

Transcript of Leigh Rothschild

Date: December 22, 2022

Case: Digital Verification Systems, LLC -v- Encyro, Inc.

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              IN THE UNITED STATES DISTRICT COURT
                                                                                                              TNDFX
                                                                                                                                     PAGE
                                                                                   WITNESS - LEIGH ROTHSCHILD
EXAMINATION BY MS. LAMKIN
REPORTER'S CERTIFICATE
             FOR THE CENTRAL DISTRICT OF CALIFORNIA
   DIGITAL VERIFICATION SYSTEMS.
                                                                                                             EXHIBITS
                                                                                              Declaration of Leigh M.
Rothschild in Support of
Plaintiff's Opening Claim
Construction Brief
         Plaintiff
                                                                               5
                                                                                    Exhibit 1
                                                                                                                                        7
                                        Case No
                                                                               6
                                         5:22-cv-00686-JWH-SP
   ENCYRO, INC.,
                                                                                   Exhibit 2 U.S. Patent No. 9,054,860
                                                                                                                                       11
         Defendant.
                                                                                   Exhibit 3 Non-provisional Utility
                                                                                                                                       14
                                                                                              Patent Application Transmi
Letter, U.S. PTO 12/006457
                                                                               9
                                                                                10
10
                      DEPOSITION
                                                                                    Exhibit 4 Amendment PxHx
                                                                                                                                       16
                                                                                11
                               o f
                                                                                12
12
                       LEIGH ROTHSCHILD.
                                                                                13
                                                                                                REPORTER'S KEY TO PUNCTUATION:
13
                  taken on behalf of Defendant
                                                                                         -- At end of question or answer references
                         December 22, 2022
         DATE:
                                                                                15
                                                                                             interruption.
15
         TIME:
                         4:03 p.m. to 5:02 p.m. EDT
                                                                                         ... References a trail-off by the speaker.
                                                                                16
16
                         - REMOTE -
         PLACE:
                                                                                17
                                                                                              No testimony omitted.
17
                         Dawn A. Hillier, RMR, CRR
         BEFORE:
                                                                                         "Uh-huh" "Um-hum" References affirmative sound.
                                                                               18
18
                         Stenographic Reporter
Notary Public - State
Maryland, at Large
                                          State of
                                                                                19
                                                                                         "Huh-uh" "Um-um" References negative sound.
19
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                         475803
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         JOB NO:
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                                                                                                                                                        4
                                                                                                 LEIGH ROTHSCHILD,
    APPEARANCES: ALL PARTIES ATTENDING REMOTELY
                                                                                    was called as a witness and, having first been duly
    ON BEHALF OF PLAINTIFF:
                                                                                3
                                                                                    sworn, was examined and testified as follows:
         SHEA PALAVAN, ESQUIRE
PALAVAN & MOORE, PLLC
5353 West Alabama Street, Suite 303
Houston, TX 77056
713.398.1894
                                                                                4
                                                                                           THE WITNESS: Yes, I do.
                                                                                5
                                                                                           STENOGRAPHIC REPORTER: Thank you.
                                                                                           MR. PALAVAN: All right. Before we get
                                                                                6
         shea@houstonip.com
                                                                                7
                                                                                       started, I just want to put on the record that we
   ON BEHALF OF DEFENDANT:
                                                                                8
                                                                                       do have a hard stop at 5:00 Eastern time for
         RACHAEL D. LAMKIN, ESQUIRE LAMKIN IP DEFENSE
                                                                                9
                                                                                       Mr. Rothschild. He has other commitments.
         One Harbor Drive, Suite 300 Sausalito, CA 95965 916.747.6091
10
                                                                                10
                                                                                           But with that said, I will turn it to defense
         rdl@lamkinipdefense.com
                                                                                11
                                                                                       counsel to begin.
12
                                                                                12
                                                                                           MS. LAMKIN: I just want to state for the
13
    ALSO PRESENT:
         James Hughes
Shegaw Mekonen, Planet Depos technician
                                                                                       record, we were not informed that Mr. Rothschild
                                                                                13
                                                                                14
                                                                                       was granting a mere one-hour deposition for his
15
                                                                                15
                                                                                       late-filed declaration.
16
17
                                                                                16
                                                                                           Is that true, Mr. Palavan, that you did not
18
                                                                                17
                                                                                       inform me that Mr. Rothschild would only be sitting
19
                                                                                18
                                                                                       for an hour?
20
                                                                                19
                                                                                           MR. PALAVAN: If I did not, that's correct.
21
                                                                                20
                                                                                           MS. LAMKIN: You did not.
22
                                                                                21
                                                                                           MR. PALAVAN: Okay. I can't argue with your
23
                                                                                22
                                                                                       assertions, but yes.
24
                                                                                23
                                                                                           THE WITNESS: For the record, I informed
25
                                                                                24
                                                                                        Mr. Palavan numerous times, both in writing and
                                                                                25
                                                                                       orally.
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1 MR. PALAVAN: Correct. 2 MS. LAMKIN: Okay. I just — I want you to 3 understand the gravity of lists, Mr. Rothschild. 4 Your declaration was not properly disclosed. Just a minute. I can't see you. Let me get 6 Your declaration was not properly disclosed 7 under the scheduling order, and, therefore, I offered them a choice, your counsel a choice, 9 either withdraw your declaration or offer you for 10 deposition, so that we could ameliorate the 11 prejudice my client is suffering because we had no 12 knowledge, under the court's scheduling order, that 13 you would be submitting a declaration. 14 Your counsel, a mere two days ago, offered you 15 up for deposition, questions about your 18-page 16 declaration, puestions about your 18-page 16 declaration, because, again, it was not disclosed. 1 Just want you to understand, Mr. Rothschild, 18 that if you end this deposition before I'm allowed 10 to fully depose you as to the contents of your 20 late-filed declaration, that it will be excluded 21 under the local rules and under the court's 22 scheduling order. 23 So this is completely your decision, 24 Mr. Rothschild. If you choose to end the 25 deposition in an hour, and now 54 minutes, it's 26 I highly likely the court will exclude your 27 deposition in an hour, and now 54 minutes, it's 28 Lamkin, Exhibit 1 is not shown — for the 29 proceed. I have marked as Exhibit 1 is not being shown on sereen. So I only have a document, docket 20 31-4, the Declaration of Leigh Rothschild, so let me 21 proceed. I have marked as Exhibit 1 is not being shown on sereen. So I only have a document, and the recombination of the position, that's marked as minutes, if's 21 Q Okay. Do you recognize this document, docket 22 C any oup lease reference Exhibit 1, but I printed it before the 23 deposition in warning about exclusion or what the 24 Order of the declaration. But 25 you're an attorney for the other side. Your 26 deposition in warning that I would be available only for an hour 27 deposition in a hour, and now 54 minutes, it's 28	Decembe	r 22, 2022
15 up for deposition, questions about your 18-page 16 declaration, because, again, it was not disclosed. 17 I just want you to understand, Mr. Rothschild, 18 that if you end this deposition before I'm allowed 19 to fully depose you as to the contents of your 20 late-filed declaration, that it will be excluded 21 under the local rules and under the court's 22 scheduling order. 23 So this is completely your decision, 24 Mr. Rothschild. If you choose to end the 25 deposition in an hour, and now 54 minutes, it's 1 highly likely the court will exclude your 2 deposition your declaration. 3 Do you understand that? 4 THE WITNESS: I understand, Ms. Lamkin, that 5 you're not a judge, you're not a federal judge, 6 you're an attorney for the other side. Your 7 statements are taken, not necessarily not 8 necessarily correct. I rely on my counsel. I 9 don't know anything about exclusion or what the 10 court will do. Your statements are conjecture, it 11 seems to me, at this time. 12 I did inform counsel, both orally and in 13 writing, that I would be available only for an hour 14 for this for this deposition. I'd be more than 15 happy to reschedule and give you additional time, 16 if that's what the court provides. 17 If you're allowed to have additional time, 18 if that's what the court provides. 18 if that's what the court provides. 19 proceed. I have marked as Exhibit 1 - you've only 18 given me now 50 minutes, Mr. Rothschild, so let me 19 proceed. I have marked as Exhibit 1 - you've only 18 given me now 50 minutes, Mr. Rothschild, so let me 19 proceed. I have marked as Exhibit 1 - you've only 18 given me now 50 minutes, Mr. Rothschild, so let me 19 proceed. I have marked as Exhibit 1 - you've only 18 given me now 50 minutes, Mr. Rothschild, so let me 19 proceed. I have marked as Exhibit 1 - document, docket 20 31-4, the Declaration of Leigh Rothschild in Support of 21 Plaintiff's Opening Claim Construction Frief. 22 Can you please reference Exhibit 1. 23 Mr. Rothschild? 3 A I do. But Exhibit 1 is not shown f	MR. PALAVAN: Correct. MS. LAMKIN: Okay. I just I want you to understand the gravity of this, Mr. Rothschild. Your declaration was not properly disclosed. Just a minute. I can't see you. Let me get Your declaration was not properly disclosed under the scheduling order, and, therefore, I offered them a choice, your counsel a choice, either withdraw your declaration or offer you for deposition, so that we could ameliorate the prejudice my client is suffering because we had no knowledge, under the court's scheduling order, that you would be submitting a declaration.	that is what you if that is what is allowed by the court, or the rules, or the rules. So I would make additional time available. So let's make that clear. MS. LAMKIN: Mr. Rothschild, there is no more time because our responsive claim construction brief is due tomorrow. Nonetheless, I have advised you of the potential outcome for your cutting off the deposition before I'm actually able to question you about the contents of your declaration. Now, let's get to the contents of your declaration.
1 highly likely the court will exclude your deposition your declaration. 3 Do you understand that? 4 THE WITNESS: I understand, Ms. Lamkin, that 5 you're not a judge, you're not a federal judge, 6 you're an attorney for the other side. Your 7 statements are taken, not necessarily not 8 necessarily correct. I rely on my counsel. I 9 don't know anything about exclusion or what the 10 court will do. Your statements are conjecture, it 11 seems to me, at this time. 12 I did inform counsel, both orally and in 13 writing, that I would be available only for an hour 14 for this for this deposition. I'd be more than 15 happy to reschedule and give you additional time, 16 if that's what the court provides. 17 If you're allowed to have additional time, I 18 want to state on the record that there would be 10 Cokay. Do you recognize this document, 2 Mr. Rothschild? 3 A I do. But Exhibit 1 is not shown for the record, Ms. Lamkin, exhibit 1 is not shown on the screen. So I only have a document in front of me that' not marked Exhibit 1, but I printed it before the deposition, that's marked as my declaration. But there would be I thought it was reported that 10 there would be before the okay. So now the document 11 is on the screen. So I only have a document in front of me that' not marked Exhibit 1, but I printed it before the 12 there's no documents on the screen, as well as reported 13 there's no documents on the screen, as well as reported 14 there would be before the okay. So now the document 14 is on the screen. So I only have a document in front of me that' not marked Exhibit 1 is not shown for the 14 is not shown for the 14 is not shown for the 14 record, Ms. Lamkin, Exhibit 1 is not shown for the 14 record, Ms. Lamkin, Exhibit 1 is not shown for the 14 record, Ms. Lamkin, Exhibit 1 is not shown for the 14 record, Ms. Lamkin, Exhibit 1 is not shown for the 14 record, Ms. Lamkin, Exhibit 1 is not shown for the 14 record, Ms. Lamkin, Exhibit 1 is not shown for the 14 record	15 up for deposition, questions about your 18-page 16 declaration, because, again, it was not disclosed. 17 I just want you to understand, Mr. Rothschild, 18 that if you end this deposition before I'm allowed 19 to fully depose you as to the contents of your 20 late-filed declaration, that it will be excluded 21 under the local rules and under the court's 22 scheduling order. 23 So this is completely your decision, 24 Mr. Rothschild. If you choose to end the	15 (Exhibit 1 was marked.) 16 BY MS. LAMKIN: 17 Q I have marked as Exhibit 1 you've only 18 given me now 50 minutes, Mr. Rothschild, so let me 19 proceed. I have marked as Exhibit 1 a document, docket 20 31-4, the Declaration of Leigh Rothschild in Support of 21 Plaintiff's Opening Claim Construction Brief. 22 Can you please reference Exhibit 1, 23 Mr. Rothschild? 24 A I have the declaration printed out in front of
20 believe is in January. 21 So I don't know anything about exclusion, and 22 your statements do not seem to be correct to me. 23 But I'm not a judge, nor are you. So I just want 24 to state again, for the record, for the record, 20 Do you recognize the document marked as 21 Exhibit 1? 22 A I do. 23 Q What is it? 24 A And for the record, I'm not being	highly likely the court will exclude your deposition your declaration. Do you understand that? THE WITNESS: I understand, Ms. Lamkin, that you're not a judge, you're not a federal judge, you're an attorney for the other side. Your statements are taken, not necessarily not necessarily correct. I rely on my counsel. I don't know anything about exclusion or what the court will do. Your statements are conjecture, it lesems to me, at this time. I did inform counsel, both orally and in writing, that I would be available only for an hour for this for this deposition. I'd be more than happy to reschedule and give you additional time, If you're allowed to have additional time, I want to state on the record that there would be yadditional time made before the hearing, which I believe is in January. So I don't know anything about exclusion, and your statements do not seem to be correct to me. But I'm not a judge, nor are you. So I just want	Q Okay. Do you recognize this document, Mr. Rothschild? A I do. But Exhibit 1 is not shown for the record, Ms. Lamkin, Exhibit 1 is not being shown on the screen. So I only have a document in front of me that's not marked Exhibit 1, but I printed it before the deposition, that's marked as my declaration. But there's no documents on the screen, as well as reported that there would be I thought it was reported that there would be before the okay. So now the document son the screen. So please don't ask me, Ms. Lamkin, about documents that are not on the screen, to reference them. I will point out when they're not. Q Mr. Rothschild, as with standard practice, and as we did last time in the deposition, the exhibits were placed in the Chat. It is in your Chat on your Zoom. Now, again, please do not be argumentative on the record. I have limited time. Do you recognize the document marked as Exhibit 1? A I do. What is it?

December 22, 2022 11 1 have a lag in the documents on the screen versus your It is, as it states, the Declaration of Leigh 2 Rothschild in Support of the Plaintiff's Opening Claim 3 Construction Brief. Q Mr. Rothschild, to save time, I was just Q Okay. Would you please look at paragraph one? reading into the record. There's no document on the 5 I'll read the line into the record. It says [as read]: screen. I'll now offer it. I'm offering as Exhibit 2 6 I make this declaration based upon my personal patent number 9,054,860. knowledge. MS. LAMKIN: If the technician could please 8 Do you see that statement, Mr. Rothschild? 8 turn Exhibit 2 to the first page after the figures. 9 That would be page 11, please. Thank you. A I do. Q What does that mean? 10 10 (Exhibit 2 was marked.) A I make this declaration based on my 11 BY MS. LAMKIN: 12 personal -- it means what it says, Ms. Lamkin. 12 Q So, Mr. Rothschild, you see where it says Q Do you have an understanding of what that 13 field of invention, Column 1, line six? 14 means, Mr. Rothschild? A I'm looking, Ms. Lamkin. 14 A Yes, ma'am. It means what it says. 15 I see field of invention. Line six. Could Q That the facts stated in your declaration are 16 you give me the first word of that sentence, please? 17 facts that you've personally verified? Do you 17 Q "The present specification." 18 understand that? 18 A Yes. I see it now. 19 19 A It means what it says, Ms. Lamkin. Q Okay. What is your experience -- or what was 20 Q I'm going to give you one last opportunity to 20 your experience in the field of invention in 2008? 21 answer the question that I'm asking. What is your 21 A In 2008, I was an inventor of various patents, 22 understanding of the meaning of that statement, "I make 22 also a technical advisor to various entities. 23 this declaration based on my personal knowledge"? Q I'm asking specifically about the field of A It means, and I quote, "I make this 24 invention for this patent, the patent-in-suit. As to 25 declaration based on my personal knowledge, and, if 25 the specific field of invention for the '860 patent, 10 12 1 called to testify to the truth of the matters set forth what was your experience in 2008? herein, could and do so competently." A I had knowledge of the art. 2 Q If you could please turn to paragraph three in 3 Q Please explain that in depth. 4 Exhibit 1. I'll read it into the record. 4 A I had knowledge of the art. That's my 5 "I understand that Plaintiff contends that, at explanation. I had knowledge of the art. 6 least at the time of the filing and prosecution of the Q What knowledge of the art did you have, sir? 7 '860 patent, a POSITA of the subject matter claimed by A Knowledge of the way digital verification 8 the Patent-in-Suit is a person having a bachelor's 8 works. 9 degree in computer science or electrical engineering." 9 Q How did you obtain that knowledge? 10 Mr. Rothschild, do you have a bachelor's 10 A By research. 11 degree in computer science or electrical engineering? Q Can you please expand on that? 11 A I do not. A No, I can't. It's many years ago. I cannot Q Okay. I'm going to read into the record the 13 expand on it. I had knowledge of the art. I had been, 14 field of invention in the '860 patent. I am reading 14 previously, a prolific and accomplished inventor. I had 15 from the '860 patent, Column 1, line six, Field of the 15 represented and given technical support to various 16 Invention. It says, 'The present specification is 16 entities, and I had knowledge of this art. 17 generally directed to a digital verified identification Q At this time, sitting in this deposition, you 18 system and method having at least one digital 18 cannot recall the specifics of your experience in the 19 identification module structured to be embedded or 19 field of the art for the '860 patent? 20 otherwise disposed within one or more electronic files." 20 A I cannot recall it with specificity. 21 Mr. Rothschild, what was your experience in 21 Q Okay. Thank you, Mr. Rothschild.

22

23 back to Exhibit 1.

25 Ms. Lamkin.

Mr. Rothschild, if you could please, turning

A The document is lagging behind one more time,

22 the field of invention at the time of invention, 2008?

25 is not in front of me, nor on the screen. We seem to

A Ms. Lamkin, the part you quoted was not on the 24 screen, one more time. The document you're referencing

December 22, 2022 13 15 Q Give him a moment, Mr. Rothschild. The 1 non-provisional utility patent application transmittal technician has to move to Exhibit 1. A Ms. Lamkin, you need to give him a moment as If you scroll down, if the document person 3 you ask the questions. could scroll down for me, please. Q If you could please look at paragraph five, Signed by James Wetterling and Jennie Malloy, or one of the two attorneys, for the applicant. Mr. Rothschild. A It's now on the screen, Ms. Lamkin. MS. LAMKIN: If you could ask the technician Q Do you see in paragraph five where you quote to please turn to page 42. That's 42 on the pdf. 9 the prosecution history of the '860 patent? Thank you. A Yes, ma'am. 10 BY MS. LAMKIN: Q Okay. Did you review personally the Q Mr. Rothschild, is that your signature on --11 12 prosecution history of the '860 patent as part of 12 13 drafting this declaration? 13 Q Just a moment, please. A I'll have to read paragraph five. 14 Mr. Rothschild, is that your signature on page I had previously reviewed. To answer your 15 42 of Exhibit 3? 15 16 question, yes. 16 A It is. Q Yes, you reviewed the prosecution history of 17 Q Okay. Do you see above your signature where 18 the '860 patent as part of drafting your declaration 18 it says [as read]: I hereby declare that all statements 19 that is Exhibit 1? 19 made herein of my knowledge are true and that all A I had reviewed it previously. 20 statements made of information and belief are believed Q So the discussion of the prosecution history 21 to be true? 22 in your declaration is from memory? 2.2. Do you see that, sir? A I don't understand the question. 23 A I do. Q Did you review the prosecution history of the 24 Q Is this the kind of declaration that you 25 '860 patent while drafting your declaration? 25 submit in the prosecution history of a patent? 14 16 A I had reviewed it previously, as I just A I don't quite understand the question. 1 testified. 2 2 Q Do you --Q When did you review the prosecution history 3 A Let me -- sorry. If I could finish. previously? I'm not a patent attorney, nor an attorney, A I don't remember with specificity. Ms. Lamkin, so I don't understand what your question is. Q Was it within a year? If you're asking me for a legal opinion, I would defer A I don't remember with specificity. to counsel, to patent counsel. Q It could be more than a year prior? Q Do you recognize this document, A I don't remember with specificity. 9 Mr. Rothschild? 10 MS. LAMKIN: I'm going to mark as Exhibit 3 a 10 A I see it now. I see it with my signature. 11 document bearing Bates range DVSENC 17 to 61. Q The question was: Do you recognize this 11 (Exhibit 3 was marked.) 12 12 document, Mr. Rothschild? 13 BY MS, LAMKIN: A I recognize it as a document that I signed, Q Mr. Rothschild, have you seen Exhibit 3 14 Ms. Lamkin. 15 before? Q Okay. In general, do you make an effort to A I need time to look at it. It just appeared 16 make truthful statements to the USPTO during the 17 on the screen, Ms. Lamkin. 17 prosecution of your patents? I don't really know if I've seen this with 18 A Absolutely and unequivocally.

19

20

21

22 BY MS. LAMKIN:

24 Mr. Rothschild?

MS. LAMKIN: I'm going to mark as Exhibit 4 a

document bearing Bates range DVSENC 166 to 191.

(Exhibit 4 was marked.)

Q Do you recognize this document,

A I see a document on the screen.

19 specificity. I don't remember.

23 as a prosecution history document?

A Do not.

21

Q You don't remember if you've seen Exhibit 3?

A I don't identify it any way except the

25 document that's on the screen. As it states, it is a

Q Okay. Do you generally recognize the document

December 22, 2022		
17	19	
1 Q Sir, my question is: Do you recognize the	1 I'm going to read the language starting 'Therefore,	
2 document?	2 properly construed."	
3 A Not with specificity.	3 A Ms. Lamkin, I'll need to see the full page	
4 Q Okay. Do you see the application number	4 number. I have no idea what page you're on. The	
5 12/006,457?	5 document's not showing it in the entirety, for the	
	6 record.	
7 MS. LAMKIN: Okay. If we could please pull up	Q It is, sir. It is showing in its entirety.	
8 Exhibit 2, which is the '860 patent, the first	8 A I don't see a page number, for the record,	
9 page?	9 Ms. Lamkin.	
10 BY MS. LAMKIN:	10 Q The technician is circling the page number for	
11 Q Do you see the application number here,	11 you on the screen.	
12 Mr. Rothschild, 12/006,457?	12 A I can't see that.	
13 A I do.	13 Okay. I see it now.	
14 Q Do you see that the application number on the	14 Q Okay.	
15 face of the asserted '860 patent is the same as the	15 A It was a lag, for the record.	
16 application number on Exhibit 4?	16 Q I'm reading the last line on the first page.	
17 A I'd have to go back to Exhibit 4, wouldn't I?	17 It says, "Therefore, properly construed, the claimed	
_		
MS. LAMKIN: If we could please go back.	18 'module generating assembly' must include hardware."	
19 THE WITNESS: I do.	Do you see that line, sir?	
20 MS. LAMKIN: Thank you.	20 A I do.	
21 BY MS. LAMKIN:	21 Q Is that the truth?	
22 Q So that's the same application Exhibit 4 is	A I don't understand the question.	
23 the same application number as the asserted '860 patent?	Q This was a statement submitted on your behalf	
24 A It appears to be.	24 to the United States Patent and Trademark Office. Is it	
25 MS. LAMKIN: Okay. If we could please turn to	25 a true statement?	
18	20	
18	1 A It is a statement that was submitted in the	
page 14 of the pdf on this document.	A It is a statement that was submitted in the	
page 14 of the pdf on this document. Actually, for context, please, if we could	1 A It is a statement that was submitted in the application, Ms. Lamkin.	
page 14 of the pdf on this document. Actually, for context, please, if we could return to the first page of Exhibit 4? Thank you.	A It is a statement that was submitted in the application, Ms. Lamkin. Q Sir, my question is this: Is it a true	
page 14 of the pdf on this document. Actually, for context, please, if we could return to the first page of Exhibit 4? Thank you. BY MS. LAMKIN:	A It is a statement that was submitted in the application, Ms. Lamkin. Q Sir, my question is this: Is it a true statement?	
page 14 of the pdf on this document. Actually, for context, please, if we could return to the first page of Exhibit 4? Thank you. BY MS. LAMKIN: Q Do you see where it says, "The following	A It is a statement that was submitted in the application, Ms. Lamkin. Q Sir, my question is this: Is it a true statement? A It is a statement as was submitted in the	
page 14 of the pdf on this document. Actually, for context, please, if we could return to the first page of Exhibit 4? Thank you. BY MS. LAMKIN: Q Do you see where it says, "The following Amendment and Remarks are submitted in response to the	A It is a statement that was submitted in the application, Ms. Lamkin. Q Sir, my question is this: Is it a true statement? A It is a statement as was submitted in the application.	
page 14 of the pdf on this document. Actually, for context, please, if we could return to the first page of Exhibit 4? Thank you. BY MS. LAMKIN: Q Do you see where it says, "The following Amendment and Remarks are submitted in response to the Office Action dated February 1, 2011"?	A It is a statement that was submitted in the application, Ms. Lamkin. Q Sir, my question is this: Is it a true statement? A It is a statement as was submitted in the application. Q Sir, it's a yes-or-no question. Is it a	
page 14 of the pdf on this document. Actually, for context, please, if we could return to the first page of Exhibit 4? Thank you. BY MS. LAMKIN: Q Do you see where it says, "The following Amendment and Remarks are submitted in response to the Office Action dated February 1, 2011"? Do you see that, Mr. Rothschild?	A It is a statement that was submitted in the application, Ms. Lamkin. Q Sir, my question is this: Is it a true statement? A It is a statement as was submitted in the application. Q Sir, it's a yes-or-no question. Is it a true	
page 14 of the pdf on this document. Actually, for context, please, if we could return to the first page of Exhibit 4? Thank you. BY MS. LAMKIN: Q Do you see where it says, "The following Amendment and Remarks are submitted in response to the Office Action dated February 1, 2011"? Do you see that, Mr. Rothschild? A I do.	A It is a statement that was submitted in the application, Ms. Lamkin. Q Sir, my question is this: Is it a true statement? A It is a statement as was submitted in the application. Q Sir, it's a yes-or-no question. Is it a true A I've given you I've given you my answer,	
page 14 of the pdf on this document. Actually, for context, please, if we could return to the first page of Exhibit 4? Thank you. BY MS. LAMKIN: Q Do you see where it says, "The following Amendment and Remarks are submitted in response to the Office Action dated February 1, 2011"? Do you see that, Mr. Rothschild? A I do. Do you have an understanding of what that	A It is a statement that was submitted in the application, Ms. Lamkin. Q Sir, my question is this: Is it a true statement? A It is a statement as was submitted in the application. Q Sir, it's a yes-or-no question. Is it a true A I've given you I've given you my answer, Ms. Lamkin.	
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23 Q At the bottom of page 10, lines 27 to 28, you A I believe it would be the term to be construed 2 write "including that the module generating assembly may by the court, as listed in the affidavit, which is the 3 be, inter alia, a computer application or a web server declaration of Leigh Rothschild in support of the running on a device." Plaintiff's Opening Claim Construction Brief, as you Do you see that, sir? defined it, Exhibit 1. 6 Q I'll give you one more opportunity to answer A I do. O Is that a true statement? the question, sir. 8 In paragraph 22, when you use the phrase "for A It is the statement -- it is a true statement as it is stated in the -- my declaration, as stated in this term," which term are you referring to? 10 my declaration. A I would ask the court reporter to read back Q So in your opinion, the module generating 11 my -- that would be my answer. 12 assembly might be, inter alia, a computer application or 12 STENOGRAPHIC REPORTER: Counsel, do you want 13 a web server. Is that true? 13 me to read back? A It means what it says, Ms. Lamkin, that 14 MS. LAMKIN: I don't. Thank you. 15 including the mobile [sic] -- and I read as follows [as 15 BY MS. LAMKIN: 16 read]: Including that the mobile generating assembly Q To be clear, then, in paragraphs 21 and 22, 17 may be, inter alia, a computer application or a web 17 Mr. Rothschild, is it true that you are saying the 18 server running on a device. 18 module generating assembly may be a computer application 19 or web server, a file server, or other computing device? O Is that a true statement, sir? 20 A It is a true statement. A I know you want, Ms. Lamkin, me to answer to 21 Q Thank you. 21 your questions which would call for speculation. My Now, please turn to paragraph 22. At 22, you 22 answer is it is what it says in the declaration. I will 23 write [as read]: The specification is clear, at least a 23 not speculate. 24 POSITA, that any alleged function for this term is Q Sir, I'm not asking you to speculate. I'm 25 performed by some hardware, such as a web server, file 25 asking you to read your own sworn declaration. 22 24 server, or other computing device. I'm asking you, in paragraphs 21 and 22, is it Do you see that, sir? your opinion that the module generating assembly might 2 3 be a computer application or a web server running on a A I do. Q Is that a true statement? device or a file server or other computing device? A It is a correct statement as reported under A My opinion, Ms. Lamkin, is as stated in those 6 paragraph 22 in Exhibit 1, as you defined Exhibit 1, paragraphs, which is part of the declaration of Leigh which is, by the way, my declaration in support of the Rothschild in support of the Plaintiff's Opening Claim Plaintiff's Opening Claim Construction Brief. Construction Brief, as you defined it, Exhibit 1. Q Is the first sentence of paragraph 22 a true Q I'll give you one more opportunity to answer 10 statement? 10 the question, Mr. Rothschild. A It is a correct statement, Ms. Lamkin. In paragraphs 21 and 22 of your sworn 11 Q And when you say "for this term," which term 12 declaration, document number 31-4, is it your opinion 13 are you referring to? 13 that the module generating assembly may be a computer A I don't understand the question. Could you 14 application or a web server running on a device or a 15 please repeat it? 15 file server or other computing device? A If the court reporter would like to read back 16 Q Yes. 17 my testimony, as I previously answered this question 17 First sentence in paragraph 22, you say [as 18 read]: The specification is clear, at least a POSITA, 18 twice, that would be my answer. 19 that any alleged function for this term is performed by Q Okay. Thank you, Mr. Rothschild. 20 hardware. 20 If we could please turn to Exhibit 2, the 21 When you say "this term," which term do you 21 asserted '860 patent. 22 mean? A I would like to add to that, if I would be A I believe that would be the term that's to be 23 allowed, Ms. Lamkin. 24 construed by the court. 24 Q Please.

25

A Yes. Your question calls for speculation.

25

Q Which term, sir?

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27 1 And apparently, you want me to vary from what I said in that section that you're referring to, it would make it 2 the declaration. And the declaration was carefully much easier for me to provide additional testimony. 3 thought out by me after a lot of, you know, careful MS. LAMKIN: If we could please go to 4 thought. 4 Exhibit 1, paragraph 21. I believe the declaration to be entirely 5 THE WITNESS: We have a lag again. 6 truthful and accurate, correct. And it is what it says, BY MS. LAMKIN: despite your questions that are calling upon me to Q Okay. I'm referring specifically to lines 27 speculate. So I would like to have that in the record. and 28, starting with "including." MS. LAMKIN: If we could please turn to A Give me a second to look at it, please. 10 Exhibit 2, the '860 patent. 10 Q Again, the language we're referring to is in THE WITNESS: And for the record, the exhibit 11 your declaration, page 10, lines 27 to 28, where you 11 12 is not before me. 12 write "including that the module generating assembly may 13 13 be, enter alia, a computer application or a web server I see it now. There's a lag. 14 MS. LAMKIN: If the technician could please 14 running on a device." 15 turn to Column 2 of the '860 patent, right after 15 Do you see that, Mr. Rothschild? 16 the figures. 16 A As highlighted, I see it. 17 BY MS. LAMKIN: 17 Q Okay. So in your opinion, the module 18 generating assembly may be a computer application or a Q Do you see that, Mr. Rothschild? A Could you ask me -- could you state what 19 web server returning on a device; correct? 20 you're asking me to look at, Ms. Lamkin? 20 A That is what it states, Ms. Lamkin. Q If you could please turn to Column 2, lines 19 21 Q Okay. Now, with that in mind, could we please 22 to 22, which I will read into the record. 'In at least 22 turn back to Exhibit 2, the '860 patent. In the 23 one embodiment, however, the module generating assembly 23 highlighted language, you write, "In at least one 24 is at least partially integrated within the computer 24 embodiment, however, the module generating assembly is 25 application, e.g., an interactive word processing 25 at least partially integrated within the computer 28 26 1 program" application." 1 Do you see that, sir? Do you see that, sir? 2 3 3 A I do. A I do. Q Do you have an understanding --Q Can you please explain how the module A It helps, by the way, when your document generating assembly, as a computer application, could be 6 person highlights for me. It makes it quicker, at least partially integrated within a computer Ms. Lamkin. Appreciate that. application? How do you have --Q Do you have an understanding of what that A Ms. Lamkin --9 passage means in your patent? 9 Q How do you -- sir, let me ask my question. 10 A Yes, I do. 10 How is it possible to have a computer Q Can you please explain it? 11 application integrated within a computer application? 11 A It means that [as read]: In at least one A First of all, it means what it says, as 13 embodiment, however, the mobile generating assembly is 13 provided in the specificity of patent number 9,054,860. 14 at least partially integrated within the computer Now, I refer you to my declaration in 15 application, e.g., an interactive word processing 15 paragraphs 21 through 25. If one reads those 16 paragraphs, it's very clear that the construction that 16 program. 17 In other words, it means what it says. It's 17 we are asking for, in terms of means plus function, is 18 the plain and ordinary meaning, as placed in the patent 18 the correct instruction and not the construction, as 19 application. 19 you're trying to, today, in today's deposition, to take Q Do you recall, in paragraph 21 of your 20 bits and pieces out of order, put them together, to come 21 declaration, where you write that the module generating 21 up with some disjointed construction that you'll present 22 assembly may be, inter alia, a computer application or a 22 to the court, as you have in your brief, which is 23 web server running on a device? 23 totally erroneous, in my opinion, as I state here in my A I would have to see that exhibit again. If 24 declaration, which is my declaration in support of the 25 your document person would be so kind as to highlight 25 plaintiff's opening claim.

31

32

Because it is very clear, Ms. Lamkin, in 2 paragraphs 21 through 25, if one reads those, as the 3 court will read, as you have read, as your experts have 4 read, it's very clear that this is not a means plus 5 function claim, absolutely not, as we defined here, the 6 reason that it's not. And if it was, there's function 7 that is provided.

8 Now, we detailed that very clearly in 9 paragraphs 21, 22, 23, 24, and 25. And your efforts to 10 take little pieces of little sentences and put them all 11 together to come up with some tortured claim 12 construction that you're trying to present to the court 13 is totally inaccurate.

And that is what my declaration states. You 15 may want to mischaracterize my declaration, as you're 16 doing during today's deposition, and that is not 17 correct. That is absolutely not what we're saying. 18 That's not what I said. It's very clear what I said in 19 my declaration in paragraphs 21 through 25. I hope that 19 make sure the question matches with what I see on the 20 answers your question.

21 Q It does, Mr. Rothschild -- does not,

22 Mr. Rothschild, but I'll give you another opportunity.

Could you please explain how it's possible to

24 have a computer application partially integrated within

25 a computer application?

1 same as the other two times. Your question is clearly

defined by paragraphs 21 through 25, which will explain,

absolutely, your question, for yourself and the court,

anyone that is reading this, you know, transcript of the

deposition. I'd be more than happy to read that into

the record.

Q In paragraph 21 of your declaration,

8 Exhibit 1, you also say that the module generating

assembly can be a web server running on a device;

10 correct?

A I would need to have that highlighted. If the 11 12 document person would be kind enough to do that, I can 13 refer to that statement — that purported statement that

14 you just read.

Q Do you need the question again,

16 Mr. Rothschild?

17 A I need it highlighted. There was a lag.

18 So could you repeat the question? I want to

20 screen, Ms. Lamkin. I appreciate your kindness in this 21 regard.

Q Paragraph 21 of your declaration, you also say

23 that the module generating assembly may be a web server

24 running on a device; correct?

A And I quote from what is highlighted now by

A I testified that in paragraphs 21 through 25

2 in my declaration, that is explained. If you would like

3 me to read paragraphs 21 through 25 into the record so 4 that you have it before you, I'd be more than happy to

5 do that now. Just tell me when to start, Ms. Lamkin.

Q Mr. Rothschild, I'll give you one more 7 opportunity to answer the question that I'm asking. And 8 that is: How is it possible to have a computer

9 application partially integrated within a computer

10 application?

A If the court reporter would choose to read

12 back my testimony, that would be my -- on this matter, 13 as per your question, that would be my answer, for the

14 third time.

15 Q You also say, in paragraph 21 --

A I'm sorry to interrupt. I didn't hear an 17 answer if the court reporter is going to read back my 18 testimony.

19 Q No.

20 A Sorry.

21 Q The court reporter is not.

22 A Oh, okay. I just wanted to put that in the 23 record.

24 So my answer is the same as the other two 25 times. I want it on the record that my answer is the the document person, [as read]: Including that the

mobile generating assembly may be, inter alia, a

computer application or a web server running on a

device.

25

30

5 Q It's a yes-or-no question, sir. In paragraph

6 21 of your declaration, do you say that the module

generating assembly may be a web server running on a

device?

A I see that, and that is the statement that I

10 made, Ms. Lamkin.

Q The answer is yes, sir? 11

A The answer would be yes. That is the

13 statement I made in the declaration, which would be, for

14 the record, the Declaration of Leigh M. Rothschild in

15 Support of the Plaintiff's Opening Claim Construction

16 Brief, Exhibit 1, as you defined it.

Q Okay. If we could please turn back to

18 Exhibit 2, the same provision in Column 2. Again,

19 Column 2 of the '860 asserted patent, it says, "In at

20 least one embodiment, however, the module generating

21 assembly is at least partially integrated within the

22 computer application, e.g., an interactive word

23 processing program."

24 Do you see that, sir?

25 A No, I don't. It's not highlighted by your

35

33 document person. If they could zoom, also, in on it, 1 clearly, what should be done.

I'd appreciate it. Is it possible? Perfect. Could you repeat the question, Ms. Lamkin?

Q Am I accurately reading the text from Column 2

of the '860 patent, 'In at least one embodiment,

however, the module generating assembly is at least

partially integrated within the computer application,

e.g., an interactive word processing program."

Have I accurately read your patent, sir?

10 A You accurately read that section of the

11 specification of the 9,054,860 patent, Column 2,

12 apparently line 19.

Q Will you please explain, sir, how a web server

14 can be at least partially integrated within a computer

15 application?

A It is as it says, and it has the plain and

17 ordinary meaning of the words, which are [as read]: In

18 at least one embodiment, however, the mobile generating

19 assembly is at least partially integrated within the

20 computer application, e.g., an interactive word

21 processing program.

Now, if you're referring to how that applies

23 to this motion that's before the court for claim

24 construction, I would refer you, again, to paragraphs 21

25 through 25, where we talk about our construction versus

The claim - we are, in my declaration, we are

trying to provide a full explanation to your question,

which is, in this case, for this particular claim

construction term, contained what's in Claim 21 through

25 – claimed within paragraph, excuse me, 21 –

correcting myself -- paragraph 21 through 25 which, if

understood and read, would answer your question in

totality.

10 Q If we could please turn to paragraph 25 of

11 Exhibit 1.

12 A Paragraph 25.

Q Do you see paragraph 25 highlighted, 13

14 Mr. Rothschild?

A A bit of a lag, but I now do. 15

And thank you for zooming in, to the document 16

17 person. Thank you.

Q I'm referring specifically to line 16,

19 starting with "a POSITA." I'll read it into the record.

20 [As read]: A POSITA would understand that the

21 corresponding structure for any alleged function is

22 module generating assembly 50, including as outlined in

23 Figure 7 and its corresponding description, namely '860,

24 Column 7, line 48 to Column 8, line 62.

25 Can you explain what you mean by that

1 your construction of means plus function, where we

2 clearly show that this is a means plus function

3 absolutely -- where we clearly slow the definition of

4 means plus function and explain the construction that

5 we've taken upon, that we've asserted or that we are

6 asking the court to validate, we're asking the court to

validate.

Q I'll give you one more opportunity to answer 9 the question that I've asked, sir. Can you please

10 explain how a web server running on a device can be at

11 least partially integrated within a computer

12 application?

A The words -- my answer is that the words are

14 clearly defined in the specificity. And then there's

15 additional support for the claim construction that we're

16 now talking about in paragraphs 21 through 25 in my

17 declaration.

If you would like, Ms. Lamkin, I'd be more

19 than happy to read that explanation on 21 -- excuse me,

20 those paragraphs 21 through 25 into the record, which

21 would, I believe, answer your question.

The question is fragmented and, therefore,

23 could be not answered in partial. Again, I believe

24 you're taking little bits and pieces and trying to come

25 up with a distorted claim construction, which is not,

sentence, Mr. Rothschild? 1

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A Certainly, Ms. Lamkin.

Right. So repeating where it says "a POSITA,"

and I am defined as a POSITA -- right? -- a person of --

right. Right. That I would understand -- right, that I

would understand, as POSITA, that the corresponding

structure for any alleged function is a module

generating assembly, clearly as outlined in Figure 7.

So we're referring you, Ms. Lamkin --

10 referring the court, I should say, in this declaration,

11 to Exhibit [sic] 7, where we clearly, in addition to

12 Exhibit 7, with Exhibit 7 and in addition to Exhibit 7,

13 clearly show function, clearly show function as part of

14 the means plus function claim construction, which is a

15 different construction, by the way, than you're taking.

So we are pointing you to Exhibit 7 here as

17 one place where the court could see function in terms of 18 the claim.

19 MS. LAMKIN: Could we please turn to Figure 7

20 in the '860 patent, Exhibit 2? I'm sorry for not

being more clear to the technician. Exhibit 2, 21

22 please. Correct. Thank you.

And then just above -- just -- thank you very 23

24 much.

25 BY MS. LAMKIN:

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Transcript of Leigh Rothschild

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December 22, 2022

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1	Q Where is the module generating assembly 50 in
2	Figure 7 of the '860 patent, Mr. Rothschild?
3	A Could you repeat the question?

- Q Where is module generating assembly 50 in
- Figure 7 of the '860 patent?
- A Well, referring to the drawing, it is, as is stated, Ms. Lamkin, it's self-evident.
- Q Your testimony, sir, is that it's self-evident 9 that module generating assembly 50 is in Figure 7?
- A My testimony is the exhibit as -- the exhibit 11 is as it was published in my declaration, as Figure 7.
- 12 Nothing more, nothing less.
- Q I'll ask the question one more time,
- 14 Mr. Rothschild. Can you please explain where module
- 15 generating assembly 50 is depicted in Figure 7 of the
- 16 '860 patent?
- A My answer is that Figure 7, as was reproduced
- 18 in my declaration, is as it stands, is as it was 19 published, and is self-explanatory.
- MS. LAMKIN: If we could please turn to
- 21 Exhibit 2, Column 7.
- 22 BY MS. LAMKIN:
- Q In your declaration -- and if you need to
- 24 switch back, Mr. Rothschild, that's fine.
- 25 In your declaration, paragraph 25, you cite --

- MS. LAMKIN: If we could please turn back to 1
 - Columns 7 and 8 in the '860 patent?
 - BY MS. LAMKIN:
- Q So just by way of reminder, Mr. Rothschild,
- you've cited Column 7, starting at line 48, to Column 8,
- going to line 62; correct?
- A I have not cited it, to the best of my
- knowledge, in today's testimony. It is cited in the
- declaration, paragraph 25, as you've defined it, 10 Exhibit 1.
- MS. LAMKIN: If we could highlight at 11
- Column 7, line 60 to 66, please. 12
- 13 BY MS. LAMKIN:
- 14 Q You see that language, Mr. Rothschild?
- A No, I don't. It's a teeny little -- it needs 15
- 16 to be zoomed by the document person.
- 17 I do see it now.
- 18 Q That provision is within the section that
- 19 you've cited in your declaration --
- 20 correct? -- Column 7, 48 to 8, 62?
- 21 A It's not fully highlighted, I would point out.
- Q I'm only asking you, sir, if the parts we have
- 23 highlighted, Column 7, line 60 to 66, is a subset of the
- 24 section that you cited in your declaration, 7, 48 to 8,
- 25 62.

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- A Well, the documents, Ms. Lamkin, are not --
- excuse me for interrupting, by the way. The document
- you're referring to is not on the screen.
- MS. LAMKIN: Would you please turn back to
- Exhibit 1, paragraph 25?
- BY MS. LAMKIN:
- Q Do you see in paragraph 25 where you cite
- Column 7, line 48 to Column 8, line 62?
- A If you could highlight just that particular 10 section, please.
- 11 I do see that.
- Q Okay. And is it your testimony that the
- 13 module generating assembly corresponding structure is
- 14 contained within Column 7, line 48 to Column 8, line 62
- 15 in the '860 patent?
- A My testimony is that, as contained in my
- 17 declaration, paragraph 25, that the module generating
- 18 assembly 50, including as outlined in Figure 7, and its
- 19 corresponding description, namely '860/7:48-8:62, that
- 20 was what we put in the declaration. That is what is 21 correct.
- 22 And as I said before and testified to before.
- 23 Exhibit 7, in addition to additional support that we
- 24 provided, as the declaration clearly shows, that there
- 25 is functionality in terms of the claim construction.

- A Could you scroll could you scroll the
- patent, please? Very hard when you're not working with
- the document in front of you, Ms. Lamkin.
 - I point out for the record -
- Q It's in the Chat, sir. You're welcome to
- download it. I have provided the actual document to you
- in the Chat, and you're more than welcome to download it
- and hold it in your hand.
- 9 A Thank you for that courtesy.
- 10 Could you repeat the question?
- Q Yes. Does Column 7, line 60 to 66, fall 11
- 12 within your citation of Column 7, line 48 to Column 8,
- 13 line 62?
- 14 A With that, yes, I believe that it would be the
- 15 case.
- 16 Q Okay.
- 17 A Yes.
- Q I'm going to read the highlighted section into
- 19 the record. "In addition, the module generating
- 20 assembly may be at least partially integrated within a
- 21 computer application such as an interactive word
- 22 processing program, as a feature, option, or plug-in for
- 23 example."
- 24 Do you see that, Mr. Rothschild?
- 25 A I do.

December 22, 2022 43 Q Okay. So if the module generating assembly is 1 I believe that I did, yes. 2 a computer application, as you state in your Q So you believe that the last time you reviewed declaration, how can a computer application be at least the IPR was many years ago? 4 partially integrated within a computer application? A Yes. That would be correct. A I believe we explained, to answer your Q Okay. In paragraph six, the last line is, 6 question, the claim construction, in my declaration, our "Plaintiff is presently the exclusive assignee of the position on claim construction in paragraphs -- in terms | 7 Patent-in-Suit." 8 of the means plus function claim that you're asking to Do you see that, sir? 9 be construed, asking the court to be construed, in A I do. 10 paragraphs 21 through 25. 10 Q Is that a true statement? I would point out that there's an introduction A To the best of my knowledge, that is a true 11 11 12 in paragraph 20, but the substance is in paragraph 21 to 12 statement. 13 25. If we had time, which I don't believe we do now, Q Okay. Where did you get the information in 14 I'd be happy to read that into the record. And that 14 that sentence, 'Plaintiff is presently the exclusive 15 would be the answer. 15 assignee of the Patent-in-Suit"? And that citation, that reading would be the A From attorneys, from counsel. 17 answer to your question, as opposed to the disjointed 17 Q Did you draft that sentence in your 18 sentences and fragments that you've been presenting 18 declaration? 19 19 today to try to put together in some kind of disjointed A I did not draft it, but reviewed it and signed 20 fashion, to prove out a tortured claim construction that 20 the declaration accordingly. 21 the defendant, that your client is taking upon 21 Q How much of your declaration -- sorry, sir. 22 themselves. 22 How much of your declaration did you personally draft? 23 MS. LAMKIN: If we could please turn to page A I did not draft the declaration. I reviewed 24 three of Mr. Rothschild's declaration, Exhibit 1? 24 the declaration. 25 Thank you. 25 Q Your attorney drafted your declaration for 42 44 1 BY MS. LAMKIN: 1 you? Q Do you see the first line on page three of 2 A That would be correct. 3 your declaration, Mr. Rothschild, where you say 3 Q On paragraph eight -- do you see paragraph "Moreover, the Asserted Claims were challenged in an 4 eight? 5 IPR, but the IPR was not instituted"? Did you see -- do 5 A I now inform you, Ms. Lamkin, and all parties, 6 you see that? that it's now 5:00. As we reported earlier, and as I notified my counsel to notify you with great A I thought you said page -- what paragraph, 8 please? specificity, both orally and in writing, on several Q Line one --9 occasions, unfortunately, I have a hard stop at 5:00, a A What paragraph? 10 previous commitment that I can't change. Q Line one, page three, just above paragraph But I also would like to inform you, 11 12 six. 12 Ms. Lamkin, that I'm more than happy, before any 13 A So paragraph five. 13 deadlines are due, to -- if allowed by the rules, to sit 14 I see the statement, Ms. Lamkin. And I read 14 down with you again to complete this deposition, if 15 it as follows: "Moreover, the Asserted Claims were 15 allowed. 16 challenged in an IPR, but the IPR was not instituted." MS. LAMKIN: Our responsive brief is due 16 Q How do you know the IPR was not instituted, 17 tomorrow, under the court's order, Mr. Rothschild. 18 Mr. Rothschild? 18 So unless you want to sit tomorrow morning, which A I was informed of such by my counsel at the 19 I'm happy to do, if you would like to sit tomorrow 20 time. 20 morning, then we can continue. 21 Q When was the time? 21 THE WITNESS: I would be more than happy to 22 22 A I do not recall with specificity. talk about scheduling with counsel, not with you, 23 23 Q Did you review the IPR when drafting your Ms. Lamkin. And my counsel, I am sure, would 24 declaration? 24 inform you of my availability.

25

MS. LAMKIN: You do not know whether or not

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A I believe I did. It was many years ago. But

	<u>'</u>
1 you are available tomorrow morning, Mr. Rothschild?	45 47 1 State of Maryland
	· · · · · · · · · · · · · · · · · · ·
	2 Baltimore City
Ms. Lamkin. Do you want to ask me again?	3 I, Dawn A. Hillier, a Notary Public of the State
MS. LAMKIN: Okay. And I just want to make	4 of Maryland, Baltimore City, do hereby certify that the
5 sure you understand, Mr. Rothschild, that your	5 within-named witness personally appeared before me via
6 client my client is being prejudiced by not	6 Zoom at the time and place herein set out, and after
7 being able to ask you questions about your	7 having been first duly sworn by me, according to law,
8 declaration, which was not disclosed, and we will	8 was examined by counsel.
9 move to strike. Do you understand that, sir?	9 I further certify that the examination was
THE WITNESS: I choose to get my legal advice,	10 recorded stenographically by me and this transcript
11 Ms. Lamkin, not from you. You don't represent me	11 is a true record of the proceedings. I further certify
12 now or haven't represented me in the past. So I do	12 that I am not of counsel to any of the parties, nor an
13 have legal counsel that I take advice from. With	13 employee of counsel, nor related to any of the parties,
14 all due respect, Ms. Lamkin, I don't need your	14 nor in any way interested in the outcome of the action.
15 legal advice. But thank you for offering it.	As witness my hand and seal this 29th day of
MS. LAMKIN: Okay. This deposition is	16 December 2022.
17 concluded.	17
18 STENOGRAPHIC REPORTER: Okay. Counsel,	18
19 regular time on the transcript?	10 hay a. Thellas
20 MS. LAMKIN: No. I need a rush of the I	18 19 Daeix a. Afillier 20 21 Dawn A. Hillier
21 need a rush of the rough now. And then how quickly	21 Down A Hillion
22 could you I don't want to make you work on	22 22 M. Garagia in F. in A. a. 414 2026
23 Christmas weekend.	23 My Commission Expires August 14, 2026
24 (Off the record.)	24
25 STENOGRAPHIC REPORTER: Absolutely,	25
	46
1 absolutely.	
2 And, Mr. Palavan, did you also want a rough	
3 draft?	
4 MR. PALAVAN: Sure. Actually, no, we should	
5 be good. I don't need a rough.	
6 (The reading and signing of the deposition is	
7 not waived.)	
8 (At 5:02 p.m. the deposition was concluded.)	
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