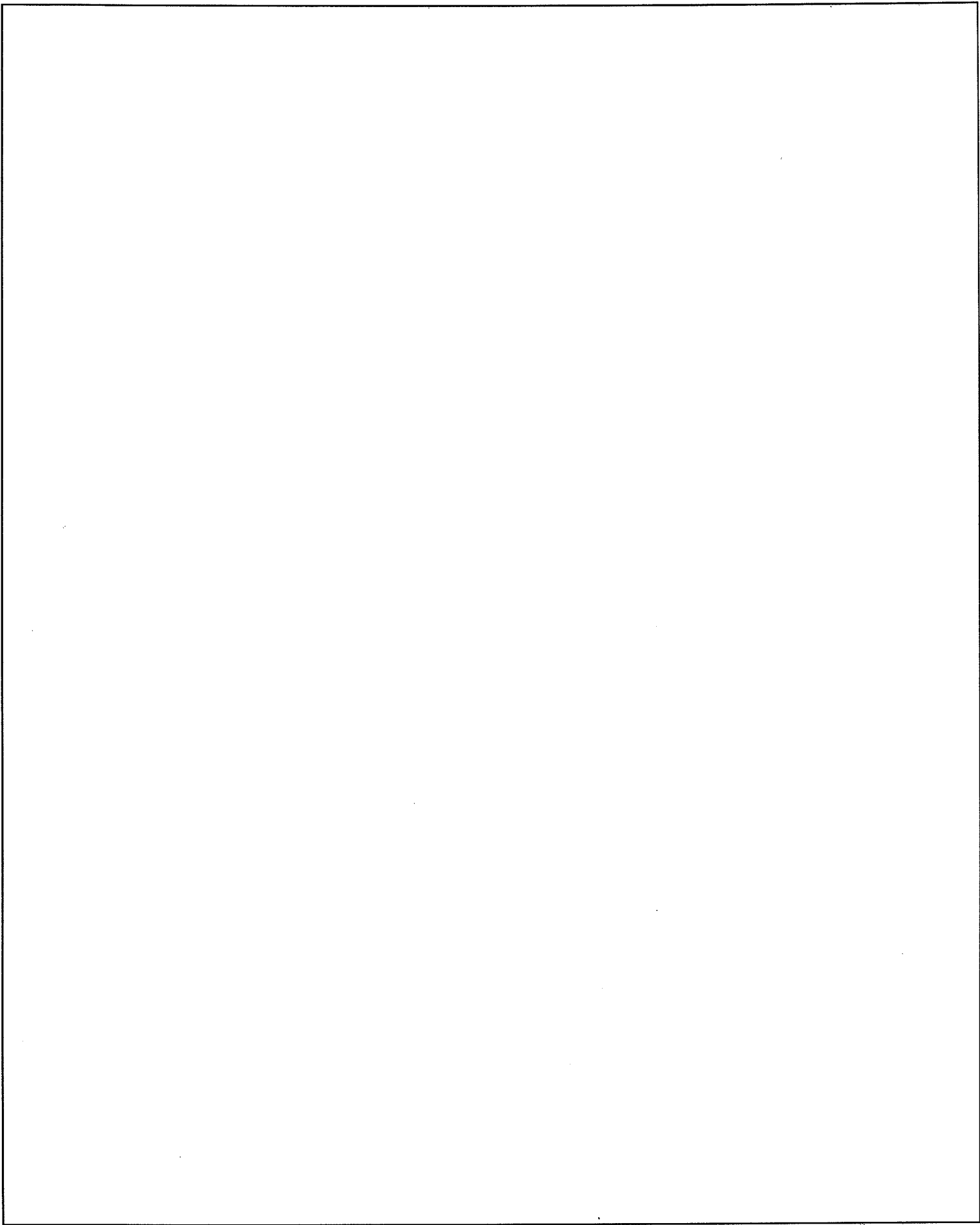
(b)(3)-P.L. 86-36

DRV FM: NSA/CSSM 123-2

Dated: 24 Feb 98

DECL ON: X1

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//X1~~



SECURITY CLASSIFICATION

NSA STAFF PROCESSING FORM

TO DIRECTOR	EXREG CONTROL NUMBER 84735	KCC CONTROL NUMBER IG-10089-02
THRU D/DIR D SECRETARIAT <i>Law 11/26</i>	ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION	EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
SUBJECT Quarterly Report to the Intelligence Oversight Board on NSA Activities—Fourth Quarter FY02 (U//FOUO)		
DISTRIBUTION		

SUMMARY

PURPOSE: (U//FOUO) To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

BACKGROUND: (U//FOUO) Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. The enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. The Director's signature signifies that no other activities that require reporting are known to him.

RECOMMENDATION: (U//FOUO) Director sign the enclosed memorandum.

Thank

Approved for Release by NSA on
06-01-2009, FOIA Case # 49107

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

COORDINATION/APPROVAL

OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
GC	Robert Deitz <i>11/25/02</i>	5548s			
AGC(O)		3121s			
IG	Joel F. Brenner <i>11/26</i>	3544s			
DO5		3201			

ORG. D11 PHONE (Secure) 963-3544 DATE PREPARED 19 November 2002



NATIONAL SECURITY AGENCY
CENTRAL SECURITY SERVICE
FORT GEORGE G. MEADE, MARYLAND 20755-6000

4 December 2002

MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U//~~FOUO~~) Report to the Intelligence Oversight Board on NSA
Activities - INFORMATION MEMORANDUM

(U//~~FOUO~~) Except as previously reported to you or the President, or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 30 September 2002 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(U//~~FOUO~~) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.

JOEL F. BRENNER
Inspector General

ROBERT L. DEITZ
General Counsel

(U//~~FOUO~~) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.

MICHAEL V. HAYDEN
Lieutenant General, USAF
Director, NSA/Chief, CSS

Encl:
a/s

This Memorandum is Unclassified
Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2
DATED: 24 FEB 98
DECLASSIFY ON: X1

1. (U) INSPECTOR GENERAL ACTIVITIES

a. ~~(C//SI)~~ During this quarter, the Office of Inspector General (OIG) reviewed various intelligence activities of the National Security Agency/Central Security Service (NSA/CSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and DoD and internal directives. With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on NSA/CSS activities.

b. ~~(S//TK)~~ [Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

c. ~~(S//TK)~~ [Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

2. (U) GENERAL COUNSEL ACTIVITIES

a. ~~(C//SI)~~ The OGC reviewed various intelligence activities of the NSA/CSS to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and DoD and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of or concerning U.S. persons; the

DRV FM: NSA/CSSM 123-2

Dated: 24 Feb 98

DECL ON: X1

reporting of possible violations of federal criminal law contained in SIGINT product; the testing of electronic equipment; and the applicability of the FISA. With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on NSA/CSS activities. The OGC did not file any reports with the Intelligence Oversight Board during this quarter.

3. (U) SIGINT ACTIVITIES

a. ~~(S//SI)~~ [Redacted]

(1) (U) [Redacted] (b)(3)-P.L. 86-36
~~(S//SI)~~ [Redacted]

~~(S//SI)~~ [Redacted] (b)(3)-P.L. 86-36
[Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

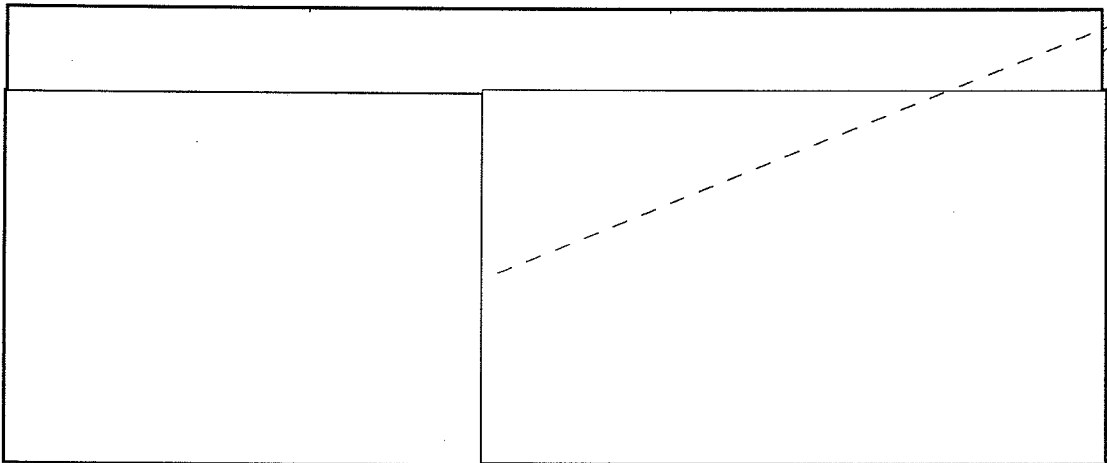
(2) (U) [Redacted] (b)(3)-P.L. 86-36
~~(S//SI//NF)~~ [Redacted]

b. (U//FOUO) [Redacted]

(1) (U) [Redacted] (b)(1)
~~(S//SI)~~ [Redacted] (b)(3)-P.L. 86-36
[Redacted]

DRV FM: NSA/CSSM 123-2
Dated: 24 Feb 98
DECL ON: X1

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//X1~~



(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(2) (U)

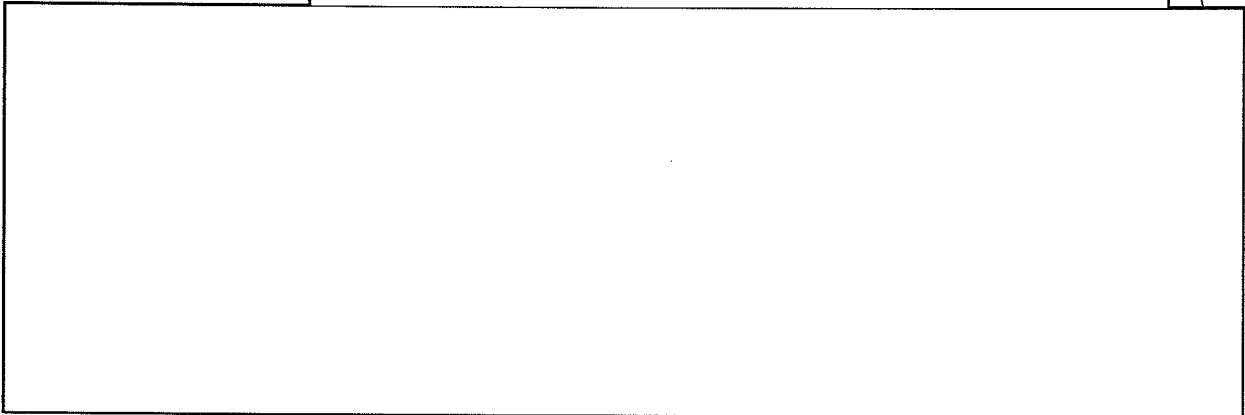
~~(S//SI)~~

4. (U) OTHER ACTIVITIES

(U) Integrees in Analysis and Production

~~(S//SI//NF)~~

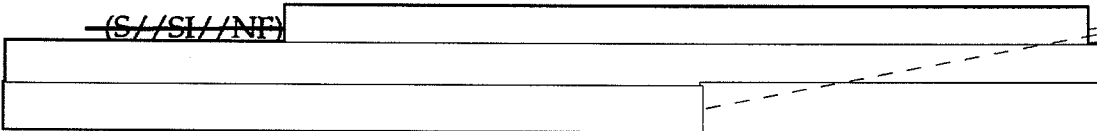
(b)(3)-P.L. 86-36



(U) Assistance to Law Enforcement

~~(S//SI//NF)~~

(b)(3)-P.L. 86-36



(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

DRV FM: NSA/CSSM 123-2

Dated: 24 Feb 98

DECL ON: X1

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//X1~~

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//X1~~

[Redacted]

b(1)
b(3)-50 USC 403
b(3)-18 USC 798
b(3)-P.L. 86-36

~~(TS//SI//NF)~~ [Redacted]

~~(TS//SI//NF)~~ [Redacted]

[Redacted]

b(3)-P.L. 86-36

(U) Working Aids

(U) No new working aids were developed during the quarter.

(U) Databases

~~(S//SI)~~ [Redacted]

[Redacted]

b(3)-P.L. 86-36

DRV FM: NSA/CSSM 123-2
Dated: 24 Feb 98
DECL ON: X1

~~TOP SECRET//COMINT//TALENT KEYHOLE//NOFORN//X1~~





~~SECRET//TK//X1~~
NATIONAL SECURITY AGENCY
CENTRAL SECURITY SERVICE
FORT GEORGE G. MEADE, MARYLAND 20755-6000

23 October 2002

MEMORANDUM FOR INSPECTOR GENERAL [redacted]

(b)(3)-P.L. 86-36

[redacted]

(b)(3)-P.L. 86-36

SUBJECT: [redacted]

(b)(3)-P.L. 86-36

[redacted] (S//TK)

(S//TK) This memorandum responds to your email message to Joel Brenner, our Inspector General, dated 20 September 2002, retransmitting a message from your staff dated 9 September 2002, which we had not previously received.

(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

[redacted]

[redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

[redacted]

(b)(3)-P.L. 86-36
(b)(6)

~~(S//TK)~~

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

[redacted]

(b)(3)-P.L. 86-36

~~(S//TK)~~

[redacted]

~~(S//TK)~~

[redacted]

(b)(3)-P.L. 86-36
(b)(6)

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

~~SECRET//TK//X1~~

(b)(3)-P.L. 86-36
(b)(6)

[Redacted]

(S//TK)

(b)(3)-P.L. 86-36
(b)(6)

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

[Redacted]

(S//TK)

(b)(3)-P.L. 86-36
(b)(6)

[Redacted]

(S//TK)

(b)(3)-P.L. 86-36
(b)(6)

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

[Redacted]

(S//TK)

(b)(3)-P.L. 86-36
(b)(6)

[Redacted]

(S//TK)

(b)(3)-P.L. 86-36
(b)(6)

[Redacted]

(U) We therefore find the allegation unsubstantiated.

(S//TK)

(b)(3)-P.L. 86-36
(b)(6)

[Redacted]

and we are grateful for your assistance in bringing this particular allegation to our attention, regardless of the fact that we find it

~~SECRET//TK//X1~~

~~SECRET//TK//X1~~

unsubstantiated. We share your deep concern that SIGINT activities must be conducted both efficiently and in strict conformity with the nation's laws and Constitution; and further, that we must remain constantly vigilant in this area. Early in Mr. Brenner's tenure, our Director, Lt. Gen. Michael V. Hayden, gave him the opportunity to make this point to the entire NSA workforce, using the Agency's internal television network, and he did so on 22 July 2002 (<http://www.n.nsa/IG/briefings.html>).

(U) Thank you again for bringing this matter to our attention.



(b)(3)-P.L. 86-36

Senior Assistant Inspector General
for
Investigations

~~SECRET//TK//X1~~





~~SECRET//TK//X1~~
NATIONAL SECURITY AGENCY
CENTRAL SECURITY SERVICE
FORT GEORGE G. MEADE, MARYLAND 20755-6000

23 October 2002

MEMORANDUM FOR INSPECTOR GENERAL [redacted]

(b)(3)-P.L. 86-36

SUBJECT: Inquiry [redacted]

(b)(3)-P.L. 86-36

[redacted] (U) - INFORMATION MEMORANDUM

~~(S//TK)~~ This memorandum responds to your request that the NSA/CSS Office of Inspector General (OIG) conduct an inquiry [redacted]

(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

~~(S//TK)~~ We conducted a thorough inquiry in the matter [redacted]

(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

~~(S//TK)~~ [redacted]

(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

~~(S//TK)~~ [redacted]

(b)(3)-P.L. 86-36

This document is classified SECRET//TK due to the compilation of otherwise unclassified information.

~~SECRET//TK//X1~~

~~(S//TK)~~

[Redacted]

(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

~~(S//TK)~~

[Redacted]

(b)(3)-P.L. 86-36

~~(S//TK)~~

[Redacted]

(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

~~(S//TK)~~

[Redacted]

(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

~~(S//TK)~~ Thank you for bringing this matter to our attention. If you have any questions, please contact me or [Redacted] at 963-0921(S).

(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36

Senior Assistant Inspector General
for
Investigations

SECURITY CLASSIFICATION

NSA STAFF PROCESSING FORM

TO DIRECTOR	EXREG CONTROL NUMBER 86022	KCC CONTROL NUMBER IG-10123-03
THRU D/DIR [Signature], D SECRETARIAT	ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION	EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
SUBJECT Quarterly Report to the Intelligence Oversight Board on NSA Activities - First Quarter FY03 (U//FOUO)		
DISTRIBUTION		

SUMMARY

PURPOSE: To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

BACKGROUND: Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. Enclosed memorandum covers all activities reportable to the PIOB known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. Director's signature signifies that no other activities which require reporting to the PIOB are known to him.

RECOMMENDATION: Director sign the enclosed memorandum.

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

COORDINATION/APPROVAL

OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
Acting GC	Vito Potenza <i>[Signature]</i>	5548s	DOS	Wayne 3/21/03	3201
AGC(O)		21s			(b) (3) - P.L. 86-36
IG	Joel F. Brenner	3544s			
D/IG	<i>[Signature]</i>	3544s			

ORG. D11	PHONE (Secure) 963-3544	DATE PREPARED 11 March 2003
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~~TOP SECRET//COMINT//NOFORN//X1~~

NATIONAL SECURITY AGENCY
 CENTRAL SECURITY SERVICE
 FORT GEORGE G. MEADE, MARYLAND 20755-6000

25 March 2003

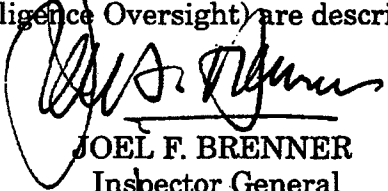
MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD


THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U//~~FOUO~~) Report to the Intelligence Oversight Board on NSA
 Activities - INFORMATION MEMORANDUM


(U//~~FOUO~~) Except as previously reported to you or the President, or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 31 December 2002 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(U//~~FOUO~~) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.


 JOEL F. BRENNER
 Inspector General


 VITO T. POTENZA
 Acting General Counsel

(U//~~FOUO~~) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.


 MICHAEL V. HAYDEN
 Lieutenant General, USAF
 Director, NSA/Chief, CSS

Encl:
 a/s

This Memorandum is Unclassified
 Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2
 DATED: 24 FEB 98
 DECLASSIFY ON: X1

~~TOP SECRET//COMINT//NOFORN//X1~~

1. (U) INSPECTOR GENERAL ACTIVITIES

a. ~~(C//SI)~~ During this quarter, the Office of Inspector General (OIG) reviewed various intelligence activities of the National Security Agency/Central Security Service (NSA/CSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and DoD and internal directives. With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on NSA/CSS activities.

(b)(3)-P.L. 86-36

b. ~~(S//NF)~~ The NSA OIG completed an inspection of [redacted]
[redacted]
[redacted] The inspection found that the NSA affiliates assigned to [redacted] [redacted] are well versed in their intelligence oversight responsibilities.

c. (U//~~FOUO~~) Along with inspectors from [redacted] [redacted] the NSA OIG conducted a joint inspection of [redacted] [redacted] The inspection found several weaknesses in the [redacted] Intelligence Oversight (IO) program. [redacted] had not formally designated an IO Officer. Binders containing all of the required IO references were found in the workspaces throughout [redacted] However, all IO references were not readily accessible on [redacted] home page on the NSA intranet and [redacted] web-based IO refresher training did not meet DoD and NSA minimum requirements. Remedial steps have been taken to address these shortcomings

(b)(3)-P.L. 86-36

2. (U) GENERAL COUNSEL ACTIVITIES

~~(C//SI)~~ The NSA Office of General Counsel (OGC) reviewed various intelligence activities of the NSA/CSS to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and DoD and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of or

DRV FM: NSA/CSSM 123-2
Dated: 24 Feb 98
DECL ON: X1

concerning U.S. persons; the reporting of possible violations of federal criminal law contained in SIGINT product; the testing of electronic equipment; and the applicability of the FISA. With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on NSA/CSS activities. The OGC did not file any reports with the Intelligence Oversight Board during this quarter.

3. (U) SIGINT ACTIVITIES

a. ~~(S//SI)~~ [Redacted]

(1) (U) [Redacted]

~~(S//SI)~~ [Redacted]

~~(S//SI)~~ [Redacted]

~~(S//SI)~~ [Redacted]

(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-P.L. 86-36

(2) (U) [Redacted]

~~(TS//SI)~~ [Redacted]

[Redacted]

[Redacted]

~~(TS//SI)~~ [Redacted]

[Redacted]

(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

DRV FM: NSA/CSSM 123-2
Dated: 24 Feb 98
DECL ON: X1

~~TOP SECRET//COMINT//NOFORN//X1~~

[Redacted]

~~(TS//SI)~~

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 795
(b)(3)-P.L. 86-36

[Redacted]

~~(S//SI//NF)~~

(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-P.L. 86-36

[Redacted]

b. (U//FOUO)

[Redacted]

(1) (U)

(b)(3)-P.L. 86-36

[Redacted]

~~(S//SI)~~

[Redacted]

(b)(1)
(b)(3)-P.L. 86-36

DRV FM: NSA/CSSM 123-2
Dated: 24 Feb 98
DECL ON: X1

~~TOP SECRET//COMINT//NOFORN//X1~~

~~TOP SECRET//COMINT//NOFORN//X1~~

(2) (U) [redacted] (b)(3)-P.L. 86-36
~~(S//SI)~~ [redacted]

(b)(3)-P.L. 86-36 (3) (U) [redacted]
~~(S//SI)~~ [redacted]
(b)(3)-P.L. 86-36 [redacted] (b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36 ~~(S//SI)~~ [redacted]
[redacted]

4. (U) OTHER ACTIVITIES

(U) Integrees in Analysis and Production

~~(S//SI//NF)~~ [redacted] (b)(3)-P.L. 86-36
[redacted]

(U) Assistance to Law Enforcement

(b)(3)-P.L. 86-36
~~(S//SI//NF)~~ [redacted]
[redacted]

DRV FM: NSA/CSSM 123-2
Dated: 24 Feb 98
DECL ON: X1

~~TOP SECRET//COMINT//NOFORN//X1~~

~~TOP SECRET//COMINT//NOFORN//X1~~

(b)(1)
(b)(3)-P.L. 86-36
(b)(3)-50 USC 403
(b)(3)-18 USC 798

(b)(3)-P.L. 86-36

[Redacted]

(S)

[Redacted]

(C)

(U//FOUO)

[Redacted]

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36
(b)(1)

(U) Working Aids

(U) No new working aids were developed during the quarter.

(U) Databases

(S//SI)

[Redacted]

(b)(3)-P.L. 86-36

DRV FM: NSA/CSSM 123-2

Dated: 24 Feb 98

DECL ON: X1

~~TOP SECRET//COMINT//NOFORN//X1~~

1. SECURITY CLASSIFICATION

INSTRUCTIONS ON REVERSE!!

TRANSMITTAL OF MATERIAL

2. Acknowledge receipt of this material by executing and returning the below receipt.

- This transmittal may be downgraded to _____ upon removal of the enclosure(s).
- This transmittal may be declassified upon removal of the enclosure(s).

3. TO ATSD (I/O) 7200 Defense Pentagon Washington, D. C. Attn: <input type="text"/>	4. FROM (Return Address) Department of Defense Office of the Inspector General Suite 6247 9800 Savage Road Ft. Meade, MD 20755-6247	5. CONTROL NUMBER IG-001-03	6. PREPARATION DATE 27 March 2003
		7. WRAPPED <input type="checkbox"/> U <input type="checkbox"/> S <input checked="" type="checkbox"/> D	8. FORM A1295A ENCLOSED <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
DCS ACCOUNT NUMBER	9. NUMBER OF PACKAGES ONE		10. COMSEC <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
	REMARKS BY COURIER		

11. ITEM NO. COPY OR SERIAL NO.	UNCLASSIFIED TITLE/ DESCRIPTION OF ITEM	CLASS. OF ITEM (abbreviated)
1 <small>(b)(6) OGA</small> DoD	NSA Quarterly Report to the Intelligence Oversight Board on NSA Activities	S//SI/NF

12. JUSTIFICATION (For Special Handling)

13. PREPARED BY (Typed Name) <input type="text"/>	<small>(b)(3)-P.L. 86-36</small>	(Signature) <input type="text"/>	14. ORG. D1	15. PHONE #01-688-6666
------------------------------------------------------	----------------------------------	-------------------------------------	----------------	---------------------------

FORM A1295A REV MAY 2000 (Supersedes A1295A REV DEC 94 which will be used until depleted)
NSN: 7540 FM-001-3736

1. SECURITY CLASSIFICATION
~~Top Secret//Comint//NoFor//X1~~

DO NOT STAMP RECEIPT PORTION WITH CLASSIFICATION

RECEIPT

(Please sign and return immediately. Avoid tracer action)

RETURN TO Department of Defense Office of the Inspector General Suite 6247 9800 Savage Road Ft. Meade, MD 20755-6247	FROM ATSD (I/O) 7200 Defense Pentagon Washington, D. C. Attn: <input type="text"/>	Receipt is hereby acknowledged for the material or documents listed under this control number.
		CONTROL NUMBER IG-001-03
		DATE RECEIVED
		NAME (Typed or Printed)
		SIGNATURE

NSA STAFF PROCESSING FORM

TO DIRECTOR <i>[Signature]</i>		EXREG CONTROL NUMBER 87112	KCC CONTROL NUMBER IG-10160-03
THRU D/DIR <i>[Signature]</i> , D SECRETARIAT		ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION	EXREG SUSPENSE
SUBJECT Quarterly Report to the Intelligence Oversight Board on NSA Activities - <i>[Redacted]</i> (U//FOUO)			KCC SUSPENSE
DISTRIBUTION			

SUMMARY

PURPOSE: (U//FOUO) To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

BACKGROUND: (U//FOUO) Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. The enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. The Director's signature signifies that no other activities that require reporting are known to him.

RECOMMENDATION: (U//FOUO) Director sign the enclosed memorandum.

Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

COORDINATION/APPROVAL					
OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
D05	<i>[Signature]</i>	963-3201			
ActingGC	Vito Potenza <i>[Signature]</i>	5548s			
AGC(O)		3121s			
IG	Joel F. Bren <i>[Signature]</i>	3544s			
AIG(IO)	<i>[Signature]</i> 5/29/03	3544s			

<i>[Redacted]</i>	IG. 1	PHONE (Secure) 963-2984	DATE PREPARED 29 MAY 2003
	23-2	SECURITY CLASSIFICATION TOP SECRET//COMINT//SI TALENT KEYHOLE//NOFORN//SI	

NSA STAFF PROCESSING FORM

TO NSA IG, NSA GC	EXREG CONTROL NUMBER	KCC CONTROL NUMBER OC-101-03
THRU SIGINT Director	<i>ORL 24 Apr. 03</i>	ACTION <input type="checkbox"/> APPROVAL <input type="checkbox"/> SIGNATURE <input checked="" type="checkbox"/> INFORMATION
SUBJECT (U) SID Quarterly Compliance Report, 1 January to 31 March 2003 - Addendum		EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
DISTRIBUTION		

SUMMARY

PURPOSE: (~~U//FOUO~~) To forward additional information to the NSA Inspector General and the NSA General Counsel for the second quarter of FY2003 (1 January 2003 to 31 March 2003) on SIGINT Directorate compliance with E.O. 12333 and related directives.

BACKGROUND: (~~S//SI~~) [Redacted]

[Redacted]

[Redacted]

DISCUSSION: (~~S//SI~~) [Redacted]

[Redacted]

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36
 (b)(1)
 (b)(3)-50 USC 403
 (b)(3)-18 USC 798

COORDINATION/APPROVAL					
OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
SID Sr. Oversight Exec	[Redacted] 13-03	763 4139			
	[Redacted]				
	[Redacted]				
			POC	[Redacted]	963-8175
ORIGINATOR	[Redacted] Chief Oversight & Compliance		ORG.	PHONE (Secure)	DATE PREPARED
				963-8170	23 April 2003



NATIONAL SECURITY AGENCY
CENTRAL SECURITY SERVICE
FORT GEORGE G. MEADE, MARYLAND 20755-6000

8 July 2003

MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (~~U//FOUO~~) Report to the Intelligence Oversight Board on NSA
Activities - INFORMATION MEMORANDUM

(~~U//FOUO~~) Except as previously reported to you or the President, or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 31 March 2003, were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(~~U//FOUO~~) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.

JOEL F. BRENNER
Inspector General

VITO T. POTENZA
Acting General Counsel

(~~U//FOUO~~) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.

MICHAEL V. HAYDEN
Lieutenant General, USAF
Director, NSA/Chief, CSS

Encl:
a/s

This Memorandum is Unclassified
Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2
DATED: 24 FEB 98
DECLASSIFY ON: X1

1. (U) INSPECTOR GENERAL ACTIVITIES

a. ~~(C//SI)~~ During this quarter, the Office of Inspector General (OIG) reviewed various intelligence activities of the National Security Agency/Central Security Service (NSA/CSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and DoD and internal directives. With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on NSA/CSS activities.

b. ~~(U//FOUO)~~ The NSA OIG completed an inspection of the NSA []
[] All Division personnel interviewed reported scheduled periodic reviews of E.O. 12333 and the derivative documents. Most members had long-standing experience in the conduct of NSA operations and were aware of the their intelligence oversight responsibilities.

(b)(3)-P.L. 86-36

c. ~~(S//TK//REL)~~ Along with inspectors from []
[] the NSA OIG completed an inspection of the []
[] The inspection found that the []
[] is accomplishing Intelligence Oversight (I/O) training and documentation. Although the initial I/O training and the annual refresher training meet the requirements for educating the general workforce, there is no specific USSID 18 training for personnel working in operations. The inspectors recommended that the I/O Officer develop additional training on procedures governing activities of intelligence components for personnel within the operations organization. The inspectors also recommended that the site's I/O policy for [] needs to be reviewed, resolved, and formally documented by NSA []

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36
(b)(1)
(b)(3)-50 USC 403

(b)(3)-P.L. 86-36

d. ~~(S//SI)~~ []
[]
[]

(b)(1)

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

DERIVED FROM: NSA/CSSM 123-2
DATED: 24 FEB 98
DECLASSIFY ON: X1

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

2. (U) GENERAL COUNSEL ACTIVITIES

~~(C//SI)~~ The NSA Office of General Counsel (OGC) reviewed various intelligence activities of the NSA/CSS to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and DoD and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of or concerning U.S. persons; the reporting of possible violations of federal criminal law contained in SIGINT product; the testing of electronic equipment; and the applicability of the FISA. With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on NSA/CSS activities. The OGC did not file any reports with the Intelligence Oversight Board during this quarter.

3. (U) SIGINT ACTIVITIES

a. ~~(S//SI)~~ [] (b)(3)-P.L. 86-36

(1) (U) []

~~(S//SI)~~ []
[] (b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-P.L. 86-36

~~(S//SI)~~ [] (b)(3)-P.L. 86-36

(2) (U) [] (b)(3)-P.L. 86-36

~~(TS//SI)~~ [] (b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36
~~(TS//SI)~~ []

~~TOP SECRET//COMINT-ECI~~ [] ~~TALENT KEYHOLE//NOFORN//X1~~

(b)(3)-P.L. 86-36

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[]

~~(TS//SI)~~ []
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[]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

~~(TS//SI//NF)~~ []
[]
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~~(TS//SI//NF)~~ []
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(b)(3)-P.L. 86-36

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~~(TS//SI-ECI - //NF)~~ []
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(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

~~(S//SI//NF)~~ []
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(b)(1)
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

~~TOP SECRET//COMINT-ECI~~ [] ~~TALENT KEYHOLE//NOFORN//X1~~

(b)(3)-P.L. 86-36

[redacted] (b)(3)-P.L. 86-36

b. (U//FOUO)

[redacted]

(1) (U)

[redacted]

(S//SI)

[redacted]

(b)(1)
(b)(3)-P.L. 86-36

[redacted]

[redacted]

86-36

[redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

(S//SI) [redacted]

[redacted]

[redacted]

(2) (U)

[redacted]

(S//SI)

[redacted]

[redacted]

(b)(3)-P.L. 86-36

~~TOP SECRET//COMINT-ECI~~ ~~TALENT KEYHOLE//NOFORN//X1~~

(b)(3)-P.L. 86-36

[Redacted]

(S)(U)

[Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

(TS//SI)

[Redacted]

(b)(3)-P.L. 86-36

[Redacted]

(S//SI)

[Redacted]

(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

[Redacted]

[Redacted]

[Redacted]

(b)(3)-P.L. 86-36

[Redacted]

(S//SI)

[Redacted]

[Redacted]

(S//SI)

[Redacted]

(b)(1)
(b)(3)-P.L. 86-36

[Redacted]

[Redacted]

(U//FOUO)

[Redacted]

[Redacted]

[Redacted]

(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

~~TOP SECRET//COMINT-ECI~~ ~~TALENT KEYHOLE//NOFORN//X1~~

(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36

~~(C//SI)~~
[Redacted]

(b)(3)-P.L. 86-36
(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

~~(TS//SI)~~
[Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

~~(S//SI//TK)~~
[Redacted]

(b)(3)-P.L. 86-36

4. (U) OTHER ACTIVITIES

(U) Integrees in Analysis and Production

~~(S//SI//NF)~~

[Redacted]

(b)(3)-P.L. 86-36

(U) Assistance to Law Enforcement

~~(S//SI)~~

(b)(1)
 (b)(3)-50 USC 403
 (b)(3)-18 USC 798
 (b)(3)-P.L. 86-36

~~(C)~~

(b)(3)-P.L. 86-36

2) ~~(C)~~

(b)(3)-P.L. 86-36

3) ~~(C)~~ NSA

(b)(1)
 (b)(3)-P.L. 86-36

~~(S//SI//NF)~~

(b)(1)
 (b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(b)(1)
 (b)(3)-50 USC 403
 (b)(3)-18 USC 798
 (b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

~~TOP SECRET//COMINT-ECI [] TALENT KEYHOLE//NOFORN//XI~~

[Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

(C) (U//FOUO)

[Redacted]

(b)(1)
(b)(3)-P.L. 86-36

(C) (U//FOUO)

[Redacted]

(b)(1)
(b)(3)-P.L. 86-36

(C) (U//FOUO)

[Redacted]

(b)(1)
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

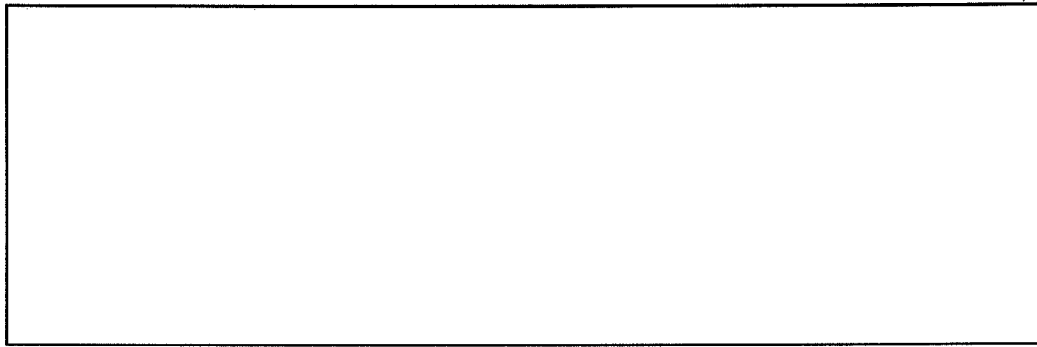
(U//FOUO)

[Redacted]

(b)(3)-P.L. 86-36
(b)(5)

(b)(3)-P.L. 86-36

~~TOP SECRET//COMINT-ECI [] TALENT KEYHOLE//NOFORN//XI~~



(U) Working Aids

(U) All SID personnel at NSA Headquarters and in the Extended Enterprise have access to [redacted] and other guidance documents either in hard copy or via NSANet. Its personnel are cognizant of NSA/CSS Directive 10-30 and review it as necessary to ensure compliance with the directive.

(b)(3)-P.L. 86-36

(U//FOUO) [redacted] redesigned its home web page to include an Intelligence Oversight link. As part of their quarterly training, [redacted] personnel are required to access the site, read its contents and complete the form at the end signifying they completed the required training.

(b)(3)-P.L. 86-36

(U) Databases

(b)(3)-P.L. 86-36



~~TOP SECRET//COMINT//ECT~~
~~KEYHOLE//NOFORN//X1~~

SECURITY CLASSIFICATION

NSA STAFF PROCESSING FORM

TO DIRECTOR <i>V</i>		EXREG CONTROL NUMBER 87112	KCC CONTROL NUMBER IG-10160-03
THRU D/DIR <i>S</i> , D SECRETARIAT		ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION	EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
SUBJECT Quarterly Report to the Intelligence Oversight Board on NSA Activities—Second Quarter FY03 (U//FOUO)			
DISTRIBUTION			

SUMMARY

PURPOSE: (U//FOUO) To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

BACKGROUND: (U//FOUO) Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. The enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. The Director's signature signifies that no other activities that require reporting are known to him.

RECOMMENDATION: (U//FOUO) Director sign the enclosed memorandum.

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

COORDINATION/APPROVAL					
OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
DoS	<i>[Signature]</i>	963-3201			
ActingGC	vito Potenza <i>[Signature]</i>	5548s			
AGC(O)	<i>[Signature]</i>	3121s			
IG	Joel F. Brennan <i>[Signature]</i>	3544s			
AIG(IO)	<i>[Signature]</i> 5/29/03	3544s			

(b)(3)-P.L. 86-36

RG. 11	PHONE (Secure) 963-2984	DATE PREPARED 29 MAY 2003
23-2	SECURITY CLASSIFICATION	
TOP SECRET//COMINT//ECT <input type="checkbox"/> TALENT KEYHOLE//NOFORN//X1		

(b)(3)-P.L. 86-36

NSA STAFF PROCESSING FORM

TO NSA IG, NSA GC		EXREG CONTROL NUMBER	KCC CONTROL NUMBER OC-101-03
THRU SIGINT Director		ACTION	
SUBJECT (U) SID Quarterly Compliance Report, 1 January to 31 March 2003 - Addendum		<input type="checkbox"/> APPROVAL	
		<input type="checkbox"/> SIGNATURE	
DISTRIBUTION		<input checked="" type="checkbox"/> INFORMATION	

QRL 24 Apr. 03

EXREG SUSPENSE
KCC SUSPENSE
ELEMENT SUSPENSE

(b)(1)
 (b)(3)-P.L. 86-36
 (b)(3)-18 USC 798
 (b)(3)-50 USC 403

SUMMARY
PURPOSE: (U//FOUO) To forward additional information to the NSA Inspector General and the NSA General Counsel for the second quarter of FY2003 (1 January 2003 to 31 March 2003) on SIGINT Directorate compliance with E.O. 12333 and related directives.

BACKGROUND: (S//SI) [Redacted]

DISCUSSION: (S//SI) [Redacted]

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

COORDINATION/APPROVAL					
OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
SID Sr. Oversight Exec.	[Redacted]	05 763 8179			
			POC	[Redacted]	963-8175

Chief Oversight & Compliance	ORG.	PHONE (Secure) 963-8170	DATE PREPARED 23 April 2003
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SECURITY CLASSIFICATION

NSA STAFF PROCESSING FORM

TO DIRECTOR	EXREG CONTROL NUMBER 88220	KCC CONTROL NUMBER IG-10209-03
THRU D/DIR <i>[Signature]</i> , D SECRETARIAT	ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION	EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
SUBJECT Quarterly Report to the Intelligence Oversight Board on NSA Activities—Third Quarter FY03 (U//FOUO)		
DISTRIBUTION		

SUMMARY

PURPOSE: (U//FOUO) To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

BACKGROUND: (U//FOUO) Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. The enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. The Director's signature signifies that no other activities that require reporting are known to him.

RECOMMENDATION: (U//FOUO) Director sign the enclosed memorandum.

Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

(b) (3) - P.L. 86-36

COORDINATION/APPROVAL					
OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
ActingGC	Vito Potenza	5548s	JOS	Heun 9/16	3201
AGC(O)		3121s			
IG	Joel F. Brenne	3544s			
DIG		3544s			
AIG(IO)		3544s			
			ORG	PHONE (Secure)	DATE PREPARED
			011	963-2984	29 August 2003

~~TOP SECRET//COMINT//NOFORN~~

NATIONAL SECURITY AGENCY
CENTRAL SECURITY SERVICE
FORT GEORGE G. MEADE, MARYLAND 20755-6000

18 September 2003

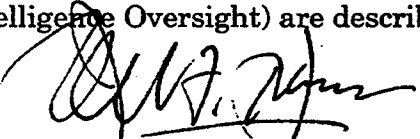
MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U//~~FOUO~~) Report to the Intelligence Oversight Board on NSA
Activities - INFORMATION MEMORANDUM

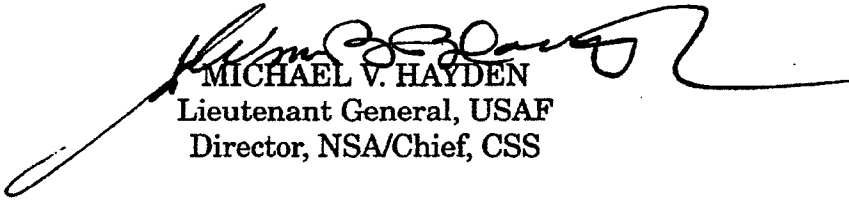
(U//~~FOUO~~) Except as previously reported to you or the President, or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 30 June 2003, were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(U//~~FOUO~~) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.


JOEL F. BRENNER
Inspector General


VITO T. POTENZA
Acting General Counsel

(U//~~FOUO~~) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.


MICHAEL V. HAYDEN
Lieutenant General, USAF
Director, NSA/Chief, CSS

Encl:
a/s

This Memorandum is Unclassified
Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2
DATED: 24 FEB 98
DECLASSIFY ON: X1

~~TOP SECRET//COMINT//NOFORN//X1~~

1. (U) INSPECTOR GENERAL ACTIVITIES

a. ~~(C//SI)~~ During this quarter, the Office of Inspector General (OIG) reviewed various intelligence activities of the National Security Agency/Central Security Service (NSA/CSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and DoD and internal directives. With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on NSA/CSS activities.

b. ~~(U//FOUO)~~ The NSA OIG completed an inspection of the National Security Operations Center (NSOC). The NSOC home page on NSA's intranet has a new intelligence oversight (I/O) link containing the policy requirements related to I/O training and compliance; hyperlinks to the basic I/O documents; a reminder of the requirement to review the documents once a year; an on-line test, and the capability to register individual compliance in an I/O compliance database. The test results are used to indicate compliance with the mandatory training. The on-line program has been a success story: 100-percent participation and a 100-percent pass rate by NSOC assignees.

c. ~~(S//SI)~~ Along with inspectors from [REDACTED]

(b)(3)-P.L. 86-36

[REDACTED] the NSA OIG completed an inspection of the Medina Regional Security Operations Center (MRSOC), Lackland Air Force Base, San Antonio, TX. The October 2000 joint inspection of the MRSOC noted numerous deficiencies in the site's I/O program. The March 2003 inspection revealed that the I/O familiarization process is still fragmented and individual responsibilities are not well defined. This blurs accountability and does not track with the site's governing oversight instruction, dated 15 November 2002. The process does not validate compliance and has no mechanism to enforce individual accountability. Consequently, coordinators and managers display little interest in or commitment to I/O compliance. USSID 18 training continues to be an issue because the MRSOC has not fully satisfied quarterly and annual training requirements for the past several years. The OIG joint report made several recommendations for improvement, which are being monitored for implementation.

DERIVED FROM: NSA/CSSM 123-2

DATED: 24 FEB 98

DECLASSIFY ON: X1

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

d. (TS//SI//NF) [redacted]
[redacted]
[redacted] We will inform you of the results of our investigation when it is completed.

2. (U) GENERAL COUNSEL ACTIVITIES

(C//SI) The NSA Office of General Counsel (OGC) reviewed various intelligence activities of the NSA/CSS to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and DoD and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of or concerning U.S. persons; the reporting of possible violations of federal criminal law contained in SIGINT product; the testing of electronic equipment; and the applicability of the Foreign Intelligence Surveillance Act (FISA). With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on NSA/CSS activities. The OGC did not file any reports with the Intelligence Oversight Board during this quarter.

3. (U) SIGINT ACTIVITIES

a. (S//SI) [redacted] (b)(3)-P.L. 86-36
(1) (U) [redacted]
(S//SI) [redacted]
[redacted] (b)(1) (b)(3)-P.L. 86-36
(S//SI) [redacted]
[redacted]
[redacted]
[redacted]
[redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(2) (U)

a. ~~(TS//SI)~~

[Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

b. ~~(S//SI)~~

[Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

c. ~~(S//SI)~~

[Redacted]

(b)(3)-P.L. 86-36

d. ~~(TS//SI)~~

[Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

e. ~~(TS//SI//NF)~~

[Redacted]

(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36

f. ~~(TS//SI)~~
[Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

g. ~~(TS//SI)~~
[Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

h. ~~(TS//SI)~~
[Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

i. ~~(S//SI)~~
[Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

i. ~~(S//SI//NF)~~
[Redacted]

(b)(1)
(b)(3)-P.L. 86-36

b. (U//FOUO) [Redacted]

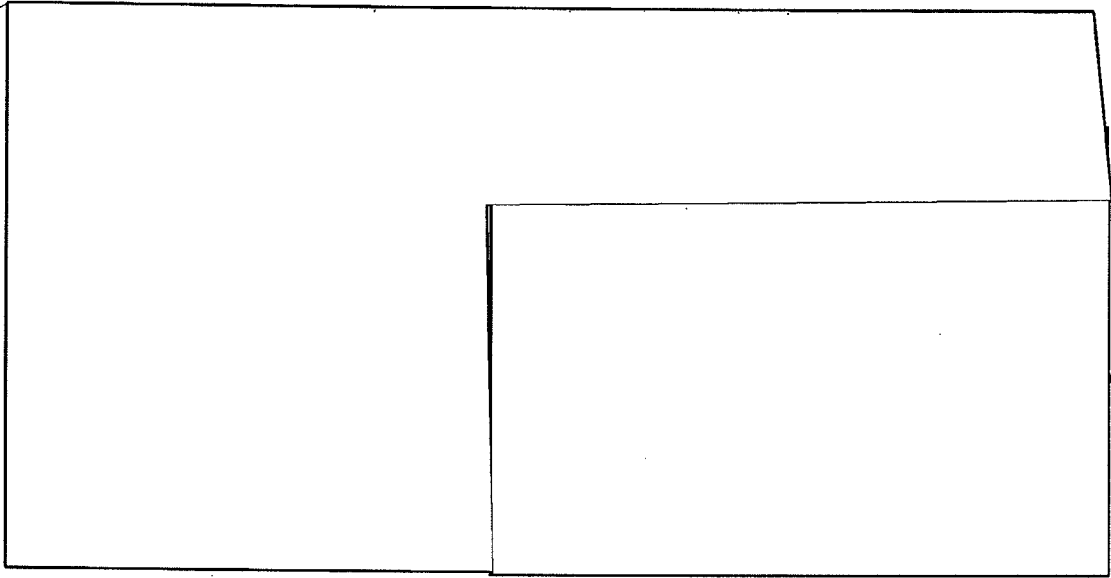
(b)(3)-P.L. 86-36

(1) (U) [Redacted]

~~(S//SI)~~
[Redacted]

(b)(1)
(b)(3)-P.L. 86-36

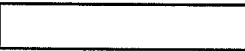
(b)(3)-P.L. 86-36



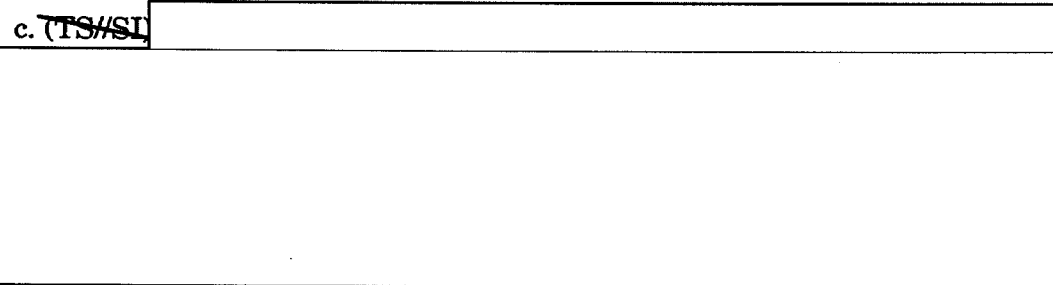
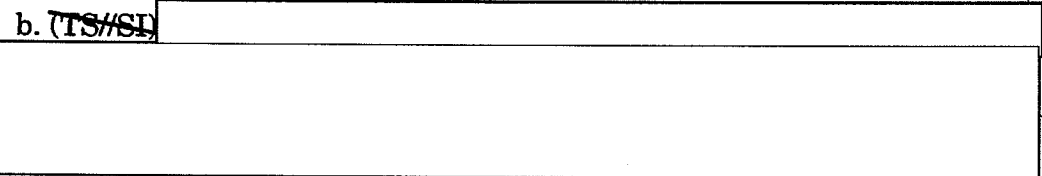
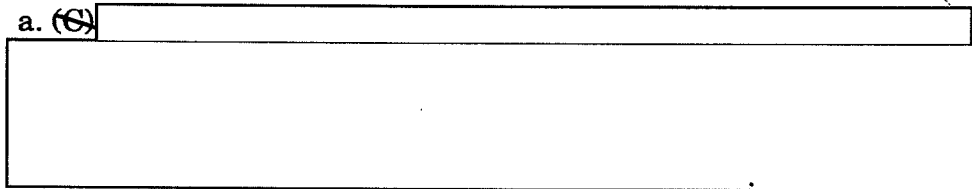
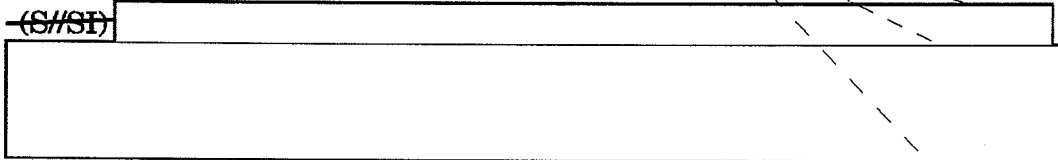
(b)(1)
(b)(3)-P.L. 86-36



(2) (U)



(b)(3)-P.L. 86-36



(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

[Redacted]

d. ~~(TS//SI)~~ [Redacted]

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

e. ~~(TS//SI)~~ [Redacted]

(b)(3)-P.L. 86-36

(3) (U) [Redacted] -P.L. 86-36
~~(S//SI)~~

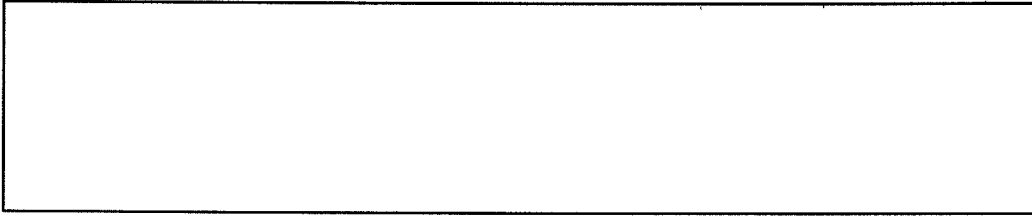
[Redacted]

(b)(1)
(b)(3)-P.L. 86-36

~~(S//SI)~~ [Redacted]

(b)(3)-P.L. 86-36
(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-P.L. 86-36

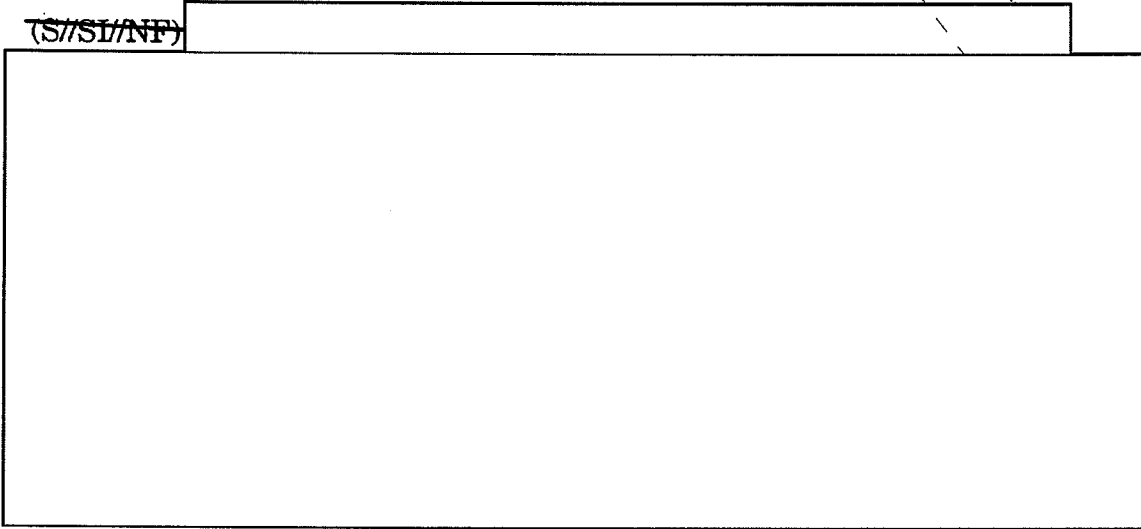


4. (U) OTHER ACTIVITIES

(U) Integrees in Analysis and Production

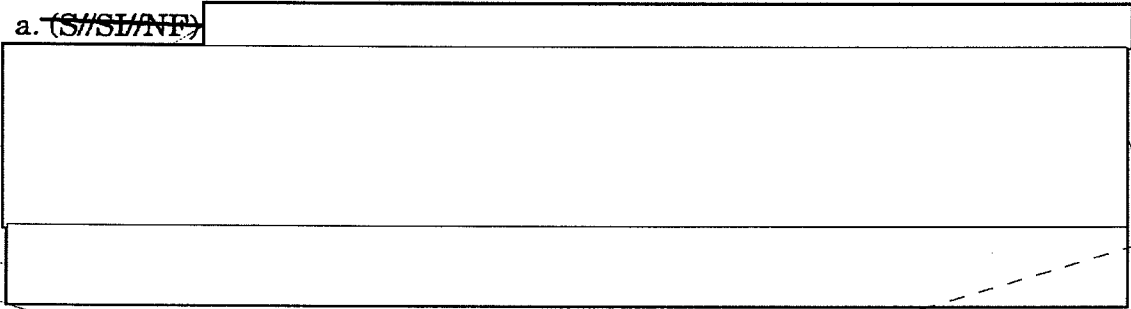
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(b)(3)-P.L. 86-36

(S//SI//NF)



(U) Assistance to Law Enforcement

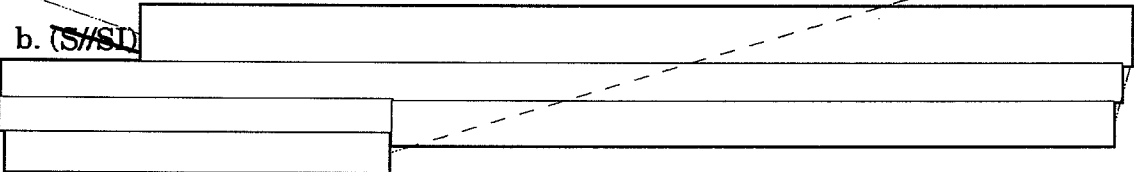
a. (S//SI//NF)



(b)(3)-P.L. 86-36

(b)(1)
(b)(3)-50 USC 403
(b)(3)-18 USC 798
(b)(3)-P.L. 86-36

b. (S//SD)



(U) Working Aids

a. (U) All SID personnel at NSA Headquarters and in the Extended Enterprise have access to [redacted] and other guidance documents either in hard copy or via NSA's intranet. SID personnel are cognizant of NSA/CSS Directive 10-30 and review it as necessary to ensure compliance with the directive. [redacted]

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

b. (U//~~FOUO~~) [redacted] redesigned its home web page to include an Intelligence Oversight link. As part of their quarterly training, [redacted] personnel are required to access the site, read its contents and complete the form at the end signifying they completed the required training.

(b)(3)-P.L. 86-36

c. (U//~~FOUO~~) The SID has also developed a web-based training program that currently is in beta testing. This program is designed to provide lists of individuals within an office who have completed the training.

(U) Databases

(S//SI) [redacted]

(b)(3)-P.L. 86-36