



NATIONAL SECURITY AGENCY  
CENTRAL SECURITY SERVICE  
FORT GEORGE G. MEADE, MARYLAND 20755-6000

FOIA Case: 54854A  
8 June 2009

Electronic Frontier Foundation  
ATTN: Ms. Marcia Hofmann  
464 Shotwell Street  
San Francisco, CA 94110

Dear Ms. Hofmann:

This further responds to your Freedom of Information Act (FOIA) request of 25 February 2008 for "copies of all reports submitted by the National Security Agency to the Intelligence Oversight Board ("IOB")...since January 1, 2001." A copy of your request is enclosed.

As you were previously advised, for purposes of this request and based on the information you provided in your letter, you are considered a representative of the media. As such, you are allowed the duplication of 100 pages at no cost. Since duplication fees were minimal, no fees have been assessed.

Your request has been processed under the provisions of the FOIA, and documents responsive to your request are enclosed in this interim response. These documents, which date through the first quarter of fiscal year 2006, are being released concurrently to several FOIA requesters. The additional documents responsive to your request (through fiscal year 2007) are nearing completion and will be released to you in a follow-up response as soon as they are available. Please be advised that certain information has been deleted from the enclosures as explained below.

Some of the information deleted from the documents was found to be currently and properly classified in accordance with Executive Order 12958, as amended. This information meets the criteria for classification as set forth in Subparagraph (c) of Section 1.4 and remains classified TOP SECRET and SECRET as provided in Section 1.2 of the Executive Order. The information is classified because its disclosure could reasonably be expected to cause exceptionally grave or serious damage to the national security. Because the

information is currently and properly classified, it is exempt from disclosure pursuant to the first exemption of the FOIA (5 U.S.C. Section 552(b)(1)).

In addition, this Agency is authorized by various statutes to protect certain information concerning its activities. We have determined that such information exists in these documents. Accordingly, those portions are exempt from disclosure pursuant to the third exemption of the FOIA, which provides for the withholding of information specifically protected from disclosure by statute. The specific statutes applicable in this case are Title 18 U.S. Code 798, Title 50 U.S. Code 403-1(i), and Section 6, Public Law 86-36 (50 U.S. Code 402 note).

Also, some of the information has been deleted from the enclosures pursuant to the fifth exemption of the FOIA. This exemption applies to inter-agency or intra-agency memoranda or letters which would not be available by law to a party in litigation with the agency, protecting information that is normally privileged in the civil discovery context, such as information that is part of a pre-decisional deliberative process and attorney-client privileged information.

Personal information regarding individuals has been deleted from the enclosures in accordance with 5 U.S.C. 552 (b)(6). This exemption protects from disclosure information that would constitute a clearly unwarranted invasion of personal privacy. In balancing the public interest for the information you request against the privacy interests involved, we have determined that the privacy interests sufficiently satisfy the requirements for the application of the (b)(6) exemption.

Finally, subsection (b)(2) of the FOIA exempts from disclosure matters related solely to the internal personnel rules and practices of an agency. This exemption has been held to apply to matters that are "predominantly internal," the release of which would "significantly risk circumvention of agency regulations or statutes." Crooker v. Bureau of Alcohol, Tobacco, and Firearms, 670 F.2d 1051, 1074 (D.C. Cir. 1981). Information contained within one of the reports meets the criteria for exemption (b)(2) protection as that statutory provision has been interpreted and applied by the Federal Judiciary.

Since these deletions may be construed as a partial denial of your request, you are hereby advised of this Agency's appeal procedures. Any person denied access to information may file an appeal to the NSA/CSS Freedom of Information Act Appeal Authority. The appeal must be postmarked no later than 60 calendar days from the date of the initial denial letter. The appeal shall be in writing addressed to the NSA/CSS FOIA Appeal Authority

(DJP4), National Security Agency, 9800 Savage Road STE 6248, Fort George G. Meade, MD 20755-6248. The appeal shall reference the initial denial of access and shall contain, in sufficient detail and particularity, the grounds upon which the requester believes release of the information is required. The NSA/CSS Appeal Authority will endeavor to respond to the appeal within 20 working days after receipt, absent any unusual circumstances.

The Department of Defense (DoD) has asked that we protect information pursuant to (b)(6). Those deletions have been marked with the code OGA (Other Government Agency). Any appeal of the denial of DoD information should be directed to that agency.

Sincerely,

A handwritten signature in cursive script, appearing to read "Pamela N. Phillips".

PAMELA N. PHILLIPS  
Acting Initial Denial Authority

Encls:  
a/s

DOCID: 3562084

SECURITY CLASSIFICATION

NSA STAFF PROCESSING FORM

\* 73595 \*

TO DIRECTOR

EXREG CON



KCC CONTROL NUMBER  
IG-9388-00

THRU D/DIR [initials], EXEC/DIR [initials] 3-15-00

ACTION

- APPROVAL
- SIGNATURE
- INFORMATION

EXREG SUSPENSE

KCC SUSPENSE

ELEMENT SUSPENSE

SUBJECT: Quarterly Report to the Intelligence Oversight Board on NSA Activities - First Quarter FY00

DISTRIBUTION

SUMMARY

**PURPOSE:** To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

**BACKGROUND:** Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. Enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. Director's signature signifies that no other activities which require reporting are known to him.

**RECOMMENDATION:** Director sign the enclosed memorandum.

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

COORDINATION/APPROVAL

OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
EXREG		3201s			
GC	Robert Denton 3/15/00	5548s			
AGC(O)	[initials] 3/14/00	3121s		(b) (3) - P.L. 86-36	
IG	Ethan L. Bauman 3/14/00	3544s			

ORG. D11	PHONE (Secure) 963-3544	DATE PREPARED 1 March 2000
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NATIONAL SECURITY AGENCY  
CENTRAL SECURITY SERVICE  
FORT GEORGE G MEADE, MARYLAND 20755-6000

17 March 2000

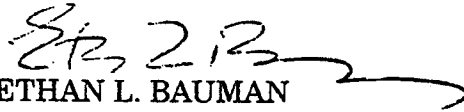
## MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U) Report to the Intelligence Oversight Board on NSA Activities -  
INFORMATION MEMORANDUM

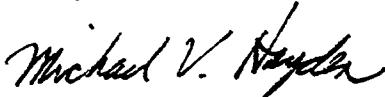
(U) Except as previously reported to you or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 31 December 1999 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(U) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.

  
ETHAN L. BAUMAN  
Inspector General

  
ROBERT L. DEITZ  
General Counsel

(U) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.

  
MICHAEL V. HAYDEN  
Lieutenant General, USAF  
Director, NSA/Chief, CSS

Encl:  
a/s

This Memorandum is Unclassified  
Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2  
DATED: 24 FEB 98  
DECLASSIFY ON: X1

1. Inspector General Activities

a. (U//~~FOUO~~) During this quarter, the Office of Inspector General (OIG) reviewed various reports [redacted] the Attorney General (AG) Procedures, and Department of Defense and internal directives to determine if [redacted]

(b)(3)-P.L. 86-36

b. (~~S~~//COMINT) A joint inspection team consisting of inspectors from the [redacted] and the National Security Agency/Central Security Service (NSA/CSS) conducted a joint inspection of the Kunia Regional Security Operations Center (KRSOC). The team found intelligence oversight training (IO) at the KRSOC to be adequate, but made several recommendations for improvement.

(b)(3)-P.L. 86-36

c. (~~TS~~//COMINT) An NSA/CSS OIG team conducted an IO inspection of the [redacted]. The team found that there was no IO program for [redacted] employed by the division, and recommended that one be established.

(b)(3)-P.L. 86-36

d. (U//~~FOUO~~) An NSA/CSS OIG team conducted an inspection of the [redacted]. The team found that the organization did not have a formal program to ensure that all its personnel were familiar with the basic documents governing intelligence oversight. A formal program is now being developed.

(b)(3)-P.L. 86-36

e. (U//~~FOUO~~) The NSA/CSS OIG has begun a Strategic Assessment of Intelligence Oversight within NSA. The overall objective of this review is to determine the adequacy, consistency, and extent of IO training and awareness programs at the Agency. The review will consider such topics as: differences and similarities of IO programs within various Agency elements; use of risk management principles for determining the level of IO training and awareness needed for various segments of the workforce; and the adequacy of IO program procedures to ensure effective training, awareness, and compliance with applicable regulations. The memorandum to senior Agency leadership announcing the launch of the initiative is attached at Tab A.

2. General Counsel Activities

a. (~~C~~//COMINT) The OGC reviewed various intelligence activities of the United States Signals Intelligence System (USSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and Department of Defense and internal directives. The OGC advised Agency elements on a number of questions, including the collection

and dissemination of communications of, or concerning, United States persons, the reporting of possible violations of federal criminal law contained in SIGINT product, the testing of electronic equipment, and the applicability of the Foreign Intelligence Surveillance Act (FISA). With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on SIGINT operations.

b. ~~(TS//COMINT//NF)~~ The OGC did not file any reports with the IOB during the first quarter of FY-2000

[Redacted]

3. (U) SIGINT Activities

(b)(3)-P.L. 86-36

a. ~~(S-CCO)~~

[Redacted]

(1) (U)

[Redacted]

~~(S//COMINT)~~

[Redacted]

(b)(3)-P.L. 86-36

[Redacted]

(b)(1)  
(b)(3)-P.L. 86-36

~~(TS//COMINT)~~

[Redacted]

(b)(3)-P.L. 86-36

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

[Redacted]

(b)(1)  
(b)(3)-P.L. 86-36

[Redacted]

~~(S//COMINT)~~

[Redacted]

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36

~~(S//COMINT/NF)~~

(b)(3)-P.L. 86-36

[Redacted]

03  
98

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36 (2) (U)

~~(TS//COMINT)~~

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

[Redacted]

~~(S//COMINT)~~

(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36

~~(TS//COMINT/NF)~~

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

[Redacted]

~~(TS//COMINT/NF)~~

(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36

~~(TS//COMINT)~~

[Redacted]

(b)(3)-P.L. 86-36  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36



DOCID: 3562084

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36

(S//COMINT)

[Redacted]

(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36  
(b)(1)

b. (U//FOUO)

[Redacted]

(1) (U)

[Redacted]

(b)(3)-P.L. 86-36

(S//CCO)

[Redacted]

[Redacted]

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(2) (U)

[Redacted]

(b)(3)-P.L. 86-36

(S//COMINT)

[Redacted]

[Redacted]

4. (U) Other Activities

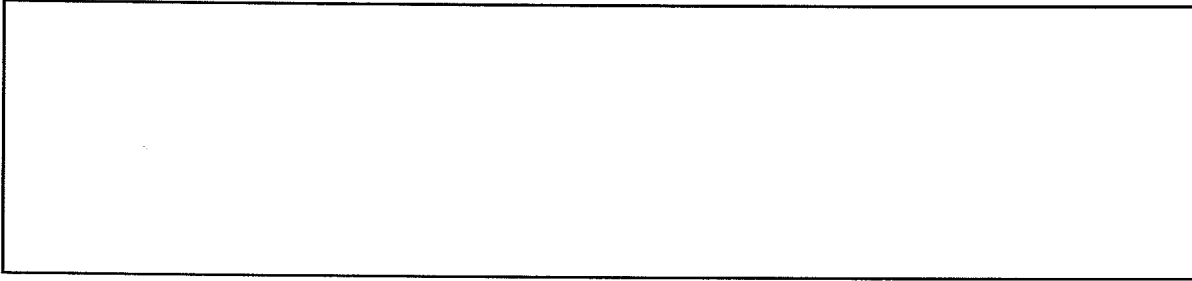
(U) Databases

(b)(3)-P.L. 86-36

(C//COMINT)

[Redacted]

[Redacted]



(b)(3)-P.L. 86-36

memorandum  
IG-9344-99

DATE: 16 December 1999

REPLY TO  
ATTN OF: Deputy Inspector General

SUBJECT: (U) Strategic Assessment of Intelligence Oversight (ST-00-0001) —  
INFORMATION MEMORANDUM

TO: DISTRIBUTION

1. (U//~~FOUO~~) This is our formal announcement that the Office of the Inspector General (OIG) will conduct a Strategic Assessment of Intelligence Oversight (I/O) within the National Security Agency. We plan to begin this assessment in January 2000.

2. (U//~~FOUO~~) The overall objective of this review will be to determine the adequacy, consistency, and extent of I/O training and awareness programs at the Agency. The review will consider such topics as:

- differences and similarities of I/O programs within various Agency elements;
- use of risk management principles for determining the level of I/O training and awareness needed for various segments of the work force;
- the adequacy of I/O program procedures to ensure effective training, awareness, and compliance with applicable regulations.

The results of the assessment will be provided to the NSA/CSS Oversight Board, which comprises the Deputy Director (Chair), the General Counsel, and the Inspector General.

3. (U//~~FOUO~~) We will be contacting the IG liaisons in each Key Component to make arrangements for the assessment. My point of contact for this review is Assistant Inspector General [redacted] who can be reached on 963-3544s or at [redacted]@nsa.

(b)(3)-P.L. 86-36

[redacted]

Deputy Inspector General

DOCID: 3562084

DISTRIBUTION:

DDI  
DDO  
DDT  
GC  
ADS  
ADCM  
Chief, F6

cc: DIR  
D/DIR  
EXEC/DIR

(b)(3)-P.L. 86-36

I23	[REDACTED]	IG Liaison
P06V	[REDACTED]	IG Liaison
T011	[REDACTED]	IG Liaison
S09	[REDACTED]	IG Liaison
ADCM/CS	[REDACTED]	IG Liaison
F6109	[REDACTED]	IG Liaison



~~SECRET//COMINT//X1~~  
NATIONAL SECURITY AGENCY  
CENTRAL SECURITY SERVICE

FORT GEORGE G. MEADE, MARYLAND 20755-6000

Serial: U/215/99  
December 27, 1999

MEMORANDUM FOR JAMES BAKER, DEPUTY COUNSEL, INTELLIGENCE  
OPERATIONS, OFFICE OF INTELLIGENCE POLICY AND REVIEW,  
DEPARTMENT OF JUSTICE

SUBJECT: (U//~~FOUO~~) NSA OGC Assessment of [redacted]  
INFORMATION MEMORANDUM

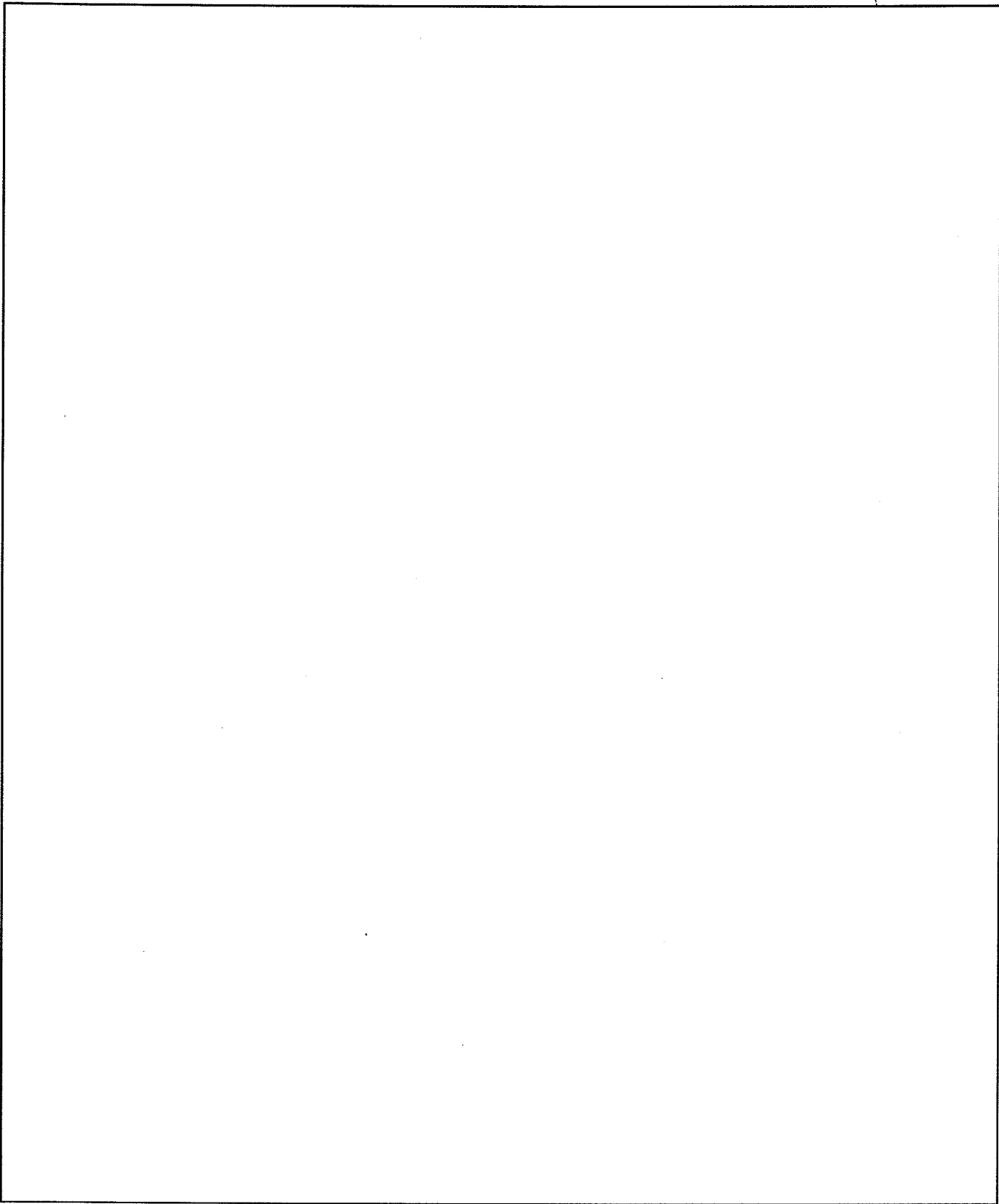
(b)(3)-P.L. 86-36

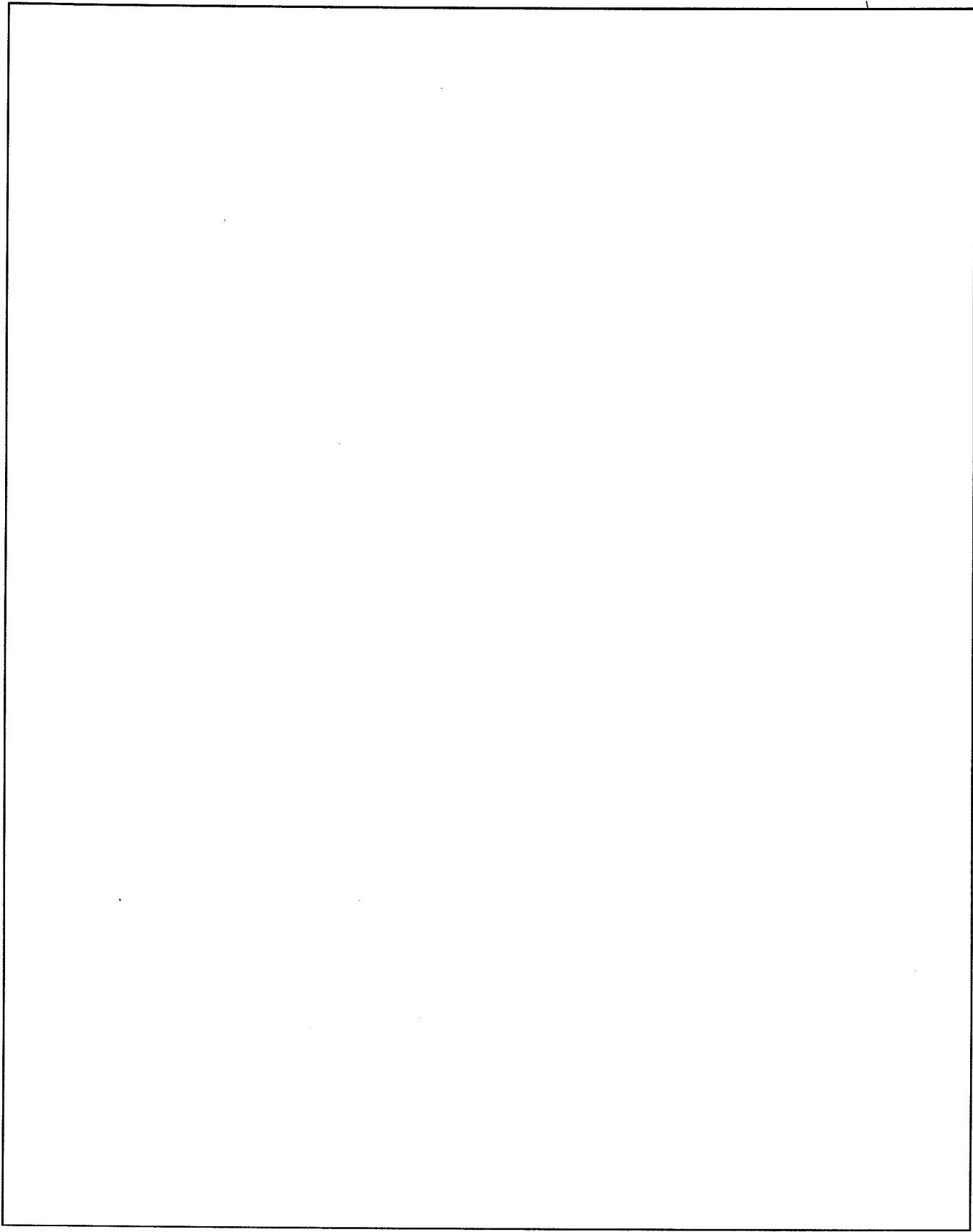
~~(S//SI)~~

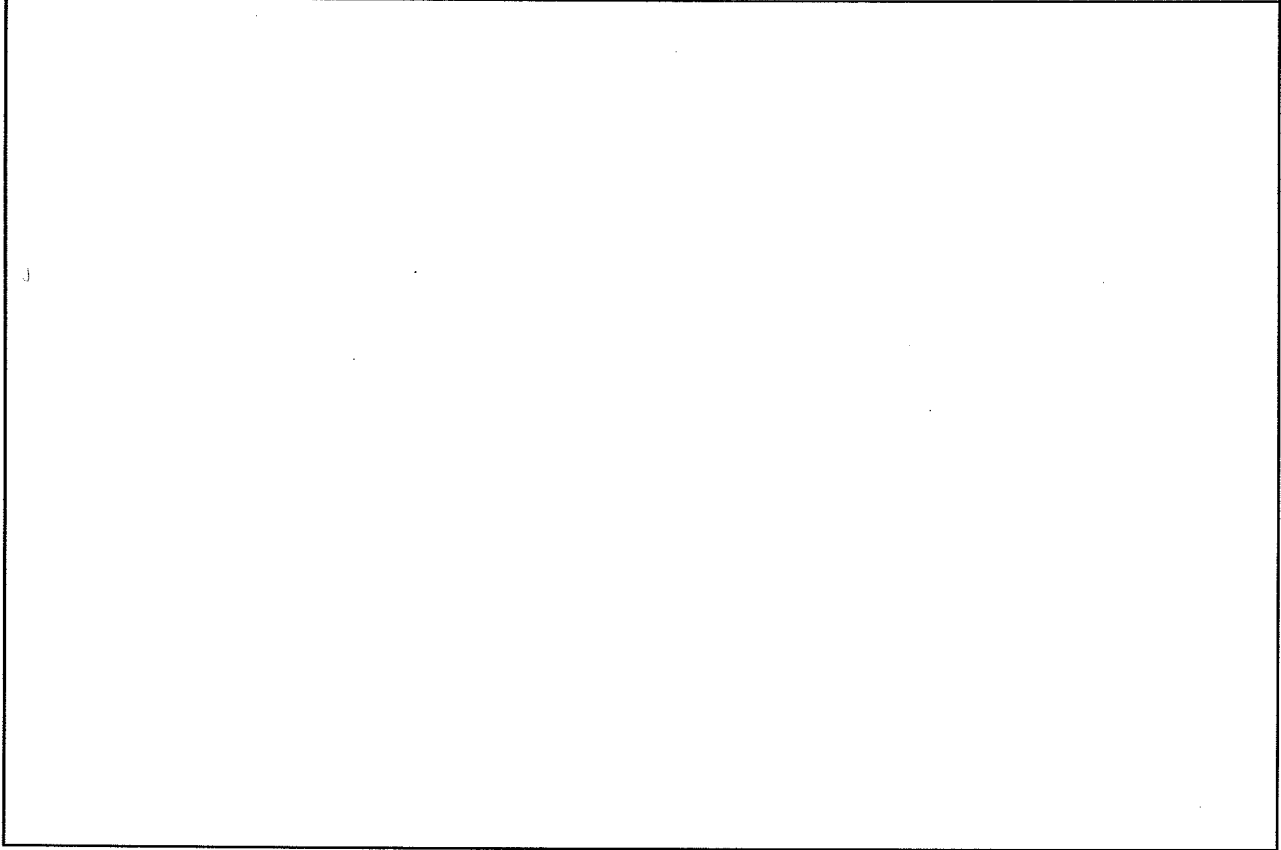
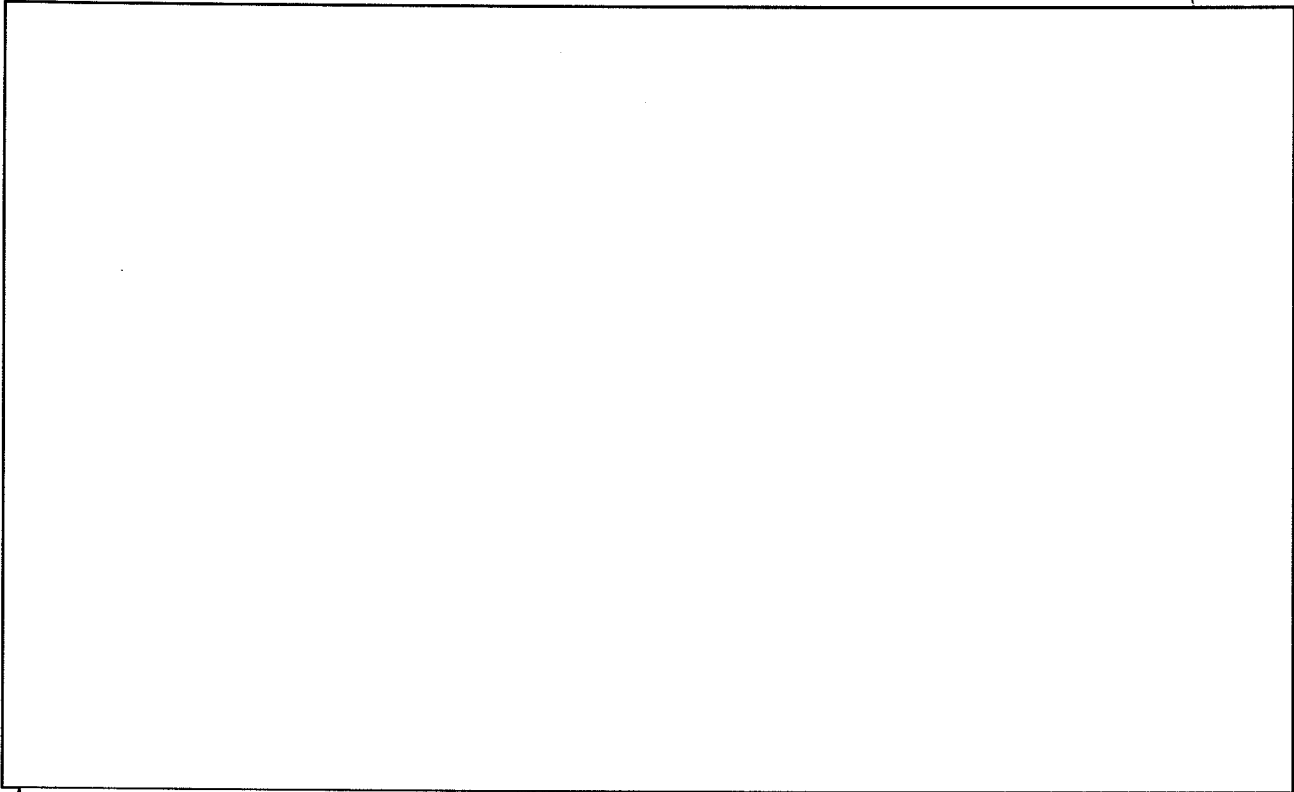
[Large redacted area]

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(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36  
(b)(5)

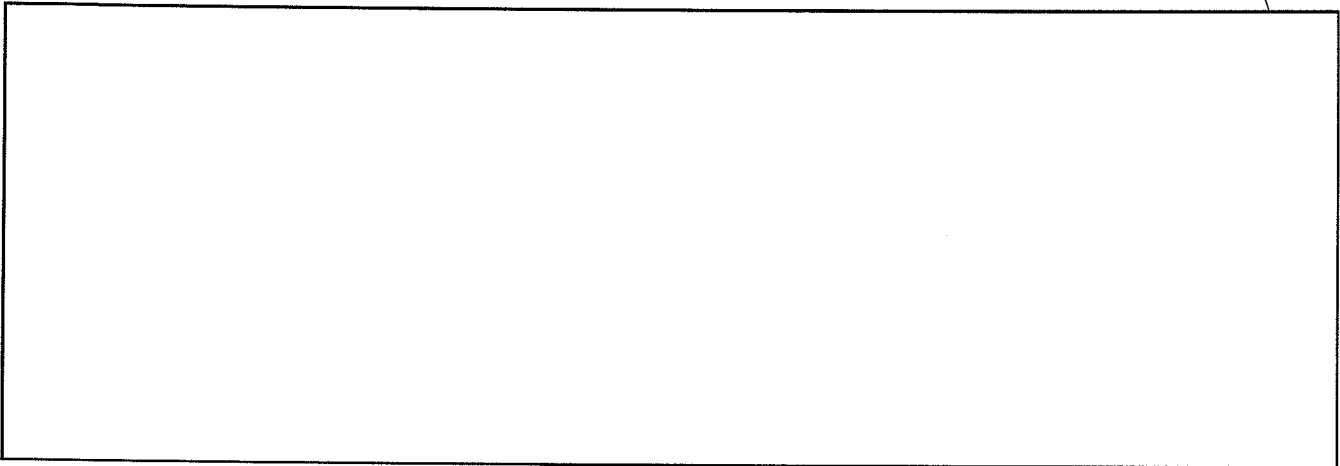
Derived From: NSACSSM 123-2  
Dated: 24 February 1998  
Declassify On: X1



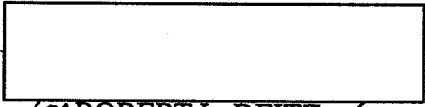








(b)(3)-P.L. 86-36




*[Signature]* ROBERT L. DEITZ  
General Counsel

~~TOP SECRET//COMINT//NOFORN//X1~~

SECURITY CLASSIFICATION

NSA STAFF PROCESSING FORM \* 74618 \*

TO <b>DIRECTOR</b>	EXREG CC		KCC CONTROL NUMBER <b>IG-9466-00</b>
THRU <b>D/DIR</b> , EXEC/DIR	ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION		EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
SUBJECT <b>Quarterly Report to the Intelligence Oversight Board on NSA Activities - Second Quarter FY00</b>			
DISTRIBUTION			

SUMMARY



**PURPOSE:** To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

**BACKGROUND:** Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. Enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. Director's signature signifies that no other activities which require reporting are known to him.

**RECOMMENDATION:** Director sign the enclosed memorandum.

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

COORDINATION/APPROVAL					
OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
EXREG		3201s			
GC	Robert Deitz 	21s			
AGC(O)		21s		(b) (3) - P.L. 86-36	
IG	Ethan L. Bauman 	3544s			
			ORG. <b>D11</b>	PHONE (Secure) <b>963-3544</b>	DATE PREPARED <b>31 May 2000</b>



NATIONAL SECURITY AGENCY  
CENTRAL SECURITY SERVICE



*Office of the Inspector General*

COMMERCIAL NUMBER: (301) 688-6666 (STU-III)

SECURE NUMBER: 963-3544

DATE: 14 June 2000

TO:

[Redacted]

(b) (6)  
OGA

DoD

ORG:

FAX NUMBER: 703-275-6589

PASS TO:

PHONE: 703-275-6554

NUMBER OF PAGES TO FOLLOW: 21

RECEIPT BY:

ACCESS LEVEL CONFIRMED: YES/NO

(b) (3)-P.L. 86-36

FROM:

[Redacted]

COMMENTS:

~~TS//C/NF//X1~~  
CLASSIFICATION

~~TOP SECRET//COMINT//NOFORN//X1~~

## NATIONAL SECURITY AGENCY

FORT GEORGE G. MEADE, MARYLAND 20755-6000

9 June 2000

## MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U) Report to the Intelligence Oversight Board on NSA Activities -  
INFORMATION MEMORANDUM

(U) Except as previously reported to you or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 31 March 2000 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(U) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.

*E.L. Bauman*  
ETHAN L. BAUMAN  
Inspector General

*R.L. Deitz*  
ROBERT L. DEITZ  
General Counsel

(U) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.

*M.V. Hayden*  
MICHAEL V. HAYDEN  
Lieutenant General, USAF  
Director, NSA/Chief, CSS

Encl:  
a/s

This Memorandum is Unclassified  
Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2  
DATED: 24 FEB 98  
DECLASSIFY ON: X1

~~TOP SECRET//COMINT//NOFORN//X1~~

1. Inspector General Activities

(b)(3)-P.L. 86-36

a. ~~(U//FOUO)~~ During this quarter, the Office of Inspector General (OIG) reviewed various reports [redacted] the Attorney General (AG) Procedures, and Department of Defense and internal directives to determine if [redacted]

(b)(3)-P.L. 86-36

b. ~~(S//COMINT)~~ An NSA/CSS OIG team conducted an intelligence oversight inspection of the [redacted] The team found that although [redacted] was in basic compliance with Executive Order 12333 and its progeny, the organization lacked a formal intelligence oversight training program. A formal program is now being established

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

c. ~~(TS//COMINT//NF)~~ [redacted]

[Large redacted block]

[redacted] All corrective actions recommended by the OIG review have been taken.

2. General Counsel Activities

a. ~~(C//COMINT)~~ The OGC reviewed various intelligence activities of the United States Signals Intelligence System (USSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and Department of Defense and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of, or concerning, United States persons, the reporting of possible violations of federal criminal law contained in SIGINT product, the testing of electronic equipment, and the applicability of the Foreign Intelligence Surveillance Act (FISA). With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on SIGINT operations.

b. ~~(TS//COMINT//NF)~~ The OGC did not file any reports with the IOB during the first quarter of FY-2000 [redacted]

(b)(1)

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

(b)(1)  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

c. As a result of [Redacted]

[Redacted] (see our quarterly report dated 17 March 2000), the General Counsel and the Deputy Director for Operations jointly issued a DO-OGC partnership statement. The statement, which has been endorsed by the Director, is intended to forge a closer partnership between the DO and the OGC to work through the legal and policy issues confronting the SIGINT mission of the Agency. A copy of the endorsed partnership statement is attached at Tab A.

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

3. (U) INFOSEC Activities

~~(S)~~The Information Systems Security Organization (ISSO) issued two supplements to NSA/CSS Directive 10-30. Supplement 1 specifies additional control procedures for the conduct of communications security (COMSEC) monitoring operations, vulnerability surveys, hearability surveys, and automated information system security testing by elements of the ISSO. Supplement 2 establishes policies and responsibilities concerning [Redacted]

[Redacted] Copies of the supplements are attached at Tabs B and C, respectively.

(b)(1)  
(b)(3)-P.L. 86-36

4. (U) SIGINT Activities

a. ~~(S-CCO)~~ [Redacted]

(b)(3)-P.L. 86-36

(1) (U) [Redacted]

~~(S//COMINT)~~ [Redacted]

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-P.L. 86-36

~~TOP SECRET//COMINT//NOFORN//XI~~

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

~~(TS//COMINT)~~

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-P.L. 86-36

(2) (U)

(b)(3)-P.L. 86-36

~~(TS//COMINT)~~

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

~~(TS//COMINT//NF)~~

~~(S//COMINT)~~

~~(TS//COMINT//NF)~~

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36  
(b)(3)-18 USC 798

~~TOP SECRET//COMINT//NOFORN//XI~~

~~TC SECRET//COMINT//NOFORN//X1~~

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

[Redacted]

~~(TS//COMINT//NF)~~

[Redacted]

(b)(1)  
(b)(3)-P.L. 86-36

~~S//COMINT~~

[Redacted]

(b)(3)-P.L. 86-36

b. (U//FOUO)

[Redacted]

(b)(3)-P.L. 86-36

(1)(U)

[Redacted]

~~(S//COMINT)~~

[Redacted]

(b)(3)-P.L. 86-36

[Redacted]

86-36

~~TOP SECRET//COMINT//NOFORN//X1~~



~~TOP SECRET//COMINT//NOFORN//X1~~

(2) (U) [redacted] (b)(3)-P.L. 86-36

~~(S//COMINT)~~ [redacted]

[redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36  
(b)(3)-18 USC 798

~~(TS//COMINT//NF)~~ [redacted]

[redacted]

(b)(3)-P.L. 86-36

~~(TS//COMINT//NF)~~ [redacted]

[redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

~~(TS//COMINT//NF)~~ [redacted]

[redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

~~(TS//COMINT//NF)~~ [redacted]

[redacted]

~~(TS//COMINT//NF)~~ [redacted]

[redacted]

(b)(3)-P.L. 86-36

~~TOP SECRET//COMINT//NOFORN//X1~~

~~TOP SECRET//COMINT//NOFORN//X1~~

(b)(3)-P.L. 86-36

~~(TS//COMINT)~~ [Redacted]

5. (U) Other Activities

(U) Databases

(b)(3)-P.L. 86-36

~~(S//COMINT)~~ [Redacted]

~~TOP SECRET//COMINT//NOFORN//X1~~

A

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UNITED STATES GOVERNMENT

# memorandum

DATE: 22 February 2000

REPLY TO  
ATTN OF: GC and DDO

SUBJECT: (U//FOUO) Strengthening the DO-OGC Partnership -  
INFORMATION MEMORANDUM

TO: DISTRIBUTION

1. (U//FOUO) NSA confronts a difficult SIGINT challenge as it balances the Government's need for information against the need to protect the privacy rights of U.S. persons in a networked world. The Operations Directorate (DO) and the Office of the General Counsel (OGC) must forge a close partnership to work through the legal and policy issues that arise as we develop and deploy new collection and analysis strategies and technologies.

2. (U//FOUO) The DO must partner with the OGC in the planning, development and implementation of new collection, analytic, reporting and dissemination initiatives. Directorate of Operations personnel are responsible for explaining mission objectives and providing the technical facts to the OGC. Once OGC personnel understand the operational objective and the technology, they must identify the legal and policy parameters and provide clear, concise guidance on how to accomplish mission objectives consistent with NSA's authorities and constitutional, statutory or regulatory limitations on those authorities.

(b)(3)-P.L. 86-36

3. (U//FOUO) DO and OGC share the responsibility to ensure that decisions are fully informed.

(b)(3)-P.L. 86-36

4. (U//FOUO) We have agreed to the following actions as our initial steps in building a strong partnership to ensure comprehensive legal support to the DO:

a. (U//FOUO) REGULAR DIALOGUE BETWEEN THE GENERAL COUNSEL (GC) AND THE DEPUTY DIRECTOR FOR OPERATIONS (DDO) IS ESSENTIAL.

(1) (U//FOUO) The DDO, ADDO and the DO senior leadership team and the GC, D/GC and the Associate GC for Operations will meet quarterly to discuss DO issues, plans and initiatives.

(2) (U//FOUO) The DDO and/or the ADDO and the GC, D/GC, or Associate GC for Operations will meet biweekly to identify and discuss current issues.

b. (U//FOUO) DO PERSONNEL MUST UNDERSTAND NSA AUTHORITIES.

(U//FOUO) DO will implement a training program for all supervisory personnel. This training will review the laws, orders and authorities under which NSA operates with particular emphasis on USSID 18. This program will include:

EXCEPTION TO OPTIONAL FORM NO. 10  
APPROVED BY GSA/IRMS 5/88  
GSA FPMR (41CFR)201-45.4

~~UNCLASSIFIED//FOR OFFICIAL USE ONLY~~

~~UNCLASSIFIED//FOR OFFICIAL USE ONLY~~

(1) (U//~~FOUO~~) A required, annual reading of USSID 18, and

(2) (U//~~FOUO~~) Annual attendance at a one-hour DO/OGC conducted training session focused on USSID 18.

(U//~~FOUO~~) This program will be included in the mandatory 80 hours of training for DO seniors and in the training requirements for all DO managers in grades 15 and below. Successful completion of this training will be documented in the P3 process.

c. (U//~~FOUO~~) OGC MUST BE FULLY ENGAGED WITH DO PERSONNEL IN IDENTIFYING ISSUES [REDACTED]

(b)(3)-P.L. 86-36

(1) (U//~~FOUO~~) DO will invite the OGC to key decision-making meetings.

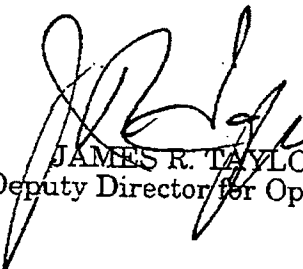
(2) (U//~~FOUO~~) DO managers will coordinate new major requirements or internally generated activities with the OGC and the DO staff.

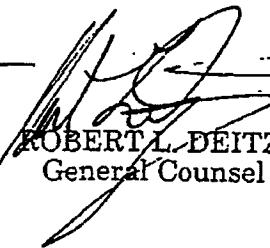
(3) (U//~~FOUO~~) The GC must review all activities [REDACTED]

(4) (U//~~FOUO~~) DO managers will engage OGC on all matters raising minimization issues in the collection, processing, analysis, retention or dissemination of signals intelligence from existing or proposed activities or capabilities.

(5) (U//~~FOUO~~) In providing guidance, OGC will clearly distinguish between legal and policy concerns.

(6) (U//~~FOUO~~) The DO will maintain and support a strong, internal DO oversight organization.

  
JAMES R. TAYLOR  
Deputy Director for Operations

  
ROBERT L. DEITZ  
General Counsel

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~~UNCLASSIFIED//FOR OFFICIAL USE ONLY~~

DISTRIBUTION:

ADDO  
ADDO (MS)  
DO C/S  
Chief M  
Chief W  
Chief G  
Chief P06  
AGC (Ops)

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~~CONFIDENTIAL~~

NATIONAL SECURITY AGENCY  
 INFORMATION SYSTEMS SECURITY ORGANIZATION

ISSO SUPPLEMENT NO. 1\* TO  
 NSA/CSS DIRECTIVE NO. 10-30  
 dated 20 September 1990

11 August 1999

(U) PROCEDURES GOVERNING ACTIVITIES  
 OF NSA/CSS THAT AFFECT U.S. PERSONS

SECTION I - PURPOSE AND APPLICABILITY

1. (U) This Supplement implements the provisions of the basic Directive and Annex E thereto and specifies additional control procedures for the conduct of communications security (COMSEC) monitoring operations, vulnerability surveys, hearability surveys, and automated information system security testing by elements of the Information Systems Security Organization (ISSO). This Supplement does not modify any provisions of S Regulation No. 90-34, Protected Network Services Testing Procedures, dated 10 June 1991.

SECTION II - DEFINITIONS

2. (U) Definitions of terms used in this supplement are contained in Annexes B, C, and E to Directive No. 10-30, except automated information system security testing which is defined in NSA/CSS Manual 130-1, NSA/CSS Operational Computer Security Manual.

SECTION III - RESPONSIBILITIES

3. (U) ISSO Group and Office Chiefs shall:

\*\* a. ~~(S)~~ Upon detection, immediately report to the Inspector General (IG), Assistant General Counsel for

\* This Supplement supersedes S Supplement No. 1, dated 2 May 1991, to NSA/CSS Directive No. 10-30, dated 20 September 1990.

\*\* Source: IG Memorandum, Serial: I-170-92, Subject: Procedures for Reporting Activities Affecting U.S. Persons (U), dated 26 May 1992.

OPI: I411 (Juanita Holloway, 968-7834s/410-854-6818b)

DRV FM: NSA/CSSM 123-2  
 Dated: 24 February 1998  
 DECL ON: X1, X3, X5, X6, X7, X8

~~CONFIDENTIAL~~

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ISSO SUPPLEMENT NO. 1\* TO  
NSA/CSS DIRECTIVE NO. 10-30  
dated 20 September 1990

Information Systems Security (AGC[I]), and the Office of Policy and Corporate Support (I4) any activity that is contrary to law, Executive Order, Presidential Directive, or DoD or NSA/CSS implementing regulations. This requirement is not intended to limit or deny individual employees the right and obligation to report such activity directly to the IG or to the General Counsel.

b. ~~(c)~~ Report quarterly (no later than 1 January, 1 April, 1 July, and 1 October of each year) to I4 on activities and practices that occurred during the quarter, including a recap of any report submitted during the quarter. No extensions are permitted, negative reports are required, and each of the following subparagraphs must be commented upon or cited as not applicable:

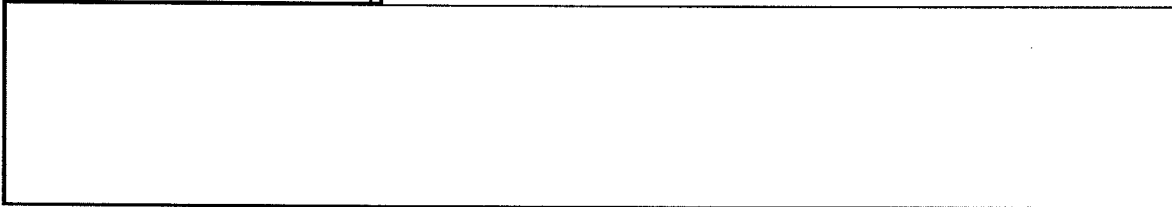
(1) (U) Any collection initiated that required intentional collection of communications to, from, or about U.S. persons. This requirement covers both consensual and non-consensual collection and both SIGINT and COMSEC monitoring.

(2) (U) Any intentional exposure to information to, from, or about U.S. persons as a result of computer security testing activities.

(3) (U) Any statistics on the number of instances in which the identity of a non-consenting U.S. person was disclosed in SIGINT product and the exception applicable (USSID 18, Section 8.1) to each such dissemination. Describe corrective actions when such disseminations were made in error.

(4) (U) Any inadvertent collection of communications solely between U.S. persons in those instances when material is not destroyed upon recognition.

(5) ~~(c)~~ Any other activities controlled by NSA/CSS Directive No. 10-30,



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ISSO SUPPLEMENT NO. 1\* TO  
NSA/CSS DIRECTIVE NO. 10-30  
dated 20 September 1990

USC 403  
L. 86-36

(6) (U) The existence of any SIGINT or INFOSEC file that can be retrieved by the name or identity of a U.S. person.

(7) (U) Written verification by the group or office chief that procedures exist that make all newly assigned personnel aware of the restrictions imposed upon them by the basic Directive, and that all assigned personnel are periodically reminded of these restrictions and the frequency of this reminder.

(8) (U) Any NSA/CSS Directive No. 10-30 related working aid or procedure issued by the staff, group, or independent office, and any training course or educational programs initiated to establish/maintain personnel awareness of legal and policy restrictions on NSA activities.

(9) (U) Any NSA/CSS Directive No. 10-30 related guidance sought or received and the circumstances that prompted same and other than routine oversight practiced within the staff, group, or independent office.

(10) (U) Any illegal, improper, or otherwise questionable activity not covered by the previous categories.

c. (U) Appoint a person to be responsible for that organization's quarterly report and to serve as the Point of Contact (POC) for questions concerning NSA/CSS Directive No. 10-30. Notify I4 of the appointee's name and phone number. The person appointed should be a branch chief or higher.

d. (U) Conduct a periodic review of the activities and practices conducted in or under their cognizance to ensure compliance with the provisions of NSA/CSS Directive No. 10-30 and its annexes; identify to subordinates the activities and practices of their organization that are most directly affected by these laws and authorities;

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ISSO SUPPLEMENT NO. 1\* TO  
NSA/CSS DIRECTIVE NO. 10-30  
dated 20 September 1990

e. (U) Ensure that employees within that organization review, at least semi-annually, NSA/CSS Directive No. 10-30 and its related annexes.

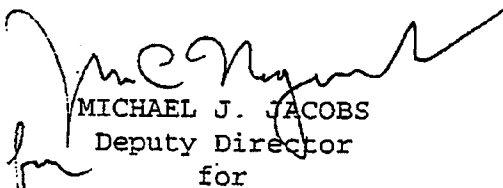
f. (U) Receive, prior to execution, approval from the DDI to conduct COMSEC monitoring operations, vulnerability surveys, or hearability surveys.

4. (U) The Chief of Policy and Corporate Support (I4) shall:

a. (U) Solicit quarterly reports from ISSO Groups and Offices for submission prior to 1 January, 1 April, 1 July, and 1 October each year.

b. (U) Prepare, for the DDI's signature, the Key Component report to the IG, based upon input from ISSO elements.

c. (U) Provide each ISSO POC with a complete copy of the basic Directive, its annexes, and related materials to be used for the semi-annual review and reference material.\*\*

  
MICHAEL J. JACOBS  
Deputy Director  
for  
Information Systems Security

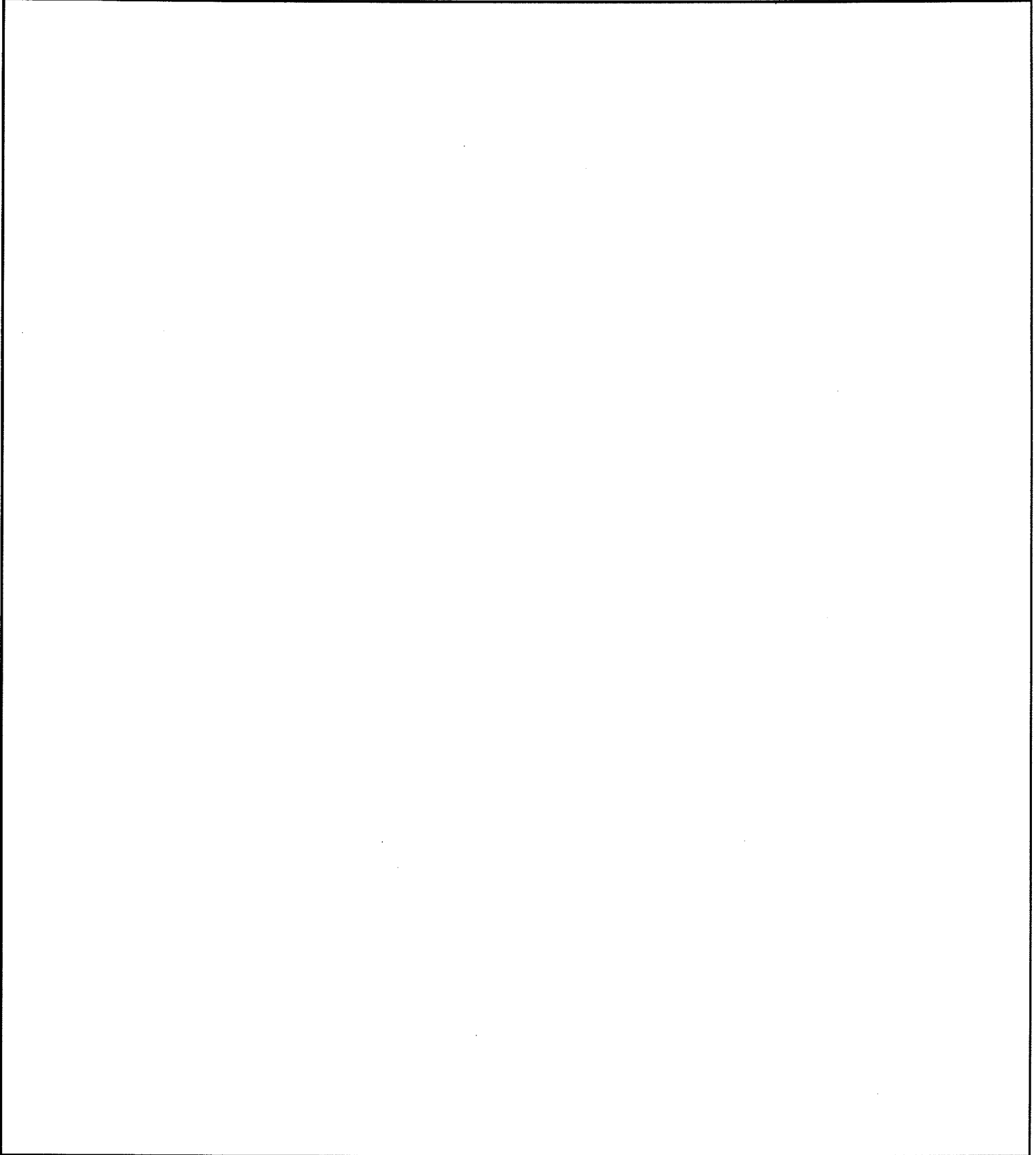
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Plus: AGC(I)  
IG  
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F92 (VRD)  
I411 (15 stock copies)

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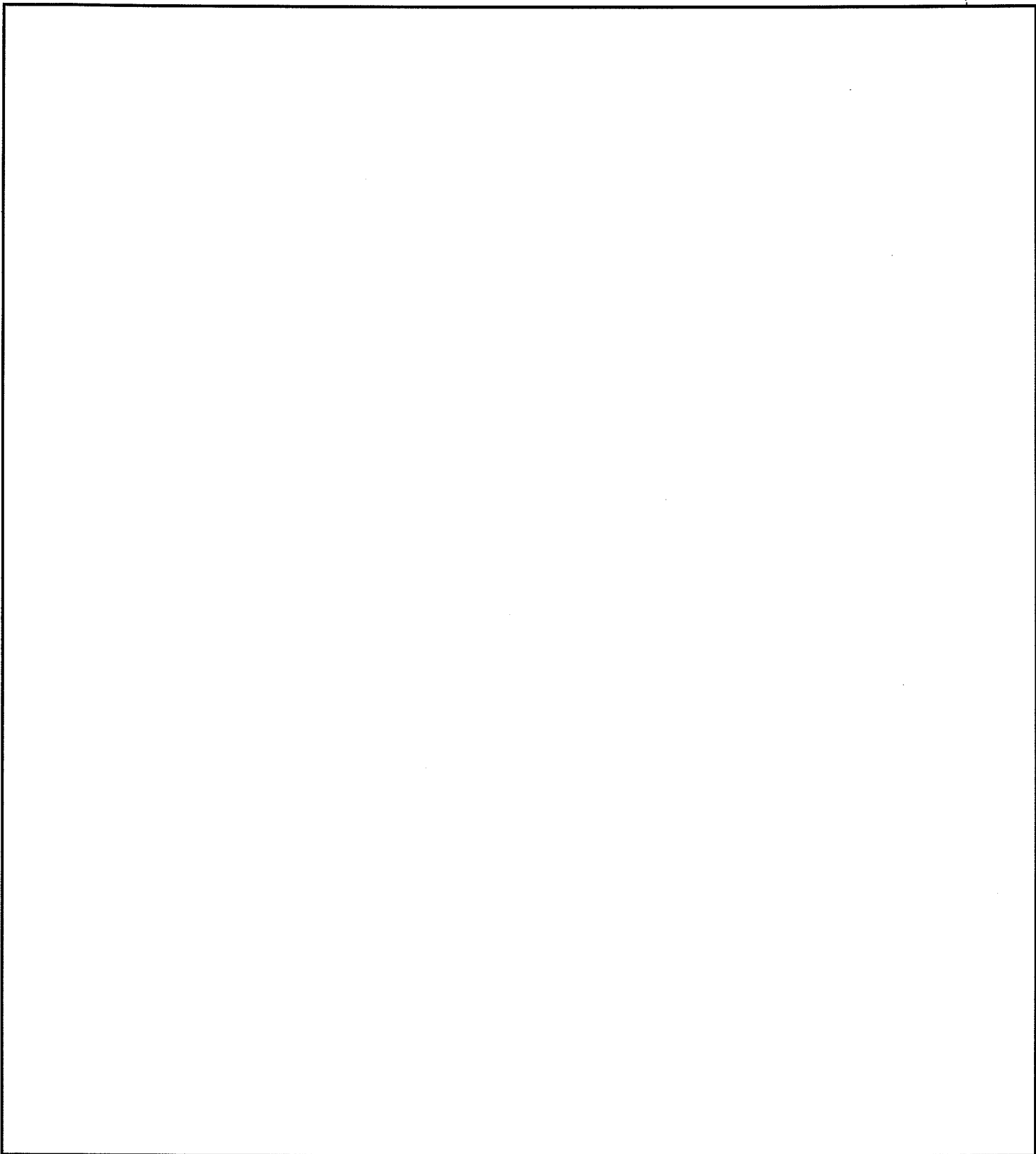
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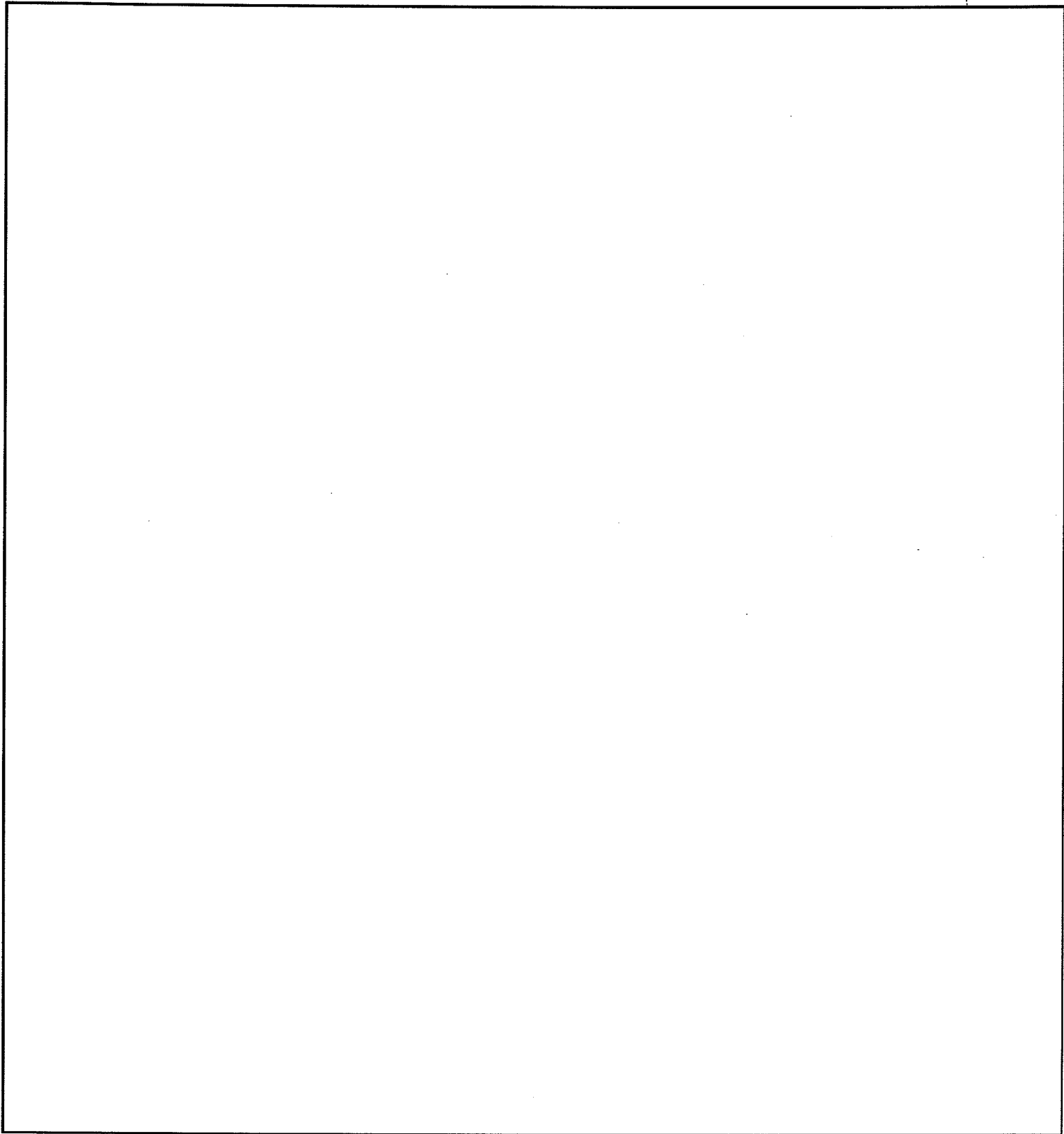
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DOCID: 3562083

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SECURITY CLASSIFICATION

NSA STAFF PROCESSING

\* 75567 \*

TO DIRECTOR

EXREG C



KCC CONTROL NUMBER  
IG 2527-00

THRU D/DIR

EXEC/DIR

ACTION

APPROVAL

SIGNATURE

INFORMATION

EXREG SUSPENSE

KCC SUSPENSE

ELEMENT SUSPENSE

SUBJECT

Quarterly Report to the Intelligence Oversight Board on NSA Activities - Third Quarter FY00

DISTRIBUTION

SUMMARY

**PURPOSE:** To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

**BACKGROUND:** Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. Enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. Director's signature signifies that no other activities which require reporting are known to him.

**RECOMMENDATION:** Director sign the enclosed memorandum.

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

COORDINATION/APPROVAL

OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
EXREG	[Redacted]	3201s			
GC	Robert Deitz 2/20 7/29/00	5548s			
AGC(O)	[Redacted] 9/7/00	3121s		(b) (3) - P.L. 86-36	
IG	Ethan L. Bauman 5/26	3544s			
D/IG	[Redacted] M	3544s			

ORG.  
D11

PHONE (Secure)  
963-3544

DATE PREPARED  
29 August 2000



NATIONAL SECURITY AGENCY  
CENTRAL SECURITY SERVICE  
FORT GEORGE G. MEADE, MARYLAND 20755-6000

11 September 2000

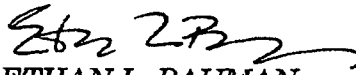
MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U) Report to the Intelligence Oversight Board on NSA Activities -  
INFORMATION MEMORANDUM

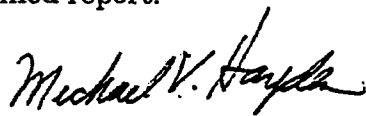
(U) Except as previously reported to you or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 30 June 2000 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(U) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.

  
ETHAN L. BAUMAN  
Inspector General

  
ROBERT L. DEITZ  
General Counsel

(U) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.

  
MICHAEL V. HAYDEN  
Lieutenant General, USAF  
Director, NSA/Chief, CSS

Encl:  
a/s

This Memorandum is Unclassified  
Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2  
DATED: 24 FEB 98  
DECLASSIFY ON: X1

1. Inspector General Activities

(b)(3)-P.L. 86-36

a. (~~U//FOUO~~) During this quarter, the Office of Inspector General (OIG) reviewed various reports [redacted] the Attorney General (AG) Procedures, and Department of Defense and internal directives to determine if [redacted]

b. (~~S//COMINT~~) An NSA/CSS OIG team conducted an intelligence oversight inspection of the [redacted] The team found that the division has a rigorous oversight program in place.

(b)(3)-P.L. 86-36

c. (~~TS//COMINT//TK//NF~~) A Joint Inspection Team consisting of inspectors from the National Security Agency/Central Security Service (NSA/CSS) [redacted]

(b)(3)-P.L. 86-36

[redacted] conducted an inspection of [redacted] The team found that the site has written policies in place to govern intelligence oversight, and that the site has developed web-based annual intelligence oversight refresher training.

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

2. General Counsel Activities

a. (~~TC//COMINT~~) The OGC reviewed various intelligence activities of the United States Signals Intelligence System (USSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and Department of Defense and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of, or concerning, United States persons, the reporting of possible violations of federal criminal law contained in SIGINT product, the testing of electronic equipment, and the applicability of the Foreign Intelligence Surveillance Act (FISA). With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on SIGINT operations.

b. (~~TS//COMINT//NF~~) The OGC did not file any reports with the IOB during the third quarter of FY-2000; [redacted]

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-P.L. 86-36



3. (U) SIGINT Activities

a. (S//COMINT) [Redacted]

(1) (U) [Redacted]

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(S//COMINT) [Redacted]

(b)(1)  
(b)(3)-P.L. 86-36

(S//COMINT) [Redacted]

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(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

(2) (U) [Redacted]

(S//COMINT//NF) [Redacted]

[Large Redacted Block]

(S//COMINT//NF) [Redacted]

(b)(3)-P.L. 86-36

(S//COMINT//NF) [Redacted]

[Redacted Block]

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(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

~~(TS//COMINT//NF)~~

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

~~(TS//COMINT//NF)~~

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

~~(S//COMINT)~~

(b)(1)  
(b)(3)-P.L. 86-36

b. (U//FOUO)

(b)(3)-P.L. 86-36

(1) (U)

~~(S//COMINT)~~

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(2) (U)

[Redacted]

(b)(3)-P.L. 86-36

(S//COMINT)

[Redacted]

(S//COMINT)

[Redacted]

(b)(3)-P.L. 86-36

(S//COMINT)

[Redacted]

(b)(3)-P.L. 86-36

4. (U) Other Activities

(b)(3)-P.L. 86-36  
(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798


(U) Databases

(S//COMINT)

[Redacted]

(b)(3)-P.L. 86-36

NSA STAFF PROCESSING FORM \* 76849 \*

TO <b>DIRECTOR</b>	EXREG CON		KCC CONTROL NUMBER <b>IG-9600-00</b>
THRU <b>D/DIR [Signature], D SECRETARIAT [Signature]</b>	ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION		EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
SUBJECT <b>Quarterly Report to the Intelligence Oversight Board on NSA Activities - Fourth Quarter FY00</b>		DISTRIBUTION	

SUMMARY

**PURPOSE:** To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

**BACKGROUND:** Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. Enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. Director's signature signifies that no other activities which require reporting are known to him.

**RECOMMENDATION:** Director sign the enclosed memorandum.

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

COORDINATION/APPROVAL					
OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
EXREG [Signature]		3201s			
GC	Robert Deitz 1/10/01	5548s			
AGC(O)	[Signature]	3121s			
IG	Ethan L. Bauman 2/13/01	3544s		(b) (3) - P.L. 86-36	
D/IG	[Signature]	3544s			
[Redacted]			ORG. D11	PHONE (Secure) 963-3544	DATE PREPARED 14 December 2000

(8)



NATIONAL SECURITY AGENCY  
CENTRAL SECURITY SERVICE  
FORT GEORGE G. MEADE, MARYLAND 20755-6000

23 January 2001


MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: Report to the Intelligence Oversight Board on NSA Activities -  
INFORMATION MEMORANDUM

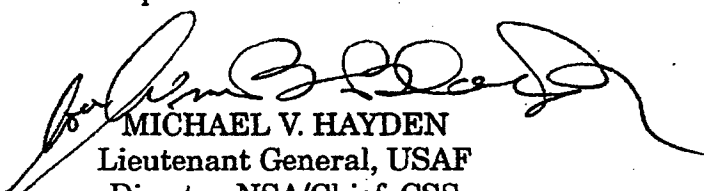
Except as previously reported to you or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 30 September 2000 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.

  
ETHAN L. BAUMAN  
Inspector General

  
ROBERT L. DEITZ  
General Counsel

I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.

  
MICHAEL V. HAYDEN  
Lieutenant General, USAF  
Director, NSA/Chief, CSS

Encl:  
a/s

1. Inspector General Activities

(b)(3)-P.L. 86-36

a. (U//FOUO) During this quarter, the Office of Inspector General (OIG) reviewed various reports [redacted] the Attorney General (AG) Procedures, and Department of Defense and internal directives to determine if [redacted]

b. (S//COMINT) A Joint Inspection Team consisting of inspectors from the National Security Agency/Central Security Service (NSA/CSS), [redacted]

(b)(3)-P.L. 86-36

[redacted] conducted an inspection of the [redacted]. The team found that all Service-specific intelligence oversight programs at the site have been merged into one "superbly managed" program that "exceeds requirements."

(b)(3)-P.L. 86-36  
(b)(1)  
(b)(3)-50 USC 403

2. General Counsel Activities

a. (C//COMINT) The OGC reviewed various intelligence activities of the United States Signals Intelligence System (USSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and Department of Defense and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of, or concerning, United States persons, the reporting of possible violations of federal criminal law contained in SIGINT product, the testing of electronic equipment, and the applicability of the Foreign Intelligence Surveillance Act (FISA). With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on SIGINT operations.

b. (TS//COMINT//NF) The OGC did not file any reports with the IOB during the fourth quarter of FY-2000 [redacted]

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-P.L. 86-36

3. (U) SIGINT Activities

a. (S//COMINT) [Redacted]

(1) (U) [Redacted]

(b)(3)-P.L. 86-36

(S//COMINT) [Redacted]

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(b)(3)-P.L. 86-36

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(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

(S//COMINT) [Redacted]

(2) (U) [Redacted]

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(TS//COMINT//NF) [Redacted]

(b)(3)-P.L. 86-36

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(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

(S//COMINT) [Redacted]

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(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

[Redacted]

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(b)(1)

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(S//COMINT) [Redacted]

(b)(3)-P.L. 86-36

[Redacted]

(S//COMINT) [Redacted]

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

~~(TS//COMINT)~~

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36

~~(TS//COMINT//VRK-250//NOFORN)~~

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36  
(b)(3)-18 USC 798

~~(TS//COMINT//VRK-250//NOFORN)~~

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36  
(b)(3)-18 USC 798

~~(TS//COMINT//VRK-250//NOFORN)~~

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36  
(b)(3)-18 USC 798

(b)(3)-P.L. 86-36

~~(TS//COMINT//VRK-250//NOFORN)~~

[Redacted]

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36  
(b)(3)-18 USC 798



~~(TS//COMINT)~~

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 793  
(b)(3)-P.L. 86-36

~~(TS//COMINT)~~

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

~~(TS//COMINT//NF)~~

[Redacted]

~~(S//COMINT)~~

[Redacted]

(b)(3)-P.L. 86-36

b. (U//FOUO)

[Redacted]

(b)(1)  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(1)(U)

[Redacted]

~~(S//COMINT)~~

[Redacted]

(b)(3)-P.L. 86-36

[Redacted]

86-36

(b)(3)-P.L. 86-36

(2) (U) [Redacted]

(S//COMINT) [Redacted]

[Redacted]

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(S//COMINT) [Redacted]

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36  
(b)(3)-50 USC 403  
(b)(1)

(TS//COMINT//VRK-250//NF) [Redacted]

(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36

4. (U) Other Activities

(U) Databases

(S//COMINT) [Redacted]

[Redacted]

SECURITY CLASSIFICATION

NSA STAFF PROCESSING FORM

TO DIRECTOR	EXR * 77869 *	KCC CONTROL NUMBER IG-9670-01
THRU D/DIR <i>[Signature]</i> , D SECRETARIAT <i>[Signature]</i>	ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION	EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
SUBJECT Quarterly Report to the Intelligence Oversight Board on NSA Activities - First Quarter FY01		

DISTRIBUTION

SUMMARY

**PURPOSE:** To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

**BACKGROUND:** Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. Enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. Director's signature signifies that no other activities which require reporting are known to him.

**RECOMMENDATION:** Director sign the enclosed memorandum.

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

COORDINATION/APPROVAL

OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
<i>DOES</i>	<i>[Signature]</i> 4/5	3201			
GC	Robert Deitz	3548s			
AGC(O)	<i>[Signature]</i>	21s		(b) (3) - P.L. 86-36	
IG	Ethan L. B...	3544s			
D/IG	<i>[Signature]</i>	3544s			

ORG. D11	PHONE (Secure) 963-3544	DATE PREPARED 6 March 2001 <i>[Signature]</i>
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~~TOP SECRET//COMINT//NOFORN//X1~~

NATIONAL SECURITY AGENCY  
CENTRAL SECURITY SERVICE

FORT GEORGE G. MEADE, MARYLAND 20755-6000

9 April 2001


## MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

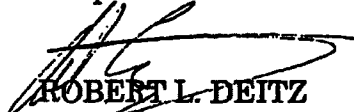
THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U) Report to the Intelligence Oversight Board on NSA Activities -  
INFORMATION MEMORANDUM

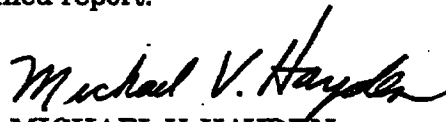
(U) Except as previously reported to you or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 31 December 2000 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(U) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.

  
ETHAN L. BAUMAN  
Inspector General

  
ROBERT L. DEITZ  
General Counsel

(U) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.

  
MICHAEL V. HAYDEN  
Lieutenant General, USAF  
Director, NSA/Chief, CSS

Encl:  
a/s

This Memorandum is Unclassified  
Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2  
DATED: 24 FEB 98  
DECLASSIFY ON: X1

~~TOP SECRET//COMINT//NOFORN//X1~~

1. Inspector General Activities

a. (U//~~FOUO~~) During this quarter, the Office of Inspector General (OIG) reviewed various reports [redacted] the Attorney General (AG) Procedures, and Department of Defense and internal directives to determine if [redacted]

(b)(3)-P.L. 86-36

b. (U//~~FOUO~~) An OIG team conducted an inspection of the [redacted] [redacted] The team found that [redacted] personnel were uniformly aware of the basic intelligence oversight compliance documents, and that they received yearly refresher training in intelligence oversight.

(b)(3)-P.L. 86-36

c. (U//~~FOUO~~) The OIG reissued guidance to the chiefs of key components and field activities reminding them of their reporting responsibilities under E.O. 12333, and restating the procedures under which they are required to report. Copies of the reissued guidance are attached as Enclosures 1 and 2.

2. General Counsel Activities

a. (~~C//COMINT~~) The OGC reviewed various intelligence activities of the United States Signals Intelligence System (USSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and Department of Defense and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of, or concerning, United States persons, the reporting of possible violations of federal criminal law contained in SIGINT product, the testing of electronic equipment, and the applicability of the Foreign Intelligence Surveillance Act (FISA). With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on SIGINT operations.

b. (~~TS//COMINT//NF~~) The OGC did not file any reports with the IOB during the first quarter of FY-2001; [redacted]

(b)(3)-P.L. 86-36

[Large redacted block containing multiple lines of text]

(b)(3)-P.L. 86-36  
(b)(1)

[Redacted]

(b)(3)-P.L. 86-36

3. (U) SIGINT Activities

a. ~~(S//COMINT)~~ [Redacted]

(b)(3)-P.L. 86-36

(1) (U) [Redacted]

(b)(1)  
(b)(3)-P.L. 86-36

~~(S//COMINT)~~ [Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

~~(S//COMINT)~~ [Redacted]

(b)(1)  
(b)(3)-P.L. 86-36

~~(S//COMINT)~~ [Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(2) (U) [Redacted]

(b)(3)-P.L. 86-36

~~(S//COMINT)~~ [Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

~~(S//COMINT)~~ [Redacted]

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

(TS//COMINT)

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

(TS//COMINT//NF)

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

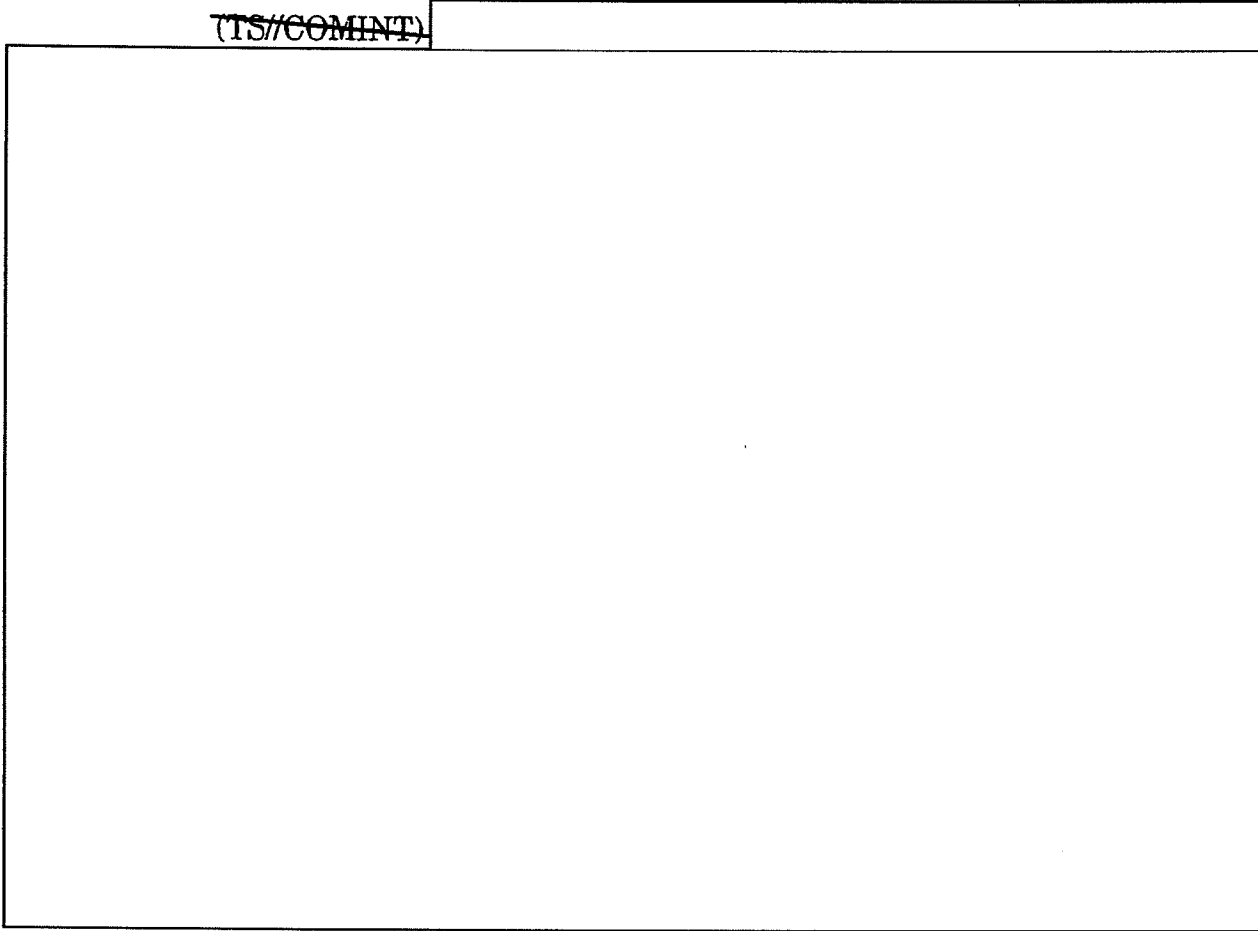
(b)(3)-P.L. 86-36

(TS//COMINT)

[Redacted]

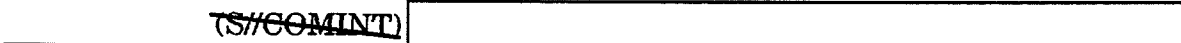
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(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

(TS//COMINT)

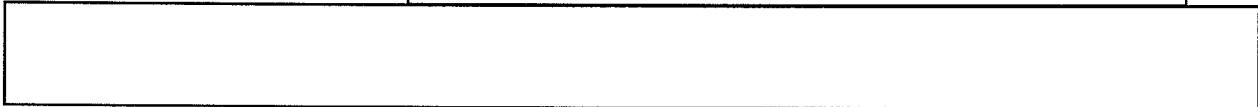


(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

(TS//COMINT)



(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36



(S//COMINT)



(b)(3)-P.L. 86-36



b. (U//FOUO)



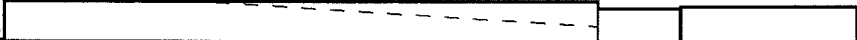
(b)(3)-P.L. 86-36

(1) (U)



(b)(1)  
(b)(3)-P.L. 86-36

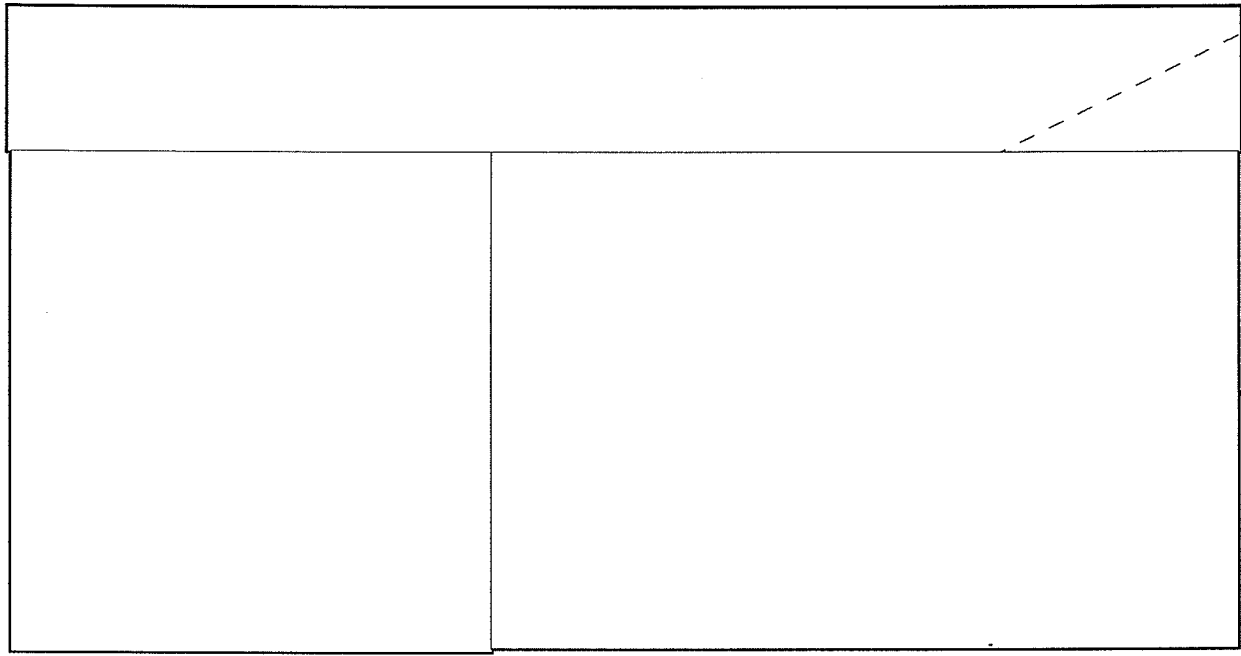
(S//COMINT)



(b)(3)-P.L. 86-36



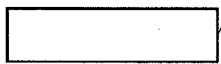




(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-P.L. 86-36

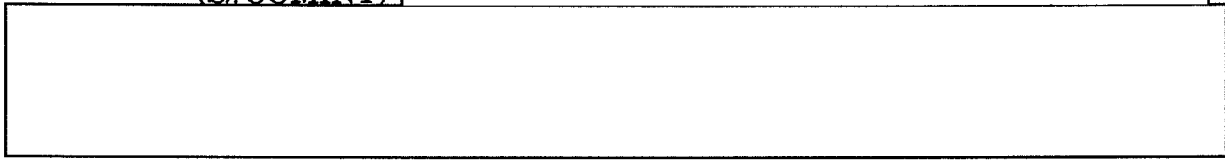
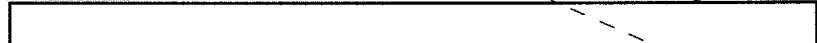
(2) (U)



(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

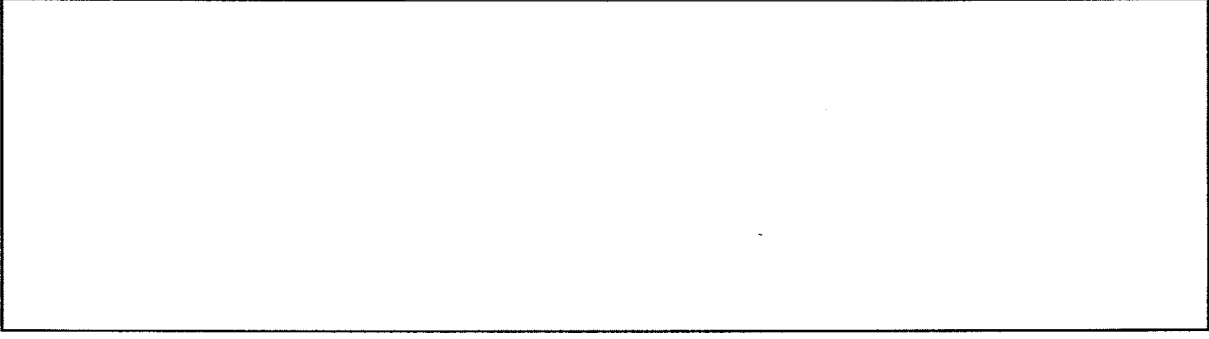
~~(S//COMINT)~~



4. (U) Other Activities

(U) Databases

~~(S//COMINT)~~



(b)(3)-P.L. 86-36

NSA STAFF PROCESSING FORM

TO <b>DIRECTOR</b>	EXREC	* 78951 *	KCC CONTROL NUMBER <b>IG-9750-01</b>
THRU <b>D/DIR [Signature], D SECRETARIAT [Signature]</b>			ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION
SUBJECT <b>Quarterly Report to the Intelligence Oversight Board on NSA Activities - Second Quarter FY01.</b>			EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
DISTRIBUTION			

SUMMARY

**PURPOSE:** To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

**BACKGROUND:** Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. Enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. Director's signature signifies that no other activities which require reporting are known to him.

**RECOMMENDATION:** Director sign the enclosed memorandum.

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

COORDINATION/APPROVAL					
OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
			D05	Wayne 7/24/01	3201
GC	Robert Deitz [Signature] 24 July	5548s			
AGC(O)	[Signature] 23 July	3121s			
IG	Ethan L. Baumgardner [Signature] 7 June	3544s			
D/IG	[Signature]	3544s			
			ORG. D11	PHONE (Secure) 963-3544	DATE PREPARED 7 June 2001

~~TOP SECRET//COMINT-ECI [ ] //NOFORN//XI~~

NATIONAL SECURITY AGENCY  
CENTRAL SECURITY SERVICE  
FORT GEORGE G. MEADE, MARYLAND 20755-6000

2 August 2001

## MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U) Report to the Intelligence Oversight Board on NSA Activities -  
INFORMATION MEMORANDUM

(U) Except as previously reported to you or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 31 March 2001 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(U) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.

*Ethan L. Bauman*  
ETHAN L. BAUMAN  
Inspector General

*Robert L. Deitz*  
ROBERT L. DEITZ  
General Counsel

(U) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.

*Michael V. Hayden*  
MICHAEL V. HAYDEN  
Lieutenant General, USAF  
Director, NSA/Chief, CSS

Encl:  
a/s

This Memorandum is Unclassified  
Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2  
DATED: 24 FEB 98  
DECLASSIFY ON: XI

~~TOP SECRET//COMINT-ECI [ ] //NOFORN//XI~~

1. Inspector General Activities

(b)(3)-P.L. 86-36

a. (U//~~FOUO~~) During this quarter, the Office of Inspector General (OIG) reviewed various reports [redacted] the Attorney General (AG) Procedures, and Department of Defense and internal directives to determine if [redacted]

b. (~~S//COMINT~~) An OIG team conducted an inspection of the [redacted] [redacted] The team found that [redacted] was in basic compliance with the requirements of E.O. 12333 and derivative documents, although it lacked a formal intelligence oversight (IO) program. A program has since been established

(b)(3)-P.L. 86-36

c. (U//~~FOUO~~) An OIG team conducted an inspection of the [redacted] [redacted] The team found that [redacted] IO procedures are acceptable, given the low risk of committing an IO violation [redacted]

(b)(3)-P.L. 86-36

d. (U//~~FOUO~~) An OIG team conducted an inspection of the [redacted] [redacted] The team found that [redacted] did not have a program in place to foster awareness of IO responsibilities. A program is now being established.

(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

e. (~~S//COMINT~~) A joint inspection team composed of inspectors from the National Security Agency/Central Security Service (NSA/CSS), [redacted]

(b)(3)-P.L. 86-36

[redacted] conducted a joint inspection of [redacted] [redacted] The team found that [redacted] IO program exceeds requirements. The team also found that a commitment by all the military services to participate in a joint IO program resulted in a significant elimination of duplication, a streamlined program architecture, and increased accountability.

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

f. (~~S//COMINT~~) A joint inspection team composed of inspectors from the NSA/CSS, [redacted]

(b)(3)-P.L. 86-36

[redacted] conducted a joint inspection of the Medina Regional SIGINT Operations Center (MRSOC). The team found that the site's IO program was not centralized, and relied heavily on service involvement to administer and track annual training. The team recommended that the site obtain the IO program from [redacted] to use as a guide for developing the MRSOC program.

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

2. General Counsel Activities

a. (~~C//COMINT~~) The OGC reviewed various intelligence activities of the United States Signals Intelligence System (USSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and Department of Defense and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of, or concerning, United States persons, the reporting of possible violations of federal criminal law contained in SIGINT product, the testing of electronic equipment, and the applicability of the Foreign Intelligence Surveillance Act (FISA). With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on SIGINT operations.

b. (~~TS//COMINT//NF~~) The OGC did not file any reports with the IOB during the second quarter of FY-2001;

[redacted]

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-P.L. 86-36

c. (~~TS//COMINT//ECI~~ [redacted] ~~//NF~~)

[redacted]

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36  
(b)(3)-18 USC 798

3. (U) SIGINT Activities

a. (~~S//COMINT~~) [redacted]

(1) (U) [redacted]

(b)(3)-P.L. 86-36

(~~S//COMINT~~) [redacted]

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-P.L. 86-36

~~TOP SECRET//COMINT-ECI~~ [redacted]

~~NOFORN//XI~~

(b)(3)-P.L. 86-36

(S//COMINT) [redacted]

(b)(1)  
(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

(TS//COMINT) [redacted]

[redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

(2) (U) [redacted]

(b)(3)-P.L. 86-36

(TS//COMINT-ECI) [redacted] (NF)

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

[redacted]

(b)(3)-P.L. 86-36

(TS//COMINT) [redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

[redacted]

(b)(3)-P.L. 86-36

(S//COMINT) [redacted]

[redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(TS//COMINT//NF) [redacted]

(b)(3)-P.L. 86-36

[redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

~~TOP SECRET//COMINT-ECI~~ [redacted]

~~NOFORN//XI~~

(b)(3)-P.L. 86-36

~~(S//COMINT)~~ [redacted]  
[redacted]

b. (U//FOUO) [redacted] (b)(3)-P.L. 86-36  
(1) (U) [redacted] (b)(1)  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36  
~~(S//COMINT)~~ [redacted]  
[redacted]

(b)(3)-P.L. 86-36 (2) (U) [redacted] (b)(3)-P.L. 86-36  
~~(S//COMINT)~~ [redacted]  
[redacted]

~~(TS//COMINT-ECI~~ [redacted] ~~//NF)~~ [redacted] (b)(3)-P.L. 86-36  
[redacted] (b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798

(b)(3)-P.L. 86-36

[redacted]

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-P.L. 86-36

4. (U) Other Activities

(b)(3)-P.L. 86-36

(U) Databases

(S//COMINT)

[redacted]

(b)(3)-P.L. 86-36



NSA STAFF PROCESSING FORM

TO <b>DIRECTOR</b>	EXREG	* 79601 *	KCC CONTROL NUMBER IG-9818-01
THRU <b>D/DIR</b> , <b>D SECRETARIAT</b> <i>HW 9/26</i>			ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION
SUBJECT Quarterly Report to the Intelligence Oversight Board on NSA Activities - Third Quarter FY01			EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE

DISTRIBUTION

SUMMARY

**PURPOSE:** To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

**BACKGROUND:** Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. Enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. Director's signature signifies that no other activities which require reporting are known to him.

**RECOMMENDATION:** Director sign the enclosed memorandum.

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

Approved for Release by NSA on 06-01-2009, FOIA Case # 49107

COORDINATION/APPROVAL					
OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
GC	Robert Deitz <i>RD 9/26</i>	5548s			
AGC(O)		3121s			
IG	Ethan L. Bauman <i>elt</i>	3544s		(b) (3) - P.L. 86-36	
D/IG	<i>HW</i>	3544s			
			ORG. D11	PHONE (Secure) 963-3544	DATE PREPARED 13 September 2001



NATIONAL SECURITY AGENCY  
CENTRAL SECURITY SERVICE  
FORT GEORGE G. MEADE, MARYLAND 20755-6000

28 September 2001

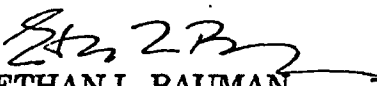
## MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U) Report to the Intelligence Oversight Board on NSA Activities -  
INFORMATION MEMORANDUM


(U) Except as previously reported to you or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 30 June 2001 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(U) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.

  
ETHAN L. BAUMAN  
Inspector General

  
ROBERT L. DEITZ  
General Counsel

(U) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.

  
MICHAEL V. HAYDEN  
Lieutenant General, USAF  
Director, NSA/Chief, CSS

Encl:  
a/s

This Memorandum is Unclassified  
Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2  
DATED: 24 FEB 98  
DECLASSIFY ON: X1

1. (U//FOUO) Inspector General Activities

(b)(3)-P.L. 86-36

a. (U//FOUO) During this quarter, the Office of Inspector General (OIG) reviewed various reports [redacted] the Attorney General (AG) Procedures, and Department of Defense and internal directives to determine if [redacted]

b. (S//COMINT) An OIG team conducted an inspection of the [redacted] The team found that [redacted] has an adequate program to ensure compliance with E.O. 12333.

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

2. (U//FOUO) General Counsel Activities

a. (C//COMINT) The OGC reviewed various intelligence activities of the United States Signals Intelligence System (USSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and Department of Defense and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of, or concerning, United States persons, the reporting of possible violations of federal criminal law contained in SIGINT product, the testing of electronic equipment, and the applicability of the Foreign Intelligence Surveillance Act (FISA). With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on SIGINT operations.

b. (TS//COMINT//NF) The OGC did not file any reports with the IOB during the third quarter of FY-2001 [redacted]

(b)(1)  
(b)(3)-P.L. 86-36

3. (U//FOUO) SIGINT Activities

a. (S//COMINT) [redacted]

(1) (U//FOUO) [redacted]

(b)(3)-P.L. 86-36

(S//COMINT) [redacted]

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-P.L. 86-36

DERIVED FROM: NSA/CSSM 123-2  
DATED: 24 Feb 98  
DECLASSIFY ON: X1

(S//COMINT)

(b)(3)-P.L. 86-36

[Redacted]

(b)(3)-P.L. 86-36

(b)(1) USC 403

(b)(1)  
(b)(3)-P.L. 86-36

(TS//COMINT)

[Redacted]

(b)(3)-P.L. 86-36

(TS//COMINT//NF)

[Redacted]

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(2) (U//FOUO)

[Redacted]

(S//COMINT)

[Redacted]

(TS//COMINT)

[Redacted]

(TS//COMINT)

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

(TS//COMINT)

[Redacted]

(b)(3)-P.L. 86-36



(2) (U//FOUO)

[Redacted]

(b)(3)-P.L. 86-36

(S//COMINT)

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36  
(b)(3)-18 USC 795

(S//COMINT)

[Redacted]

P.L. 86-36

(b)(3)-P.L. 86-36

(S//COMINT)

[Redacted]

(b)(3)-P.L. 86-36

4. (U//FOUO) Other Activities

(b)(3)-P.L. 86-36  
(b)(1)

(U//FOUO) Databases

(S//COMINT)

[Redacted]

(b)(3)-P.L. 86-36

NSA STAFF PROCESSING FORM

TO DIRECTOR <i>J</i>	EXREG CONTROL NUMBER 80599	KCC CONTROL NUMBER IG-9889-01
THRU D/DIR <i>J</i> , D SECRETARIAT	ACTION <input type="checkbox"/> APPROVAL <input checked="" type="checkbox"/> SIGNATURE <input type="checkbox"/> INFORMATION	EXREG SUSPENSE KCC SUSPENSE ELEMENT SUSPENSE
SUBJECT Quarterly Report to the Intelligence Oversight Board on NSA Activities - Fourth Quarter FY01		
DISTRIBUTION		

SUMMARY

**PURPOSE:** To forward to the Intelligence Oversight Board (IOB) of the President's Foreign Intelligence Advisory Board, via the Assistant to the Secretary of Defense for Intelligence Oversight (ATSD(IO)), NSA's quarterly report on its intelligence activities.

**BACKGROUND:** Executive Order 12333 and Executive Order 12863 require Intelligence Community agency heads and Intelligence Community General Counsels and Inspectors General, respectively, to report to the IOB on a quarterly basis concerning intelligence activities that they have reason to believe may be unlawful or contrary to Executive Order or Presidential Directive. Enclosed memorandum covers all reportable activities known to the Inspector General and General Counsel. Per PIOB letter of 6 August 1982, Agency heads are responsible for reporting separately any additional reportable activities known to them, unless the President has specifically instructed that the Board is not to be informed. Director's signature signifies that no other activities which require reporting are known to him.

**RECOMMENDATION:** Director sign the enclosed memorandum.

*To: OGC  
Bob -  
Let's talk before  
I sign this  
Thanks  
and Approp/Attorneys  
directors*

(J)

Approved for Release by NSA on  
06-01-2009, FOIA Case # 49107

DECLASSIFY UPON REMOVAL OF THE ENCLOSURE(S)

*IOB*  
*JA*

COORDINATION/APPROVAL					
OFFICE	NAME AND DATE	SECURE PHONE	OFFICE	NAME AND DATE	SECURE PHONE
DOS	Wayne 12/20/01 1145	3201			
GC	Robert Deitz 12/20/01	5548s			
AGC(O)	<i>JA</i> 12/19/01	3121s	(b) (3) - P.L. 86-36		
Acting IG	<i>JA</i>	3544s			
			ORG. D11	PHONE (Secure) 963-3544	DATE PREPARED 7 December 2001



~~TOP SECRET//COMINT//NOFORN//X1~~  
 NATIONAL SECURITY AGENCY  
 CENTRAL SECURITY SERVICE  
 FORT GEORGE G. MEADE, MARYLAND 20755-6000

4 January 2002

## MEMORANDUM FOR THE CHAIRMAN, INTELLIGENCE OVERSIGHT BOARD

THRU: Assistant to the Secretary of Defense (Intelligence Oversight)

SUBJECT: (U) Report to the Intelligence Oversight Board on NSA Activities -  
INFORMATION MEMORANDUM

(U) Except as previously reported to you or otherwise stated in the enclosure, we have no reason to believe that any intelligence activities of the National Security Agency during the quarter ending 30 September 2001 were unlawful or contrary to Executive Order or Presidential Directive, and thus required to be reported pursuant to Section 1.7.(d) of Executive Order 12333.

(U) The Inspector General and the General Counsel continue to exercise oversight of Agency activities by means of inspections, surveys, training, review of directives and guidelines, and advice and counsel. These activities and other data requested by the Board or members of the staff of the Assistant to the Secretary of Defense (Intelligence Oversight) are described in the enclosure.

*Brian R. McAndrew*  
 BRIAN R. MCANDREW  
 Acting Inspector General

*Robert L. Deitz*  
 ROBERT L. DEITZ  
 General Counsel

(U) I concur in the report of the Inspector General and the General Counsel and hereby make it our combined report.

*Michael V. Hayden*  
 MICHAEL V. HAYDEN  
 Lieutenant General, USAF  
 Director, NSA/Chief, CSS

Encl:  
 a/s

This Memorandum is Unclassified  
 Upon Removal of Enclosure

DERIVED FROM: NSA/CSSM 123-2  
 DATED: 24 FEB 98  
 DECLASSIFY ON: X1

~~TOP SECRET//COMINT//NOFORN//X1~~



1. (U//~~FOUO~~) Inspector General Activities

(b)(3)-P.L. 86-36

a. (U//~~FOUO~~) During this quarter, the Office of Inspector General (OIG) reviewed various reports [redacted] the Attorney General (AG) procedures, as well as Department of Defense and internal directives, to determine if [redacted]

(b)(3)-P.L. 86-36

b. (U//~~FOUO~~) An OIG team conducted an inspection of the [redacted] [redacted] The team found that [redacted] has an adequate program to ensure compliance with E.O. 12333, but recommended that the organization refresh procedures for reporting possible intelligence oversight violations with all personnel. The recommendation was implemented.

2. (U//~~FOUO~~) General Counsel Activities

a. (~~U//COMINT~~) The OGC reviewed various intelligence activities of the United States Signals Intelligence System (USSS) to determine whether they were conducted in accordance with applicable statutes, Executive Orders, Attorney General procedures, and Department of Defense and internal directives. The OGC advised Agency elements on a number of questions, including the collection and dissemination of communications of, or concerning, U.S. persons, the reporting of possible violations of federal criminal law contained in SIGINT product, the testing of electronic equipment, and the applicability of the Foreign Intelligence Surveillance Act (FISA). With few exceptions, the issues presented were routine and indicated that the operating elements understand the restrictions on SIGINT operations.

b. (~~TS//COMINT//NF~~) The OGC did not file any reports with the Intelligence Oversight Board during the fourth quarter of FY-2001 [redacted]

(b)(1)  
(b)(3)-P.L. 86-36

3. (U//~~FOUO~~) SIGINT Activities

(b)(3)-P.L. 86-36

a. (~~S//COMINT~~) [redacted]

(1) (U//~~FOUO~~) [redacted]

(~~S//COMINT~~) [redacted]

(~~S//COMINT//NF~~) [redacted]

(b)(1)  
(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(S//COMINT//NF)

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-18 USC 798  
(b)(3)-P.L. 86-36

(2) (U//FOUO)

(b)(3)-P.L. 86-36

(b)(1)  
(b)(3)-P.L. 86-36

(S//COMINT)

[Redacted]

(b)(3)-P.L. 86-36

b. (U//FOUO)

[Redacted]

(b)(3)-P.L. 86-36

(1) (U//FOUO)

[Redacted]

(S//COMINT)

[Redacted]

(b)(1)  
(b)(3)-P.L. 86-36

[Redacted]

(b)(1)  
(b)(3)-P.L. 86-36

(b)(3)-P.L. 86-36

(2) (U//~~FOUO~~)

[Redacted]

(b)(3)-P.L. 86-36

(S//~~COMINT//NF~~)

[Redacted]

[Redacted]

4. (U//~~FOUO~~) Other Activities

(U//~~FOUO~~) External Agency Relations

(S//~~COMINT~~)

[Redacted]

[Redacted]

(b)(1)  
(b)(3)-50 USC 403  
(b)(3)-P.L. 86-36

(U//~~FOUO~~)

[Redacted]

[Redacted]

(b)(3)-P.L. 86-36

~~(S//COMINT)~~

